Revised 8/5/2021

HAWAIIAN MONK SEAL (*Neomonachus schauinslandi*)

STOCK DEFINITION AND GEOGRAPHIC RANGE

Hawaiian monk seals are distributed throughout the Northwestern Hawaiian Islands (NWHI), with subpopulations at French Frigate Shoals, Laysan Island, Lisianski Island, Pearl and Hermes Reef, Midway Atoll, Kure Atoll, and Necker and Nihoa Islands. They also occur throughout the main Hawaiian Islands (MHI). Genetic variation among monk seals is extremely low and may reflect a long-term history at low population levels and more recent human influences (Kretzmann *et al*. 1997, 2001, Schultz *et al*. 2009). Though monk seal subpopulations often exhibit asynchronous variation in demographic parameters (such as abundance trends and survival rates), they are connected by animal movement throughout the species' range (Johanos *et al*. 2013). Genetic analysis (Schultz *et al*. 2011) indicates the species is a single panmictic population. The Hawaiian monk seal is therefore considered a single stock. Scheel *et al*. (2014) established a new genus, *Neomonachus*, comprising the Caribbean and Hawaiian monk seals, based upon molecular and skull morphology evidence.

POPULATION SIZE

The best estimate of the total population size is 1,437 (no change from 2020 SAR) (95% confidence interval 1,369 – 1,532); CV = 0.03), (Table 1, Johanos 2021 a, b, c). In 2016, new approaches were developed to estimate Hawaiian monk seal abundance, both range-wide and at individual subpopulations (Baker *et al.* 2016, Harting *et al.* 2017). In brief, methods for abundance estimation vary by site and year depending on the type and quantity of data available. Total enumeration is the favored method, but requires sufficient field presence to convincingly identify all the seals present, which is typically not achieved at most sites (Baker *et al*. 2006). When total enumeration is not possible, capture-recapture estimates (using Program CAPTURE) are conducted (Baker 2004; Otis *et al*. 1978, Rexstad & Burnham 1991, White *et al*. 1982). When no reliable estimator is obtainable in Program CAPTURE (i.e., the model selection criterion is < 0.75, following Otis *et al*. 1978), total non-pup abundance is estimated using pre-existing information on the relationship between proportion of the population identified and field effort hours expended (referred to as discovery curve analysis). At rarely visited sites (Necker, Nihoa, Niihau and Lehua Islands) where data are insufficient to use any of the above methods, beach counts are corrected for the proportion of seals at sea. In the MHI other than Niihau and Lehua Islands, abundance is estimated as the minimum tally of all individuals identified by an established sighting network during the calendar year. At all sites, pups are tallied. Finally, site-specific abundance estimates and their uncertainty are combined using Monte Carlo methods to obtain a range-wide abundance estimate distribution. All the above methods are described or referenced in Baker *et al*. (2016) and Harting *et al*. (2017). Note that because some of the abundance estimation methods utilize empirical distributions which are updated as new data accrue, previous years' estimates can change slightly when recalculated using these updated distributions.

In 2019, total enumeration was achieved at Lisianski Island and Kure Atoll, and at Midway Atoll, Laysan Island, French Frigate Shoals, and Pearl and Hermes Reef, abundance estimates were obtained using discovery curve analysis (Table 1). Counts at Necker and Nihoa Islands are conducted from zero to a few times per year. Pups are born over the course of many months and have very different haulout patterns compared to older animals. Therefore, pup production at Necker and Nihoa Islands is estimated as the mean of the total pups observed in the past 5 years, excluding counts occurring early in the pupping season when most have yet to be born.

In the MHI, NMFS collects information on seal sightings reported throughout the year by a variety of sources, including a volunteer network, the public, and directed NMFS observation effort. A small number of surveys of Ni'ihau and nearby Lehua Islands are conducted through a collaboration between NMFS, Ni'ihau residents and the US Navy. Total MHI monk seal abundance is estimated by adding the number of individually identifiable seals documented during a calendar year on all MHI other than Ni'ihau and Lehua to an estimate for these latter two islands based on counts expanded by a haulout correction factor. A telemetry study (Wilson *et al*., 2017) found that MHI monk seals (N=23) spent a greater proportion of time ashore than Harting *et al*. (2017) estimated for NWHI seals. Therefore, the total non-pup estimate for Ni'ihau and Lehua Islands was the total beach count at those sites (less individual seals already counted at other MHI) divided by the mean proportion of time hauled out in the MHI (Wilson *et al*., 2017). The total pups observed at Ni'ihau and Lehua Islands were added to obtain the total (Table 1).

