Revised 12/30/2015

HUMPBACK WHALE (*Megaptera novaeangliae*):
Central North Pacific Stock

NOTE – December 2015: NMFS has conducted a global Status Review of humpback whales (Bettridge et al. 2015) and has proposed revisions to the ESA listing of the species (80 FR 22303, April 21, 2015).

STOCK DEFINITION AND GEOGRAPHIC RANGE

The humpback whale is distributed worldwide in all ocean basins. In winter, most humpback whales occur in the subtropical and tropical waters of the Northern and Southern Hemispheres. Humpback whales in the high latitudes of the North Pacific are seasonal migrants that feed on euphausiids and small schooling fishes (Nemoto 1957, 1959; Clapham and Mead 1999). The humpback whale population was considerably reduced as a result of intensive commercial exploitation during the 20th century.

A large-scale study of humpback whales throughout the North Pacific was conducted in 2004-2006 (the Structure of Populations, Levels of Abundance, and Status of Humpbacks (SPLASH) project). Initial results from this project (Calambokidis et al. 2008, Barlow et al. 2011), including abundance estimates and movement information, have been reported in Baker et al. (2008, 2013) and are also summarized in Fleming and Jackson (2011); however, these results are still being considered for stock structure analysis.

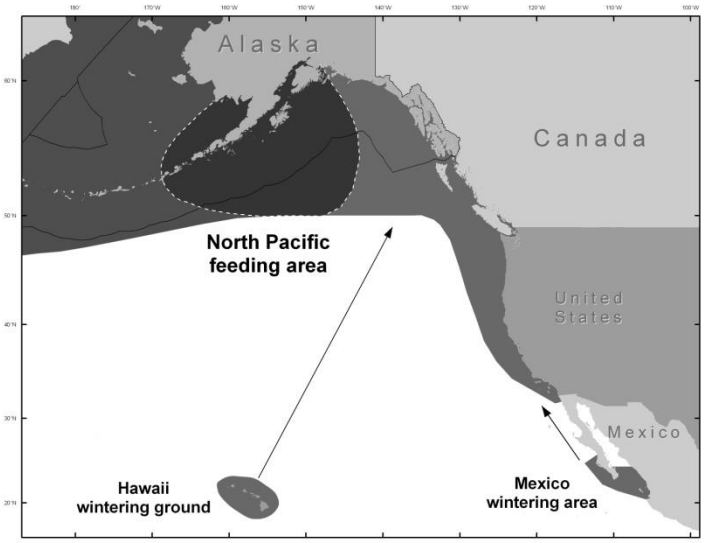

Figure 1. Approximate distribution of humpback whales in the eastern North Pacific (dark shaded areas). Feeding and wintering areas are presented above (see text). Area within the dotted line is known to be an area where the Central North Pacific and Western North Pacific stocks overlap. See Figure 1 in the Western North Pacific humpback whale Stock Assessment Report for distribution of humpback whales in the western North Pacific.

The historical summer feeding range of humpback whales in the North Pacific encompassed coastal and inland waters around the Pacific Rim from Point Conception, California, north to the Gulf of Alaska and the Bering Sea, and west along the Aleutian Islands to the Kamchatka Peninsula and into the Sea of Okhotsk and north of the Bering Strait (Zenkovich 1954, Nemoto 1957, Tomlin 1967, Johnson and Wolman 1984). Historically, the Asian wintering area extended from the South China Sea east through the Philippines, Ryukyu Retto, Ogasawara Gunto, Mariana Islands, and Marshall Islands (Rice 1998). Humpback whales are currently found throughout this historical range. Most of the current winter range of humpback whales in the North Pacific is relatively well known, with aggregations of whales in Japan, the Philippines, Hawaii, Mexico, and Central America. The winter range includes the main islands of the Hawaiian archipelago, with the greatest concentration along the west side of Maui. In Mexico, the winter breeding range includes waters around the southern part of the Baja California peninsula, the central portions of the Pacific coast of mainland Mexico, and the Revillagigedo Islands off the mainland coast. The winter range also extends from southern Mexico into Central America, including Guatemala, El Salvador, Nicaragua, and Costa Rica (Calambokidis et al. 2008).

