CAMPAIGN FINANCIAL DISCLOSURE STATEMENT

For State and Local Candidates
For Single-Candidate Committees

1. DATE OF REPORT	2.a. NAME OF CANDIDATE OR COMMITTEE
October 10, 2021	Andrew Roberto for City Council

2.b. IF COMMITTEE, NAME OF CANDIDATE	3. ELECTION DATE
Andrew Roberto	November 2, 2021

4.a. CAMPAIGN ADDRESS AND PHONE

Street or Rural Route	City	State	Zip Code	Phone
259 N. Peters Road, Suite 101	Knoxville	TN	37923	865-406-4012

4.b. CANDIDATE'S HOME ADDRESS (if different than 4.a.)

Street or Rural Route	City	State	Zip Code	Phone
1005 Hayslope Dr.	Knoxville	TN	37919	865-406-4012

5. OFFICE SOUGHT (include district number, if applicable)	6. NAME OF POLITICAL TREASURER (may be candidate)
Knoxville City Council, District 2	Elizabeth (Lisa) W. Carroll

7. CATEGORY OR REPORT (Check one)

☐ FIRST QUARTER	☐ SECOND QUARTER	☒ THIRD QUARTER	☐ FOURTH QUARTER	☐ PRE-PRIMARY	☐ PRE-GENERAL	☐ MID-YEAR SUPPLEMENTAL	☐ YEAR-END SUPPLEMENTAL

8.a. BEGINNING DATE OF REPORTING PERIOD	8.b. ENDING DATE OF REPORTING PERIOD
August 22, 2021	September 30, 2021

9. (Check one)

a. ☐ This campaign is exempt from detailed disclosure because contributions (including in-kind) received total $1,000 or less AND expenditures total $1,000 or less for this reporting period. (Complete items 12d., 12e. and 12f.)

b. ☒ This campaign is required to file a detailed financial disclosure because contributions (including in-kind) received total more than $1,000 and/or expenditures total more than $1,000 for this reporting period.

10. I/we do solemnly swear or affirm that the information contained in this campaign financial disclosure report is true and that this report is an accurate accounting of campaign contributions and expenditures required to be reported by the candidate committee by the Campaign Financial Disclosure Act. Additionally, I/we swear or affirm that no campaign contributions have been expended for the personal financial benefit of the candidate or for any other nonpolitical purpose as defined by the federal internal revenue code.

signature of candidate	date	signature of political treasurer	date
[signature]	10/10/21	Elizabeth W. Carroll	10/10/21

11. WITNESS SIGNATURE

signature of witness	date	signature of witness	date
Sarah Roberto	10/10/21	Sarah Roberto	10/10/21

12. SUMMARY

a.	BALANCE ON HAND LAST REPORT	$ 20,333.34
b.	TOTAL RECEIPTS THIS PERIOD	$ 5,275.00
c.	TOTAL DISBURSEMENTS THIS PERIOD	$ 14,709.90
d.	BALANCE ON HAND (12.a. plus 12.b. minus 12.c.)	$ 10,898.44
e.	TOTAL LOANS OUTSTANDING	$ 3,247.00
f.	TOTAL OBLIGATIONS OUTSTANDING	$ 0.00

SUMMARY PAGE - CANDIDATE

13. NAME OF CANDIDATE OR COMMITTEE (In Full)	14. REPORT COVERING THE PERIOD	
Andrew Roberto for City Council	FROM: 8/22/21	TO: 9/30/21

RECEIPTS

15. CONTRIBUTIONS (other than loans and interest)

a. Unitemized Contributions ($100 or less from each source this period)	$ 35.00	
b. Itemized Contributions (over $100 from each source this period)	$ 5,185.00	
c. TOTAL CONTRIBUTIONS (other than loans and interest)(add 15.a. and 15.b.)		$ 5,220.00
16. LOANS RECEIVED THIS REPORTING PERIOD		$ 55.00
17. INTEREST RECEIVED THIS REPORTING PERIOD		$
18. TOTAL RECEIPTS (add 15.c., 16., and 17.) (must be shown in item 12.b.)		$ 5,275.00

DISBURSEMENTS

19. EXPENDITURES (other than loan payments)

a. Expenditures ($100 or less each payee this period) (must be listed by category - e.g., printing, postage, gasoline)

Credit card fees	$ 15.00
	$
	$
	$
	$
	$
	$
	$
	$

Total of Expenditures ($100 or less each payee)	$ 15.00	
b. Itemized Expenditures (Over $100 each payee this period)	$ $14,694.90	
c. TOTAL EXPENDITURES (other than loan repayments)(add 19.a. and 19.b.)		$ $14,709.90
20. LOAN REPAYMENTS MADE THIS PERIOD		$ 0.00
21. TOTAL DISBURSEMENTS (add 19.c. and 20.) (must be shown in item 12.c.)		$ $14,709.90