Table 1. Total and minimum estimated abundance (N_{min}) of Hawaiian monk seals by location in 2019. The estimation method is indicated for each site. Methods used include DC: discovery curve analysis, EN: total enumeration; CR:

capture-recapture; CC: counts corrected for the proportion of seals at sea; Min: minimum tally. Median values are presented. Note that the median range-wide abundance is not equal to the total of the individual sites' medians, because the median of sums may differ from the sum of medians for non-symmetrical distributions. N_{min} for individual sites are either the minimum number of individuals identified or the 20th percentile of the abundance distribution (the latter applies to Necker, Nihoa, Ni'ihau/Lehua, and range-wide).

	Total			***Nmin***			
Location	**Non-pups**	**Pups**	**Total**	**Non-pups**	**Pups**	**Total**	**Method**
French Frigate Shoals	188	35	223	186	35	221	DC
Laysan	194	40	234	193	40	233	DC
Lisianski	139	19	158	139	19	158	EN
Pearl and Hermes Reef	120	21	141	120	21	141	DC
Midway	70	10	80	70	10	80	DC
Kure	81	13	94	81	13	94	EN
Necker	62	8	70	52	8	60	CC
Nihoa	72	4	76	61	4	65	CC
MHI_(without Ni'ihau/ Lehua)	161	25	186	161	25	186	Min
Ni'ihau/Lehua	138	23	161	115	23	138	CC
Range-wide	***1239***	***198***	***1437***	***1178***	***198***	***1376***	

Minimum Population Estimate

The total numbers of seals identified at the NWHI subpopulations other than Necker and Nihoa, and in the MHI other than Ni'ihau and Lehua, are the best estimates of minimum population size at those sites. Minimum population sizes for Necker, Nihoa, Ni'ihau, and Lehua Islands are estimated as the lower 20th percentiles of the non-pup abundance distributions generated using haulout corrections as described above, plus the pup estimates. The minimum abundance estimates for each site and for all sites combined (1,376) are presented in Table 1.

Current Population Trend

Range-wide abundance estimates are available from 2013 to 2019 (Figure 1). While these estimates remain somewhat negatively-biased for reasons explained in Baker et al. (2016), they provided a much more comprehensive assessment of status and trends than has been previously available. A Monte Carlo approximation of the annual multiplicative rate of realized population growth during 2013-2019 was generated by fitting 10,000 log-linear regressions to randomly selected values from each year's abundance distributions. The median rate (and 95% confidence limits) is 1.02 (1.01, 1.03). Thus, the best estimate is that the population grew at an average rate of about 2% per year from 2013 to 2019. Less than 1% of the distribution was below 1, indicating that there is greater than a 99% chance that the monk seal population increased during 2013- 2019.

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Mean non-pup beach counts are used as a long-term index of abundance for years when data are insufficient to estimate total abundance as described above. Prior to 1999, beach count increases of up to 7% annually were observed at Pearl and Hermes Reef, and this is the highest estimate of the maximum net productivity rate (R_{max}) observed for this species (Johanos 2021a). Consistent with this value, a life table analysis representing a time when the MHI monk seal population was apparently expanding, yielded an estimated intrinsic population growth rate of 1.07 (Baker *et al*. 2011).