Photo-identification data, distribution information, and genetic analyses have indicated that in the North Pacific there are at least three breeding populations (Asia, Hawaii, and Mexico/Central America) that all migrate between their respective winter/spring calving and mating areas and their summer/fall feeding areas (Calambokidis et al. 1997, Baker et al. 1998). Calambokidis et al. (2001) further suggested that there may be as many as six subpopulations on the wintering grounds. From photo-identification and Discovery tag mark information there are known connections between Asia and Russia, between Hawaii and Alaska, and between Mexico/Central America and California (Darling 1991, Darling and Cerchio 1993, Calambokidis et al. 1997, Baker et al. 1998). This

information led to the designation of three stocks of humpback whales in the North Pacific: 1) the California/Oregon/Washington and Mexico stock, consisting of winter/spring populations in coastal Central America and coastal Mexico which migrate to the coast of California to southern British Columbia in summer/fall (Calambokidis et al. 1989, Steiger et al. 1991, Calambokidis et al. 1993); 2) the Central North Pacific stock, consisting of winter/spring populations of the Hawaiian Islands which migrate primarily to northern British Columbia/Southeast Alaska, the Gulf of Alaska, and the Bering Sea/Aleutian Islands (Baker et al. 1990, Perry et al. 1990, Calambokidis et al. 1997); and 3) the Western North Pacific stock, consisting of winter/spring populations off Asia which migrate primarily to Russia and the Bering Sea/Aleutian Islands.

Information from the SPLASH project mostly confirms this view of humpback whale distribution and movements in the North Pacific. For example, the SPLASH results confirm low rates of interchange between the three principal wintering regions (Asia, Hawaii, and Mexico). However, the full SPLASH results suggest the current view of population structure is incomplete. The overall pattern of movements is complex but indicates a high degree of population structure. Whales from wintering areas at the extremes of their range on both sides of the Pacific migrate to coastal feeding areas that are on the same side of the Pacific: whales from Asia in the west migrate to Russia and whales from mainland Mexico and Central America in the east migrate to coastal waters off California/Oregon.

The SPLASH data now show the Revillagigedo whales are seen in all sampled feeding areas except northern California/Oregon and the south side of the Aleutians and are primarily distributed in the Bering Sea, Gulf of Alaska, and Southeast Alaska/northern British Columbia, but are also found in Russia and southern British Columbia/Washington. The migratory destinations of humpback whales from Hawaii were found to be quite similar, and a significant number of matches (14) were seen during SPLASH between Hawaii and the Revillagigedos (Calambokidis et al. 2008). This suggests a need for some modification to the current view of winter/breeding populations. After a Status Review under the Endangered Species Act (ESA), NMFS has proposed designating four Distinct Population Segments (DPSs) of humpback whales in the North Pacific: Western North Pacific, Hawaii, Mexico, and Central America (https://www.federalregister.gov/articles/2015/04/21/2015-09010/endangered-and-threatened-species-humpback-whale-megaptera-novaeangliae-identification-of-14). If this proposed rule results in the designation of DPSs in the North Pacific, a parallel revision of Marine Mammal Protection Act (MMPA) population structure in the North Pacific will be considered.

The winter distribution of the Central North Pacific stock is primarily in the Hawaiian archipelago. In the SPLASH study, sampling occurred on Kauai, Oahu, Penguin Bank (off the southwest tip of the island of Molokai), Maui, and the island of Hawaii (the Big Island). Interchange within Hawaii was extensive. Although most of the Hawaii identifications came from the Maui sub-area, identifications from the Big Island and Kauai at the eastern and western end of the region showed a high rate of interchange with Maui.

A relevant finding from the SPLASH project is that whales from the Aleutian Islands, and perhaps also the Gulf of Anadyr in Russia and the Bering Sea, have an unusually low resighting rate in winter areas compared to whales from other feeding areas. One explanation for this result could be that some of these whales have a winter migratory destination that was not sampled during the SPLASH project. Given the location of these feeding areas, the most parsimonious explanation would be that some of these whales winter somewhere between Hawaii and Asia, which would include the possibility of the Mariana Islands (southwest of the Ogasawara Islands), the Marshall Islands (approximately half-way between the Mariana and Hawaiian Islands), and the Northwestern Hawaiian Islands. Indeed, humpback whales have been found to occur in the Northwestern Hawaiian Islands, though apparently at relatively low density (Johnston et al. 2007), but no other areas with high densities of humpback whales are known between the Hawaiian main islands and Ogasawara. Which stock that whales found in these locations would belong to is currently unknown.

In summer, the majority of whales from the Central North Pacific stock are found in the Aleutian Islands, Bering Sea, Gulf of Alaska, and Southeast Alaska/northern British Columbia. High densities of humpback whales are found in the eastern Aleutian Islands, particularly along the north side of Unalaska Island, and along the Bering Sea shelf edge and break to the north towards the Pribilof Islands. Small numbers of humpback whales are known from a few locations not sampled during the SPLASH study, including northern Bristol Bay and the Chukchi and Beaufort seas. In the Gulf of Alaska, high densities of humpback whales are found in the Shumagin Islands, south and east of Kodiak Island, and from the Barren Islands through Prince William Sound. Although densities in any particular location are not high, humpback whales are also found in deep waters south of the continental shelf from the eastern Aleutians through the Gulf of Alaska. Relatively high densities of humpback whales occur throughout much of Southeast Alaska and northern British Columbia.

