Codebook for the **P**eacekeeping **Act**ivity (PACT) Dataset 2.0

Version 2024-01
April 2024

Please cite the dataset as follows:
Otto, Sabine and Honda, Masumi (2024): Codebook for the Peacekeeping Activity (PACT) Dataset 2.0.

Summary 2

Activities coded in the dataset 3

Engagement categories 4

Involvement of international actors 5

Coding procedure 6

Information about the report (report-based variable) 6

Information about the PKO's theatre of operation (report-based variable) 6

Activities and engagement categories 9

DisarmamentDemobilization 9
Reintegration 11
ControlSALW 12
Demilitarization 13
ArmsEmbargo 15
CivilianProtection 15
HumanRights 17
ChildRights 19
SexualViolence 19
PoliceReform 21
MilitaryReform 23
Operations_PatrolsInterventions 24
Operations_UseOfForce 26
JusticeSectorReform 27
TransitionalJustice 28

PrisonReform **28**
BorderControl **29**
Demining **31**
Resources **31**
StateAuthority **32**
StateAdministration **34**
DemocraticInstitutions **35**
ElectoralSecurity **36**
ElectionAssistance **38**
VoterEducation **40**
PartyAssistance **41**
CivilSocietyAssistance **42**
Media **43**
PowerSharing **45**
Local Reconciliation **46**
National Reconciliation **48**
EconomicDevelopment **48**
HumanitarianRelief **49**
PublicHealth **50**
RefugeeAssistance **51**
Gender **52**
LegalReform **53**

General coding rules **54**

Different units of analyses of data **56**

Coding transnational UNPKOs **56**

Selection of SG reports **57**

Transitional authority **57**

Other coding issues **59**

Overlap and differences between PACT 1.0 and PACT 2.0 ..... **60**

Merging PACT 1.0 and PACT 2.0 ..... **64**

Appendix **66**

Summary

This document describes the coding criteria used to collect data on United Nations Peacekeeping (UNPKO) activities implemented upon deployment. The data collection is based on 389 United Nations Secretary-General (UNSG) progress reports that inform the United Nations Security Council (UNSC) of efforts undertaken by the Peacekeeping Operation (PKO) implement its mandate.

PACT 2.0 extents the Peacekeeping Activity Dataset 1.0 collected by Hannah Smidt and Rob Blair beyond Africa and codes all UNPKOs that are related to a civil war context (UN PKOs that deal with interstate conflicts are not covered) and that were mandated after 1988. The dataset covers 23 UNPKOs in Europe, the Americas and Asia with a duration of at least 6 months.[1]

The UNPKOs included are: MINUGUA, MINUJUSTH, MINUSTAH, MIPONUH, ONUCA, ONUSAL, UNCPSG, UNCRO, UNMIBH, UNMIH, UNMIK, UNMISET, UNMIT, UNMOP, UNMOT, UNOMIG, UNPREDEP, UNPROFOR, UNSMIH, UNTAC, UNTAES, UNTAET and UNTMIH.

The unit of analysis for data collection is for the report-based variables the report. These variables encompass meta variables about the report, e.g. its length and date, and general questions about the peacekeeping mission or its environment.

The unit of analysis for the activities, engagement categories, and involvement of international actors variables is the paragraph. The activity variables record information on what UN peacekeepers are doing in their host country. The engagement categories capture peacekeepers' involvement in an activity. The internation actor variables records whether the UNPKO carried out an activity jointly with an international actor.

While the paragraph unit of analyses allow to trace back where the coded information can be found, it is less useful for typical data analysis. Therefore, we also provide data in the UNPKO-monthly and yearly unit of analysis.

PACT 2.0 is an extension of PACT 1.0, which was collected by Hannah Smidt and Rob Blair. Hannah Smidt trained Sabine Otto to collect the data in the same way as Hannah Smidt and Rob Blair did it. Therefore, the set up, the variables and many examples are taken from PACT 1.0. Sabine Otto and Hannah Smidt, however, jointly revised some of the variables to simplify some variables and which variables to drop. Section xxx outlines the difference between PACT 1.0 and Pact 2.0.

[1] UNIFIL is not included because it was established in 1978. UNSMIS is not included because the duration is less than 6 months (4 months). PACT 2.0 covers therefore no UNPKOs in the Middle East

Activities coded in the dataset

The **activities** coded have the paragraph as the unit of analysis. The dataset contains 37 **activities:**

1. DisarmamentDemobilization
2. Reintegration
3. ControlSALW
4. Demilitarization
5. ArmsEmbargo
6. CivilianProtection
7. HumanRights
8. ChildRights
9. SexualViolence
10. PoliceReform
11. MilitaryReform
12. Operations_PatrolInterventions*
13. Operations_UseOfForce*
14. JusticeSectorReform
15. TransitionalJustice
16. PrisonReform
17. BorderControl
18. Demining
19. Resources
20. StateAuthority
21. StateAdministration
22. DemocraticInstitutions
23. ElectoralSecurity
24. ElectionAssistance
25. VoterEducation
26. PartyAssistance
27. CivilSocietyAssistance
28. Media
29. PowerSharing
30. National Reconciliation
31. Local Reconciliation
32. EconomicDevelopment
33. HumanitarianRelief
34. PublicHealth
35. RefugeeAssistance
36. Gender

37. LegalReform

Each category is coded as a variable with the ending _all e.g. BorderControl_all. It is coded as a dummy, takingthe value 1 if that activity was carried out and 0 otherwise. The activity variables with the ending _all disregard information on the engagement caetgories.

Engagement categories

The unit of analysis for the engagement categories is the activity.
For each activity, we record PKOs' level of engagement on an eight-point scale.

I. **Monitor:** means that the PKO verifies progress towards or monitors an activity. (investigating, assessing, following-up, documenting, publishing reports)
II. **Outreach:** means that the PKO provides information on an activity to **civilians** (providing public information, raising awareness)
III. **Meeting:** means that the PKO organizes in-person or telephone meetings where the activity is discussed. (Meeting, dialogue, attend working groups: it refers to active exchange; pro-active behavior. We exclude liaison, which often refers to daily activities e.g. having regular contact with conflict parties.)
IV. **Advocate:** means that the PKO lobbies or pressures the **government** to implement an activity (appealing, demanding, requesting, pressuring: advocate includes threats or conditionality, e.g. withdrawal from aid or calling the ICC. However, threat of use of force we usually code as implement.)
V. **MaterialSupport:** means that the PKO provides financial, equipment-related, or logistical support for an activity. (materially assisting, providing, donating, funding)
VI. **Assist:** means that the PKO provides technical assistance, training, or education to enable **government** personnel to implement an activity. (Technically assisting, advising, supporting, strengthening.) Assist is only coded in reference to government actors. For some activities (PoliceReform, MilitaryReform,JusticeSectorReform and ElectoralSecurity) assistance is broken down further into[2]:
    - **AssistAgents:** training agents, educating, mentoring
    - **AssistPolicies:** advising policy-making, devising
    - **AssistOther:** residual category for assist. (Use this category for generic uses of the words "assist", "support," etc.)
VII. **Implement:** means that the PKO itself carries out an activity without involvement of the host government. (taking over, conducting, implementing without the government. Implement can include the threat of use of force.) Implement is coded in reference to government and non-state actor. Assist to non-state armed actors is coded as implement. For example, if the PKO helps political parties disseminate their platforms, this would be coded as PartyAssistance: Implement, since the PKO

[2] We always code the general engagement category assist agent and if possible the more fine-grained engagement categories.

is helping the political parties without the government's involvement. In contrast, if the PKO collaborates with the government to help political parties disseminate their platforms, then this would be coded as PartyAssistance: Assist.

VIII. **Provide security*:** means that the PKO provides or assist in providing security to be able to carry out activity (help establish security corridor or environment, provide security for X, secure)

For one activity, we code **one additional engagement category:**

- **Local Reconciliation ***
  - **CustomaryAuthorities:** Any engagement with traditional leaders, traditional authorities, chiefs, tribal leaders, etc.

Each column provides information on a unique activity-engagement category e.g. BorderControll_Meeting. If that activity was carried out with that engagement category it is coded 1 otherwise 0.

Involvement of international actors

We code whether an international actor was in addition to the PKO involved in carrying out the activity (joint activity). The unit of analysis is the activity. We do not code activities that were only carried out by international actors and if no involvement by PKO was mentioned. International actors are all type of actors that are not from the host country such as other PKOs, foreign governments, IOs, INGOs, IGOs, etc.[3] PACT 1.0 codes the name oft he international actor. PACT 2.0 only a dummy variable whether an international actor was involved in the carrying out the activity. We do not code information on international actors that independently carry out activities, only if they do that together with the PKO.

- **Example: "**On 25 July, the electoral commission, with the support of MONUSCO, the Electoral Institute for the Sustainability of Democracy in Africa and the National Democratic Institute, convened in Kinshasa the first meeting of the Forum of Political Parties, which was attended by 275 of the over 400 registered political parties. The Forum aims to foster dialogue between the electoral commission and the political parties" (S/2011/656 p.5) Electoral Institute for the Sustainability of Democracy in Africa is an international actor (NGO from Sout Africa).

We provide information on the involvement of internation actors with the ending _IA and in

connection with a unique activity-engagement category e.g. BorderControll_Meeting_IA. If that activity was carried out with that engagement category and with international actors it is coded 1 otherwise 0.

Coding procedure

Information about the report (report-based variable)

- **NamePKO.** Name of the PKO.
- **ReportNumber.** Number of report of the UN Secretary General, e.g. S/2010/122. Some reports have one or more annexes. Please use a new form to code these and record the report number + the annex number. For example, S/2012/383 Annex I.
- **ReportDate.** Date of report.
- **ReportPeriod.** Reporting period for report. In some cas is no reporting period mentioned in the report. In these cases, we code the start date es one day after previous report and the end date es one day before the next report.
- **NumberParagraphs.** Number of paragraphs in the report.
- **ParagraphRelevant.** The first few paragraphs often only describe the political environment in which the peacekeeping mission operates. Therefore, this variable indicates the number of the first paragraph in the report which contains relevant information about a specific activity.
- **ISO3.** ISO3 numerical country code.

Information about the PKO's theatre of operation (report-based variable)

- **HostilityGov.** Hostility actions directed against peacekeepers by the host government or government-sponsored agents of violence.
    - **HostilityGov: None.** The report states that the PKO and the government have a cooperative relationship and the report does not mention any restrictions on PKO activities. No evidence for restrictions by government actors is also sufficient to code this category.
    - **HostilityGov: VerbalRestrictions.** The report states that the PKO faces verbal restrictions from the government, for example, verbal harassment or accusations of a lack of neutrality.
    - **HostilityGov: PhysicalRestrictions.** The report states that the PKO faces physi-

cal restrictions by government on the execution of its activities, including legal barriers, confiscation of property, illegal taxes, restrictions of movement, etc.
    - *Ex.: "Other violations included demands for UNMISS members or contractors to pay illicit levies or taxes, confiscation of UNMISS property, [...] Government security agencies committed the most violations, including 45 by government forces, 17 by the national police, 3 by the National Internal Security Service and 5 by local governments" (UNMISS, S/2016/138, para. 64).*
    - *Ex.: "The freedom of movement for UNMIS continued to be problematic during the reporting period. SAF continued to deny UNMIS military access to Higlig and Karasana" (Southern Kordofan State). (UNMIS, S/2010/528, para. 28).*
  - **HostilityGov: ViolentRestrictions.** The report states that the PKO is physically attacked by the government or by government agents or supporters. Threats of violence should NOT be coded here but under VerbalRestrictions.
    - *Ex.: "Other violations included demands for UNMISS members or contractors to pay illicit levies or taxes, confiscation of UNMISS property, threats against UNMISS members, protection of civilians sites and air assets, and **assault and harassment**. Government security agencies committed the most violations, including 45 by government forces, 17 by the national police, 3 by the National Internal Security Service and 5 by local governments." (UNMISS, S/2016/138, p. 64).*

- **HostilityOther.** Hostile actions directed against peacekeepers by other or unspecified actors.
  - **HostilityOther: None.** The report states that the PKO enjoys cooperative relationships with all non-governmental actors and does not face any restrictions. No evidence for restrictions by non-government actors is also sufficient to code this category.
  - **HostilityOther: VerbalRestrictions.** The report states that the PKO faces accusations and verbal forms of harassment from non-state actors.
    - *Ex.: "During the protests, university property was damaged, roads were blocked, **drivers were harassed** and vehicles were stopped, including two UNMIL vehicles, one of which sustained damage" (UNMIL, S/2014/123, para. 17).*
  - **HostilityOther: PhysicalRestrictions.** The report states that the PKO faces physical restrictions by non-state actors on the execution of its activities, including legal barriers, confiscation of property, illegal taxes, restrictions of movement, etc.
    - *Ex.: "SPLA denied UNMIS access to the Kodok area (Upper Nile State) from 22 July to 11 August" (UNMIS, S/2010/528, para. 34).*
    - *Ex.: "During the protests, university property was damaged, **roads were blocked**, drivers were harassed and **vehicles were stopped, including two UNMIL vehicles**, one of which sustained damage" (UNMIL,*

S/2014/123, para. 17).

- **HostilityOther: ViolentRestrictions.** The report states that the PKO is attacked by non-state agents or supporters. Threats of violence should NOT be coded here but under VerbalRestrictions.
  - *Ex.: "On 22 July, approximately 150 SPLA soldiers detained an UNMIS long-range patrol at gunpoint near Kodok, manhandling members of the patrol and threatening violence unless the patrol withdrew. When an UNMIS helicopter arrived to help evacuate the patrol, SPLA soldiers detained and physically assaulted its crew before allowing the helicopter to leave" (UNMIS, S/2010/528, p. 35).*
  - *Ex.: "On several occasions, United Nations personnel and humanitarian workers sustained injuries while responding to incidents of violence or unrest in protection of civilians sites. Weapons of various types, including firearms and grenades, were seized from displaced persons or government security personnel attempting to enter the sites" (UNMISS, S/2016/138, p. 67).*

- **AbuseAllegations.** Are there allegations that PKO personnel committed human rights abuses other than sexual violence?
  - **No.**
  - **Yes**.

- **AbuseInvestigation.** Are PKO personnel being actively investigated for human rights abuses other than sexual violence?
  - **No.**
  - **Yes**

- **SexualViolenceAllegation.** Are there allegations that PKO personnel committed acts of sexual violence or abuse?
  - **No**
  - **Yes**
    - *Ex.: "During the period under review, **two cases of sexual exploitation and abuse were recorded**, in addition to one allegation of serious misconduct. There were additional allegations of five incidents of category 2 misconduct. Investigations are under way" (UNOCI, S/2016/297, p. 67).*

- **SexualViolenceInvestigation.** Are PKO personnel being actively investigated for acts of sexual violence?
  - **No**
  - **Yes**
    - *Ex.: "During the period under review, two cases of sexual exploitation and abuse were recorded, in addition to one allegation of serious misconduct.*

*There were additional allegations of five incidents of category 2 misconduct. **Investigations are under way**" (UNOCI, S/2016/297, p. 67).*

- **DDRprogress.** Does the report mention the DDR process?
    - **NoInformation.** There is no information on the DDR process in the report.
    - **NotStarted.** The DDR process has not yet started and has not started in the past.
    - **StartedButStopped.** The DDR process has started in the past but is now stalled.
        - *Ex.: "In that regard, the Prime Minister indicated that he would take all necessary measures to relaunch as soon as possible the disarmament programme and to establish a new structure for the military dialogue between the Forces nouvelles and FANCI" (S/2006/939, p. 5). If the DDR programme must be relaunched, it has stopped before.*
    - **Started.** The DDR process has started and is ongoing.
        - *Ex.: "Some 8,490 ex-combatants have received reintegration support by UNDP and its implementing partners in northern Sudan" (UNMIS, S/2010/528, p. 40).*
    - **Completed.** The DDR process is said to be completed.
        - *Ex.: "The Joint Committee on Disarmament, Demobilization and Reintegration, which comprises the Government of Sierra Leone, RUF, and UNAMSIL, met and declared that the disarmament process has been completed" (UNAMSIL, S/2002/267, p. 12)*

Activities and engagement categories

DisarmamentDemobilization

- Disarmament is the collection, documentation, control and disposal of small arms, ammunition, explosives and light and heavy weapons from combatants. Demobilization is the formal and controlled discharge of active combatants from armed forces and groups. We only code the activity when the activity is part of an organized DD(R) program. We exclude disarmament or demobilization of armed groups that were done in an ad-hoc manner.
-
    - **DisarmamentDemobilization: Monitor.** The paragraph states that the UN PKO actively monitors the disarmament and demobilization process. Active monitoring entails patrols at cantonment sites, deployment of UN personnel to verify government and opposition reports on progress in disarming and demobilizing combatants, etc. *IMPORTANT: This is not the appropriate type of engagement if the report merely mentions the number of disarmed and demobilized combatants.*
    - **DisarmamentDemobilization: Outreach.** The paragraph states that the UN PKO

conducts a public awareness campaign or provides public information targeting the public (NOT ex-combatants) to make them more aware or to discuss issues related to the disarmament and demobilization process. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the disarmament and demobilization process. Sensitization of ex-combatants should NOT be included here.