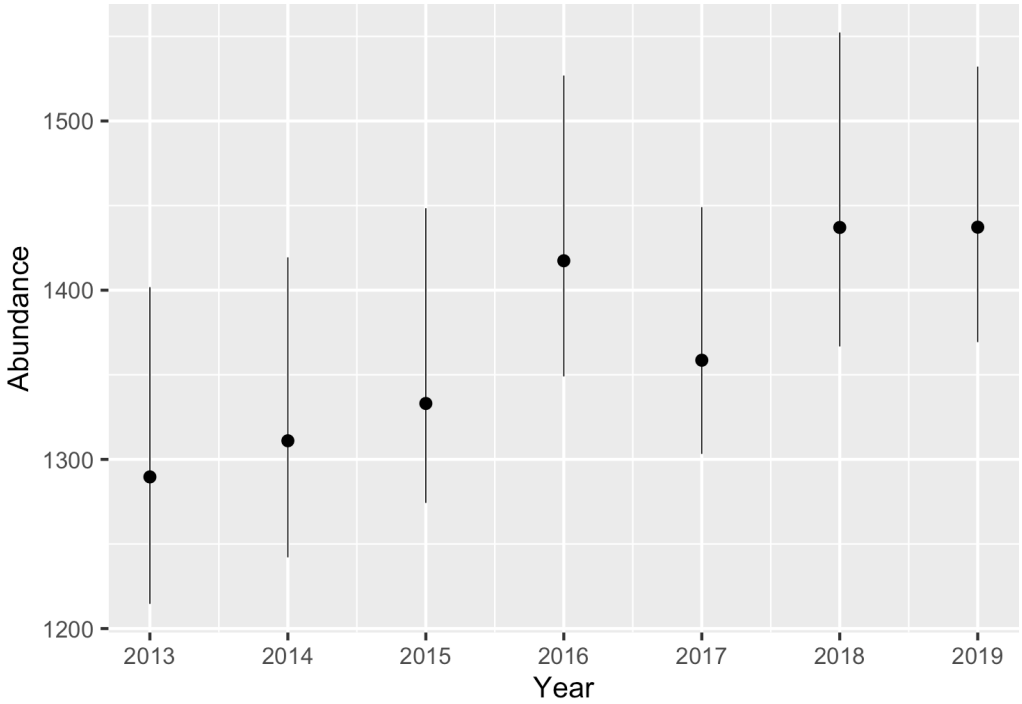

Figure 1. Range-wide abundance of Hawaiian monk seals, 2013-2019. Medians and 95% confidence limits are shown. Estimates prior to 2019 are re-estimated based on new data and represent negligible changes compared with values reported in the previous final stock assessments.

POTENTIAL BIOLOGICAL REMOVAL

Using current minimum population size (1,376), R_{max} (0.07) and a recovery factor (F_r) for ESA endangered stocks (0.1), yields a Potential Biological Removal (PBR) of 4.8.

HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

Human-related mortality has caused two major declines of the Hawaiian monk seal (Ragen 1999). In the 1800s, this species was decimated by sealers, crews of wrecked vessels, and guano and feather hunters (Dill and Bryan 1912; Wetmore 1925; Bailey 1952; Clapp and Woodward 1972). Following a period of at least partial recovery in the first half of the 20th century (Rice 1960), most subpopulations again declined. This second decline has not been fully explained, but long-term trends at several sites appear to have been driven both by variable oceanic productivity (represented by the Pacific Decadal Oscillation) and by human disturbance (Baker *et al*. 2012, Ragen 1999, Kenyon 1972, Gerrodette and Gilmartin 1990). Currently, human activities in the NWHI are limited and human disturbance is relatively rare, but human-seal interactions, have become an important issue in the MHI. Intentional killing of seals in the MHI is an ongoing and serious concern (Table 2).

Table 2. Intentional and potentially intentional killings of MHI monk seals, and anthropogenic mortalities not associated with fishing gear during 2015-2019 (Johanos 2021d, Mercer 2021). There were no confirmed cases in 2016 nor 2019.

Year	Age/sex	Island	Cause of Death	Comments
2015	Pup male	Kauai	Dog attack/bite wounds	4 other seals injured during this event
2015	Juvenile male	Kauai	Probable boat strike	
2015	Adult male	Laysan	Research handling	Accidental, specific cause undetermined
2017	Adult female	Kauai	Trauma	Suspect intentional
2017	Juvenile female	Molokai	Blunt force trauma	Suspect intentional
2018	Juvenile female	Molokai	Blunt force trauma	Intentional

Harting et al. (2021) found that the 46% of carcasses of monk seals which died in the MHI during 2004-2019 were detected. Consequently, the cases in Table 2 must be considered a minimum representation of intentional killings.

