Revised 1/12/2012

STELLER SEA LION (*Eumetopias jubatus*): Eastern U. S. Stock

STOCK DEFINITION AND GEOGRAPHIC RANGE

Steller sea lions range along the North Pacific Rim from northern Japan to California (Loughlin et al. 1984), with centers of abundance and distribution in the Gulf of Alaska and Aleutian Islands (Fig. 3). The species is not known to migrate, but individuals disperse widely outside of the breeding season (late May-early July), thus potentially intermixing with animals from other areas (Sease and York 2003). Despite the wide-ranging movements of juveniles and adult males in particular, exchange between rookeries by breeding adult females and males (other than between adjoining rookeries) is low, although males have a higher tendency to disperse than females (NMFS 1995, Trujillo et al. 2004, Hoffman et al. 2006). A northward shift in the overall breeding distribution has occurred, with a contraction of the range in southern California and new rookeries established in southeastern Alaska (Pitcher et al. 2007).

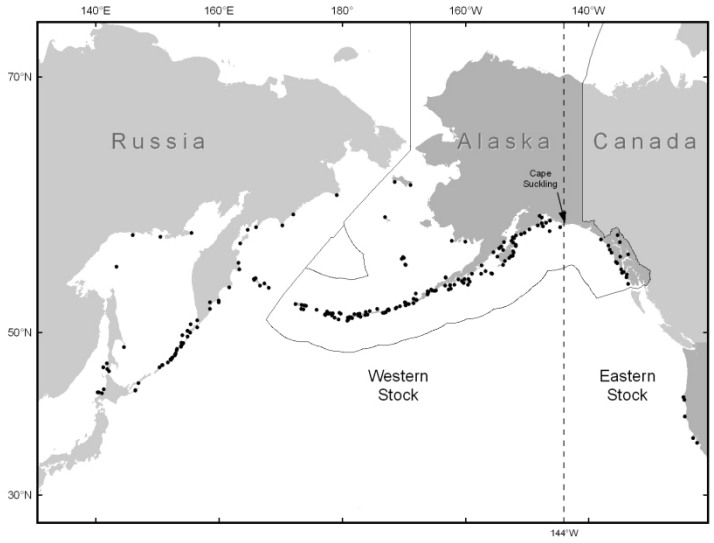

Figure 3. Approximate distribution of Steller sea lions in the North Pacific. Major U.S. haulouts and rookeries (50 CFR 226.202, 27 August 1993) and active Asian haulouts and rookeries (Burkanov and Loughlin, 2005) are depicted (points). Black dashed line (144° W) indicates stock boundary (Loughlin 1997). Note: Haulouts and rookeries in British Columbia are not shown.

Loughlin (1997) considered the following information when classifying stock structure based upon the phylogeographic approach of Dizon et al. (1992): 1) Distributional data: geographic distribution continuous, yet a high degree of natal site fidelity and low (<10%) exchange rate of breeding animals between rookeries; 2) Population response data: substantial differences in population dynamics (York et al. 1996); 3) Phenotypic data: unknown; and 4) Genotypic data: substantial differences in mitochondrial DNA (Bickham et al. 1996). Based on this information, two separate stocks of Steller sea lions were recognized within U. S. waters: an eastern U. S. stock, which includes animals east of Cape Suckling, Alaska (144°W), and a western U. S. stock, which includes animals at and west of Cape Suckling (Loughlin 1997, Fig. 3).

Steller sea lions that breed in Asia have been considered part of the western stock since the two stocks were first delineated in 1997. Since then, analyses of genetic data differ in their interpretation of separation between Asian and Alaskan sea lions. In Asian waters, Steller sea lions seasonally inhabit coastal waters of Japan in the winter, but breeding rookeries are currently only located in Russia (Burkanov and Loughlin 2005). Based on analysis of mitochondrial DNA, Baker et al. (2005) found evidence of a genetic split between the Commander Islands (Russia) and Kamchatka that would include Commander Island sea lions within the western U.S. stock and sea lions west of there in an Asian stock. However, Hoffman et al. (2006) did not support this split based on analysis of nuclear microsatellite markers indicating high rates of male gene flow. All genetic analyses confirm a strong separation between western and eastern stocks and there may be sufficient morphological differentiation to support elevating the two recognized stocks to subspecies (Phillips et al. 2009) despite the observation that western stock haplotypes are present at two northern southeast Alaska rookeries (Gelatt et al. 2007).