POPULATION SIZE

Prior to the SPLASH study, the most complete estimate of abundance for humpback whales in the North Pacific was from data collected in 1991-1993, with a best mark-recapture estimate of 6,010 (CV = 0.08) for the entire North Pacific, using a winter-to-winter comparison (Calambokidis et al. 1997). Estimates for Hawaii and Mexico were higher, using marks from summer feeding areas with recaptures on the winter grounds, and totaled almost 10,000 summed across all winter areas. In the SPLASH study, fluke photographs were collected by over 400 researchers in all known feeding areas from Russia to California and in all known wintering areas from Okinawa and the Philippines to the coast of Central America and Mexico during 2004-2006. Over 18,000 fluke identification photographs were collected, and these have been used to estimate the abundance of humpback whales in the entire North Pacific Basin. Based on a comparison of all winter identifications to all summer identifications, the Chapman-Petersen estimate of abundance is 21,808 (CV=0.04) (Barlow et al. 2011). A simulation study identifies significant biases in this estimate from violations of the closed population assumption (+5.3%), exclusion of calves (-10.3%), failure to achieve random geographic sampling (+1.5%), and missed matches (+9.8%) (Barlow et al. 2011). Sex-biased sampling favoring males in wintering areas does not add significant bias if both sexes are proportionately sampled in the feeding areas. The bias-corrected estimate is 20,800 after accounting for a net positive bias of 4.8%. This estimate is likely to be lower than the true abundance due to two additional sources of bias: individual heterogeneity in the probability of being sampled (unquantified) and the likely existence of an unknown and unsampled wintering area (-7.2%).

The Central North Pacific stock of humpback whales winters in Hawaiian waters (Baker et al. 1986). Preliminary mark-recapture abundance estimates from the SPLASH data were calculated in Calambokidis et al. (2008), using a multistrata Hilborn model. The best estimate for Hawaii (as chosen by AICc) was 10,103; no confidence limit or CV was calculated for that estimate.

In the SPLASH study, the number of unique identifications in different regions included 63 in the Aleutian Islands (defined as everything on the south side of the islands), 491 in the Bering Sea, 301 in the western Gulf of Alaska (including the Shumagin Islands), and 1,038 in the northern Gulf of Alaska (including Kodiak and Prince William Sound), with a few whales seen in more than one area (Calambokidis et al. 2008). The SPLASH combined estimates ranged from 6,000 to 19,000 for the Aleutian Islands, Bering Sea, and Gulf of Alaska, a considerable increase from previous estimates that were available (e.g., Waite et al. 1999, Moore et al. 2002, Witteveen et al. 2004, Zerbini et al. 2006). However, the SPLASH surveys covered areas not covered in those previous surveys, such as parts of Russian waters (Gulf of Anadyr and Commander Islands), the western and central Aleutian Islands, offshore waters in the Gulf of Alaska and Aleutian Islands, and Prince William Sound. Additionally, mark-recapture estimates can be higher than line-transect estimates because they estimate the total number of whales that have used the study area during the study period, whereas, line-transect surveys provide a snapshot of average abundance in the survey area at the time of the survey. For the Aleutian Islands and Bering Sea (including the Commander Islands and Gulf of Anadyr in Russia), the SPLASH estimates ranged from 2,889 to 13,594; for the Gulf of Alaska (from Prince William Sound to the Shumigan Islands, including Kodiak Island), the SPLASH estimates ranged from 2,845 to 5,122. Given known overlap in the distribution of the Western and Central North Pacific humpback whale stocks, estimates for these feeding areas may include whales from the Western North Pacific stock.