Fishery Information

Fishery interactions with monk seals can include direct interaction with gear (hooking or entanglement), seal consumption of discarded catch, and competition for prey. Entanglement of monk seals in derelict fishing gear, which is believed to originate outside the Hawaiian archipelago, is described in a separate section. Fishery interactions are a serious concern in the MHI, especially involving nearshore fisheries managed by the State of Hawaii (Gobush et al. 2016). There are no fisheries operating in or near the NWHI. In 2019, 17 seal hookings were documented, one of which was classified as serious and 16 as non-serious injuries. Of the non-serious injuries, five would have been deemed serious had they not been mitigated (Henderson 2019a, Mercer 2021). The hooks involved included circle, treble and J-hooks of widely varying sizes. Two seals that had been previously scored as having serious injuries from hooking in 2018 have been reclassified as having non-serious injuries upon further review. Also, two mitigated serious injuries reported as fishery interactions in 2018 were reclassified as debris entanglements. Monk seals also interact with nearshore gillnets, and several confirmed deaths have resulted. In 2019, the deaths of two seals were attributed to net drowning based on necropsy and other information. A third seal was suspected of having drowned in a net but the carcass was at sea and could not be recovered. No mortality or injuries have been attributed to the MHI bottomfish handline fishery (Table 3). Published studies on monk seal prey selection based upon scat/spew analysis and video from seal-mounted cameras revealed evidence that monk seals fed on families of bottomfish which contain commercial species (many prey items recovered from scats and spews were identified only to the level of family; Goodman-Lowe 1998, Longenecker *et al*. 2006, Parrish *et al*. 2000). Quantitative fatty acid signature analysis (QFASA) results support previous studies illustrating that monk seals consume a wide range of species (Iverson *et al*. 2011). However, deepwater-slope species, including two commercially targeted bottomfishes and other species not caught in the fishery, were estimated to comprise a large portion of the diet for some individuals. Similar species were estimated to be consumed by seals regardless of location, age or gender, but the relative importance of each species varied. Diets differed considerably between individual seals. These results highlight the need to better understand potential ecological interactions with the MHI bottomfish handline fishery.

Table 3. Summary of mortality, serious and non-serious injury of Hawaiian monk seals due to fisheries and calculation of annual mortality rate. n/a indicates that sufficient data are not available. Percent observer coverage for the deep and shallow-set components, respectively, of the pelagic longline fishery, are shown. Total non-serious injuries are presented as well as, in parentheses, the number of those injuries that would have been deemed serious had they not been mitigated (e.g., by de-hooking or disentangling). Nearshore fisheries injuries and mortalities include seals entangled/drowned in nearshore gillnets and hooked/entangled in hook-and-line gear, recognizing that it is not possible to determine whether the nets or hook-and-line gear involved were being used for commercial purposes.

Fishery Name	Year	Data Type	% Obs. coverage	Observed/Reported Mortality/Serious Injury	Estimated Mortality/ Serious Injury	Non-serious (Mitigated serious)	Mean Takes (CV)
Pelagic Longline	2015	observer	20.6% & 100%	0	0	0	0 (0)
	2016	observer	20.1% & 100%	0	0	0	
	2017	observer	20.4% & 100%	0	0	0	
	2018	observer	20.4% & 100%	0	0	0	
	2019	observer	20.5 & 100%	0	0	0	
MHI Bottomfish	2015	Incidental observations of seals	none	0	n/a	0	n/a
	2016			0		0	
	2017			0		0	
	2018			0		0	
	2019			0		0	
Nearshore	2015	Incidental observations of seals	none	3	n/a	8 (2)	≥ 1.8
	2016			0		11 (6)	
	2017			3		19(6)	
	2018			0		11(3)	
	2019			3		17(5)	

Mariculture	2015 2016 2017 2018 2019	Incidental Observation	none	0 0 1 0 0	n/a	0 0 0 0 0	0.2 (2.2)
Minimum total annual takes							≥ 2.0

Fishery Mortality Rate

Total fishery mortality and serious injury is not considered to be insignificant and approaching a rate of zero. Monk seals are regularly hooked and entangled in the MHI and the resulting deaths have substantially reduced the population growth rate (Harting et al. 2021). Monk seals also die from entanglement in fishing gear and other debris throughout their range (likely originating from various sources outside of Hawaii), and NMFS along with partner agencies is pursuing a program to mitigate entanglement (see below).