POPULATION SIZE

The eastern stock of Steller sea lions breeds on rookeries located in southeast Alaska, British Columbia, Oregon, and California; there are no rookeries located in Washington. Counts of pups on rookeries conducted near the end of the birthing season are nearly complete counts of pup production. Calkins and Pitcher (1982) and Pitcher

et al. (2007) concluded that the total Steller sea lion population could be estimated by multiplying pup counts by a factor based on the birth rate, sex and age structure, and growth rate of the population. The most recent pup counts available by region were 7,462 in 2009 for southeast Alaska (DeMaster 2009), 4,118 in 2006 for British Columbia (Olesiuk 2008), 1,418 in 2009 for Oregon (NMFS, unpublished data), and 891 in 2009 for California (NMFS unpublished data). Using pup multipliers of either 4.2 or 5.2 (Pitcher et al. 2007), the population is estimated to be within the range of 58,334 (13,889 × 4.2) and 72,223 (13,889 × 5.2). These are not minimum population estimates, since they are extrapolated from pup counts from photographs taken in 2006-2009, and demographic parameters estimated for an increasing (at 3.1% per year) population. The extrapolation factor varied depending on the vital rate parameter that resulted in the growth rate: as low as 4.2 if it were due to high fecundity, and as high as 5.2 if it were due to low juvenile mortality.

Minimum Population Estimate

The minimum population estimate was calculated by adding the most recent non-pup and pup counts from all sites surveyed (Table 5).

Table 5. Non-pup and pup counts from rookery and haulout sites of eastern U.S. Steller sea lions. The most recent counts for each site were used to calculate the minimum population estimate.

Trend site	Year	Non-pups	Pups	Total count per site
Southeast Alaska	2009	16,985	7,462	24,447
British Columbia	2006	15,700	4,118	19,818
Washington	2001	516	--	516
Oregon Non-Pups	2002	4,169	--	4,169
Oregon Pups	2009	--	1,418	1,418
California	2009	1,588	891	2,479
Minimum population estimate				52,847

This results in an N_{MIN} for the eastern U. S. stock of Steller sea lions of 52,847 based on counts as old as 2001 for sea lions hauled out in WA (Pitcher et al. 2007) to as recent as 2009 for sites in SE Alaska and California, and all rookeries in Oregon. This count is considered a minimum estimate of population size because it has not been corrected for animals that were at sea and it does not include the extrapolation from pup counts.

Current Population Trend

Counts in Oregon have shown a gradual increase since 1976, as the adult and juvenile state-wide count for that year was 1,486 compared to 4,169 in 2002 (NMFS 2008).

Steller sea lion numbers in California, especially in southern and central California, have declined from historic numbers. Counts in California between 1927 and 1947 ranged between 4,000 and 6,000 non-pups with no apparent trend, but have subsequently declined by over 50%, and were between 1,500 and 2,000 non-pups during 1980-2004. At Año Nuevo Island off central California, a steady decline in ground counts started around 1970, and there was an 85% reduction in the breeding population by 1987 (LeBoeuf et al. 1991). Overall, counts of non-pups at trend sites in California and Oregon have been relatively stable or increasing slowly since the 1980s (Table 6, Fig. 4).

Table 6. Counts of adult and juvenile Steller sea lions observed at rookery and haulout trend sites by year and geographical area for the eastern U. S. stock from 1982 through 2009 (NMFS 1995; Strick et al. 1997; Sease et al. 1999; Sease and Loughlin 1999; Sease et al. 2001; Olesiuk 2003; 2008; Brown et al. 2002; NMFS 2008; ODF&W unpubl. data, 7118 NE Vandenberg Ave., Corvallis, OR 97330; Point Reyes Bird Observatory, unpubl. data, 4990 Shoreline Hwy., Stinson Beach, CA 94970; NMFS unpublished data (M. Lowry, SWFSC); DeMaster 2009). Central California data include only Año Nuevo and Farallon Islands. Trend site counts in northern California/Oregon include St. George, Rogue, and Orford Reefs. British Columbia data include counts from all sites.

Area	1982	1990	1991	1992	1994	1996	1998	2000	2002	2006	2009
Central CA	511[1]	655	537	276	508	382	564[3]	349	380	--	308
Northern CA/OR	3,094	3,088	3,180	4,274	3,831	4,192	4,464	3,793	4,885	--	--

Area	1982	1990	1991	1992	1994	1996	1998	2000	2002	2006	2009
British Columbia	4,713	6,109[2]	--	7,376	8,091	--	9,818	--	12,121	15,700	--
Southeast Alaska	6,898	7,629	8,621	7,555	9,001	8,231	8,693	9,892	9,951	--	11,965
Total	15,216	17,481	--	19,48	21,43	--	23,53	--	27,337	--	--

[1] This count includes a 1983 count from Año Nuevo.
[2] This count was conducted in 1987.
[3] This count was conducted in 1999.