22. IN-KIND CONTRIBUTIONS

a. Unitemized in-kind contributions ($100 or less from eacE source tEis period)	$ 200.35	
b.Etemized in-kind contributions (over $100 from eacE source tEis period)	$ 1,000.00	
c. TETAL EN-KEND CENTREBUTEENS RECEEVED THES PEREED (add 22.a. and 22.b.)		$ 1,200.35

23. OBLIGATIONS

a. Unitemized Obligations Outstanding ($100 or less each)	$	
b. Itemized Obligations Outstanding (Over $100 each)	$	
c. TOTAL OBLIGATIONS OUTSTANDING (add 23.a. and 23.b.) (must be shown i item 12.f.)		$ 0.00

ITEMIZED STATEMENT OF CONTRIBUTIONS - CANDIDATE

1. NAME OF CANDIDATE OR COMMITTEE	2. REPORT COVERING THE PERIOD	
Andrew Roberto for City Council	FROM: 8/22/21	TO: 9/30/21
3. TOTAL ITEMIZED CAMPAIGN CONTRIBUTIONS FROM PRECEDING PAGE (enter $0 if first itemized page)		Amount $0.00

4. COMPLETE THE APPROPRIATE ITEMS FOR EACH ITEMIZED CONTRIBUTION (contributions totaling more than $100 from any contributor)

Itemized Contributions on page 4			Contribution Received For: ☐ Primary Election ☒ General Election ☐ Runoff (Local Elections Only)	Amount of Contribution
Last Name/Organization Name				
Address				
City	State	Zip Code	Date of Contribution	Aggregate This Election
Occupation				
Employer				
First Name	Middle Name		Contribution Received For: Primary Election ☐ ☐ General Election ☐ Runoff (Local Elections Only)	Amount of Contribution
Last Name/Organization Name				
Address				
City	State	Zip Code	Date of Contribution	Aggregate This Election
Occupation				
Employer				
First Name	Middle Name		Contribution Received For: ☐ Primary Election ☐ General Election ☐ Runoff (Local Elections Only)	Amount of Contribution
Last Name/Organization Name				
Address				
City	State	Zip Code	Date of Contribution	Aggregate This Election
Occupation				
Employer				
First Name	Middle Name		Contribution Received For: ☐ Primary Election ☐ General Election ☐ Runoff (Local Elections Only)	Amount of Contribution
Last Name/Organization Name				
Address				
City	State	Zip Code	Date of Contribution	Aggregate This Election
Occupation				
Employer				

5. TOTAL ITEMIZED CONTRIBUTIONS (Carry forward to item 3. of next page if additional pages of this form are used.) (If this is the last page of contributions, this amount must be shown in item 15b. of summary.)	$5,185.00

Andrew Roberto for City Council Contributions 8/22/21 - 9/30/21

Date	Last Name	First Name	Addresss	City	St.	Zip	Employer	Occupation	Amt.	Total YTD
9/26/21	Barnett	Daniel	2035 Lakeside Cntr Way, #110	Knoxville	TN	37922	Radio Systems, Inc.	VP	$350	
7/30/21	Bond	Joshua	5601 Meadow Glen Dr.	Knoxville	TN	37919	Hodges, Doughty & Carson	Attorney	$250	
9/25/21	Carroll	Adam	7317 Misty Meadow Pl	Knoxville	TN	37919	UT Battelle	Engineer	$100	
9/27/21	Carroll	Lisa	4315 Hiawatha Dr.	Knoxville	TN	37919	Retired	Retired	$250	$750
9/21/21	Claussen	H. Peter	PO Box 2408	Knoxville	TN	37901	Gulf & Ohio Railways, Inc.	Chairman	$500	
9/13/21	Democratic Women of Knoxville		3041 Conner Dr.	Knoxville	TN	37918			$100	
9/22/21	Fox	Bruce	310 N. Main St.	Clinton	TN	37716	Self	Attorney	$250	
9/13/21	Goslee	Nancy	709 Forest Hills Blvd	Knoxville	TN	37919	Retired	Retired	$100	
9/25/21	Harper	Shannon	3804 Kenilworth Drive	Knoxville	TN	37919	Harper Auto Square	Car dealer	$250	
8/25/21	Hunt	Chet	1548 Botsford Dr	Knoxville	TN	37922	Retired	Retired	$50	
9/9/21	Kline	Wayne	1226 Burch Cove Way	Knoxville	TN	37922	Hodges, Doughty & Carson	Attorney	$250	
9/3/21	Lawson	Phillip	755 Kenesaw Ave	Knoxville	TN	37919	LHP Capital, LLC	Developer	$1,600	
9/14/21	Lee	David & Judy	4519 Owana Dr	Knoxville	TN	37914	Retired	Retired	$100	
9/8/21	Lemson	Stephen	1400 Kenesaw Avenue, #21B	Knoxville	TN	37919	Retired	Retired	$50	
9/28/21	Malone	Carlene	5709 Lyons View Pike, #5302	Knoxville	TN	37919	Retired	Retired	$200	
9/2/21	McGehee	Mary	801 Vanosdale Road, #215	Knoxville	TN	37909	Retired	Retired	$100	
9/29/21	Moxley	Cynthia	400 W Church Ave, # 202	Knoxville	TN	37902	Moxley Carmichael, Inc.	CEO	$250	
9/6/21	Rowe	Jamie	4215 Tazewell Pike	Knoxville	TN	37918	Retired	Retired	$100	
9/17/21	Saunders	Finbarr	102 Herron Dr.	Knoxville	TN	37919	Retired	Retired	$200	
8/25/21	Scruggs	Kathy	807 Piccadilly Rd.	Knoxville	TN	37909	Retired	Retired	$35	
9/26/21	Smith	Stephen	5443 Yosemite Trail	Knoxville	TN	37909	SACE	Director	$100	$200