The SPLASH study showed a relatively high rate of interchange between Southeast Alaska and northern British Columbia, so they are considered together. Humpback whale studies have been conducted since the late 1960s in Southeast Alaska. Baker et al. (1992) estimated an abundance of 547 (95% CI: 504-590) using data collected in 1979-1986. Straley (1994) recalculated the estimate using a different analytical approach (Jolly-Seber open model for capture-recapture data) and obtained a mean population estimate of 393 animals (95% CI: 331-455) using the same 1979-1986 data set. Using 1986-1992 data and the Jolly-Seber approach, Straley et al. (1995) estimated that the annual abundance of humpback whales in Southeast Alaska was 404 animals (95% CI: 350-458). Straley et al. (2009) examined data for the northern portion of Southeast Alaska in 1994-2000 and provided an updated abundance estimate of 961 (CV=0.12). In the northern British Columbia region (primarily near Langara Island), 275 humpback whales were photo-identified from 1992 to 1998 (G. Ellis, Pacific Biological Station, pers. comm.). As of 2003, approximately 850-1,000 humpback whales had been identified in British Columbia (J. Ford, Department of Fisheries and Oceans, Canada, pers. comm.). During the SPLASH study, 1,115 unique identifications were made in Southeast Alaska and 583 in northern British Columbia, for a total of 1,669 individual whales, after subtracting whales seen in both areas (1,115+583-13-16 = 1,669) (Calambokidis et al. 2008). From the SPLASH study, the estimates of abundance for Southeast Alaska/northern British Columbia ranged from 2,883 to 6,414. The estimates from SPLASH are considerably larger than the estimate from Straley et al. (2009). This is

because the SPLASH estimates included areas not part of the Straley et al. (2009) estimate, including southern Southeast Alaska, northern British Columbia, and offshore waters of both British Columbia and Southeast Alaska.

Minimum Population Estimate

A total of 2,367 unique individuals were seen in the Hawaiian wintering areas during the 2-year period (3 winter field seasons) of the SPLASH study. As discussed above, point estimates of abundance for Hawaii from SPLASH ranged from 7,469 to 10,103: the estimate from the best model was 10,103, but no associated CV has yet been calculated. The 1991-1993 abundance estimate for Hawaii using similar (but less) data had a CV of 0.095. Therefore, it is unlikely the CV of the SPLASH estimate, once calculated, would be greater than 0.300. The minimum population estimate (N_{MIN}) for this stock is calculated according to Equation 1 from the potential biological removal (PBR) guidelines (Wade and Angliss 1997): $N_{MIN} = N/\exp(0.842\times[\ln(1+[CV(N)]^2)]^{½})$. Using the population estimate (N) of 10,103 from the best fit model and an assumed conservative CV(N) of 0.300 results in an N_{MIN} for the Central North Pacific humpback whale stock of 7,890.

Although the Southeast Alaska/northern British Columbia feeding aggregation is not formally considered a stock, the calculation of what a PBR would be for this area is useful for management purposes. The total number of unique individuals seen during the SPLASH study was 1,669 (1,115 in Southeast Alaska). The abundance estimate of Straley et al. (2009) had a CV of 0.12, and the SPLASH abundance estimates are unlikely to have a much higher CV. Using the lowest population estimate (N) of 2,883 and an assumed worst case CV(N) of 0.300, N_{MIN} for this aggregation is 2,251. Similarly, for the Aleutian Islands and Bering Sea, using the lowest SPLASH estimate of 2,889 with an assumed worst-case CV of 0.300 results in an N_{MIN} of 2,256. For the Gulf of Alaska (from Prince William Sound to the Shumigan Islands, including Kodiak Island), using the lowest SPLASH estimate of 2,845 with an assumed worst case CV of 0.300 results in an N_{MIN} of 2,222. Estimates for these feeding areas may include whales from the Western North Pacific stock and the Mexican breeding population.

Current Population Trend

Comparison of the estimate for the entire stock provided by Calambokidis et al. (1997) with the 1981 estimate of 1,407 (95% CI: 1,113-1,701) from Baker et al. (1987) suggests that abundance increased in Hawaii between the early 1980s and early 1990s. Mobley et al. (2001) estimated a trend of 7% per year for 1993-2000 using data from aerial surveys that were conducted in a consistent manner for several years across all of the Hawaiian Islands and were developed specifically to estimate a trend for the Central North Pacific stock. Mizroch et al. (2004) estimated survival rates for North Pacific humpback whales using mark-recapture methods, and a model fit to data from Hawaii for the years 1980-1996 resulted in an estimated rate of increase of 10% per year (95% CI: 3-16%). For shelf waters of the northern Gulf of Alaska, Zerbini et al. (2006) estimated an annual rate of increase for humpback whales from 1987 to 2003 of 6.6% per year (95% CI: 5.2-8.6%). The SPLASH abundance estimate for the total North Pacific represents an annual increase of 4.9% over the most complete estimate for the North Pacific for 1991-1993. Comparisons of SPLASH abundance estimates for Hawaii to estimates for 1991-1993 gave estimates of annual increase that ranged from 5.5 to 6.0% (Calambokidis et al. 2008). No confidence limits were calculated for these rates of increase from SPLASH data. It is also clear that the abundance has increased in Southeast Alaska, though a trend for the Southeast Alaska portion of this stock cannot be estimated from the data because of differences in methods and areas covered.