- **DisarmamentDemobilization: Meeting.** The paragraph states that the UN PKO meets with the government to discuss progress in the disarmament and demobilization process or other issues related to disarmament and demobilization.
- **DisarmamentDemobilization: Advocate.** The paragraph states that the UN PKO advocates for the disarmament and demobilisation process to start or for certain modalities of the process.
- **DisarmamentDemobilization: Assist.** The paragraph states that the UN PKO trains or advises government agents in how to conduct the disarmament and demobilization process. This can include advice for the government on regulations, directives or policies related to the disarmament and demobilization process. Generic statements about "support" or "technical assistance" should also be coded here.
    - *Ex.: "The implementation of the United Nations/SPLA action plan on the release of children associated with SPLA is advancing in Southern Sudan, although the reintegration of demobilized children remains a pressing challenge" (UNMIS, S/2010/528, 46).*
- **DisarmamentDemobilization: MaterialSupport.** The paragraph states that the UN PKO provides any material or logistical support for the conduct of the disarmament and demobilization process. Material support can include providing transport facilities, donating money, building assembly areas or cantonment sites, etc.
    - *Ex.: "As part of the efforts to strengthen the capacity of the disarmament, demobilization and reintegration Commission in Juba, UNMIS constructed and handed over 6 of the 10 planned State offices to the Southern Sudan disarmament, demobilization and reintegration Commission. UNDP also supported renovations of the Commission headquarters in Juba" (UNMIS, S/2010/528, 42).*
- **DisarmamentDemobilization: Implement.** The paragraph states that the UN PKO is solely responsible for implementing some aspect of the disarmament and demobilization process.
    - *Ex.: "Six peacekeepers from the Zambian contingent were tragically killed in a tragic accident on 5 January, while handling ammunition collected during the disarmament process in Kenema district" (UNAMSIL, S/2002/267, p. 11).*
- **DisarmamentDemobilization: ProvideSecurity.** The paragraph mentions that

the UN PKO provides or assists in providing security for the disarmament and demobilization process.

Reintegration

- Reintegration is the process in which ex-combatants become civilians and gain sustainable employment and income or integrate into the national police force or military. Reintegration can also include a phase of “reinsertion”, which provides short-term assistance to ex-combatants. Reintegration is often part of disarmament and demobilization programs (thus the phrase "DDR"). But PKOs may be involved in disarmament and demobilization without engaging in the reintegration process afterwards. Reintegration-related activities are therefore recorded separately. (Please note, we DO NOT code anything related to repatriation of foreign fighters.) We only code the activity when the activity is part of an organized reintegration program. It also includes vocational programs or economic incentive programs to ex-combatants, which are often reported under “Social and Economic Development”. However, we excluded the gang members because they are not ex-combatants and do not become civilians (they are already civilians).
    - **Reintegration: Monitor.** The paragraph mentions that the UN PKO *actively* monitors the reintegration process. Monitoring implies more than merely citing government information. For example, the PKO might track or investigate the number of ex-combatants who receive reintegration benefits, who find work, who return to their hometowns or villages, etc.
    - **Reintegration: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public (NOT ex-combatants) to make them more aware or to discuss issues related to the reintegration process. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the reintegration process. Sensitization of ex-combatants should NOT be included here.
    - **Reintegration: Meeting.** The paragraph mentions that the UN PKO meets with government stakeholders in the process of reintegration.
    - **Reintegration: Advocate.** The paragraph states that the UN PKO advocates for the reinsertion and reintegration process to start or for certain modalities of the process.
    - **Reintegration: Assist.** The paragraph states that the UN PKO supports or assists the government's efforts to provide reinsertion / reintegration opportunities for ex-combatants. This can include activities where the UN PKO advises or trains government agents / agencies involved in the reintegration process. It can also include advice on policies and institutions of the state that govern the reintegration process.

        - *Ex.: "On 2 October, the United Nations police, in partnership with the national police and the United Nations Office for Project Services, completed the training of 291 officers, including 24 women, who were integrated from various armed groups within the framework of the peace agreements of 23 March 2009" (MONUSCO, S/2012/383, p. 71).*
    - **Reintegration: MaterialSupport.** The paragraph states that the UN PKO provides material support to the government to facilitate the reintegration of ex-combatants. Material support can include providing transportation to ex-combatants' hometowns, or providing financial incentives for businesses to hire ex-combatants.
        - *This is NOT an example for MaterialSupport because material support is demanded but not given to the host country of the PKO: "He (my Special Representative) urged the ECOWAS countries to contribute towards the reintegration of ex-combatants" (UNAMSIL, S/2002/267, p. 6).*
    - **Reintegration: Implement.** The paragraph states that the UN PKO is solely responsible for implementing some aspect of the reintegration process.
    - **Reintegration: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for the Reintegration process.

ControlSALW

- Control of small arms and light weapons (SALW) refers to arms and weapons collection and storage programs that are sometimes conducted parallel to or after the conclusion of the disarmament and demobilisation process.(This activity is reserved for weapons collection outside DDR). Efforts to control SALW may also target specific groups in society, such as youth gangs, village elders, neighbourhood associations, defence councils, etc
    - **ControlSALW: Monitor.** The paragraph states that the UN PKO actively monitors the availability, flow, prices, types and other characteristics of small arms and light weapons. *IMPORTANT: This is not the appropriate type of engagement if the report merely mentions the approximate number of arms in the country or cites government estimates.*
    - **ControlSALW: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to small arms and light weapons. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the SALW. **Sensitization campaigns** organized by the PKO of the public and the importance and mechanisms of handing over weapons (usually targeting specific community or community elders / traditional authorities) **should NOT be included here** should be coded as either Assist (if done with the government) or Implement (if done without the government).

- **ControlSALW: Advocate.** The paragraph states that the UN PKO advocates the control and reduction of SALW.
- **ControlSALW: Meeting.** The paragraph states that the UN PKO meets with government stakeholders to discuss ways to reduce small arms and light weapons in the country.
- **ControlSALW: Assist.** The paragraph states that the UN PKO supports the government in collecting and storing or destroying SALW. This can include advice and training for government agents in collecting weapons and storing them safely. It can also include advice on rules, regulations, directives, laws and institutions to regulate SALW.
    - *Ex.: "The Special programme for the voluntary collection of shotguns and illegal arms held by the civilian population was conducted by the Sierra Leone police with the assistance of UNAMSIL and is expected to be completed by mid-March" (UNAMSIL, S/2002/267, p. 14).*
- **ControlSALW: MaterialSupport.** The paragraph states that the PKO provides material support to the process of controlling SALW. This might include, for example, transporting weapons to storage sites, paying agents in weapon collection programmes, etc.
- **ControlSALW: Implement.** The paragraph states that the PKO takes responsibility for controlling SALW. For example, the PKO might collect and destroy weapons, administer weapon storage sites or organise weapon destruction ceremonies without government involvement, etc.
- **ControlSALW: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for controlling small arms and light weapons.

Demilitarization

- Demilitarization refers to the withdrawal of troops and heavy weapons from parts of the country. For example, the PKO in Angola monitored the withdrawal of Cuban and Soviet troops, heavy weapons (grenade launchers), and tanks.
    - **Demilitarisation: Monitor.** The paragraph states that the PKO actively monitors and verifies the withdrawal of armed forces, weapons, and other military equipment from certain parts of the country. Since negotiations and agreements on demilitarizing the PKO host states' territory often involve leaders of foreign countries, we also code Monitor under Demilitarization if the activity does NOT target domestic actors but international ones.
    - **Demilitarisation: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to the demilitarization (removal of armed forces / troops and heavy weapons, e.g. tanks from state territory). This category can also be coded if the paragraph states that the UN PKO organises

meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the the demilitarization.

- **Demilitarisation: Meeting.** The paragraph states that the PKO meets with the government and other concerned parties to discuss the demilitarization process. Since negotiations and agreements on demilitarizing the PKO host states' territory often involve leaders of foreign countries, we also code Monitor under Demilitarization if the activity does NOT target domestic actors but international ones.
- **Demilitarisation: Advocate.** The paragraph states that the PKO urges, demands, or actively requests the government's engagement in the de-militarization process. Since negotiations and agreements on demilitarizing the PKO host states' territory often involve leaders of foreign countries, we also code Monitor under Demilitarization if the activity does NOT target domestic actors but international ones.
- **Demilitarization: Assist.** The paragraph states that the PKO trains, educates, develops plans together with or otherwise assists government agents involved in the demilitarisation process. This may include developing policies on how, when and where to verify compliance with the demilitarisation agreement. The most generic statements of support for the government to the demilitarization process should be coded here too.
- **Demilitarization: MaterialSupport.** The paragraph states that the PKO provides material or logistical assistance in the demilitarization process.
- **Demilitarization: Implement.** The paragraph states that the UN PKO is responsible for demilitarising a part of the host country without help from the government. For example, the PKO might order government troops to re-locate and oversee this process or they might broker an agreement on demilitarization with foreign governments or rebel group leaders without PKO host state government involvement.
    - Ex.: "Despite the agreement reached by the Presidents of Rwanda and Uganda and a written agreement, brokered by MONUC, to withdraw their forces and demilitarize the city, heavy fighting subsequently broke out." (MONUC, S/2000/566).
    - Ex.: "pursuant to the statement released by the Presidents of Rwanda and Uganda on 8 May during the visit of the Security Council mission, the military commanders of the two sides at Kisangani signed an agreement with MONUC to demilitarize the city." (MONUC S/2000/566, para. 18).
- **Demilitarization: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for the Demilitarization process.

ArmsEmbargo

- Arms embargo refers to restrictions or bans on the import of weapons and technology that could be used to organise violence.
    - **ArmsEmbargo: Monitor.** The paragraph states that the UN PKO actively monitors and records respect for / violations of the arms embargo. This responsibility includes inspecting government arms depots, publishing reports about violations of the arms embargo, etc.
    - **ArmsEmbargo: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to the arms embargo. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the the arms embargo.
    - **ArmsEmbargo: Meeting.** The paragraph states that the UN PKO meets with the government or government agents to discuss the arms embargo.
    - **ArmsEmbargo: Advocate.** The paragraph states that the UN PKO calls for an arms embargo against all / some parties to the conflict.
    - **ArmsEmbargo: Assist.** The paragraph states that the UN PKO trains government agents in monitoring the arms embargo, assists in devising policies related to an arms embargo, or supports the government in managing the arms embargo in any other way.
    - **ArmsEmbargo: MaterialSupport.** The paragraph states that the UN PKO provides tangible resources for compliance and verification of the arms embargo. For example, the UN PKO might provide logistical support to government agents responsible for monitoring an arms embargo against a non-state armed group.
    - **ArmsEmbargo: Implement.** The paragraph states that the UN PKO implements the arms embargo without the government.
    - **ArmsEmbargo: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for the Arms Embargo process.

CivilianProtection

- The protection of civilians refers to strategies by UN troops, police, and civilian personnel to protect civilians from physical harm. **Since the establishment of civilian protection mandates** (1999): Only code this category if the paragraph is under the heading “civilian protection” or mentions the term “civilian protection” or something very similar to civilian protection (e.g. for the purpose of protecting civilians/preventing violence against civilians, investigating threats against the civilian population, etc.). **Before the establishment of civilian protection mandates**: We do code Safe Areas/Havens as Civilian protection and other obvious activities that clearly aim at protecting civilians from physical harm. Civilian protection can

include, among others, establishment of civilian protection zones (protection of civilian sites, humanitarian corridors, etc.). and establishment of an early warning system involving different communities in society (for example, Community Alert Networks).

- **CivilianProtection: Monitor.** The paragraph states that the UN PKO actively monitors / investigates civilian security. For example, the PKO might meet with civilians (including civilians who have been victims of violence) to find out more about the reason behind and dynamic of violent attacks. Importantly, monitoring is <u>active</u> engagement. Please do not code CivilianProtection: Monitor if the report merely mentions the number of civilians killed in a given reporting period.
  - *Ex.:The joint assessment process continued to contribute to enhanced dialogue and cooperation between the United Nations and the Government (MONUSCO S/2011/565, p. 63). The joint findings highlighted that the decrease in the military operations of the armed forces owing to its ongoing reconfiguration had led to the resumption of activity by armed groups, particularly the Forces démocratiques de libération du Rwanda and the larger Mayi-Mayi groups. Positions of the armed forces that were vacated as a consequence of the withdrawal were also reoccupied by the armed groups. This resulted in an increase in threats to the civilian population in some areas (MONUSCO S/2011/656, p. 64).*
- **CivilianProtection: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to civilian protection. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **<u>NOT attend these meetings</u>** AND **<u>does NOT help the government organise these meetings</u>** AND the **<u>meetings are solely for the purpose of raising awareness or discussing unspecific issues</u>** related to the the **civilian protection**.
- **CivilianProtection: Meeting.** The paragraph states that the PKO meets with the government or government agents to discuss issues related to civilian protection.
- **CivilianProtection: Advocate.** The paragraph states that the UN PKO lobbies the government and tries to persuade the government to gain a mandate for protecting civilians or to implement a more effective civilian protection strategy, etc.
- **CivilianProtection: Assist.** The paragraph states that the UN PKO assists the government in improving civilian security.
  - *Ex.: "Recognizing that the primary responsibility to protect civilians lies with the Government, UNMIL continued to reinforce national security actors in response to a variety of threats, including tensions around concessions and large-scale mob violence" (UNMIL, S/2014/123, para. 18).*
- **CivilianProtection:MaterialSupport.** The paragraph states that the UN PKO provides tangible resources to the government to protect civilians.
  - *Ex.: "The continued extension of the community alert networks project was accomplished through the installation of 16 additional units in the Kivus and Orientale Province, bringing the total number to 36. High-frequency radio*

systems were set up in 12 MONUSCO military bases not covered by telephone networks to communicate with communities equipped with radio systems" (MONUSCO, S/2012/838, para. 44).

- **CivilianProtection: Implement.** The paragraph states that the UN PKO implements civilian protection mechanisms without the government.
  - *Ex.: "UNMIL continued to operationalize its strategy for the protection of civilians, as mentioned in my previous report" (UNMIL, S/2014/123, para. 18).*
  - *NOT evidence for implementing, because the mission is only planning future engagement: "The Mission has now finalized, in consultation with the United Nations country team, a strategy for the protection of civilians intended to provide clear direction on how it will meet the objectives of its protection of civilian mandate during the last year of the Comprehensive Peace Agreement" (UNMIS, S/2010/528, p. 45).*
  - *Ex.: "The Mission nevertheless expanded the reach of some innovative tools to protect civilians. Following its assessment of the positive impact of the deployment of community liaison assistants in its military bases to enhance dialogue with local communities, 40 additional community liaison assistants were recruited for assignment in 24 additional company and temporary operating bases. In addition, 43 community alert networks, which enable remote communities under threat to communicate with community liaison assistants by phone, became operational. MONUSCO also began to expand the community alert networks project to an additional 20 areas" (MONUSCO, S/2011/656, para. 32).*
  - *Ex.: "More than 2.3 million persons are currently displaced by the conflict, comprising 1.69 million who are internally displaced, more than 199,000 of whom are residing in six UNMISS protection of civilians sites" (UNMISS, S/2016/138, p. 22).*
- **CivilianProtection: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for the civilian protection.