Entanglement in Marine Debris

Hawaiian monk seals become entangled in fishing and other marine debris at rates higher than reported for other pinnipeds (Henderson 2001). Several hundred cases of debris entanglement have been documented in monk seals (nearly all in the NWHI), including ten documented deaths (Henderson 2001; Henderson 2019b, Mercer 2021). The number of marine debris entanglements documented in the past five years (Table 4) is an underestimate of the total impact of this threat because no people are present to document nor mitigate entanglements at most of the NWHI for the majority of the year. Nearly all documented cases would have been deemed serious had they not been mitigated by field biologists. The fishing gear fouling the reefs and beaches of the NWHI and entangling monk seals only rarely includes types used in Hawaii fisheries. For example, trawl net and monofilament gillnet accounted for approximately 35% and 34%, respectively, of the debris removed from reefs in the NWHI by weight, and trawl net alone accounted for 88% of the debris by frequency (Donohue *et al*. 2001), despite the fact that trawl fisheries have been prohibited in Hawaii since the 1980s.

Table 4. Summary of documented marine debris entanglements of Hawaiian monk seals during the most recent five years. Total non-serious injuries are presented as well as, in parentheses, the number of those injuries that would have been deemed serious had the seals not been disentangled.

Year	Observed/Reported Mortality/Serious Injury	Non-serious (Mitigated serious)
2015	0	12(8)
2016	0	3(2)
2017	0	11(8)
2018	1	15(6)
2019	0	16(10)
Minimum total annual takes	≥ 0.2	

The NMFS and partner agencies continue to mitigate impacts of marine debris on monk seals as well as turtles, coral reefs and other wildlife. Marine debris is removed from beaches and seals are disentangled during annual population assessment activities at the main reproductive sites. Since 1996, annual debris survey and removal efforts in the NWHI coral reef habitat have been ongoing (Donohue *et al*. 2000, Donohue *et al*. 2001, Dameron *et al*. 2007).

Toxoplasmosis

Land-to-sea transfer of *Toxoplasma gondii,* a protozoal parasite shed in the feces of cats, is of growing concern. Although the parasite can infect many species, felids are the definitive host, meaning it can only reproduce in cats. There are no native felids in Hawaii, but several hundred thousand feral and domestic cats occur throughout the MHI. As such, all monk seal deaths attributable to toxoplasmosis are considered human caused. A case definition for toxoplasmosis and other protozoal-related mortalities was developed and retrospectively applied to 306 cases of monk seal mortality from 1982-2015 (Barbieri et al. 2016). During the past five years (2015-2019) five monk seal deaths (representing a minimum average of one death per year) have been directly attributed to toxoplasmosis (Mercer 2021). All five deaths involved female seals. The number of deaths from this pathogen are likely underrepresented, given that more seals disappear each year than are found dead and examined (Harting et al. 2021), and the potential for chronic infections remains poorly understood in this species. Furthermore, *T. gondii* can be transmitted vertically

from dam to fetus, and failed pregnancies are difficult to detect in wild, free-ranging animals. Unlike threats such as hook ingestion or malnutrition, which can often be mitigated through rehabilitation, options for treating seals with toxoplasmosis are severely restricted. The accumulating number of monk seal deaths from toxoplasmosis in recent years is a growing concern given the increasing geographic overlap between humans, cats, and Hawaiian monk seals in the MHI.

Other Mortality

Sources of mortality that impede recovery include food limitation (see Habitat Issues), single and multiple-male intra-species aggression (mobbing), shark predation, and disease/parasitism. Male seal aggression has caused episodes of mortality and injury. Past interventions to remove aggressive males greatly mitigated, but have not eliminated, this source of mortality (Johanos *et al*. 2010). Galapagos shark predation on monk seal pups has been a chronic and significant source of mortality at French Frigate Shoals since the late 1990s, despite mitigation efforts by NMFS (Gobush 2010). Besides toxoplasmosis, infectious disease effects on monk seal demographic trends are low relative to other stressors. However, a disease outbreak introduced from livestock, feral animals, pets or other carrier wildlife could be catastrophic to the immunologically naïve monk seal population. Key disease threats include West Nile virus, morbillivirus and influenza.