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Using a birth-interval model, Barlow and Clapham (1997) have estimated a population growth rate of 6.5% (SE = 1.2%) for the well-studied humpback whale population in the Gulf of Maine, although there are indications that this rate has slowed over the last decade (Clapham et al. 2003). Estimated rates of increase for the Central North Pacific stock include values for Hawaii of 7.0% (from aerial surveys), 5.5-6.0% (from mark-recapture abundance estimates), and 10% (95% CI: 3-16%) (from a model fit to mark-recapture data) and a value for the northern Gulf of Alaska of 6.6% (95% CI: 5.2-8.6%) (from ship surveys) (Calambokidis et al. 2008). Although there is no estimate of the maximum net productivity rate for the Central North Pacific stock, it is reasonable to assume that R_{MAX} for this stock would be at least 7%. Hence, until additional data become available from the Central North Pacific humpback whale stock, it is recommended that 7% be employed as the maximum net productivity rate (R_{MAX}) for this stock.

POTENTIAL BIOLOGICAL REMOVAL

Under the 1994 reauthorized MMPA, the PBR is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor: $PBR = N_{MIN} \times 0.5R_{MAX} \times F_R$. The default recovery factor (F_R) for this stock is 0.1, the recommended value for cetacean stocks listed as "endangered" under the ESA (Wade and Angliss 1997). A recovery factor of 0.3 is used in calculating the PBR based on the suggested guidelines of Taylor et al. (2003). The default value of 0.04 for the maximum net productivity rate is replaced by 0.07, which is the best estimate of the current rate of increase and is considered a conservative estimate of the maximum net productivity rate. For the Central North Pacific stock of humpback whales, using the SPLASH study abundance estimate from the best fit model for 2004-2006 for Hawaii of 10,103 with an assumed CV of 0.300 and its associated N_{MIN} of 7,890, PBR is calculated to be 83 animals (7,890 x 0.035 x 0.3).

At this time, stock structure of humpback whales is under consideration and revisions may be proposed within the next few years. One possibility would be to revise stock structure to be consistent with summer feeding aggregations, as has been done for the North Atlantic population of humpback whales. If this were to occur, possible groupings could be Southeast Alaska/northern British Columbia, Gulf of Alaska, and Aleutian Islands/Bering Sea. Just for information purposes, PBR calculations are completed here for these feeding area aggregations. For Southeast Alaska and northern British Columbia, the smallest abundance estimates from the SPLASH study were used with an assumed worst-case CV of 0.300 to calculate PBRs for feeding areas. Using the suggested guidelines presented in Taylor et al. (2003), it would be appropriate to use a recovery factor of 0.3 for the Southeast Alaska/northern British Columbia feeding aggregation since this aggregation has an N_{MIN} greater than 1,500 and less than 5,000 and has an increasing population trend. A recovery factor of 0.1 is appropriate for the Aleutian Islands and Bering Sea feeding aggregation and the Gulf of Alaska feeding aggregation because the N_{MIN} is greater than 1,500 and less than 5,000 and has an unknown population trend. If we calculated a PBR for the Southeast Alaska/northern British Columbia feeding aggregation it would be 24 (2,251 x 0.035 x 0.3). If we calculated a PBR for the Aleutian Islands and Bering Sea, it would be 7.9 (2,256 x 0.035 x 0.1). If we calculated a PBR for the Gulf of Alaska, it would be 7.8 (2,222 x 0.035 x 0.1). However, note that the actual PBR for the Central North Pacific stock is 83 based on the breeding population size in Hawaii, as calculated above.

ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

Fisheries Information

Detailed information (including observer programs, observer coverage, and observed incidental takes of marine mammals) for federally-managed and state-managed U.S. commercial fisheries in Alaska waters is presented in Appendices 3-6 of the Alaska Stock Assessment Reports.

Until 2004, there were four different federally-regulated commercial fisheries in Alaska that occurred within the range of the Central North Pacific humpback whale stock that were monitored for incidental mortality and serious injury by fishery observers. As of 2004, changes in fishery definitions in the MMPA List of Fisheries have resulted in separating these 4 fisheries into 17 fisheries (69 FR 70094, 2 December 2004). This change does not represent a change in fishing effort but provides managers with better information on the component of each fishery that is responsible for the incidental serious injury or mortality of marine mammal stocks in Alaska. Between 2009 and 2013, there was one known incidental serious injury and mortality of a humpback whale in the Bering Sea/Aleutian Islands flatfish trawl fishery and two in the Bering Sea/Aleutian Islands pollock trawl fishery (Table 1; Breiwick 2013; NMML, unpubl. data). Since the stock identification of these whales is unknown, and the events occurred within the area where the Central North Pacific and Western North Pacific stocks are known to overlap, the mortality in these fisheries is assigned to both stocks of humpback whales. One Central North Pacific humpback whale injured in the Hawaii shallow set longline fishery in 2011 is prorated at 0.75 under the injury determination guidelines for large whales, since the severity of its injury is unknown (Table 1; Bradford and Forney 2014).