HumanRights

- Human rights activities should only be coded if the paragraph mentions the phrase "human rights or if the paragraph is under the heading "human rights". This should not include human rights abuses committed by the UN PKO.
  - **HumanRights: Monitor.** The report states that the UN PKO actively monitors the human rights situation. Active monitoring must go beyond merely stating human rights abuses. Active monitoring entails on-site monitoring, investigation of abuses, and follow-up meetings with victims. Publishing reports also counts as monitoring.
    - *Ex.: "On 6 July, MONUSCO and OHCHR published the final report on human rights violations, ..." (MONUSCO S/2011/656, p. 45).*
    - *Ex.: "Reports of rape and sexual assault against young children were not*

always being investigated or prosecuted in accordance with the law. In that regard, owing to the lack of available State services, UNMIL supported such investigations by facilitating medical examinations and psychosocial counselling and by locating safe houses for the victims" (UNMIL, March 2005). This paragraph is coded because it occurs under Human Rights.

- *Ex.: "From 6 to 11 July, MONUSCO supported the deployment of a joint investigation team to the village of Nakiele and surrounding areas, in Fizi territory, South Kivu, to investigate reports of mass rapes and looting allegedly committed by former elements of the armed forces under the command of Colonel Kifaru between 9 and 12 June. A second team was deployed to the area from 10 to 15 August. These teams confirmed that human rights violations, including sexual violence, cruel, inhuman or degrading treatment and forced labour, were committed in Nakiele and surrounding villages but were not able to verify the magnitude of the incidents." (MONUSCO S/2011/656, para. 44). Joint indicates that the government is involved.*

- **HumanRights: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **human rights**. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the the **human rights**. Please only code the category if the paragraph actually mentions the phrase human rights. **Do NOT code this activity category for actual sensitization campaigns carried out by the mission for a specific group of people (e.g. community X, the police, leaders)**
- **HumanRights: Meeting.** The report states that the UN PKO meets with the government to discuss human rights issues.
- **HumanRights: Advocate.** The report states that the UN PKO advocates for specific measures aimed at protecting human rights.
- **HumanRights: Assist.** The report states that the UN PKO assists the government's work to strengthen or establish policies and institutions that protect human rights, e.g. national human rights commissions, or otherwise assists and trains the government in human rights standards.
- **HumanRights: MaterialSupport.** The report states that the UN PKO provides material or logistical assistance to implementing human rights norms.
- **HumanRights: Implement.** The report states that the UN PKO works independently of the government to implement measures aimed at improving the human rights situation in the country.
- **HumanRights: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for HumanRights.

ChildRights

- **ChildRights** refers to activities and policies designed to protect children from harm during or after armed conflict, including efforts to prevent recruitment of children into armed groups, to facilitate the reintegration of ex-child soldiers into their home communities, and to mitigate other forms of child abuse and neglect. These activities are often (but not always) listed in a section of the SG reports devoted specifically to “child protection” or “protection of children,” and they are often (but not always) carried out by, or in conjunction with, the United Nations Children's Fund (UNICEF).
    - **ChildRights: Monitor.** The report states that the UN PKO actively monitors the situation of children in the host country.
    - **ChildRights: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **children.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **children.**
    - **ChildRights: Meeting.** The report states that the UN PKO organises a meeting to discuss issues related to children.
    - **ChildRights: Advocate.** The report states that the UN PKO appeals to the government to do more to increase respect for the rights of children or to protect children from harm.
    - **ChildRights: Assist.** The report states that the UN PKO educates or "sensitizes" government agents on the rights of children.
    - **ChildRights: MaterialSupport.** The report states that the UN PKO provides material support to the government to assist efforts to protect children from harm.
    - **ChildRights: Implement.** The report states that the UN PKO implements activities and policies related to children’s welfare without the government.
    - **ChildRights: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for ChildRights.

SexualViolence

- This is a special category of the human rights activity category. If the paragraph only reports about sexual violence, do not code human rights. This should not include sexual violence committed by UN PKOs.
    - **SexualViolence: Monitor.** The report states that the UN PKO actively monitors sexual abuse. Active monitoring must go beyond merely stating abuses. Active monitoring entails on-site monitoring, investigation of abuses and follow-up meetings with victims, etc.
        - *Ex.: “MONUSCO supported the deployment of a joint investigation team to the village of Nakiele and surrounding areas, in Fizi territory, South Kivu,*

to investigate reports of mass rapes." This would also be coded as SexualViolence: MaterialSupport, since MONUSCO both supported and participated in the joint investigation team.

- **SexualViolence: Meeting.** The report states that the UN PKO meets with the government or stakeholders to discuss issues related to sexual violence.
- **SexualViolence: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **sexual violence**. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **sexual violence.**. Please only code the category if the paragraph actually mentions the phrase sexual violence or a synonym, e.g. rape, gender-based violence. **Do NOT code this activity category for actual sensitization campaigns carried out by the mission for a specific group of people (e.g. community X, the police, leaders)**
- **SexualViolence: Advocate.** The report states that the UN PKO advocates for specific measures aimed at protecting victims of sexual violence.
- **SexualViolence: Assist.** The report states that the UN PKO assists the government to strengthen or establish policies and institutions that protect people from sexual violence. This also includes training for government personnel.
    - *Ex: "MONUSCO also trained 2,000 personnel of the armed forces in South Kivu and Orientale Province on sexual and gender-based violence" (MONUSCO, S/2011/565, p. 50).*
- **SexualViolence: MaterialSupport.** The report states that the UN PKO provides material assistance to activities for investigating acts of sexual violence or preventing sexual violence. For example, this includes help deploying investigation teams.
    - *Ex.: "MONUSCO supported the deployment of a joint investigation team to the village of Nakiele and surrounding areas, in Fizi territory, South Kivu, to investigate reports of mass rapes". This would also be coded also as SexualViolence: Monitor, since MONUSCO both supported and participated in the joint investigation team.*
- **SexualViolence: Implement.** The report states that the UN PKO works independently of the government (without the government) to implement measures aimed at preventing or mitigating the effects of sexual violence.
    - *Ex.: "Over $5 million was allocated through the International Security and Stabilization Support Strategy to support the implementation of the Comprehensive Strategy on Combating Sexual Violence, of which $2.9 million was disbursed to United Nations agencies, funds and programmes. Furthermore,* ***1,835 victims have received medical assistance, 564 have received psychosocial aid and 969 have benefited from economic reinsertion programmes.*** *On 10 June, the United Nations Joint Human*

Rights Office opened a second legal clinic in Tshikapa, Kasaï Occidental, funded by the Swedish International Development Cooperation Agency" (MONUSCO, S/2011/565, p. 50). NOTE: MONUSCO is identified as part of the International Security and Stabilization Support Strategy earlier in the report.

- **SexualViolence: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for Sexual Violence related activities.

PoliceReform

- Police reform refers to reforming, restructuring and rebuilding police and other law enforcement institutions. If the report only refers to "security agents": Take clues from the same sentence and the paragraph heading to find out whether security actor refers to police or military. If the report refers to security agents and it is really not clear whether the agent is police or military, then code under both MilitaryReform and PoliceReform. Police reform can also include also information on census or identification of police personnel, recruitment, gender or ethnic balancing, supporting independence of police, colocation**,** or assisting with community relations.
    - **PoliceReform: Monitor.** The report states that the UN PKO actively monitors the progress in police reform by gathering its own information. It also includes if the report states that the UN PKO verifies allegations of, investigates, or follows-up on human rights abuses by police officers.
        - *NOT evidence for police reform monitoring because we cannot infer that the information comes from active observation by the PKO: "The national police service has made progress, but its effectiveness is impacted by an over-centralized governance structure, which undermined command and control, as well as a lack of budgetary autonomy, which hampers daily operations and planning" (UNOCI, S/2016/297, p.37).*
    - **PoliceReform: Meeting.** The report states that the UN PKO meets with police or government agents / members to discuss police reform issues.
        - *Ex.: "I myself paid a brief visit to Haiti on 15 November 1994 accompanied by my Special Representative, Assistant Secretary-General Rosario Green, and the Military Adviser, General Baril. I and my delegation were welcomed by President Aristide at the Presidential Palace, where the President and I met tête-à-tête. We were then joined by members of our delegations for an enlarged meeting. Our discussions dealt with national reconciliation, the reinforcement of democratic institutions and the revitalization of the Haitian economy, as well as the forthcoming legislative and local elections [NationalReconciliation DemocraticInstitutions, EconomicDevelopment, ElectionAssistance: Meeting with IA]. Other topics included the future police force, the restructuring of the army and the reform of the judicial*

system [PoliceReform, MilitaryReform, JusticeSectorReform: Meeting with IA]. I assured President Aristide that the United Nations, in collaboration with the Organization of American States (OAS), would continue to assist Haiti on the road to national reconciliation, political stability and reconstruction. I also met with staff members of the United Nations, heads of United Nations agencies in Haiti and with senior personnel of UNMIH and the joint United Nations/Organization of American States International Civilian Mission to Haiti (MICIVIH)." (UNMIH, S/1994/1322, p.7)

- **PoliceReform: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to police reform. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the the police reform.
- **PoliceReform: Advocate.** The report states that the UN PKO advocates for specific police reforms. For example, the PKO might pressure the government to change its police recruitment and training protocols.
- **PoliceReform: AssistAgents.** The report states that the UN PKO provides any kind of training or education to police personnel. It is often difficult to distinguish skills and norms. *For example, training in issues like children in conflict, sexual violence,human rights, gender, etc. etc. is very likely to involve a combination of skills and norms.*
    - *Ex.: "At the request of the Congolese national police, the United Nations police conducted refresher training from 10 to 28 September for 1,685 National Intervention Legion personnel on crowd control and intervention techniques, in addition to protection techniques for very important persons, as part of security preparations for the fourteenth Summit of la Francophonie" (MONUSCO, S/2012/383, para. 71).*
    - *Ex. of information that should **not** be coded because the project is planned/prepared but not implemented: "UNDP is preparing a follow-up project under the Small Arms Trust Fund aimed at providing long-term assistance in strengthening the institutional capacity of the Sierra Leone police to deal with illegal arms" (S/2002/267, p. 14).*
- **PoliceReform: AssistPolicies.** The report states that the UN PKO assists the government in devising police reform programmes, policy changes, regulations, rules and institutions.
    - *Ex.: "The Mission was also pursuing legislative reform initiatives related to rape, media law, human trafficking, bail, juries, narcotics and **security agencies**" (UNMIL, March 2005). Because the phrase "security agencies" includes both the military and the police, this would be coded under both*

"Police reform" and "Military reform". (It would also be coded under "Legal reform," "Media" and "Sexual violence.")

- **PoliceReform: AssistOther.** The report states that the UN PKO supports the government or police agents but it is not clear in which ways.
- **PoliceReform: MaterialSupport.** The report states that the UN PKO provides material support to the police. It also includes support for infrastructure**.** The report states that the UN PKO carries out or provides funding for the refurbishment or construction of police stations and other police-related infrastructure. This category includes Quick Impact Projects.
    - *Ex.: "Through the Trust Fund for Sierra Leone, UNAMSIL has financed the construction of a dormitory for police trainees, as well as two police stations in Koidu and the Western Area, with funds provided by the Government of Japan" (UNAMSIL S/2002/267, p. 21).*
- **PoliceReform: Implement.** The report states that the UN PKO engages in police reform without involvement of the government.
- **PoliceReform: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for PoliceReform process.

MilitaryReform

- Military reform refers to reforming, restructuring and rebuilding military institutions. If the report refers to "security agents": Take clues from the same sentence and the paragraph heading to find out whether the term "security agents" refers to police or military. If the report refers to security agents and it is really not clear whether the agent is police or military, then code under both MilitaryReform and PoliceReform. **Gendarmerie is part of the military.** Military reform can also include information on census or identification of military personnel, recruitment, gender or ethnic balancing, supporting independence of military, colocation**,** or Police and Military separation.
    - **MilitaryReform: Monitor.** The report states that the UN PKO monitors progress in military reform by gathering its own information. It also includes that the report states that the UN PKO verifies allegations of, investigates, or follows-up on human rights abuses by military personnel.
    - **MilitaryReform: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to military reform. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the the military reform.
    - **MilitaryReform: Meeting.** The report states that the UN PKO meets with military

officers or government agents / members to discuss military reform issues.

- **MilitaryReform: Advocate.** The report states that the UN PKO advocates for specific military reforms.
- **MilitaryReform: AssistAgents.** The report states that the UN PKO provides any kind of training or education to military personnel.
- **MilitaryReform: AssistPolicies.** The report states that the UN PKO assists the government in devising military reform programmes, policy changes, regulations, rules and institutions.
    - *Ex.: "The UNMIL Paper provided an outline for a new defence policy that would secure territorial integrity, including the establishment of a new coastguard to provide maritime patrols" (UNMIL, Dec. 2004).*
    - *Ex.: "The Mission was also pursuing legislative reform initiatives related to rape, media law, human trafficking, bail, juries, narcotics and **security agencies**" (UNMIL, March 2005). Because the phrase "security agencies" includes both the military and the police, this would be coded under both "Police reform" and "Military reform". (It would also be coded under "Legal reform," "Media" and "Sexual violence.").*
- **MilitaryReform: AssistOther.** The report states that the UN PKO supports or assists the government in military reform efforts but it is not clear in which ways.
- **MilitaryReform: MaterialSupport.** The report states that the UN PKO provides material support to the military. It also includes support for Infrastructure**.** The report states that the UN PKO carries out or provides funding for the refurbishment or construction of military barracks and other military-related infrastructure.
- **MilitaryReform: Implement.** The report states that the UN PKO implements military reform efforts without the government.
- **MilitaryReform: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for MilitaryReform process.

Operations_PatrolsInterventions

- Operations refer to patrols and interventions carried out by government actors or PKO. Patrols are usually mentioned as patrols or patrolling. Interventions means that a government actor or PKO intervenes to quell disturbances or calm a situation where it is not clear that the interveners use force **Do not code mere rehearsals and exercises of the PKO. Operations are operations in the host society.**

- **Operations_PatrolsIntervenions: Monitor.** The report states that the UN PKO monitors progress in patrols and interventions by gathering its own information. It also includes that the report states that the UN PKO verifies behavior of local forces (e.g. abuses) in the context of operations or patrols.
- **Operations_PatrolsIntervenions: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to patrols and interventions. This

category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the patrols and interventions.

