Codebook: International Trade Commission Antidumping and Countervailing Duties Cases

Variables

1. *ITA No.*

Description: Code assigned to the case by the International Trade Administration in the Department of Commerce.

Variable Type: text

Source: ITA records

2. *ITC No.*

Description: Code assigned to the case by the International Trade Commission.

Variable Type: text

Source: ITC records

3. *Petitioner Description:* Full name of the company, companies, or other organization representing the petitioning domestic industry in the case.

Variable Type: text

Source: ITC records

4. *Industry*

Description: Text description of the manufactured products group subject to the ITC investigation.

Variable Type: text

Source: ITC records

5. *Country*

Description: Name of the home country for importing firms accused of unfair trade practices.

Variable Type: text

Source: ITC records

6. *Type*

Description: Dummy variable distinguishing antidumping and countervailing duty cases.

> 0: antidumping petition
> 1: countervailing duty petition

Variable Type: dichotomous

Source: ITC records

7. *PetitionDate*

Description: Date petition was filed with the ITA.

Variable Type: text

Source: ITC and ITA records

8. *DecisionDate*

Description: Date of the final decision by the ITC.

Variable Type: text

Source: ITC records

9. *Outcome Description:* Dummy variable indicating whether the petition resulted in the imposition of antidumping or countervailing duty penalties on the importing firms.

> 0: no penalties imposed (petition unsuccessful)
> 1: penalties imposed (petition successful)

Variable Type: dichotomous

Source: ITA and ITC records

10. *Unemployment*

Description: Annual US unemployment rate for the year in which the ITC made a final decision.

Variable Type: numeric

Source: US Bureau of Labor Statistics

11. *TradeDeficit*

Description: Annual US balance of payments in goods for the year in which the ITC made a final decision.

Variable Type: numeric

Source: US Census Bureau

12. *NAICS*

Description: The 6-digit North American Industry Classification System code for the petitioning industry.

Variable Type: numeric

Source: Harmonized Tariff Schedule (HTS) codes are reported in the ITC case documents. HTS codes were then cross-referenced with the NAICS codes. Where HTS codes covered multiple products, corresponding NAICS codes were narrowed down using the textual descriptions of the industries provided in the ITC case records.

13. *IndustryEmploy*

Description: Number of people employed by the 6-digit NAICS industry for the year in which the ITC made a final decision.

Variable Type: numeric

Source: US Census Bureau

14. *HerfIndex*

Description: The Herfindahl-Herschmann Index for the 6-digit NAICS industry for the year in which the ITC made a final decision.

Variable Type: numeric

Source: US Census Bureau

15. *CapUtil*

Description: The annual change in capacity utilization for the 6-digit NAICS industry for the year in which the ITC made a final decision.

Variable Type: numeric

Source: US Census Bureau

16. *ChangeProduction*

Description: The 2-year change in domestic production for the petitioning industry.

Variable Type: numeric

Source: ITC case records

17. *DomMarketShare*

Description: The domestic market share of the petitioning industry.

Variable Type: numeric

Source: ITC case records

18. *ChangeImports*

Description: The 2-year change in imports from the home country of foreign firms accused of unfair trade practices.

Variable Type: numeric

Source: ITC case records

19. *DumpingMargin*

Description: The dumping margin assessed against the imported goods by the ITA.

Variable Type: numeric

Source: ITC case records

20. *HouseAuth*

Description: Number of members on the House Ways and Means Committee for which the petitioning industry employs at least 250 people in their district. *Variable Type:* numeric *Source:* US Census Bureau. Employment data was collected for the 6-digit NAICS industry code by county. The Federal Information Processing Standards (FIPS) codes for the county were then cross-referenced with the FIPS code for each congressional district. In many cases, the geographic boundaries of congressional districts divide a single county. Rather than estimate a

percentage of industry employment, I simply coded each congressional district that contains the county as having the industry presence. This data was then cross-referenced with the listing of members of the Committee from the Congressional Almanac for the year in which the ITC made a final decision.

21. *SenateAuth*
Description: Number of members on the Senate Finance Committee for which the petitioning industry employs at least 250 people in their district.
Variable Type: numeric
Source: US Census Bureau. Employment data was collected for the 6-digit NAICS industry code by county. The Federal Information Processing Standards (FIPS) codes for the county were then cross-referenced with the FIPS code for each state. This data was then cross-referenced with the listing of members of the Committee from the Congressional Almanac for the year in which the ITC made a final decision.

22. *House Support Description:* Number of members on the House Ways and Means Committee who testified (in person or via written support) on behalf of the petitioning industry in a case.
Variable Type: numeric
Source: ITC case records cross-referenced with Congressional Almanac data on committee membership.

23. *House Oppose Description:* Number of members on the House Ways and Means Committee who testified (in person or via written support) against the petition in a case. *Variable Type:* numeric

Source: ITC case records cross-referenced with Congressional Almanac data on committee membership.

24. *Senate Support Description:* Number of members on the Senate Finance Committee who testified (in person or via written support) on behalf of the petitioning industry in a case.
Variable Type: numeric
Source: ITC case records cross-referenced with Congressional Almanac data on committee membership.

25. *Senate Oppose Description:* Number of members on the Senate Finance Committee who testified (in person or via written support) against the petition in a case. *Variable Type:* numeric
Source: ITC case records cross-referenced with Congressional Almanac data on committee membership.

26. *Downstream*
Description: Indication of whether a downstream user (reseller, manufacturer using the products as inputs, etc.) of the petitioned imported products testifies in opposition to the petition. *Variable Type:* dichotomous

> 0: no downstream opposition
> 1: at least one downstream company opposed the petition
> *Source:* ITC case records

27. *MNC*
Description: Indication of whether a US subsidiary or affiliate of a

multinational firm accused of unfair trade practices in the petition testifies in opposition to the petition. *Variable Type:* dichotomous

> 0: no multinational subsidiary opposition
> 1: at least one US subsidiary of an MNC opposed the petition
> *Source:* ITC case records

28. *Industry.Split*
Description: Indication of whether a US firm that is in the same industry as the petitioning firms testifies in opposition to the petition. *Variable Type:* dichotomous

> 0: no intra-industry opposition
> 1: at least one firm within the petitioning firms industry opposed the petition
> *Source:* ITC case records