In 2012 and 2013, the Alaska Marine Mammal Observer Program (AMMOP) placed observers on independent vessels in the state-managed Southeast Alaska salmon drift gillnet fishery to assess mortality and serious injury of marine mammals. Areas around and adjacent to Wrangell and Zarembo Islands (ADF&G Districts 6, 7, and 8) were observed during the 2012-2013 program (Manly 2015). In 2013, one humpback whale was seriously injured. Based on the one observed serious injury, 11 serious injuries were estimated for Districts 6, 7, and 8 in 2013, resulting in an estimated mean annual mortality and serious injury rate of 5.5 Central North Pacific humpback whales in 2012-2013 (Table 1). Since these three districts represent only a portion of the overall fishing effort in this fishery, we expect this to be a minimum estimate of mortality for the fishery.

Humpback whale mortality and serious injury due to entanglement in the Southeast Alaska salmon drift gillnet fishery was reported to the NMFS Alaska Region in 2012 (1 whale) and 2013 (1.75 whales) (Helker et al. 2015); however, this mortality and serious injury is accounted for by the AMMOP observer data for this fishery (in Table 1). One entanglement in the ground tackle of a commercial cod jig fishery vessel was also reported to the NMFS Alaska Region in 2013 (Table 2; Helker et al. 2015). Since observer data are not available for this fishery, this mortality results in a mean annual mortality and serious injury rate of 0.2 humpback whales in 2009-2013 (Table 2) and, since the event occurred in the area where the two stocks overlap, the mortality is assigned to both the Central North Pacific and Western North Pacific stocks of humpback whales.

The minimum estimate of the mean annual mortality and serious injury rate incidental to U.S. commercial fisheries for the entire Central North Pacific stock is 6.5 humpback whales, based on observer data from Alaska (0.6 in the federal groundfish fisheries + 5.5 in the state-managed Southeast Alaska salmon drift gillnet fishery: Table 1) and Hawaii (0.2: Table 1) and on reports, in which the commercial fishery is confirmed, to the NMFS Alaska Region stranding database (0.2: Table 2).

Table 1. Summary of incidental mortality and serious injury of the Central North Pacific stock of humpback whales due to observed U.S. commercial fisheries from 2009 to 2013 and calculation of the mean annual mortality and serious injury rate (Breiwick 2013; Bradford and Forney 2014; Manly 2015; NMFS, unpubl. data; NMML, unpubl. data). Methods for calculating percent observer coverage are described in Appendix 6 of the Alaska Stock Assessment Reports. N/A indicates that data are not available.

Fishery name	Years	Data type	Percent observer coverage	Observed mortality	Estimated mortality	Mean estimated annual mortality
Bering Sea/Aleutian Is. flatfish trawl[a]	2009	obs data	99	0	0	0.2 (CV = N/A)
	2010		99	0 (+1)[b]	0 (+1)[c]	
	2011		99	0	0	
	2012		99	0	0	
	2013		99	0	0	
Bering Sea/Aleutian Is. pollock trawl[a]	2009	obs data	86	0	0	0.4 (CV = 0.68)
	2010		86	1	1.0	
	2011		98	0	0	
	2012		98	1	1.0	
	2013		97	0	0	
SE AK salmon drift gillnet (Districts 6, 7, 8)	2012		6.4	0	0	5.5 (CV = 1.0)
	2013		6.6	1	11	
HI shallow set longline	2009	obs data	100	0	0	0.2
	2010		100	0	0	
	2011		100	1[d]	0.75[d]	
	2012		100	0	0	
	2013		100	0	0	
Minimum total estimated annual mortality					Bering Sea/Aleutian Is.:	0.6
					SE AK:	5.5
					HI:	0.2
					Total:	6.3 (CV = 0.88)

[a]Mortality and serious injury in this fishery is assigned to both the Western North Pacific and Central North Pacific stocks of humpback whales, since the stock identification is unknown and the two stocks overlap within the area of operation of the fishery.
[b]Total mortality and serious injury observed in 2010: 0 in sampled hauls + 1 in an unsampled haul.
[c]Since the total known mortality and serious injury (0 observed in monitored hauls + 1 in an unmonitored haul) exceeds the estimated mortality and serious injury (0) for the fishery in 2010, the observed mortality and serious injury (in sampled + unsampled hauls) will be used as a minimum estimate for that year.
[d]A humpback was entangled and cut free with trailing gear. Due to the unknown configuration of the entanglement, this injury is being prorated with a value of 0.75 (Bradford and Forney 2014).