- **Operations_PatrolsIntervenions: Meeting.** The report states that the UN PKO meets with government agents / members to discuss patrols and interventions issues.
- **Operations_PatrolsIntervenions: Advocate.** The report states that the UN PKO advocates for specific patrols and interventions.
- **Operations_PatrolsIntervenions: Assist:** The report states that the UN PKO conducts **patrols jointly** with the police or military. Or the report states that the UN PKO intervenes in a situation jointly with the police or military, **where it is not clear if they use force**. Or the report states that the UN PKO conducts a military / police operation with the military or police but **it is not clear whether it is patrols, intervention or force**
    - *Ex: "The national police and UNMIL intervened and brought the situation under control, and officers of the Emergency Response Unit of the Liberia National Police were subsequently deployed to maintain the peace." (UNMIL, S/2011/497, para. 8).*
    - *Ex: "the Government of Liberia and UNMIL continued to conduct joint border operations with the Governments of Guinea and Sierra Leone." (UNMIL, S/2011/497 p.16). This would also be coded as BorderControl: Assist, and the Governments of Guinea and Sierra Leone would be coded as additional actors.*
    - *Ex.: "In Ituri district, the armed forces conducted five separate military operations with the support of MONUSCO to address the activities of the Front populaire pour la justice au Congo and the Front de résistance patriotique de l'Ituri, partially curtailing the groups' operational capacity" (S/2011/656, p. 23).*
    - *Ex: "the Government of Liberia and UNMIL continued to conduct joint border operations with the Governments of Guinea and Sierra Leone." (UNMIL, S/2011/497 p.16).*
- **Operations_PatrolsIntervenions: MaterialSupport.** The report states that the UN PKO provides material police or military to carry out patrols. It also includes support for Infrastructure. The report states that the UN PKO carries out or provides funding for to carry out patrols or interventions.
- **Operations_PatrolsIntervenions: Implement.** The report states that the UN PKO implements patrols without government agents. Or the report states that the UN PKO intervenes in a situation without government agents, where it is not clear if they use force. Or the report states that the UN PKO conducts a military / police operation without the military or police but it is not clear whether it is patrols, intervention or force.
    - *Ex.: "UNAMSIL troops contained the incident, and assisted in transporting the displaced people back to their villages" (UNAMSIL, S/2016/267, p. 7)*
- **Operations_PatrolsIntervenions: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for patrols or interventions process.

Operations_UseOfForce

- Operations refer here to the use of force (e.g. attacks on non-state armed actors) **by government actors or PKO**. Do not code mere rehearsals and exercises of the PKO. Operations are operations in the host society**. Operations are operations in the host society. Use of Force for self-defense by PKO is not coded as Operation_UseOfForce.**
    - **Operations_UseOfForce: Monitor.** The report states that the UN PKO monitors progress in operations using force by gathering its own information. It also includes that the report states that the UN PKO verifies or monitors behavior during / in the context of government and non-state armed actors' operations using force.
        - *Ex.: "On four occasions in January, UNMOT's team at Pyanj reported shelling by the Russian border forces towards Afghanistan. At the end of January, the Joint Commission carried out an investigation in northern Afghanistan in response to a complaint by the opposition" (UNMOT, S/1995/105, p.4).*
    - **Operations_PatrolsIntervenions: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to operations using force. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the patrols and interventions.
    - **Operations_PatrolsIntervenions: Meeting.** The report states that the UN PKO meets with government agents / members to discuss operations using force issues.
    - **Operations_PatrolsIntervenions: Advocate.** The report states that the UN PKO advocates for specific operations using force.
    - **Operations_PatrolsIntervenions: Assist:** The report states that the UN PKO forcefully intervenes or forcefully attacks an armed actor jointly with the police or military.
    - **Operations_PatrolsIntervenions: MaterialSupport.** The report states that the UN PKO provides material to government actors to carry out operations using force. It also includes support for Infrastructure**.** The report states that the UN PKO carries out or provides funding to operations using force.
    - **Operations_PatrolsIntervenions: Implement.** The report states that the UN PKO forcefully intervenes or forcefully attacks an armed actor without government agents.
    - **Operations_PatrolsIntervenions: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security operations using force.

JusticeSectorReform

- Justice sector reform compromises activities to re-establish and strengthen judicial and legal systems (Ministry of justice, courts, magistrates, judges, etc.). It also refers to colocation or Legal aid to justice of crime, mobile courts, support for independence.
    - **JusticerReform: Monitor.** The report states that the UN PKO actively monitors and starts investigating the political administration of justice. *IMPORTANT: Monitoring is not coded if the UN PKO merely reports on the state of the justice sector or the administration of justice in the country.* It also includes that the report states that the UN PKO verifies allegations of, investigates, or follows-up on human rights abuses by justice sector personnel.
    - **JusticeSectorReform: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to justice sector reform. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the justice sector reform.
    - **JusticeSectorReform: Meeting.** The report states that the UN PKO meets with the government or justice sector personnel (judges, lawyers, magistrates).
    - **JusticeSectorReform: Advocate.** The report states that the UN PKO advocates for specific justice sector reforms.
    - **JusticeSectorReform: AssistAgents.** The report states that the UN PKO educates, trains, mentors justice sector personnel.
    - **JusticeSectorReform: AssistPolicies.** The report states that the UN PKO helps devise policies to reform the justice sector. This category should also include efforts by the PKO to prevent political interference in the judiciary.
    - **JusticeSectorReform: AssistOther.** The report states that the UN PKO supports justice sector reform but it is unclear in which ways.
        - *Ex.: "MONUSCO continued to support the Congolese judicial authorities in conducting judicial inspections and organizing mobile courts to help reduce the very high number of pretrial detainees. Consequently, 570 cases of irregular detention were regularized and 89 verdicts rendered during the reporting period" (MONUSCO S/2012/838, para. 72).*
    - **JusticeSectorReform: MaterialSupport.** The report states that the UN PKO provides financial, logistical or other material support to the justice sector. It also includes support for infrastructure**.** The report states that the UN PKO carries out or provides funding for the refurbishment or construction of court houses and other justice-related infrastructure.
    - **JusticeSectorReform: Implement.** The report states that the UN PKO takes the lead in devising reform activities and policies without interference or input from the host government.
    - **JusticeSectorReform: ProvideSecurity.** The paragraph mentions that the UN

PKO provides or assists in providing security for JusticeSectorReform process.

TransitionalJustice

- Transitional justice refers to efforts to hold individuals accountable for crimes committed over the course of an armed conflict. Transitional justice can include war crime prosecutions, truth commissions and reparations, among other mechanisms. Often these mechanisms are designed to operate separately from the rest of the justice system. Examples include the Special Court for Sierra Leone and the United Nations International Criminal Tribunal for Rwanda. Prosecutions by the International Criminal Court (ICC) should also be coded here. **Efforts to train judges or reform the court system should be coded as justice sector reform, NOT as transitional justice.**
    - **TransitionalJustice: Monitor.** The report states that the UN PKO actively monitors the transitional justice process in its host state.
    - **TransitionalJustice: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to transitional justice. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to transitional justice.
    - **TransitionalJustice: Meeting.** The report states that the UN PKO meets with the government and relevant domestic stakeholders to discuss transitional justice.
    - **TransitionalJustice: Advocate.** The report states that the UN PKO pressures the government to develop or strengthen transitional justice mechanisms.
    - **TransitionalJustice: Assist.** The report states that the UN PKO assists the government in designing or implementing transitional justice mechanisms, or trains government agents responsible for the transitional justice process.
    - **TransitionalJustice: MaterialSupport.** The report states that the UN PKO provides material resources to help the government design or implement transitional justice mechanisms, including transport and protection for witnesses.
    - **TransitionalJustice: Implement.** The report states that the UN PKO implements transitional justice measures without the government's participation.
    - **TransitionalJustice: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for TransitionalJustice.

PrisonReform

- Prison reform aims at improving the conditions in domestic detention facilities (jails at local police stations, prisons).
    - **PrisonReform: Monitor.** The report states that the UN PKO actively monitors,

investigates and gathers data on prison conditions and abuse of prisoners.

- **PrisonReform: Meeting.** The report states that the UN PKO meets with government agents or members to discuss penal reform.
- **PrisonReform: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to prison reform. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to the the prison reform.
- **PrisonReform: Advocate.** The report states that the UN PKO advocates for specific prison reforms.
- **PrisonReform: Assist.** The report states that the UN PKO trains or educates prison guards, advises prison officers or government officials on prison management, advises government on policies to improve prison conditions and related procedures (arrests, detention, etc.).
    - *Ex.: "Work [with UNMIL] also commenced on a penal reform development plan" (UNMIL, May 2004).*
- **PrisonReform: MaterialSupport.** The report states that the UN PKO provides material support for prison reform, e.g. the UN PKO improves living conditions at prisons by providing material support e.g. food for prisoners**.**
- **PrisonReform: Implement.** The report states that the UN PKO implements prison reform efforts without the government.
- **PrisonReform: ProvideSecurity.** The report states that the UN PKO assist in providing or provides security for prisons.
    - *Ex.: "In Goma, MONUSCO contributed to temporarily reinforcing security at the central prison. On 26 September, 67 of the 110 inmates held in Aru prison escaped following the eruption of a violent protest over poor living conditions and overcrowding" (MONUSCO, S/2012/838, para. 74).*

BorderControl

- Border control activities help states secure their borders and collect import taxes. Engagement with custom agents and with immigration services should most likely be coded here. No fly zones are usually NOT border control.
    - **BorderControl: Monitor.** The report states that the UN PKO actively monitors the borders of its host state.
        - *Ex.: "The situation along the borders between Liberia and Côte d'Ivoire, Guinea and Sierra Leone remained relatively calm. Liberian authorities and UNMIL maintained regular cross-border engagement with their counterparts across the respective borders" (UNMIL, S/2014/123 para. 19).*
    - **BorderControl: Outreach.** The paragraph states that the UN PKO conducts a

public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to border control. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to border control.

- **BorderControl: Meeting.** The report states that the UN PKO meets with the government and relevant domestic stakeholders to discuss border control.
- **BorderControl: Advocate.** The report states that the UN PKO pressures the government to develop or strengthen border security mechanisms.
- **BorderControl: Assist.** The report states that the UN PKO trains the government in securing its borders, e.g. by training custom agents, or gives advice on border control regulations.
    - *Ex.: "[A] comprehensive cross-border security strategy for addressing security threats in the Mano River Union was developed with support from the United Nations Office for West Africa and United Nations peace operations in West Africa, including UNMIL. The strategy was subsequently endorsed by the Heads of State and Government of the Mano River Union and the Economic Community of West African States (ECOWAS) on 25 October" (UNMIL S/2014/123, para. 20).*
- **BorderControl: MaterialSupport.** The report states that the UN PKO provides material resources to help the government patrol and secure the border.
- **BorderControl: Implement.** The report states that the UN PKO implements border security measures without the government's participation. Please note: Distinguishing between Monitor and Implement can be tricky for this category, as monitoring border situation is part of main border security measures. When patrolling along borders or establishing patrol post along borders is mentioned, both Monitor and Implement are coded, even though patrolling is virtually monitoring.
    - "In Honduras, the government … has asked ONUCA to act urgently to forestall such possibilities (use of border area by conflicting forces), ONUCA has established an operational patrol post at Dani from which vehicle and helicopter patrols regularly visit various locations near the border… Recently, the Honudaran army and ONUCA carried out simultaneous helicopter patrols to investigate the rumoured existence of a camp of armed Nicaraguan irregulars on Honduran territory" (ONUCA S/23171, para. 10). *This is also coded as OperationsPatrolsInterventions: Assist; OperationsPatrolsInterventions: Implement, BorderControl: Monitor; BorderControl: Assist.*
- **BorderControl: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for BorderControl.

Demining

- Demining refers to detecting and removing mines and other explosive devices.
    - **Demining: Monitor.** The report states that the UN PKO monitors progress in demining as well as the emergence of new mine fields.
    - **Demining: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to demining. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to demining. **Specific mine awareness campaigns for the public can be coded here!**
    - **Demining: Meeting.** The report states that the UN PKO meets with the government and other relevant stakeholders to discuss demining issues, land mines, etc.
    - **Demining: Advocate.** The report states that the UN PKO advocates that the government launch a demining programme, an awareness campaign on mines, or any other efforts related to mines.
    - **Demining: Assist.** The report states that the UN PKO supports the government de-mining efforts. This can include training government personnel and other national staff in detecting and removing mines, or advising on the development of mine awareness training for the population. It can also include policy advice to regulate demining efforts.
    - **Demining: MaterialSupport.** The report states that the UN PKO provides material support to demining efforts, e.g. modern demining technology.
    - **Demining: Implement.** The report states that the UN PKO implements a de-mining programme on its own, or only in coordination with other international actors.
        - *Ex.: "The United Nations has cleared 2,721,746 square metres of land, destroyed 1,483 anti-personnel mines, 413 anti-tank mines, 38,251 small arms and ammunition and 11,316 items of unexploded ordnance in the Sudan. In addition, the United Nations cleared 1,286 kilometres of road and provided mine-risk education to 42,122 affected people" (UNMIS, S/2010/528, p. 43).*
    - **Demining: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for Demining.

Resources

- The category refers to activities related to natural resources, including timber, rubber, oil, diamonds, gold, iron, and other minerals. This can also include providing or assisting security for extracting natural resources.
    - **Resources: Monitor.** The report states that the UN PKO actively monitors the exploitation of natural resources.

- **Resources: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to resources. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to resources.
- **Resources: Meeting.** The report states that the UN PKO meets with government and other domestic stakeholders to discuss matters related to the management of natural resources.
- **Resources: Advocate.** The report states that the UN PKO advocates that the government adopt specific policies related to the exploitation of natural resources. For example, this might include efforts to pressure governments to adopt Kimberley Process mechanisms for certifying conflict-free diamonds.
- **Resources: Assist.** The report states that the UN PKO provides technical advice on extracting and exporting natural resources, or on enforcing natural resource regulations. This can also include helping formulate policies to promote or regulate the exploitation of natural resources.
    - *Ex.: "Early in August, MONUSCO began assisting the Ministry of Mines in validating mining sites in Maniema and Katanga as free of armed groups or security forces" (MONUSCO, S/2012/838, para. 75).*
- **Resources: MaterialSupport.** The report states that the UN PKO provides material support for the exploitation, export and verification of natural resources.
- **Resources: Implement.** The report states that the UN PKO implements activities related to natural resource governance and extraction without the government.
    - *Ex.: "Throughout Darfur, UNAMID convened a total of 65 meetings (22 in South Darfur, 12 in North Darfur, 11 in West Darfur, 5 in East Darfur, and 1 in Khartoum) to facilitate peaceful coexistence between farmers and pastoralists and strengthen joint committees to promote intergroup dialogue on practical ways to share access to natural resources" (UNAMID* S/2015/1027). This is also LocalReconciliation: Implement.
- **Resources: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for exploitation, export and verification of natural resources.

StateAuthority

- State authority comprises activities aimed at re-establishing government control over the territory and extend government control geographically. This activity category should only be coded if the paragraph uses the phrase “state authority”, if the section heading contains the word “state authority”, or if the actor involved in the activity has the phrase “state authority” in its name. Sometimes the SG report uses a different phrase, for example, “normalization of

state administration" (Angola, 1997-8). Moreover, we code under state authority any engagement for border demarcation but also the protection of important infrastructure such as airports, port or borders.

- **StateAuthority: Monitor.** The report states that the UN PKO actively monitors the extension of state authority throughout the country, especially in former rebel-held territory.
  - *"Some former Administrative Commissions of the Sub-Municipal Section are still operational throughout the country. In the most remote areas of the country, where the national police was absent and where MINUSTAH does not have a permanent presence, some of the Commissions were reportedly replaced by informal or even illegal groups that took on administrative and policing tasks, while others continued to collaborate with the Chefs de Section, a former rural police affiliated to the former military. The Mission has documented most cases during field visits or from reports of community leaders and organizations, so as to follow up once the national police has been deployed and new local authorities are in place after the elections later in the year."* (S/2005/313, para 28).
- **StateAuthority: Meeting.** The report states that the UN PKO meets with the government or other stakeholders to discuss matters of state authority.
- **StateAuthority: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **state authority.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to state authority.
- **StateAuthority: Advocate.** The report states that the UN PKO advocates for specific measures aimed at extending state authority.
- **StateAuthority: Assist.** The report states that the UN PKO trains, advises or otherwise assists the government in (re-) establishing control over the territory of the country.
  - *"Meanwhile, the **Technical Ad Hoc Border Committee responsible for demarcation of the 1956 boundary** between northern and southern Sudan briefed the Presidency on its work in September. The Presidency instructed the security agencies in all border states to provide their full support to the Committee's work. The Border Committee began planning for an international workshop on the demarcation process, with United Nations and donor support." (UNMIS, UNMIS, S/2007/624, para. 12).*
  - *Ex.: "Housing committees established in some districts played a key role in resolving disputes over properties illegally occupied by former RUF combatants. Efforts are under the way to establish similar committees in other affected districts. UNAMSIL **also** facilitated meetings between former RUF cadres and paramount chiefs to resolve issues pertaining to property ownership, collection of market dues, illicit mining and the status of caretaker chiefs designated by RUF during the*

conflict" (UNAMSIL, S/2002/267, p. 18). Paramount chiefs belong to the government of Sierra Leone and ***more importantly, the paragraph is under the heading "state authority".*** *(This would also be coded under Resources, due to the reference to "illicit mining".)*

- **StateAuthority: MaterialSupport**. The report states that the UN PKO provides material and/or logistical support to extend or strengthen state authority, including transport for the (re-)deployment of government agencies and officials.
- **StateAuthority: Implement.** The report states that the UN PKO retakes control of areas where state authority is weak or absent without government assistance, or administers particular territories on its own.
- **StateAuthority: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for to extend or strengthen state authority.