In Southeast Alaska, counts of non-pups at trend sites increased by 56% from 1979 to 2002 from 6,376 to 9,951 (Merrick et al. 1992; Sease et al. 2001; NMFS 2008). NMFS conducted an aerial survey of Southeast Alaska in early June 2008 and counted only 8,748 non-pups on trend sites (Fritz et al. 2008). It is thought that the lower than expected count in Southeast Alaska may have been due to movement of animals early in the survey period (early June to early July) north to the Prince William Sound region (since counts of non-pups there were over 1,300 greater in 2008 than 2007) or south to British Columbia. This hypothesis was supported by counts from a late June 2009 non-pup survey in SE Alaska, in which 11,965 non-pups were observed on trend sites, over 3,200 more than were counted in early June 2008. Between 1979 and 2009, counts of pups on the three largest rookeries in Southeast Alaska (Forrester Island complex, Hazy Island and White Sisters) more than tripled (from 2,219 to 6,859). In British Columbia, counts of non-pups throughout the province increased at a rate of 3.9% annually from 1971 through 2006 (Olesiuk and Trites 2003, Olesiuk 2008). Counts of non-pups at trend sites throughout the range of the eastern Steller sea lion stock are shown in Figure 4. Between the 1970s and 2002, the average annual population growth rate of eastern Steller sea lions was 3.1% (Pitcher et al. 2007).

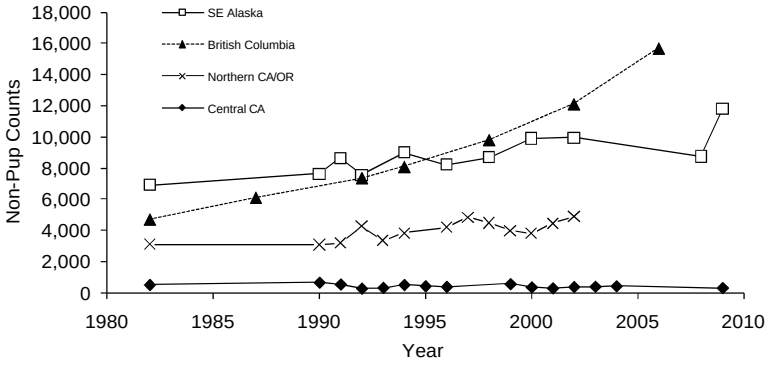

Figure 4. Counts of adult and juvenile Steller sea lions at rookery and haulout trend sites throughout the range of the eastern U.S. stock, 1982-2009. Data from British Columbia include all sites.

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

There are no estimates of maximum net productivity rates for Steller sea lions. Pitcher et al. (2007) observed a rate of population increase of 3.1% per year for the eastern stock, but concluded this rate did not represent a maximum rate of increase. Hence, until additional data become available, it is recommended that the pinniped maximum theoretical net productivity rate (R_{MAX}) of 12% be used for this stock (Wade and Angliss 1997).

POTENTIAL BIOLOGICAL REMOVAL

Under the 1994 reauthorized Marine Mammal Protection Act (MMPA), the potential biological removal (PBR) is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor: $PBR = N_{MIN} \times 0.5R_{MAX} \times F_R$. The default recovery factor (F_R) for stocks listed as "threatened" under the Endangered Species Act (ESA) is 0.5 (Wade and Angliss 1997). However, as total population estimates for the eastern U. S. stock have remained stable or increased over the last 20 years, the recovery factor is set at 0.75, midway between 0.5 (recovery factor for a "threatened" stock) and 1.0 (recovery factor for a stock within its optimal sustainable population level). This approach is consistent with recommendations of the Alaska Scientific Review Group. Thus, for the eastern U. S. stock of Steller sea lions, PBR = 2,378 animals ($52,847 \times 0.06 \times 0.75$).

ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

Fisheries Information

Until 2003, there were six different federally regulated commercial fisheries in Alaska that could have interacted with Steller sea lions and were monitored for incidental mortality by fishery observers. As of 2003, changes in fishery definitions in the List of Fisheries have resulted in separating these 6 fisheries into 22 fisheries (69 FR 70094, 2 December 2004). This change does not represent a change in fishing effort, but provides managers with better information on the component of each fishery that is responsible for the incidental serious injury or mortality of marine mammal stocks in Alaska.