Reports of swimming, floating, or beachcast humpback whales entangled in fishing gear or with injuries caused by interactions with gear, which may be from commercial, recreational, or subsistence fisheries, are another source of information on fishery-related mortality and serious injury. The mean annual mortality and serious injury

rate from entanglements in recreational gear is 0.7 humpback whales: 0.4 in recreational shrimp pot gear reported to the NMFS Alaska Region in 2009-2013 (Table 2; Helker et al. 2015) and 0.3 in recreational troll fisheries reported to the NMFS Pacific Islands Region in 2008-2012 (Table 3; Bradford and Lyman 2015). Based on events that have not been attributed to a specific fishery listed on the MMPA List of Fisheries (76 FR 73912; 29 November 2011), the estimated mean annual mortality and serious injury rate from fishery-related gear entanglements is 7.1 humpback whales: 2.5 reported to the NMFS Alaska Region stranding database in 2009-2013 (Table 2; Helker et al. 2015) and 4.6 reported to the NMFS Pacific Islands Region stranding database in 2008-2012 (Table 3; Bradford and Lyman 2015). These estimates are considered a minimum because not all entangled animals strand and not all stranded animals are found, reported, or cause of death determined.

The minimum average annual estimate of mortality and serious injury rate due to all fisheries is 14 (6.5 from commercial fisheries + 0.7 from recreational fisheries + 7.1 from unknown fisheries) Central North Pacific humpbacks.

Table 2. Summary of mortality and serious injury of Central North Pacific humpback whales reported to the NMFS Alaska Region marine mammal stranding database in 2009-2013 (Helker et al. 2015). Injury events lacking detailed information on the injury are assigned prorated values following injury determination guidelines described in NOAA (2012). A summary of information used to determine whether an injury was serious or non-serious, as well as a table of prorate values used for large whale reports with incomplete information, is reported in Helker et al. (2015).

Cause of injury	2009	2010	2011	2012	2013	Mean annual mortality
Entangled in ground tackle of commercial cod jig vessel	0	0	0	0	1	0.2
Entangled in unknown gillnet gear	0.75	3	0.75	1.75	0	1.3
Entangled in recreational shrimp pot gear	1.75	0	0	0	0	0.4
Entangled in unspecified crab gear	0	0	0.75	0	0	0.2
Entangled in unspecified longline gear	0	0	0.75	0.75	0	0.3
Entangled in unspecified pot gear	0	1.5	0.75	0	0	0.5
Entangled in unspecified set net gear	0	0	0.75	0	0	0.2
Ship strike (charter)	0.76	0	0	0.2	0	0.2
Ship strike (pilot vessel)	0	0	0	0.2	0	0.04
Ship strike (unknown)	0.36	4	2	1.2	0.14	1.5
Ship strike (whale watch)	0	0	0	1	0	0.2
Unknown marine debris/gear entanglement	2.25	2.25	5.5	0.75	2.25	2.6

Table 3. Summary of mortality and serious injury of Central North Pacific humpback whales reported to the NMFS Pacific Islands Region stranding database in 2008-2012 (Bradford and Lyman 2015).

Cause of injury	2008	2009	2010	2011	2012	Mean annual mortality
Entangled in AK king crab pot gear	0	0	0	0.75	0	0.2
Entangled in AK tanner crab pot gear	0	0	0	0	1	0.2
Entangled in AK shrimp pot gear	0	1	0	0	0	0.2
Entangled in HI crab pot gear	0	0.75	0	0	0	0.2
Entangled in recreational troll gear	0	0	0	1.5	0	0.3
Entangled in unknown fishing gear	1.75	4.75	5	3.25	4.25	3.8
Ship strike	5.04	1.4	2.0	1.72	1.72	2.4

However, these estimates of serious injury and mortality levels should be considered a minimum. No observers have been assigned to several fisheries that are known to interact with this stock, making the estimated mortality and serious injury rate unreliable. Further, due to limited Canadian observer program data, mortality and serious injury incidental to Canadian commercial fisheries (i.e., those similar to U.S. fisheries known to interact with humpback whales) is uncertain. Though interactions are thought to be minimal, data regarding the level of humpback whale mortality and serious injury related to commercial fisheries in northern British Columbia are not available, again indicating that the estimated mortality and serious injury incidental to commercial fisheries is underestimated for this stock.

Alaska Native Subsistence/Harvest Information

Subsistence hunters in Alaska are not authorized to take from this stock of humpback whales, and no takes have been reported.