StateAdministration

- State administration comprises activities aimed at strengthening the basic administrative capacity of the state or the delivery of basic public goods to citizens. This activity category includes engagement for infrastructure (roads, government offices, custom checkpoints) administrative services, e.g. conducting marriages, providing birth certificates, passports and identity cards, registering new citizens, etc. state-sponsored health care, unemployment benefits, pensions and retirement benefits and other basic public goods provided by the states (except security).
    - **StateAdministration: Monitor.** The report states that the UN PKO actively monitors the functioning of the state administration.
    - **StateAdministration: Meeting.** The report states that the UN PKO meets with representatives or agents of the government to talk about basic state administration.
    - **StateAdministration: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **state administration.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to state administration.
    - **StateAdministration: Advocate.** The report states that the UN PKO advocates for specific measures aimed at increasing the administrative capacity of the state.
    - **StateAdministration: Assist.** The report states that the UN PKO trains civil servants (e.g. in word processing, data management, etc.), helps formulate policies (laws, regulations, decrees, etc.) on state administration or otherwise assists the state administration capacity-building process.
    - **StateAdministration: MaterialSupport.** The report states that the UN PKO provides material support to improve the state administration.
    - **StateAdministration: Implement.** The report states that the UN PKO provides

basic public services without government assistance or takes responsibility for executive decision-making and administration without involvement of the government.

- *Ex.: "Many schools are structurally unsound and need to be demolished. A US$ 13.9 million project has been agreed between UNTAET and the World Bank for a rehabilitation programme [StateAdministration: MaterialSupport with IA]. The first stage is to repair 2,100 primary and secondary school classrooms, supply furniture, books and other instructional materials. Secondly, new schools wibll be built to replace some of those to be demolished. Thirdly, a policy development component will be established to provide analysis and options for the future national education system. There will also be a project on quality of instruction, through teacher training and the strengthening of local school councils. UNTAET, UNICEF and CNRT are providing short preparation courses for teachers in the run-up to the next school year, while Portugal is providing more comprehensive teacher training initiatives to 750 teachers, the number being expected to have risen to 2,500 in the next year [StateAdministration: Implement with IA] (UNTAET, S/2000/738, p. 36).*

- **StateAdministration: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for improve state administration.
  - *Ex.: "CIVPOL has also had to undertake such unanticipated tasks as firearm training for some 200 officers of the ministerial security force [PoliceReform: AssistAgents, StateAdministration: ProvideSecurity], and security surveys of the facilities of a number of government ministries and of the National Commission for Truth and Justice [TransitionalJustice, LocalReconciliation: ProvideSecurity]. CIVPOL also coordinates the delivery of food for prisoners nationwide and helps to provide prison security [PrisonReform: MaterialSupport, ProvideSecurity]." (UNMIH, S/1995/614, p.9)*

DemocraticInstitutions

- Institution-building and reform refers to activities for strengthening democratic institutions and building capacity of elected representatives in terms of making them more accountable and responsive to citizens. Examples of democratic institutions might include the parliament, the office of the ombudsman, parliamentary committees, etc. anti-corruption efforts targeting elected representatives in democratic institutions should be coded here. Helping establish or strengthening Human Rights Committees/Commissions should NOT be coded here but under HumanRights.
  - **DemocraticInstitutions: Monitor.** The report states that the UN PKO actively monitors the capacity and responsiveness of democratic institutions. IMPORTANT: This category of engagement does not include generic statements about the weak democratic process.
  - **DemocraticInstitutions: Meeting.** The report states that the UN PKO meets with

the government, government representatives and other stakeholders (members of parliament) to discuss matters related to the quality of democratic institutions.

- **DemocraticIntitutions: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **democratic institutions.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **democratic institutions.**
- **DemocraticInstitutions: Advocate.** The report states that the UN PKO recommends or pressures the government into adopting democratic practices or establishing specific democratic institutions.
- **Democratic Institutions: Assist.** The report states that the UN PKO assists the government to strengthen the democratic process. This can include advice for the government and government bodies (the ombudsman) on institutional reforms and policies to increase transparency, efficiency and responsiveness of democratic institutions and their personnel.
    - Example: *"With the support of UNMIS and United Nations agencies, line ministries worked to develop their three-year budget plans, intended to ensure transparency and accountability of fiscal expenditure" (UNMIS, S/2007/264; paragraph 21).*
- **DemocraticInstitutions: MaterialSupport.** The report states that the UN PKO provides material support to strengthen the functioning of democratic processes and institutions (e.g. computers, data management tools, etc.)
- **DemocraticInstitutions: Implement.** The report states that the UN PKO provides assistance to democratic institutions, such as parliamentary committees or members of parliaments without involvement of the government.
- **DemocraticInstitutions: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for provides assistance to democratic institutions.

ElectoralSecurity

- Electoral security activities refer to activities to protect voters, candidates and election workers as well as the integrity of the election process (or referendum), e.g. election material and infrastructure, from physical attacks. It also includes if the PKO co-chairs the national security council or for elections or jointly administers the electoral security provision with the government or otherwise shares responsibility for the planning and implementation of electoral security arrangements with the government.
    - **ElectoralSecurity: Monitor.** The report states that the UN PKO actively monitors the electoral security environment through presence at election-related events and

investigations of incidents of election-related violence. *IMPORTANT: This is not the appropriate type of engagement if the report merely describes particular incidents of election-related violence, or mentions the number of incidents that occurred in a given reporting period.*

- **ElectoralSecurity: Meeting.** The paragraph states that the UN PKO meets with the government or government agents to discuss electoral security arrangements / the electoral security situation.
- **ElectoralSecurity: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **electoral security.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **electoral security.**
- **ElectoralSecurity: Advocate.** The paragraph states that the UN PKO pressures the government to develop electoral security strategies or change existing electoral security arrangements.
- **ElectoralSecurity: AssistAgents.** The report states that the UN PKO trains, mentors or educates government agents, especially domestic security forces, in election-related protection activities.
    - *Ex.: "MONUSCO continued the specialized training of national police officers at the provincial level on security for the general elections, with a focus on information-gathering and the protection of polling stations. Some 11,099 police officers, including 707 women, have been trained; further training is ongoing" (MONUSCO, S/2011/656, p. 37). This would also be coded under Police Reform: AssistAgents.*
    - *Ex.: "During the reporting period, the UNMIS police component focused on training the southern Sudanese Police Service in referendum security and assisting it in preparing its referendum security plans. So far, a total of 9,663 southern Sudanese Police Service officers have been trained in referendum security" (UNMIS, S/2010/37, para. 37). This would also be coded under Police Reform: AssistAgents.*
- **ElectoralSecurity: AssistPolicies.** The report states that the UN PKO advises agents of the government in devising **electoral security plans**, in coordinating the domestic security forces / the Electoral Commission / other international security forces, or in developing other policies related to electoral security.
- **ElectoralSecurity: AssistOther.** The report states that the UN PKO supports or assists the government in securing elections but it is not clear how.
    - *Ex.: "UNMIS currently co-chairs the High **Security** Referendum Committee established by the Government of Southern Sudan on 1 September" (UNMIS, S/2010/528, para. 38).*
- **ElectoralSecurity: MaterialSupport.** The report states that the UN PKO provides

material or logistical support to election security, e.g. communication tools, equipment, data, transport for police personnel to polling stations, etc.

- **ElectoralSecurity: Implement.** The report states that the UN PKO provides electoral security without involvement of the government.
  - *Ex.: "UNAMSIL is currently focused on implementing phase one of its military concept of operations for 2002, the main objective is to ensure effective security for the forthcoming elections. To that end, the Mission has extended its deployment to 39 locations throughout the country to provide umbrella security" (UNAMSIL, S/2002/267, p. 10).*
- **ElectoralSecurity: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for ElectoralSecurity. Please note: this category is the same as **ElectoralSecurity: Implement.**

ElectionAssistance

- Election assistance refers to activities to assist the organization of free and fair elections beyond electoral security (assisting peaceful elections). Referendums on independence (e.g. Western Sahara and South Sudan) can be coded here, too. This activity includes also election **Certification.** The report states that the UN PKO is responsible for **certifying** the process and results of elections as free and fair. This category should only be coded if the UN PKO has the final authority to assess the quality of elections and candidates (including the government) have promised to abide by the results of the certification process. It also includes **PeacePledgeCodeOfConduct -** candidates pledge allegiance to peaceful behaviour during or sign a code of conduct.***If the paragraph only refers to electoral security, then election assistance should <u>not</u> be coded.***
  - **ElectionAssistance: Monitor.** The report states that the UN PKO actively monitors elections. Active monitoring must include activities that go beyond merely reporting on the electoral environment, e.g. visiting polling stations, certifying elections (as free and fair), tabulating votes, etc.
    - *Ex. of information that should **not** be coded because there is no indication that the PKO does something to monitor: "The period under review witnessed further progress in the preparation for the presidential and parliamentary elections, which are held on 14 May 2002" (UNAMSIL, S/2002/267, p. 22).*
  - **ElectionAssistance: Meeting.** The report states that the UN PKO meets with representatives or agents of the government to discuss the preparation of elections beyond issues of guaranteeing electoral security.
  - **ElectionAssistance: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **election assistance.** This category can also be coded if the paragraph states that the UN PKO organises

meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **election assistance.**

- **ElectionAssistance: Advocate.** The report states that the UN PKO lobbies the government to hold free and fair elections, to increase electoral transparency and accountability, to allow all political parties to have representatives in the electoral commission etc.
- **ElectionAssistance: Assist.** The report states that the UN PKO provides technical assistance, advice or training to the government and government agencies in organizing free and fair elections. For example, election assistance to the government may include training election workers, advising officials in the national electoral administration, supporting the government in devising timetables for the election preparation. ElectionAssistance also includes co-sharing election commissions/steering committee
    - *Ex.: "The Mission continued to provide technical and logistical support to the electoral commission, including with regard to the deployment of electoral material" (MONUSCO, S/2011/656, p. 35).*
    - *Ex.: "That timetable was further elaborated on and amended on 18 August at a meeting of the **Elections Steering Committee, which was co-chaired by my Special Representative and the President of the Independent Electoral Commission**" (BINUCA in the Central African Republic, S/2010/584, p. 10).The key words here is "co-chaired" to infer joint responsibility for organizing elections in the election steering committee. This is also evidence for ElectionAssistance: Meeting.*
- **ElectionAssistance: MaterialSupport.** The report states that the UN PKO provides material or logistical support for the organization of elections, e.g. funding, logistics for the voter registration exercise, etc.
    - *Ex.: "The situation would have been significantly worse had UNAVEM not made its helicopters available to transport electoral brigades and material in the regions" (UNAVEM, S/24556, p. 26).*
    - *Ex.: "International donors provided full funding and ONUB provided extensive transportation assistance, including the movement of more than 100 tons of electoral materials to polling stations, by both air and road" (ONUB, S/2005/328, p. 8).*
    - *NOT evidence because the PKO is only planning to provide material assistance: Ex.: "The United Nations and the International Foundation for Electoral Systems are in the process of drafting procedures and specifications for the procurement of registration materials, and have already begun contacting potential manufacturers in anticipation of a request by the Southern Sudan Referendum Commission for support" (UNMIS, S/2010/528, p. 6) The other actor is IFES (International Foundation for Electoral Systems).*
- **ElectionAssistance: Implement.** The report states that the UN PKO implements

steps in the election process without government input or interference. For example, the UN PKO may conduct the voter registration exercise itself.

- **ElectionAssistance: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for ElectionAssistance. Please note: this is the same as ElectoralSecurity:Implement

VoterEducation

- Activities that relate to informing voters and candidates on the modalities of the elections and on the importance of fair and peaceful behaviour.
    - **VoterEducation: Monitor.** The report states that the UN PKO monitors the government's efforts in educating voters.
    - **VoterEducation: Meeting.** The report states that the UN PKO meets with the government or agents of the government (e.g. electoral commission) to discuss voter education issues.
    - **VoterEducation: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **voter education.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to voter education. **Sensitization campaigns for voters and outreach to voters with or without the government should NOT be coded here!!!** Instead, sensitization/education/outreach targeting voters should be coded as Implement if it is without the government and as assist if it is with the government.
    - **VoterEducation: Advocate.** The report states that the UN PKO lobbies the government to start a voter education programme, to provide more accurate information about the election process to voters, etc.
    - **VoterEducation: Assist.** The report states that the UN PKO assists the government and its agencies (e.g. the elections commission) in voter education efforts. For example, the UN PKO devises voter education manuals with the government or trains government communication officers.
    - **VoterEducation: MaterialSupport.** The report states that the UN PKO provides material support to the government's voter education efforts, for example, by helping with distribution of voter education material.
    - **VoterEducation: Implement.** The report states that the UN PKO implements voter education **without involvement of government agencies.**
        - *Ex.: "UNOCI's public information component also plans to develop a robust sensitization campaign in close collaboration with relevant counterparts [Don't code this because it's planning] (Government, the media, civil society and so on) and in coordination with the office of the High Representative*

for the elections, in its continuing **efforts** *to combat hate media and to sensitize the population on the key processes, including the elections" (UNOCI, S/2006/939, p. 54). This is coded as implement because the government is NOT mentioned as involved in the activity of sensitizing the population.*

- **VoterEducation: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for VoterEducation.

PartyAssistance

- Political party assistance refers to support for political parties and former armed groups to become professional, efficient and effective organizations.
  - **PartyAssistance: Monitor.** The report states that the UN PKO actively monitors the capacity and professionalism of political parties. IMPORTANT: This category of engagement does not include generic statements about weak party structures.
  - **PartyAssistance: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to parties (political parties)**.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to parties (political parties). Activities of outreach, awareness-raising and so forth targeting political parties themselves should NOT be coded here but rather as implement if done without the government and assist if done with the government.
  - **PartyAssistance: Meeting.** The report states that the UN PKO meets with parties or former armed groups that want to become political parties.
    - *Ex.: As part of its mandated responsibilities to provide good offices and technical and logistical support for the elections, MONUSCO engaged in ongoing dialogue with Congolese electoral and Government authorities and with a wide range of political parties in support of preparations for the polls" (MONUSCO, S/2011/656, p. 2).*
  - **PartyAssistance: Advocate.** The report states that the UN PKO actively advocates for specific measures designed to increase the capacity and professionalism of political parties or former armed groups that transform to political parties.
  - **PartyAssistance: Assist.** The report states that the PKO ***provides assistance to the government or engages in activities with the government*** that are aimed at strengthening the capacity of political parties and former armed groups that seek to transform into political parties. These activities can include organizing meetings between government agents and political parties' representatives, organizing workshops for political parties with the government, advising the government on changing legislation to allow greater participation of opposition parties, etc. ***Do not***

code here direct assistance to political parties themselves without government involvement. Consistent with the other activity categories, this is PartyAssistance: Implement.