ITEMIZED STATEMENT OF IN-KIND CONTRIBUTIONS - CANDIDATE

1. NAME OF CANDIDATE OR COMMITTEE	2. REPORT COVERING THE PERIOD	
Andrew Roberto for City Council	FROM: 8/22/21	TO: 9/30/21
3. TOTAL ITEMIZED IN-KIND CONTRIBUTIONS FROM PRECEDING PAGE (enter $0 if first itemized page)		Amount $0

4. COMPLETE THE APPROPRIATE ITEMS FOR EACH ITEMIZED IN-KIND CONTRIBUTION (in-kind contributions totaling more than $100 from any contributor during the period)

First Name	Middle Name	In-Kind Contribution Received For:	Value of In-Kind Contribution
Peter	Michael	☐ Primary Election ☒ General Election ☐ Runoff (Local Elections Only)	$1,000.00
Last Name/Organization Name		**Date of In-Kind Contribution**	**Aggregate this Election**
Lancellotti		8/31/21	
Address		**Description of In-Kind Contribution**	
7500 Cherokee Springs Way		Marketing	
City	**State / Zip Code**		
Knoxville	TN 37919		
Occupation	**Employer**		
Management Services	Self		

First Name	Middle Name	In-Kind Contribution Received For:	Value of In-Kind Contribution
		☐ Primary Election ☐ General Election ☐ Runoff (Local Elections Only)	
Last Name/Organization Name		**Date of In-Kind Contribution**	**Aggregate this Election**
Address		**Description of In-Kind Contribution**	
City	**State / Zip Code**		
Occupation	**Employer**		

First Name	Middle Name	In-Kind Contribution Received For:	Value of In-Kind Contribution
		☐ Primary Election ☐ General Election ☐ Runoff (Local Elections Only)	
Last Name/Organization Name		**Date of In-Kind Contribution**	**Aggregate this Election**
Address		**Description of In-Kind Contribution**	
City	**State / Zip Code**		
Occupation	**Employer**		

First Name	Middle Name	In-Kind Contribution Received For:	Value of In-Kind Contribution
		☐ Primary Election ☐ General Election ☐ Runoff (Local Elections Only)	
Last Name/Organization Name		**Date of In-Kind Contribution**	**Aggregate this Election**
Address		**Description of In-Kind Contribution**	
City	**State / Zip Code**		
Occupation	**Employer**		

First Name	Middle Name	In-Kind Contribution Received For:	Value of In-Kind Contribution
		☐ Primary Election ☐ General Election ☐ Runoff (Local Elections Only)	
Last Name/Organization Name		**Date of In-Kind Contribution**	**Aggregate this Election**
Address		**Description of In-Kind Contribution**	
City	**State / Zip Code**		
Occupation	**Employer**		

5. TOTAL ITEMIZED IN-KIND CONTRIBUTIONS	
(Carry forward to item 3. of next page if additional pages of this form are used.) (If this is the last page of in-kind contributions, this amount must be shown in item 22b. of summary.)	$1,000.00

ITEMIZED STATEMENT OF EXPENDITURES - CANDIDATE

1. NAME OF CANDIDATE OR COMMITTEE	2. REPORT COVERING THE PERIOD	
Andrew Roberto for City Council	FROM: 8/22/21	TO: 9/30/21

3. TOTAL ITEMIZED CAMPAIGN EXPENDITURES FROM PRECEDING PAGE (enter $0 if first itemized page)	Amount
	$0

4. COMPLETE THE APPROPRIATE ITEMS FOR EACH ITEMIZED EXPENDITURE (expenditures totaling more than $100 to any payee during the period)