Other Mortality

Ship strikes and other interactions with vessels unrelated to fisheries occur frequently with humpback whales (Tables 2 and 3). Neilson et al. (2012) summarized 108 large whale ship-strike events in Alaska from 1978 to 2011, 25 of which are known to have resulted in the whale's death. Eighty-six percent of these reports involved humpback whales. The mean annual mortality and serious injury rate due to ship strikes reported in Alaska in 2009-2013 (1.9: Table 2) and Hawaii in 2008-2012 (2.4: Table 3) is 4.3 humpback whales. Most ship strikes of humpbacks are reported from Southeast Alaska; however, there are also reports from the south-central and Kodiak areas of Alaska (Helker et al. 2015). Many of the ship strikes occurring off Hawaii are reported from waters near Maui (Bradford and Lyman 2015). It is not known whether the difference in ship-strike rates between Southeast Alaska and the northern portion of this stock is due to differences in reporting, amount of vessel traffic, densities of animals, or other factors. Entanglements in unknown marine debris/gear reported to the NMFS Alaska Region account for an estimated average annual mortality and serious injury rate of 2.6 Central North Pacific humpbacks in 2009-2013 (Table 2).

HISTORICAL WHALING

Rice (1978) estimated that the number of humpback whales in the North Pacific may have been approximately 15,000 individuals prior to exploitation; however, this was based upon incomplete data and, given the level of known catches (legal and illegal) since World War II, may be an underestimate. Intensive commercial whaling removed more than 28,000 animals from the North Pacific during the 20th century. Humpback whales in the North Pacific were theoretically protected in 1965, but illegal catches by the U.S.S.R. continued until 1972 (Ivashchenko et al. 2007). From 1961 to 1971, 6,793 humpback whales were killed illegally by the U.S.S.R. Many animals during this period were taken from the Gulf of Alaska and Bering Sea (Doroshenko 2000); however, additional illegal catches were made across the North Pacific, from the Kuril Islands to the Queen Charlotte Islands, and other takes in earlier years may have gone unrecorded.

On the feeding grounds of the Central North Pacific stock after World War II the highest density of catches occurred around the western Aleutian Islands, in the eastern Aleutian Islands (and adjacent Bering Sea to the north and Pacific Ocean to the south), and British Columbia (Springer et al. 2006). Lower but still relatively high densities of catches occurred south of the Commander Islands, along the south side of the Alaska Peninsula and around Kodiak Island.

Lower densities of catches also occurred in the Gulf of Anadyr, in the central Aleutian Islands, in much of the offshore Gulf of Alaska, and in Southeast Alaska.

No catches were reported in the winter grounds of the Central North Pacific stock in Hawaii nor in Mexican winter areas.

STATUS OF STOCK

NMFS recently concluded a global humpback whale Status Review (Bettridge et al. 2015). Although the estimated mean annual human-caused mortality and serious injury rate for the entire Central North Pacific stock (21) is considered a minimum, it is unlikely that the total level of human-caused mortality and serious injury exceeds the PBR level (83) for the entire stock. The minimum estimate of the mean annual U.S. commercial fishery-related mortality and serious injury rate for this stock (6.5) is less than 10% of the calculated PBR for the entire stock (8.3) and, therefore, can be considered to be insignificant and approaching a zero mortality and serious injury rate. The humpback whale is listed as "endangered" under the ESA and, therefore, designated as "depleted" under the

MMPA. As a result, the Central North Pacific stock of humpback whale is classified as a strategic stock. However, the status of the entire stock relative to its Optimum Sustainable Population is unknown.

HABITAT CONCERNS

This stock is the focus of a large whale-watching industry in its wintering grounds (Hawaii) and a growing whale-watching industry in its summering grounds (Alaska). Regulations concerning minimum distance to keep from whales and how to operate vessels when in the vicinity of whales have been developed for Hawaii and Alaska waters in an attempt to minimize the impact of whale watching. Additional concerns have been raised in Hawaii about the impact of jet skis and similar fast waterborne tourist-related traffic, notably in nearshore areas inhabited by mothers and calves. In Alaska, NMFS issued regulations in 2001 to prohibit approaches to humpback whales within 100 yards (91.4 m; 66 FR 29502; 31 May 2001). The growth of the whale-watching industry, however, is an ongoing concern as preferred habitats may be abandoned if disturbance levels are too high. Other potential concerns include elevated levels of sound from anthropogenic sources (e.g., shipping, military sonars), possible changes in prey distribution with climate change, entanglement in fishing gear, ship strikes due to increased vessel traffic (e.g., from increased shipping in higher latitudes), and oil and gas activities.

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