- *Ex.: "On 25 July, the electoral commission, with the support of MONUSCO, the Electoral Institute for the Sustainability of Democracy in Africa and the National Democratic Institute, convened in Kinshasa the first meeting of the Forum of Political Parties, which was attended by 275 of the over 400 registered political parties. The Forum aims to foster dialogue between the electoral commission and the political parties (S/2011/565, para. 5). This is also coded as "ElectionAssistance: Assist because the Forum of Political Parties is convened in preparation of the elections and with the electoral commission (a government agent).*

- **PartyAssistance: MaterialSupport.** The report states that the UN PKO provides material or logistical support to parties, for example, re-building party offices or providing transport to party members.
- **PartyAssistance: Implement.** The report states that the UN PKO *implements* programs, activities or policies designed to strengthen political parties without government assistance. It also includes evidence for international actors directly engaging in capacity-building of political parties and armed groups that want to transform into political parties without involving the government.
- **PartyAssistance: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for **PartyAssistance**.

CivilSocietyAssistance

- Civil society assistance refers to support for domestic civil society organizations to more efficiently and effectively represent citizens' needs and to control government behaviour. Civil society organizations are often also called NGOs, women's groups, youth groups, human rights defenders (which would also be coded in the Human Rights category), etc.
    - **CivilSocietyAssistance: Monitor.** The report states that the PKO engages in active monitoring of restrictions against CSOs, including NGOs, human rights organizations, community organizations, bloggers and internet activists. CSOs do **not** include opposition political parties. Active monitoring means that the PKO is itself gathering information on these restrictions.
    - **CivilSocietyAssistance: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to civil society**.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to civil so-

ciety organizations. Activities of **outreach, awareness-raising and so forth targeting civil society organizations (NGOs, women's groups, and so forth) themselves should NOT be coded here** but rather as implement if done without the government and assist if done with the government.

- **CivilSocietyAssistance: Advocate.** The report states that the PKO advocates for greater freedom and space for civil society organisations to form and do their work.
- **CivilSocietyAssistance: Meeting.** The report states that the PKO or another interactional actor meets with civil society groups.
  - *Ex: [...] In conjunction with two Angolan non-governmental organizations and with the involvement of three Government ministries, the human rights component (of the PKO MONUA) organized a three-day conference in which 23 different non-governmental organizations from 11 Provinces participated in discussing the modalities of their cooperation aimed at strengthening civic "education and human rights activities [we also code CivilSocietyAssistance: Assist; we also code HumanRights: meeting and HumanRights: Assist] (MONUA S/1998/1110, para. 22).*
- **CivilSocietyAssistance: Assist.** The report states that the PKO assists the government or government agents in creating space for civil society mobilization. This can include efforts to revise government policies or regulations regarding CSOs and the freedom of association / assembly. This can also include helping the government to meet with CSOs. Do not code direct assistance to civil society organizations without government involvement. Consistent with the other activity categories, this would be CivilSocietyAssistance: Implement.
- **CivilSocietyAssistance: MaterialSupport.** The report states that the PKO provides material support to civil society organisations.
- **CivilSocietyAssistance: Implement.** The report states that the PKO directly engages with CSOs or in activities to strengthen the capacity of civil society without government involvement. This can include organising workshops for CSOs or giving them strategic advice.
- **CivilSocietyAssistance: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for CivilSocietyAssistance.

Media

- The category "media" covers economic, technical, and financial assistance to build and strengthen and provide independent domestic media. Please note: We DO NOT code PKO radios in this activity category because a) broadcasting directly into the host society with PKO radio is very different from b) implementing support for domestic media or journalists. However, we note that PKO radio (such as UNOCI radio) takes over peacebuilding functions such as voter education that should be coded in the corresponding activity categories. Airtime on UN radio is material support. If the radio provides airtime for political parties, then it is <u>also</u> coded as PartyAssistance: MaterialSupport. If the UN radio broadcasts information on voter

education from the government, this is also coded VoterEducation: MaterialSupport. However, if the report merely states the the PKO has or sets up its radio station, then we do not code it.

- **Media: Monitor.** The report states that the UN PKO actively monitors media and journalists' behaviour and interference with domestic media, including intimidation of the media.
- **Media: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to media outlets / the media / journalism**.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to media outlets / the media / journalism. Activities of outreach, awareness-raising and so forth targeting media agencies (outlets, journalists, and so forth) for preventing hate speech and false information (etc.) should NOT be coded here but rather as implement if done without the government and assist if done with the government.
- **Media: Advocate.** The report states that the UN PKO advocates for greater freedom of the press and puts pressure on the government to cede control of media agencies and censorship.
- **Media: Meeting.** The report states that the UN PKO meets with media outlets and journalists or with government officials to discuss media freedom.
- **Media: Assist.** The report states that the UN PKO assists the government or engages with the government in strengthening independent media. This includes evidence of international actors or the PKO helping the government to devise policies that create a more free, open and balanced media landscape. This engagement category would also include activities to organise workshops with the government for journalists and media representatives. Do not code here direct assistance to media outlets and journalists themselves without government involvement. Consistent with the other activity categories, this is Media: Implement.
    - *Ex.: "The Mission was also pursuing legislative reform initiatives related to rape, **media law,** human trafficking, bail, juries, narcotics and security agencies" (UNMIL, March 2005).*
    - *Ex: "UNMIL supported the dissemination of information on the electoral process through radio programmes and other outreach activities in rural area" (UNMIL S/2011/497, para. 20). [ElectionAssistance: Outreach]*
    - *PLEASE note the following is NOT coded because it does not assist the government nor does it refer to any other activity Ex: "To that end, the Operation will expand the coverage of its radio to allow widest possible access by the Ivorian people especially those in such remote areas as Aboisso, Bouna, Korhogo and Odienné" (UNOCI, S/2006/939, p. 54).*[NOT CODED]
- **Media: MaterialSupport.** The report states that the UN PKO provides material support to selected media outlets.

- **Media: Implement:** The report states that the UN PKO directly provides capacity-building assistance to media outlets and journalists WITHOUT the government. For example, the UN PKO may train or educate journalists and other media agents in writing, investigative reporting and other skills or in media ethics.
    - *Ex.: "On 3 October, different organizations of journalists and media professionals established, with the support of BINUB, the Union of Burundian Journalists, which aims at protecting and promoting press freedom, including through the monitoring of violations of the rights of journalists" (BINUB, S/2009/611, p. 16).*
- **Media: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for Media.

PowerSharing

- Power-sharing refers to any arrangement that divides political power between former belligerents or stakeholders in the peace process. This can include coalitions of national unity; power-sharing within specific state institutions (e.g. the military); geographic forms of power-sharing (e.g. establishment of autonomous regions); etc.
    - **PowerSharing: Monitor.** The report states that the UN PKO actively monitors and investigates the implementation of and compliance with a power-sharing agreement.
    - **PowerSharing: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to power-sharing**.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to power-sharing. Activities of **outreach, awareness-raising and sensitization and talks for partners of the power-sharing agreement and the communities affected by it should NOT be coded here** but rather as implement if done without the government and assist if done with the government.
    - **PowerSharing: Advocate.** The report states that the UN PKO recommends, advocates or pressures for a power-sharing arrangement.
    - **PowerSharing: Meeting.** The report states that the UN PKO meets with the government or other stakeholders to discuss the possibilities of a power-sharing arrangement (e.g. government of national unity, or distribution of economic resources).
    - **PowerSharing: Assist.** The report states that the UN PKO assists government officials in devising a power-sharing agreement.
        - *Ex.: "On 19 July, with the support of the African Union High-Level Implementation Panel and UNMIS, the parties convened a workshop on the*

theme "Issues exposure workshop" to mark the beginning of the substantive phase of the negotiations, during which they agreed on an initial schedule and procedural details for four working groups on wealth-sharing, citizenship, security and international legal instruments" (UNMIS, S/2010/528, para. 9).

- **PowerSharing: MaterialSupport.** The report states that the UN PKO provides material or logistical support for a power-sharing agreement, e.g. by providing safe, neutral meeting spaces for the parties to the agreement.
- **PowerSharing: Implement.** The report states that the UN PKO implements the terms of a power-sharing agreement without government assistance.
- **PowerSharing: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for PowerSharing.

Local Reconciliation

- Local Reconciliation means fostering dialogue between people from different communities and bringing them together to discuss their differences in a peaceful environment. This category should also be coded for efforts to increase social cohesion and communal peace. It also often occurs in the context of addressing inter-communal violence. It often involves local civilians, tribal leaders, chiefs, other customary authorities, local state authorities, and other elite local actors. Local reconciliation includes implementing Truth Commissions or Reconciliation Commissions. In general Truth and Reconciliation Commissions are key word for Local Reconciliation. Reconciliation activities can only take place after a peace agreement/where there is a peace to keep. Thus, "peaceful activities" during ongoing conflict are not coded as reconciliation.
    - **LocalReconciliation: Monitor.** The report states that the UN PKO actively monitors community relations, e.g. by sending delegations to places where inter-ethnic community relations were disturbed by violence.
    - **LocalReconciliation: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **local-level reconciliation, social cohesion and communal peace.** This category can also be coded if the paragraph states that the UN PKO organizes meetings and does **NOT attend these meetings** AND **does NOT help the government organize these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **reconciliation. Activities of sensitization, talks, meetings for different communities should NOT be coded here but rather as implement if done without the government and assist if done with the government.**
    - **LocalReconciliation: Meeting.** The report states that the UN PKO meets with the government (not only with victims of the armed conflict or ordinary people) to discuss matters of local-level reconciliation. Meetings with local-level actors should

be most likely coded as LocalReconciliation: Implement.

- **LocalReconciliation: Advocate.** The report states that the UN PKO advocates for a local-level reconciliation programme or other efforts to reconcile the different communal groups in the country at the local level.
- **LocalReconciliation: Assist.** The report states that the UN PKO trains, advises or otherwise assists the government or agents of the government in strategies, policies, mechanisms and institutions to promote local reconciliation or social cohesion (e.g. compensation for victims of violence) or communal peace.
    - *Ex.: "The UNMIL human rights and protection component assisted the Transitional Government in preparing draft legislation for the establishment of the Truth and Reconciliation Commission" (UNMIL, March 2004). This would also be coded under Legal Reform: Assist.*
- **LocalReconciliation: MaterialSupport.** The report states that the UN PKO gives financial or logistical support to local-level reconciliation initiatives.
    - *Ex: "In Al Kuma, North Darfur, UNAMID funded and jointly facilitated with Al Tagana Women Organization a peace forum for Zayadiya on 17 October to explore their peaceful coexistence with Berti communities" (UNAMID S/2015/1027, para. 58).* This is also Gender: Implement and CivilSocietyAssistance: Implement and it is also Reconciliation: Implement.
- **LocalReconciliation: Implement.** The report states that the UN PKO organizes seminars, workshops or other events or mechanisms (e.g. peace brigades) to promote reconciliation and social cohesion without input or help from the government.
    - *Ex.: "In addition, UNAMID held five meetings on 2, 3, 4, 5, and 11 November to further promote reconciliation between Berti and Zayadiya, which resulted in an agreement to conduct peace campaigns in Mellit and Al Kuma" (UNAMID, S/2015/1027, para. 58).* This is **not** "Reconciliation: Meeting" because the government is not involved.
    - *Ex: "In Al Kuma, North Darfur, UNAMID funded and jointly facilitated with Al Tagana Women Organization a peace forum for Zayadiya on 17 October to explore their peaceful coexistence with Berti communities" (UNAMID S/2015/1027, para. 58).* This is also Gender: Implement and CivilSocietyAssistance: Implement and it is also Reconciliation: MaterialSupport.
- **LocalReconciliation: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for
- **LocalReconciliation: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for
- **LocalReconciliation: CustomaryAuthorities.** The paragraph mentions any engagement by the peacekeeping operation with customary authorities, such as tribal leaders, elders, chiefs, traditional leaders, traditional authorities, etc.

National Reconciliation

- National Reconciliation means fostering dialogue between political leaders from different factions in society (e.g. from warring parties). It is aimed at establishing a cultural of dialogue in politics. In general Truth and Reconciliation Commissions are key word for Local Reconciliation. Reconciliation activities can only take place after a peace agreement/where there is a peace to keep. Thus, "peaceful activities" during ongoing conflict are not coded as reconciliation.
    - **NationalReconciliation: Monitor.** The report states that the UN PKO actively monitors progress in dialogues to reconcile different political leaders and factions at the national or at least regional level.
    - **NationalReconciliation: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to national-level reconciliation. For example, the UN PKO may invite politicians from different camps in its radio station to publicize their dialogue attempts.
    - **NationalReconciliation: Meeting.** The report states that the UN PKO meets with the government to discuss matters of national-level reconciliation, for example, for planning a high-level national-level conference of different leaders in the PKO host state.
    - **NationalReconciliation: Advocate.** The report states that the UN PKO advocates for a national-level reconciliation programme between political leaders.
    - **NationalReconciliation: Assist.** The report states that the UN PKO trains, advises or otherwise assists the government or agents of the government in strategies, policies, mechanisms and institutions to promote national-level reconciliation.
    - **NationalReconciliation: MaterialSupport.** The report states that the UN PKO gives financial or logistical support to national reconciliation initiatives, for example, conferences, workshops, pledges for reconciliation, other national reconciliation mechanisms.
    - **NationalReconciliation: Implement.** The report states that the UN PKO organizes high-level conferences but also seminars, workshops or other events or mechanisms to bring political leaders from different sides together at the national level and discuss national reconciliation. Pledges for national reconciliation can be taken at the end of such events.

EconomicDevelopment

- Economic development refers to activities to restore the economy and financial situation of the country. Do only code this category if the reports uses the word “economic”, “financial”, “economic restoration, economic reconstruction or very similar terms.
    - **EconomicDevelopment: Monitor.** The report states that the UN PKO actively monitors economic development and restoration by sending assessment teams, compiling reports, requesting information from the government, etc.

- **EconomicDevelopment: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to economic development and the financial situation. This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to economic development and the financial situation.
- **EconomicDevelopment: Meeting.** The report states that the UN PKO meets with the government (not only with victims of the armed conflict or ordinary people) to discuss the economic / financial situation of the country.
- **EconomicDevelopment: Advocate.** The report states that the UN PKO actively advocates for economic reform.
- **EconomicDevelopment: Assist.** The report states that the UN PKO assists the government in managing economic reform and in achieving financial stability and related fields.
- **EconomicDevelopment: MaterialSupport.** The report states that the UN PKO provides support to the government or acts in coordination with the government to restore the economy and financial situation.
- **EconomicDevelopment: Implement.** The report states that the UN PKO implement economic reform or reforms of state finances without the government.
- **EconomicDevelopment: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for economic reform or reforms of state finances.

HumanitarianRelief

- Humanitarian relief refers to intervention in emergency situations and humanitarian crises, such as natural disaster relief as well as responses to short-term man-made disasters.
    - **HumanitarianRelief: Monitor.** The report states that the UN PKO conducts needs assessments or actively monitors government efforts to address humanitarian crises.
    - **HumanitarianRelief: Meeting.** The report states that the UN PKO meets with the government to discuss a humanitarian emergency situation.
    - **HumanitarianRelief: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **humanitarian relief.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **humanitarian relief.**

- **HumanitarianRelief: Advocate.** The report states that the UN PKO pressures the government to address impending or ongoing humanitarian emergencies.
- **HumanitarianRelief: Assist.** The report states that the UN PKO provides advice and technical assistance aimed at improving the government's response to humanitarian emergencies.
- **HumanitarianRelief: MaterialSupport.** The report states that the UN PKO provides material support to enable the implementation of humanitarian relief efforts, for example, facilitating transportation for government emergency responders. Note that MaterialSupport is different from HumanitarianRelief: Implement in that material aid (e.g. food, medicine, etc.) as part of humanitarian relief efforts is not provided directly to the victims of the humanitarian emergency.
- **HumanitarianRelief: Implement.** The report states that the UN PKO implements emergency response initiatives without the government, for example, by providing emergency food aid, medicines, money to the victims of the emergency situation directly.
- **HumanitarianRelief: ProvideSecurity.** The report states that the UN PKO assists in providing or provides security for humanitarian workers and projects.

