Online Appendix for

Of principal(s') interest? A disaggregated, multiple principals' approach to Commission discretion

Markus Gastinger and Johan Adriaensen

16 August 2018

Table of Contents

Table 1A: Interview methods table with European Commission officials 2
Table 2A: Exact wording of the statements included in the survey instrument 5
Additional information on sources used for triangulation 5
Table 3A: Spearman correlation matrix for all indicators of interest reported in the article 6

Table 1A: Interview methods table with European Commission officials

ID	Status	Source	Mode	Format	Length	Recording	Transcript
1	SA 22/01/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
2	SA 01/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
3	SA 01/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
4	SA 01/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
5	SA 01/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
6	SA 01/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
7	SA 02/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
8	SA 02/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
9	SA 03/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
10	SA 08/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
11	SA 10/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
12	SA 11/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
13	SA 18/02/2016	CE	By internet	Online questionnaire			Data included in file *survey.csv*
14	C 27/09/2016; I 15/11/2016	CL	By phone	Interview	30 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
15	C 27/09/2016; RM 17/10/2016; NR	CL					

16	C 27/09/2016; SA 27/09/2016	CL	By internet	Online questionnaire			Data included in file *survey.csv*
17	C 27/09/2016; RM 17/10/2016; RE 17/10/2016	CL					
18	C 27/09/2016; RM 17/10/2016; RE 17/10/2016	CL					
19	C 27/09/2016; RE 28/09/2016	CL					
20	C 27/09/2016; I 05/10/2016	CL	By phone	Interview	40 mins	Concurrent notes & supplementary notes within 1 hr	Data included in file *survey.csv*; confidentiality requested for additional comments
21	C 27/09/2016; RM 17/10/2016; RE 24/10/2016	CL					
22	C 28/09/2016; SA 28/09/2016	RB 19	By internet	Online questionnaire			Data included in file *survey.csv*
23	C 17/10/2016; RM 07/11/2016; I 11/11/2016	RB 18	By phone	Interview	30 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
24	C 19/10/2016; I 25/10/2016	CL	By phone	Interview	20 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
25	C 24/10/2016; RM 07/11/2016; RE 07/11/2016	RB 17					
26	C 24/10/2016; RM 07/11/2016; D 29/11/2016	CL					
27	C 25/10/2016; RM 07/11/2016; SA 07/11/2016; I 09/11/2016	RB 19	By phone	Online questionnaire; interview	40 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments

28	C 07/11/2016; I 08/11/2016	CL	By phone	Interview (for two chapters)	30 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
29	C 07/11/2016; I 11/11/2016	RB 25	By phone	Interview	40 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
30	C 07/11/2016; RE 24/11/2016	CL					
31	C 14/11/2016; RM 28/11/2016; RE 28/11/2016	CL					
32	C 22/11/2016; RE 22/11/2016	CL					
33	C 22/11/2016; RM 06/12/2016; NR	CL					
34	C 22/11/2016; RM 06/12/2016; SA 08/12/2016	CL	By internet	Online questionnaire			Data included in file *survey.csv*
35	C 23/11/2016; RE 29/11/2016	CL					
36	C 28/11/2016; I 02/12/2016	RB 30	By phone	Interview	35 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
37	C 29/11/2016; RM 12/12/2016; I 08/03/2017	RB 31	By phone	Interview	30 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
38	C 30/11/2016; RM 07/12/2016; I 22/12/2016	RB 35	Face-to-face	Interview	45 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments
39	C 30/11/2016; RM 07/12/2016; I 20/01/2017	RB 32	Face-to-face	Interview	90 mins	Concurrent notes & supplementary notes immediately afterwards	Data included in file *survey.csv*; confidentiality requested for additional comments

Notes: C – contacted; CE – Commission email sent to around 100 Commission officials involved in the TTIP negotiations in January/February 2016; CL – Commission list with TTIP negotiators published online (since taken offline); D – declined to take part; I – interview; NR – no response; RM – reminder; RB – referred by Commission official; RE – referred us to another person; SA – online survey self-administered.

Table 2A: Exact wording of the statements included in the survey instrument

Principal	Statement
Council	Member States provide extensive input for the negotiations in my area.
	Member States want to be briefed promptly about developments in my area.
	We brief Member States through official Council structures (committees, working groups etc.) on progress in the negotiations.
	We brief Member States informally (outside Council structures).
EP	The European Parliament (EP) follows what we are doing.
	MEPs (or their assistants) actively reach out to us.
	EP committees want to be briefed promptly about developments in my area.
Citizens	Civil society actors (NGOs etc.) follow what we are doing.
	We organize meetings (e.g. consultations, workshops) with civil society actors to solicit their feedback.
	Journalists follow what we are doing.