Habitat Issues

Poor juvenile survival rates and variability in the relationship between weaning size and survival suggest that prey availability has limited recovery of NWHI monk seals (Baker and Thompson 2007, Baker *et al*. 2007, Baker 2008). Multiple strategies for improving juvenile survival, including translocation and captive care are being implemented (Baker and Littnan 2008, Baker *et al*. 2013, Norris 2013). A testament to the effectiveness of past actions to improve survival, Harting *et al.* (2014) demonstrated that approximately one-third of the monk seal population alive in 2012 was made up of seals that either had been intervened with to mitigate life-threatening situations, or were descendants of such seals. In 2014, NMFS produced a final Programmatic Environmental Impact Statement (PEIS) on current and future anticipated research and enhancement activities and issued a permit covering the activities described in the PEIS preferred alternative. Loss of terrestrial habitat at French Frigate Shoals is a serious threat to the viability of the resident monk seal population (Baker et al. 2020). Prior to 2018, pupping and resting islets had shrunk or virtually disappeared (Antonelis *et al*. 2006). In 2018, the two remaining primary islands where pups were born at French Frigate Shoals (Trig and East Islands) were obliterated due to progressive erosion and hurricane Walaka (in September 2018). Projected increases in global average sea level are expected to further significantly reduce terrestrial habitat for monk seals in the NWHI (Baker *et al*. 2006, Reynolds *et al*. 2012).

The seawall at Tern Island, French Frigate Shoals, continues to degrade and poses an increasing entrapment hazard for monk seals and other fauna. The situation has worsened since 2012, when the USFWS ceased operations on Tern Island, thus leaving the island unmanned for most of the year. Previously, daily surveys were conducted throughout the year to remove entrapped animals. Now this only occurs when NMFS monk seal field staff are on site. Furthermore, sea wall breaches are allowing sections of the island to erode and undermine buildings and other infrastructure. Several large water tanks have collapsed, exposing pipes and wiring that may entangle or entrap seals. In September 2018, hurricane Walaka exacerbated this situation by largely destroying remaining structures and strewing the resulting debris around the island. Strategies to mitigate these threats are currently under consideration.

Goodman-Lowe (1998) provided information on prey selection using hard parts in scats and spewings. Information on at-sea movement and diving is available for seals at all six main subpopulations in the NWHI using satellite telemetry (Stewart *et al*. 2006). Cahoon (2011) and Cahoon *et al*. (2013) described diet and foraging behavior of MHI monk seals, and found no striking difference in prey selection between the NWHI and MHI.

Monk seal juvenile survival rates are favorable in the MHI (Baker *et al*. 2011). Further, the excellent condition of pups weaned on these islands suggests that there are ample prey resources available, perhaps in part due to fishing pressure that has reduced monk seal competition with large fish predators (sharks and jacks) (Baker and Johanos 2004). Yet, there are many challenges that may limit the potential for growth in this region. The human population in the MHI is approximately 1.4 million compared to fewer than 100 in the NWHI, such that anthropogenic threats in the MHI are considerable. Intentional killing of seals is a very serious concern. Also, the same fishing pressure that may have reduced the monk seal's competitors is a source of injury and mortality. Vessel traffic in the populated islands entails risk of collision with seals and impacts from oil spills. A mortality in 2015 was deemed most likely due to boat strike. Finally, as noted above, toxoplasmosis is now recognized as a serious anthropogenic threat to seals in the MHI.

STATUS OF STOCK

In 1976, the Hawaiian monk seal was designated depleted under the Marine Mammal Protection Act of 1972 and as endangered under the Endangered Species Act of 1973 (NMFS 2007). Therefore, the Hawaiian monk seal is a strategic stock. The species is well below its optimum sustainable population and has not recovered from past declines. Annual human-caused mortality for the most recent 5-year period (2015-2019) was at least 4.6 animals, including fishery-related mortality in nearshore gillnets, hook-and-line gear, and mariculture (≥ 2.0/yr, Table 3), intentional killings and other human-caused mortalities (≥ 1.2/yr, Table 2), entanglement in marine debris (≥ 0.2/yr, Table 4), and deaths due to toxoplasmosis (≥ 1.0/yr). Because 4.6 is a minimum rate of annual human-caused mortality, the true value almost certainly exceeds PBR (4.8).

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