PublicHealth

- Public health refers to activities aimed at preventing or addressing the consequences of endemic diseases, e.g. Ebola, cholera, HIV/AIDS, etc.
    - **PublicHealth: Monitor.** The report states that the UN PKO monitors the public health situation and/or the government's systems for public health service delivery.
    - **Public Health. Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **public health.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **public health.**
    - **PublicHealth: Advocate.** The report states that the UN PKO advocates for the development of more robust mechanisms for promoting public health.
    - **PublicHealth: Meeting.** The report states that the UN PKO meets with the government to discuss matters of public health.
    - **PublicHealth: Assist.** The report states that the UN PKO advises the government on addressing public health issues and crises and/or provides training to government agents to do so. This engagement category includes advice to the government on policies (rules, regulations, directives, etc.) to transform the health system and strengthen public health.
    - **PublicHealth: MaterialSupport.** The report states that the UN PKO provides ma-

terial support to the government for improving public health, e.g. by providing vaccines and medicine to government-administered health clinics, transporting injured people to a hospital, etc.

- **PublicHealth: Implement.** The report states that the UN PKO directly provides health services, vaccines, medicine, etc. to the public. This is also coded if the UN PKO implements public health programmes without involvement of the government.
- **PublicHealth: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for PublicHealth.

RefugeeAssistance

- Refugee assistance includes the creation, management and maintenance of refugee and IDP camps, as well as the provision of assistance for returnees.
    - **RefugeeAssistance: Monitor.** The report states that the UN PKO actively monitors the situation of refugees and internally displaced people (IDPs), e.g. by conducting a needs assessment. *IMPORTANT: This is not the appropriate type of engagement if the report merely states the number of refugees entering or leaving the country, unless it is clear that the PKO obtained that information through active monitoring.*
    - **RefugeeAssistance. Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **refugees.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **refugees.**
    - **RefugeeAssistance. Meeting.** The report states that the UN PKO meets with the government to discuss matters related to refugees and IDPs.
    - **RefugeeAssistance. Advocate.** The report states that the UN PKO advocates that the government adopt specific measures aimed at assisting refugees (e.g. by setting up or protecting refugee camps).
    - **RefugeeAssistance: Assist.** The report states that the UN PKO assists the government and/or government agents in providing refugee assistance. This includes training and advice for policies for managing refugee camps, regulating refugee flows, assisting refugee repatriation, etc.
        - ***Not evidence for RefugeeAssistance: Assist because the Tripartite Commission is suspended:*** *"UNHCR… hopes to resume the activities of the Tripartite Commission, comprising the two Governments and UNHCR, to facilitate further voluntary return" (UNMIL, S/2014/123, para. 25).*
    - **RefugeeAssistance: MaterialSupport.** The report states that the UN PKO provides material or logistical support to refugee camps or to government agencies

responsible for managing refugee crises, for example transport for refugees and internally displaced people.

- *Ex.: "UNAMSIL troops contained the incident, and assisted in transporting the displaced people back to their villages" (UNAMSIL, S/2016/267, p. 7). This should also be coded under Operations and RefugeeAssistance: ProvideSecurity.*

- **RefugeeAssistance: Implement.** The reports states that the UN PKO manages refugee camps or implements a refugee assistance programme without the government's assistance.
  - *Ex.: "UNHCR, with the support of UNMIL and the United Nations country team, worked to find durable solutions for Ivorian refugees in Liberia" (UNMIL, S/2014/123, para. 25).*
- **RefugeeAssistance: ProvideSecurity.** The report states that the UN PKO assists in providing or provides security for refugees and IDPs (i.e. in camps).
  - *Ex.: "The UNOCI force provides physical protection for return operations, thereby facilitating an environment conducive to repatriation and reintegration efforts" (UNOCI, S/2016/297, p. 42).*

Gender

- Gender refers to gender mainstreaming in the activities, policies and approaches of international actors. It means that the PKO promote equality between men and women, including protection and empowerment of women. DO NOT CODE SEXUAL ABUSE HERE.
  - **Gender: Monitor.** The report states that the UN PKO actively monitors the situation of women in the host country.
  - **Gender: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **gender.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **gender. Mainstreaming and sensitization related to gender issues should NOT be coded here.**
    - *Ex.: UNOCI continued to support the convening of platforms that allow women in politics [PartyAssistance: Implement] and in civil society organizations [CivilSocietyAssistance: Implement] to discuss political issues, such as gender equality and women's rights provisions [Gender: Outreach]*
  - **Gender: Meeting.** The report states that the UN PKO organises a meeting to discuss gender-related issues. If the meeting only deals with sexual violence, do not code it here but under SexualViolence: Meeting.
  - **Gender: Advocate.** The report states that the UN PKO appeals to the government

to do more to increase respect for women's rights, lobbies for gender balancing policies, or advocates for women to be included as stakeholders in government decision-making processes.
    - **Gender: Assist.** The report states that the UN PKO educates or "sensitizes" government agents on gender equality and other gender-related issues, or implements training programs tailored specifically for women in government.
    - **Gender: MaterialSupport.** The report states that the UN PKO provides material support to the government to assist gender mainstreaming efforts.
    - **Gender: Implement.** The report states that the UN PKO implements gender-related activities and policies without the government.
    - **ChildRights: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for Gender realted issues.

LegalReform

- Legal reform refers to activities whereby international actors become involved in law-making processes. This category does NOT include assistance by international actors in formulating "regulations" or "policies" that do not have the force of law. Cue words to distinguish laws from other policies are legislation, law, legislative process, constitutional process, act, draft act, parliament, etc. This category also includes constitution writing or dissemination: disseminate laws so that citizens and other non-state actors know about them and understand their consequences
    - **LegalReform: Monitor.** The report states that the UN PKO monitors existing laws and their shortcomings (e.g. lack of accountability mechanisms, clarity, absence of regulations).
    - **LegalReform: Outreach.** The paragraph states that the UN PKO conducts a public awareness campaign or provides public information targeting the public to make them more aware or to discuss issues related to **legal reform.** This category can also be coded if the paragraph states that the UN PKO organises meetings and does **NOT attend these meetings** AND **does NOT help the government organise these meetings** AND the **meetings are solely for the purpose of raising awareness or discussing unspecific issues** related to **legal reform. Any dissemination of laws etc. should NOT be coded here.** Rather, it is reserved for engagement related to flag the need for legal reform in the wider public without actually organizing meeting with PKO or government involvement.
    - **LegalReform: Meeting.** The report states that the UN PKO meets with the government to discuss legal reform.
    - **LegalReform: Advocate.** The report states that the UN PKO advocates a change in domestic laws, for example, to adhere to international standards.
    - **LegalReform: Assist.** The report states that the UN PKO assists members of par-

liament, ministries or other state agents in formulating new laws or amending existing ones.

- *Ex.: "The UNMIL human rights and protection component assisted the Transitional Government in preparing draft legislation for the establishment of the Truth and Reconciliation Commission" (UNMIL, March 2004). This would also be coded under HumanRights and LocalReconciliation.*
- *Ex.: "In collaboration with the Ministry of Justice, the Mission is also developing proposals for the revision, harmonization and codification of laws" (UNMIL, Dec. 2004).*
- *Ex.: "UNMIL prepared a one-page information leaflet on the International Criminal Court, to be widely disseminated among the various fighting forces, as a deterrent against continuing human rights violations and abuses" (UNMIL, December 2003).*

- **LegalReform: MaterialSupport.** The report states that the UN PKO provides material support to the law-making process, e.g. meeting rooms for the legislative assembly, data storage, computers.
  - *Ex.: "UNMIL continued to support the deployment of technical experts to assist the [Constitutional Review] Committee, while funding from the Peacebuilding Fund and the United States Agency for International Development, through a United Nations Development Programme (UNDP) project, supported sectoral consultations, technical assistance and preparations for county-level activities" (UNMIL, S/2014/123, para.11). Note: Constitutional review indicates law-making.*
- **LegalReform: Implement.** The report states that the UN PKO enforces new or amended laws without the government. For example, the PKO might intervene to enforce new legal restrictions on the activities of vigilante or self-defense groups.
- **LegalReform: ProvideSecurity.** The paragraph mentions that the UN PKO provides or assists in providing security for law making process.

General coding rules

There are some general rules that we apply for our coding:

- As a general to differentiate "assistance" from "implement": "Assistance" refers to activities in which the PKO is assisting the government, while "implement" refers to activities in which the PKO acts on its own.

- If a report refers to National Security agents and it is unclear whether it is police or military, we always code= Police + Military reform

- If a paragraph mentions the involvement of the Special Representative of the UN Secretary General it is coded as PKO. If report states that activity was postponed or delayed, we assume that the activity does not take place. If the report refers to future or planned activities, then this information should not be coded. If the report states that the PKO "continues to do" or "was constrained to do" or something similar, we assume that the PKO was engaged in this activity during the reporting period and code it. In many cases the Secretary General uses these reports to "urge" or "request' or "call upon" actors to take action. Do not code these activities unless it's clear that the UN PKO actually did something during the reporting period. In other words, activities that occur only within the context of the report itself should not be coded.

- Logistical assistance is coded as MaterialSupport.

- Technical assistance is coded as Assist.

- If Human rights defenders mentioned, we code CivilSocietyAssistance.

- If Opposition parties are mentioned, we code PoliticalPartyAssistance.

- Patrolling implies collecting information and should be coded also as monitor.

- Gender is only coded for activities not related to violence or sexual violence. In other words, gender-based violence is NOT coded as Gender.

- If the report merely refers to the mandate or the "responsibilities of the PKO" but it is not clear whether the PKO acts upon the mandate / mandated responsibilities, then do not code this information either.

- We code passive voice-reporting of engagement in an activity category if we can directly infer that it refers to engagement by the PKO or an international actor. For example, the PKO or might be mentioned by name earlier in the same paragraph.

- We only code activities that were carried out during the reporting periods. Example of information that should not be coded becuase outside reporting period: "National disarmament and demobilization activities concluded on 14 August 2015. UNOCI handed over the primary site for disarmament and demobilization, the Anyama camp in Abidjan, to FRCI on 30 October 2015" (UNOCI, S/2016/297, p. 38). Reporting period is from December 2015, but the report mentions an event that occurred in October 2015.

Different units of analyses of data

We provide PACT 2.0 with three different units of analyses:

Paragraph unit of analysis
This version contains the original data as we collected it – the activities and their engagement categories are coded at the PKO report-paragraph level. Each row represents one paragraph in a given SG report and contains information on which activities and corresponding engagement categories were reported in that paragraph. Multiple activities and engagement categories can be reported in a single paragraph.

Year-PKO unit of analysis
This version aggregates the data to a PKO-year unit of analysis. Each row represents a UN-PKO-year and contains information on which activities and corresponding engagement categories were reported in that year. The aggregation follows a minimum approach. As long as an activity was reported once, it was aggregated to the yearly level. Since the reporting period of each SG report does not follow a calendar year logic, we only aggregated an activity to the yearly level if it was reported more than six months.

Month-PKO unit of analysis
This version aggregates the data to a PKO-month unit of analysis. Each row represents a UN-PKO-month and contains information on which activities and corresponding engagement categories were reported in that month. The aggregation follows a minimum approach. As long as an activity was reported once, it was aggregated to the monthly level. For each month, in which one report ends and a new report starts capture we all activities and engagement categories that were reported in both reports.

Coding transnational UNPKOs

Some peace missions (e.g., ONUCA, UNPROFOR) are deployed across more than one country. For such missions, SG reports may document PKOs' activities in one, some, or all of these countries, with or without country-specific sections. We coded these UNPKOs as follows. Please note that the decision is made for each report (not for each UNPKO).

1.1. With country-specific sections within the report: If a given SG report on a transnational mission had country-specific sections, one report was created per country mentioned in the report. For instance, we coded UNPROFOR_S/25264 into four separate reports: UNPROFOR_S/25264_Croatia and UNPROFOR_S/25264_BiH. If any activity is mentioned outside the country-specific sections without specifying where the activity was carried out, that activity was coded in both the country-specific reports.

1.2. Without country-specific sections within the report: If a given SG report on a transnational mission had no country-specific sections but described activities in different countries throughout the report, one report was created per country from the same SG report, and a general report was also created to code the activities whose location is not specified.

1.3. Other: The distinction of actors (e.g., government, opposition/non-state rebel group, foreign government) was made based on the country of focus. For instance, the government of Nicaragua is treated as "government" when coding the ONUCA report for Nicaragua but as "foreign government" when coding the same report for Honduras.

1.4. Exception: UNMOT is not considered a transnational mission as the mandate only concerned the situation in Tajikistan. However, as part of the operation, some UNMOT personnel were deployed in neighboring Afghanistan to monitor the Tajik rebel forces based in Afghanistan. For this case, we coded UNMOT's activities in Afghanistan in the same report (without separating reports based on the location of the activities as in sections 1.1/1.2).

Selection of SG reports

1. **SG reports published after 1994**: These reports are available on the UNSC website: UNSC Reports of the Secretary-General. Keywords such as mission names and country names were typed into the search box to identify relevant reports.
2. **SG reports published between 1989 and 1994**: These reports were selected from the UN Digital Library, using keywords such as mission names and country names along with other filtering options (e.g., year, type of report, UN body). To verify that we found all relevant SG reports, we also consulted the UN Yearbooks.
3. **Reports referenced in SG reports**: Some reports were found through references within other SG reports.

Transitional authority

Some missions (i.e., UNTAC, UNTAET, UNMIK) temporarily conducted state functions, taking responsibility for the legislative and administrative functions of the state. We adopted the coding logic so that it fits the transitional nature.

We treated peacekeepers of transitional authority PKOs as "the government" and therefore code the engagement level "implement" also for activities that they carry out with the involvement of national government actors.

- PKO conducts activity (with or without national actors) = IMPLEMENT
- Implement means that the PKO is performing activities related to the activity category on their own/ that they have taken over the responsibility of the activities of this category. For instance, activities that are coded as implement concerning JusticeSectorReform include reforming the justice system and/or performing activities related to the justice system (e.g. conducting trials through international judges). Regulation issues by the PKO are also coded as implement

- Ex. "*The deployment of international judges and prosecutors to district courts across Kosovo has helped to bolster confidence in the judicial system when ethnically linked crimes are being tired*." (S/2000/878, p.118) [JusticeSectorReform:Implement]
- Ex. "*UNMIK has made every effort to ensure that all of Kosovo's communities are represented in the new municipal structures. To this end, my Special Representative appointed representatives of minority communities to elected assemblies in areas where they lived. To date, minority appointees have been incorporated into assemblies in approximately two thirds of Kosovo's municipalities*" (S/2000/116, p. 6) [DemocraticInstitutions:implement]

We code the engagement level "assist" when activities were described specifically as "assisting" or "supporting" the national/local government actors or reported activities are about training, building national capacity, and the like targeting national state employees within police, military, or justice sector. The coding captures the nuances that exist in terms of actor specification within transitional authority missions.

- Ex. "The Human Rights Unit undertakes a number of other capacity-building activities with the support of the Office of the High Commissioner for Human Rights, including the provision of human rights training for the East Timor Police Service…" (UNTAET_S/2001/719 p. 38) [PoliceReform:Assist]

UNMIK

UNMIK is a particular PKO for different reasons. First, Kosovo is an autonomous province with a Serbia. Second, Kosovo becomes independent in 2008 but UNMIK remains. Third, UNMIK is an integrated mission composed of four components: Civil Administration Component – lead by UNMIK, Humanitarian Component – lead by UNHCR, Institution-building Component – lead by OSCE and Reconstruction Component – lead by the EU. We made the following coding decisions.