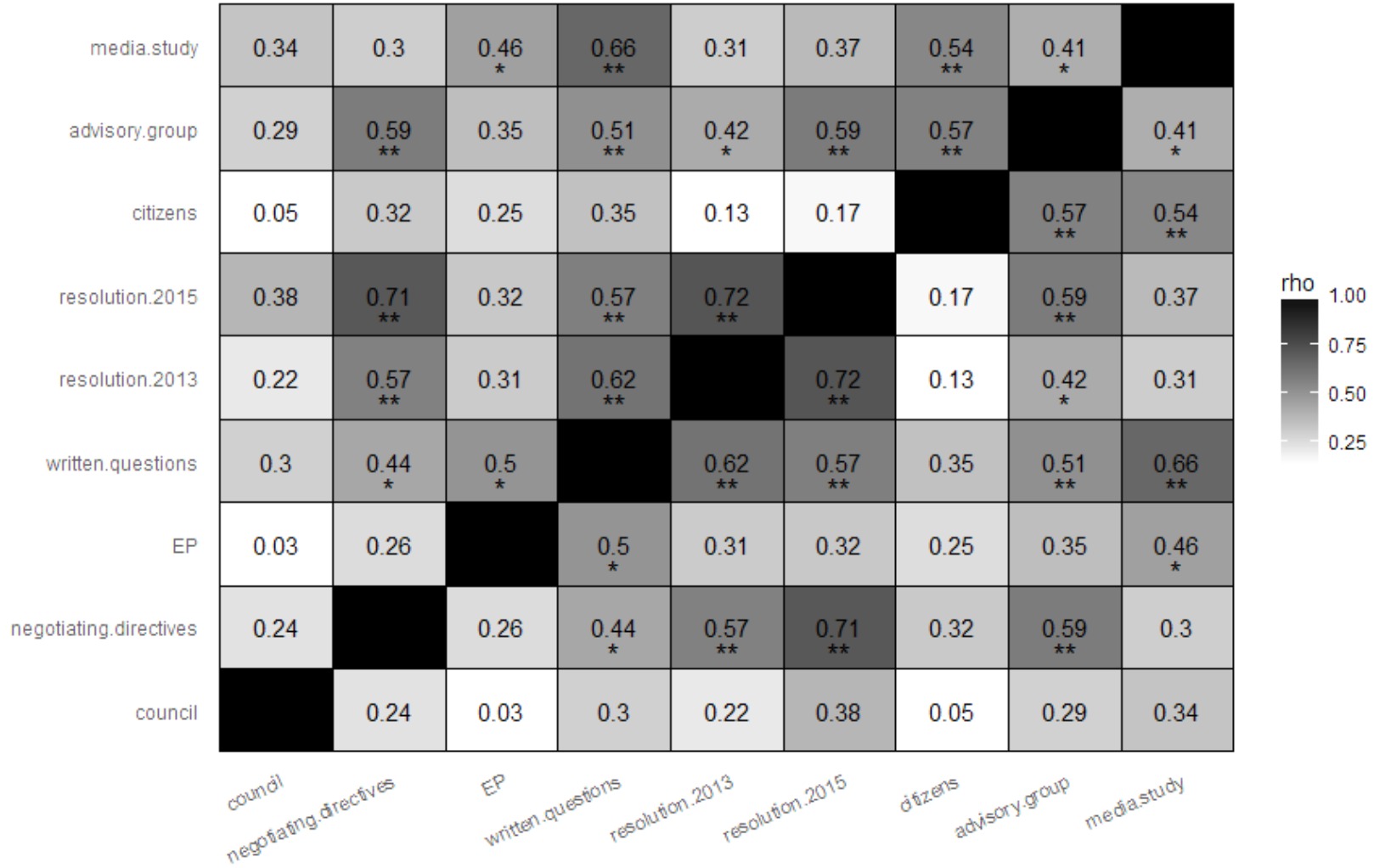
Additional information on sources used for triangulation

EP written questions: we identified 485 'questions for written answer' (document type) through the EP public register of documents[1] containing the search string 'TTIP' and filed between 1 January 2013 and 30 November 2016 (day of data extraction). Since 42 of these questions referred to TTIP in passing but did not deal with the negotiations themselves, we were left with 443 questions. We included both questions to the Commission and the Council in our analysis since both count as an expression of 'interest in a chapter' and the Commission likely learns about questions even if formally addressed to the Council.

Print media study (Germany): we included the following newspapers: *taz*, *Die Welt*, *Frankfurter Rundschau*, *Rheinische Post Düsseldorf*, *Südwest-Presse*, and *Berliner Zeitung*. This represents a balance of national and regional newspapers with varying political leanings to which we had access through Lexis-Nexis. We started by identifying all articles including 'TTIP' and then identified the number of articles containing any of the words included on our list of words characteristic of each chapter at least once. Overall, we captured around two-thirds of all articles mentioning TTIP with this method. At the end, we performed a word frequency analysis on a 500-article sample *not* captured by any of our words. No word featuring high in that sample could plausibly be included in any of the chapters. We are therefore confident to capture the maximum variation possible with this approach. The words used for each chapter are available in the replication file *media_germany.csv*.

[1] Available at: http://www.europarl.europa.eu/RegistreWeb/search/simpleSearchHome.htm?language=EN (accessed August 2018).

Table 3A: Spearman correlation matrix for all indicators of interest reported in the article



Notes: * $p < 0.05$, ** $p < 0.01$.