First Name	Middle Name	Last Name/Business Name	Address	City	State	Zip Code	Purpose of Expenditure	Amount of Expenditure
		Targeted Strategy	1810 Water Mill Trail	Knoxville	TN	37922	Marketing	$12,046.11
		United Graphics, Inc.	7364 Morton View Lane	Powell	TN	37849	Marketing	$214.52
Jack		Vaughan	731 Morgan St., Apt. 2	Knoxville	TN	37917	Campaign management	$2,000.00
		Merchant Bankcard Services	2625 Townsgate Rd., Suite 100	Westlake Village	CA	91361	Credit card fees	$131.82
		Charlie Brown Company	3304 Mynatt Ave.	Knoxville	TN	37919	Marketing	$434.27

5. TOTAL ITEMIZED EXPENDITURES (Carry forward to item 3. of next page if additional pages of this form are used.) (If this is the last page of expenditures, this amount must be shown in item 19b. of summary.)	$14,694.90

ITEMIZED STATEMENT OF LOANS - CANDIDATE

1. NAME OF CANDIDATE OR COMMITTEE	2. REPORT COVERING THE PERIOD	
Andrew Roberto for City Council	FROM: 8/22/21	TO: 9/30/21

3. COMPLETE THE APPROPRIATE ITEMS FOR EACH ITEMIZED LOAN (loans totaling more than $100 from any source during the period)

Complete the Following for the Source of the Loan

First Name	Middle Name	Outstanding Loan Balance (Beginning of Period)	Loans Received	Loan Payments	Outstanding Loan Balance (End of Period)
Andrew	James	$3,192	$55.00	$0.00	$3,247

Last Name/Organization Name: Roberto

Address	Loan Received For:	Date of Loan
1005 Hayslope Dr.	☐ Primary Election ☒ General Election	9/1/21
City: Knoxville / State: TN / Zip Code: 37919	☐ Runoff (Local Elections Only)	

List All Endorsers or Guarantors for Above Loan (If more space is needed please attach a page)

First Name	Middle Name	First Name	Middle Name
Last Name/Organization Name		Last Name/Organization Name	
Address		Address	
City	State / Zip Code	City	State / Zip Code
Amount Guaranteed Outstanding		Amount Guaranteed Outstanding	

First Name	Middle Name	First Name	Middle Name
Last Name/Organization Name		Last Name/Organization Name	
Address		Address	
City	State / Zip Code	City	State / Zip Code
Amount Guaranteed Outstanding		Amount Guaranteed Outstanding	

First Name	Middle Name	First Name	Middle Name
Last Name/Organization Name		Last Name/Organization Name	
Address		Address	
City	State / Zip Code	City	State / Zip Code
Amount Guaranteed Outstanding		Amount Guaranteed Outstanding	

First Name	Middle Name	First Name	Middle Name
Last Name/Organization Name		Last Name/Organization Name	
Address		Address	
City	State / Zip Code	City	State / Zip Code
Amount Guaranteed Outstanding		Amount Guaranteed Outstanding	

4. **Totals for all Loans (complete on last page of itemized loans)** (Total loans received should also be shown in item 16. on summary page.) (Total loan payments should also be shown in item 20. on summary page.) (Total outstanding loan balance should also be shown in item 12.e. on front page.)	Outstanding Loan Balance (Beginning of Period)	Loans Received	Loan Payments	Outstanding Loan Balance (End of Period)
				$3,247.00

ITEMIZED STATEMENT OF OBLIGATIONS - CANDIDATE

1. NAME OF CANDIDATE OR COMMITTEE Andrew Roberto for City Council			2. REPORT COVERING THE PERIOD FROM: 8/22/21 TO: 9/30/21		
3. COMPLETE THE APPROPRIATE ITEMS FOR EACH ITEMIZED OBLIGATION (obligations totaling more than $100 owed to any person/vendor at the end of the reporting period)	Outstanding Balance (Beginning of Period) 0.00	Debt Incurred This Period	Payments This Period	Outstanding Balance (End of Period) 0.00
First Name / Middle Name Last Name/Business Name Address City / State / Zip Code Description of Obligation				
First Name / Middle Name Last Name/Business Name Address City / State / Zip Code Description of Obligation				
First Name / Middle Name Last Name/Business Name Address City / State / Zip Code Description of Obligation				
First Name / Middle Name Last Name/Business Name Address City / State / Zip Code Description of Obligation				
First Name / Middle Name Last Name/Business Name Address City / State / Zip Code Description of Obligation				
4. TOTALS (Total from Outstanding Balance - (End of Period) column must also be shown in item 23b. on summary page.)				0.00