1. We only code activities carried out by the Civil Administration Component. A rule of thumb to find the activities to code those that are listed under the heading "Civil Administration Component". The reports tend to be organized in a way that separates the activities of each of the four UNMIK components, which means that all the activities of each component are arranged under separate headings. We assume that the activities with each section are carried out by the staff of the corresponding component.
    a. Paragraphs under Civil Administration Component = UNMIK = code!
    b. Paragraphs NOT under Civil Administration Component ≠ UNMIK ≠ code!
    c. Exceptions:
        i. If in any paragraph or if the paragraph mentions the issuance of a regulation or performance of an activity by the Special Representative of the Secretary General (including the Kosovo Transitional Council and the sub-organs led or co-led by UNMIK staff), we code it as carried out by UNMIK.
        ii. If the report refers to a sub-group of the Civil Component in a paragraph under a different component heading.

d. The Civil Administration Component is tasked with specific activities (1999/779 §54-59, *1999/779 §60-65, 1999/779 §66-78* Table 6 in the Appendix gives an overview, which we coded until 2000.
e. The Constitutional Framework for the Provisional Self-Government of Kosovo introduced some changes. As stipulated in the Constitutional Framework (UNMIK/REG/2001/9), the administration of Kosovo is to be shared between UNMIK and the newly formed Kosovo Government, Assembly and municipalities (reg 2000/45) following the establishment of Kosovo's Provisional Government. The Document also outlines the 'reserved powers' of the Special Representative, that is, what activities, institutions and areas of responsibility that will remain under the direct control of the Special Representative. Activities related to these 'reserved powers' are thus designated to the Special Representative and are coded as UNMIK: See an overview in Table 7 in the Appendix.

Other coding issues

UNMIBH Law Enforcement Registry

In November 1999 (UNMIBH/1999/1260), UNMIBH launched the Law Enforcement Personnel Registry which was said to result "first ever authoritative, comprehensive and transparent register of all the approximately 20,000 authorized police officers in Bosnia and Herzegovina" (ibid: §3). The aim of the project was to re-register, test and determine whether the local police officers "would be authorized to continue to exercise police powers" (ibid: 3) as a way of preventing the formation of parallel police structures in the country.

UNMIBH is in charge of this project and conducts all of its elements, so when UNMIBH makes a decision to authorize a police officer to continue exercising their police powers, we code this as **PoliceReform: Implement** as UNMIBH, without involvement of the Government/ local police, restructures and reforms the composition of the police force in the country by authorizing or de-authorizing their use of police powers.

Examples: *"Registration of all police personnel (including prison staff employed by Ministries of Justice, court police, the State Border Service and local Interpol) was completed in May 2001. Of the 24,007 Law enforcement personnel entered in the UNMIBH Law Enforcement Personnel Registry, over 9,300 have been granted provisional authorization to exercise police powers and have been issued UNMIBH identification cards"* (UNMIBH/2001/571: §5)

UNMOP activities in Blue and Yellow Zones

UNMOP was established in February 1996 to take over from the United Nations Confidence Restoration Operation (UNCRO) the task of monitoring the demilitarization of the Prevlaka peninsula, a strategic area disputed by Croatia and the Federal Republic of Yugoslavia. The UNPKO divided parts of the area in Yellow and Blue zones. We made the following decision for coding activities in these zones.

We coded activities carried out by Croatian or Yugoslavian security personnel as Operations_PatrolsInterventions. We do not code it as BorderControl because the area was contested and clear where a border would be.

UNOMIG

Abkhazia is a so called “de facto state” who claimed independence from Georgia but which is not internationally recognized as an independent state. Given the de factor nature of Abkhazia we Authorities in Abkhazia recognize it as an administrative Unit in the Republic of Georgia. We made the following coding decision with regard to this special case: The PKO’s activities involving the Government of Georgia will be coded as **assist**, while activities with Abkhazia Authorities will be coded as **implement**. Hostility from Abkhazia Authorities/ Militia shall be coded as HostilityOther, and hostilities from the Abkhazia government as HostilityGov.

Overlap and differences between PACT 1.0 and PACT 2.0

PACT 2.0 is an evolution of its predecessor, PACT 1.0. Prior to coding version 2.0, we endeavored to streamline the coding process and integrate insights from our experience with PACT 1.0. Consequently, a few activity variables have been modified, the number of engagement categories has been decreased, and we have simplified the representation of international actors' involvement by dichotomizing the information. Please note that the following information is based in comparison to the PACT 1.0 Codebook from 2020.[4]

Table 1 compares the activities that where coded by both datasets. While most activities are the same, there are a few differences. Ceasefire and PeaceProcess are only coded by PACT 1.0. NationalReconciliation is only coded by PACT 2.0. In addition, PACT 2.0 harmonized the coding of patrols and use of force with the engagement categories of the dataset. A detailed comparison of these variable is shown in Table 4.

Table 1 Comparison Activities PACT 2.0 and PACT 1.0

PACT 2.0	**PACT 1.0**
DisarmamentDemobilization	DisarmamentDemobilization
Reintegration	Reintegration
ControlSALW	ControlSALW
Demilitarization	Demilitarization

[4] Since PACT 1.0 has not made publicly available yet, there might be changes to the upcoming published PACT 1.0 dataset version.

ArmsEmbargo	ArmsEmbargo
CivilianProtection	CivilianProtection
HumanRights	HumanRights
ChildRights	ChildRights
SexualViolence	SexualViolence
PoliceReform	PoliceReform
MilitaryReform	MilitaryReform
Operations_PatrolInterventions*	Operations (depending on engagement category)
Operations_UseOfForce*	Operations (depending on engagement category)
JusticeSectorReform	JusticeSectorReform
TransitionalJustice	TransitionalJustice
PrisonReform	PrisonReform
BorderControl	BorderControl
Demining	Demining
Resources	Resources
StateAuthority	StateAuthority
StateAdministration	StateAdministration
DemocraticInstitutions	DemocraticInstitutions
ElectoralSecurity	ElectoralSecurity
ElectionAssistance	ElectionAssistance
VoterEducation	VoterEducation
PartyAssistance	PartyAssistance
CivilSocietyAssistance	CivilSocietyAssistance
Media	Media
PowerSharing	PowerSharing
National Reconciliation	NA
Local Reconciliation	Reconciliation
EconomicDevelopment	EconomicDevelopment
HumanitarianRelief	HumanitarianRelief
PublicHealth	PublicHealth
RefugeeAssistance	RefugeeAssistance
Gender	Gender
LegalReform	LegalReform
NA	Ceasefire
NA	PeaceProcess

PACT 2.0 simplified the coding of the engagement categories. PACT 1.0 differentiates between regular (Table 2) and special engagement (Table 3) categories. PACT 2.0 reduced the granularity of the AssistAgent engagement categories and introduced ProvideSecurity as a regular engagement category for all variables. PACT 2.0 also dropped the special engagement categories and integrated the information in the regular engagement categories.

Table 2 Comparison of regular engagement categories between PACT 2.0 and PACT 1.0

PACT 2.0	**PACT 1.0**
Monitor	Monitor
Outreach	Outreach
Meeting	Meeting
Advocate	Advocate
Assist	Assist
AssistAgents	AssistAgents
AssistAgents	AssistAgents: Skills
AssistAgents	AssistAgents: SexualViolence
AssistAgents	AssistAgents: Gender
AssistAgents	AssistAgents: HumanRights
AssistAgents*	AssistAgents: OtherNorms
AssistPolicies*	AssistPolicies
AssistOther*	AssistOther
MaterialSupport	MaterialSupport
Implement	Implement
NA	Sanction
ProvideSecurity	ProvideSecurity**(only a few activities)

Note: * PACT 2.0 only code these more fine-grained engagement categories for: PoliceReform, MilitaryReform, JusticeSectorReform, and ElectoralSecurity.

** PACT 1.0 only codes Provide Security for: ControlSALW, JusticerReform, JusticerReform , HumanitarianRelief, and RefugeeAssistance.

Table 3 Comparison of Special Engagement Categories in PACT 1.0 with PACT 2.0

PACT 2.0	PACT 1.0
Coded as part of Reintegration and given the respective EC based on context.	Reintegration: Repatriating.
Coded as part of CivilianProtection and given the respective EC based on context.	CivilianProtection: Zones.
	CivilianProtection: CommunityAlert.
Coded as part of PoliceReform and given the respective EC based on context.	PoliceReform: Census.
	PoliceReform: Recruitment.
	PoliceReform: BalanceGender.
	PoliceReform: BalanceEthnic
	PoliceReform: Colocation.*
	PoliceReform: AssistCommunityRelations.

	PoliceReform: SupportIndependence.
Coded as part of MilitaryReform and given the respective EC based on context.	MilitaryReform: Census.
	MilitaryReform: Recruitment.
	MilitaryReform: BalanceGender.
	MilitaryReform: BalanceEthnic.
	MilitaryReform: Colocation.*
	MilitaryReform: AssistCommunityRelations.
	MilitaryReform: SupportIndependence.
	MilitaryReform: AssistSeperationPoliceMilitary.
Coded as part of JusticeSectorReform and given the respective EC based on context.	JusticeSectorReform: Colocation.*
	JusticeSectorReform: LegalAid.
	JusticeSectorReform: MobileCourts.
	JusticerReform:SupportIndependence.
Coded as part of ElectoralSecurity and given the respective EC based on context.	ElectoralSecurity: JointAdministration.
Not coded	ElectionAssistance: JointAdministration.
Coded as part of ElectionAssistance and given the respective EC based on context.	ElectionAssistance: Certification.
	ElectionAssistance: PeacePledgeCodeOfConduct.
Coded as MaterialSupport EC for other activities. See description in codebook for more details.	Media: Radio
LegalReform:Outreach	LegalReform: Dissemination.
LegaReform:Assist	LegalReform: ConstitutionWriting.

Table 4 Comparison of Operation variables in PACT 2.0 and PACT 1.0

Operations_PatrolsInterventions:Monitor	NA
Operations_PatrolsInterventions:Outreach	NA
Operations_PatrolsInterventions:Meeting	NA
Operations_PatrolsInterventions:Advocate	NA
Operations_PatrolsInterventions_Assist	Operations_PatrolsJointPolice Operations_PatrolsJointMilitary
	Operations_InterventionsJointPolice Operations_InterventionsJointMilitary
	Operations_OtherJointPolice Operations_OtherJointMilitary
Operations_PatrolsInterventions_Implement	Operations_PatrolsSolo
	Operations_InterventionsSolo
	Operations_OtherSolo
Operations_UseOfForce:Monitor	NA
Operations_UseOfForce:Outreach	NA

Operations_UseOfForce:Meeting	NA
Operations_UseOfForce:Advocate	NA
Operations_UseOfForce_Assist	Operations_ForceJointPolice Operations_ForceJointMilitary
Operations_UseOfForce_Implement	Operations_ForceSolo
Operations_UseOfForce:ProvideSecurity	NA

Merging PACT 1.0 and PACT 2.0

We do not have a recommendation how to best merge PACT 1.0 and PACT 2.0. The user can make independent coding decision based on the information provided in the codebook. We make our coding decision transparent about how we merged PACT 1.0 and PACT 2.0 for our publication: Otto, S., Kube, F., & Smidt, H. (2024). UN peacekeeping upon deployment: Peacekeeping activities in theory and practice. Cooperation and Conflict, 0(0)., https://doi.org/10.1177/00108367241235888. We provide the R code for merging both datasets at: https://flixi67.shinyapps.io/PACT.

We only merge variables and engagement categories that are in both datasets.

- When we merged activities from PACT 1.0 and Pact 2.0 we dropped all activity variables that have NA in either PACT 1.0 or PACT 2.0 in Table 1.
- We also only kept those regular engagement categories that are in both datasets. We followed the outline in Table 2; we dropped the engagement categories that have NA in either PACT 1.0 or PACT 2.0 in Table 2.
- We also follow the same logic with regard to the “operation” variables and their engagement categories. We followed the approach outlined in Table 4; we dropped the activities/engagement categories that have NA in either PACT 1.0 or PACT 2.0 in Table 4.
- We merged the special engagement categories from PACT 1.0 with PACT 2.0 as outlined in Table 5; we dropped the engagement categories that have NA in either PACT 1.0 or PACT 2.0 in Table 5.

Tabell 5 Merging PACT 2.0 and PACT 1.0

PACT 2.0	PACT 1.0
NA	Reintegration: Repatriating.
NA	CivilianProtection: Zones.
	CivilianProtection: CommunityAlert.
NA	PoliceReform: Census.
	PoliceReform: Recruitment.
	PoliceReform: BalanceGender.

	PoliceReform: BalanceEthnic
	PoliceReform: AssistCommunityRelations.
	PoliceReform: SupportIndependence.
PoliceReform:Assist	PoliceReform: Colocation.
	MilitaryReform: Census.
	MilitaryReform: Recruitment.
	MilitaryReform: BalanceGender.
	MilitaryReform: BalanceEthnic.
	MilitaryReform: AssistCommunityRelations.
	MilitaryReform: SupportIndependence.
NA	MilitaryReform: AssistSeperationPoliceMilitary.
MilitaryReform:Assist	MilitaryReform: Colocation.
	JusticeSectorReform: LegalAid.
	JusticeSectorReform: MobileCourts.
NA	JusticerReform:SupportIndependence.
JusticeSectorReform:Assist	JusticeSectorReform: Colocation.
NA	ElectoralSecurity: JointAdministration.
NA	ElectionAssistance: JointAdministration.
	ElectionAssistance: Certification.
NA	ElectionAssistance: PeacePledgeCodeOfConduct.
NA	Media: Radio
LegalReform:Outreach	LegalReform: Dissemination.
LegaReform:Assist	LegalReform: ConstitutionWriting.

Appendix

Table 6 UNMIK tasks and PACT 2.0 activities until 2000

Tasks	**Sub-tasks**	**PACT activities**
Public Administration and Civil Affairs	Establish multi-ethnic government	
	Provide public services (health, post and telecommunication, education)	StateAdministration: Implement
	Monitor municipal/local agents	StateAdministration: Monitor, Assist
Police	Interim law enforcement	PoliceReform: Implement, Outreach
	Create Kosovo Police Service	PoliceReform: Implement, Assist, Monitor,
	Phase 1: Assist KFOR	PoliceReform: Implement with IA
	Phase 2: Execute and train local police forces	PoliceReform: Implement, Assist, Monitor, Outreach
	Phase 3: Support Kosovo Police Service	PoliceReform: Assist, Monitor; StateAdministration: Implement, PrisonReform: Implement, AssistAgents
Judicial	Administration of courts, prosecutions and prisons	JusticeSectorReform: Implement, Assist, Outreach
	Development of legal policies	LegalReform: Implement
	Review and drafting of legislation	LegalReform: Implement
	Assess quality of Kosovo judiciary and train local staff	JusticeSectorReform: Monitor, Assist

Table 7 Reserved powers by SRSG from 2000

SRSG – reserved authority	
Protection of communities (minorities) and their rights/interests	Final authority on appointment/ removal of judges and prosecutors
Dissolve Assembly and call for new election (after consultation with President) if it acts in a manner deemed not to be in conformity with UNSCR 1244	Deciding authority on assignment of international judges

Final authority to set financial and policy parameters for Kosovo Consolidated Budget	Exercising powers and responsibilities of an international nature in the legal field
Monetary Policy	Law enforcement institutions and correctional services (even when staffed by locals)
External audit on Kosovo Consolidated Budget	Kosovo Protection Corps and civil security and emergency preparedness
Control and authority of UNMIK Customs Service	International affairs /foreign policy
Appointing the members of: • Economic and Fiscal Council • Governing Board of Banking and Payment Authorities • Chief executives of Customs Services and Tax Inspectorate • Auditor General	Control over cross-boundary/border transit of goods
Housing and Property Directorate and Housing Claims Commission	Authority to administer and regulations on public, state and socially owned property
Preserving existing boundaries of municipalities	Ensure that the system of local municipal administration function in accordance with international standards
Appoint international experts to managing boards of media institutions	Civil registry