Fishery observers monitored four commercial fisheries during the period from 1990 to 2005 in which Steller sea lions from this stock were taken incidentally: the California (CA)/Oregon (OR) thresher shark and swordfish drift gillnet, WA/OR/CA groundfish trawl, northern Washington (WA) marine set gillnet, and Gulf of Alaska sablefish longline fisheries. The best data available on the rates of serious injury and mortality incidental to these fisheries is presented in Table 7. There have been no observed serious injuries or mortalities incidental to the CA/OR thresher shark and swordfish drift gillnet fishery in recent years (Carretta 2002, Carretta and Chivers 2003, Carretta and Chivers 2004). In the WA/OR/CA groundfish trawl (Pacific whiting component only) one Steller sea lion was observed killed in each year in 2000-03. No data are available after 1998 for the northern Washington marine set gillnet fishery. Between 2005-2009, several Steller sea lion mortalities occurred in WA/OR/CA groundfish fisheries, including the limited trawl sector, California halibut trawl, and the at-sea hake sector, with a mean annual mortality in these fisheries of 5.71 (Jannot et al. 2011). There have been no observer reported mortalities in the Gulf of Alaska sablefish longline since 2000 (Perez unpubl. ms.). During the 4-year period from 2007-2010, a total of 45 Steller sea lions mortalities occurred in fisheries operating south of latitude 49 (2007 = 14 mortalities, 2008 = 6 mortalities, 2009 = 0 mortalities, 2010 = 25 mortalities), with an average annual take of 11.25 animals. These takes were reported as animals killed by gear; however, they could not be assigned to a particular fishery. The total mean annual mortality rate from all fisheries is 17.0 Steller sea lions. No mortalities were reported by fishery observers monitoring drift gillnet and set gillnet fisheries in Washington and Oregon this decade; though, mortalities have been reported in the past.

Table 7. Summary of incidental mortality of Steller sea lions (eastern U. S. stock) due to commercial fisheries from 2005 to 2009 and calculation of the mean annual mortality rate. The most recent 5 years of available data are used in the mortality calculation when more than 5 years of data are provided for a particular fishery. N/A indicates that data are not available. Data for observer coverage, observed mortality and estimated mortality not in parentheses are values from non-breeding season (Aug-Apr), those in parentheses are from breeding season (May-Jul). Details of how percent observer coverage is measured is included in Appendix 6.

Fishery name	Years	Data type	Observer coverage	Observed mortality (in given yrs.)	Estimated mortality (in given yrs.)	Mean annual mortality
WA/OR/CA groundfish (limited entry trawl sector)	2005 2006 2007 2008 2009	Obs data	22 (5) 21 (5) 18 (4) 20 (5) 26 (5)	0 (0) 0 (0) 0 (0) 0 (0) 3 (1)	0 (0) 0 (0) 0 (0) 0 (0) 11.56 (--)	2.51 (CV = 0.47)
WA/OR/CA California halibut trawl	2005 2006 2007 2008 2009	Obs data	10 13 12 37 N/A	0 0 1 1 N/A	0 0 -- 2.68 N/A	0.74 (CV = 0.63)
WA/OR/CA groundfish (at-sea hake sector)	2005 2006 2007 2008 2009	Obs data	100 98 99 99 100	0 (2) 0 (3) 0 (3) 1 (0) 0 (0)	0 (2.99) 0 (3.78) 0 (4.22) 1.3 (0) 0 (0)	2.46 (CV = 0.17)
Observer program total						5.71 (CV = 0.23)

[1] A "—" indicates bycatch estimate not provided due to the high coefficient of variation for that estimate.

Strandings of Steller sea lions provide additional information on fishery-related mortality. Estimates of fishery-related mortality from stranding data are considered minimum estimates because not all entangled animals strand, and not all stranded animals are found or reported. A total of 121 observations of Steller sea lions with flashers hanging from their mouth were reported in Southeast Alaska and northern British Columbia between 2003 and 2007 (Raum-Suryan et al. 2009; pers. comm., Lauri Jemison, Steller Sea Lion Program, Alaska Department of Fish and Game, 1255 West 8th Street, P.O. Box 115526, Juneau, AK 99811) indicating an average rate of hook ingestion of 24.2 per year. It is not clear whether entanglements with hooks and flashers involved the recreational or commercial component of the salmon troll fishery. Based on Angliss and DeMaster (1998), it is appropriate to consider these fishery interactions "serious injuries". Entanglements were also reported in the stranding database, with a total of 20 cases (1 in 2007, 7 in 2008, and 1 in 2009, 11 in 2010) of serious injury and mortality attributed to entanglement, averaging 4.0 annually between 2006-2010. There were 3 fishery-related strandings of Steller sea lions in Washington, Oregon, or California between 2006 and 2010, all occurring in 2010, resulting in a mean annual mortality of 0.6.

Due to limited observer program coverage, no data exist on the mortality of marine mammals incidental to Canadian commercial fisheries (i.e., those similar to U.S. fisheries known to take Steller sea lions). As a result, the number of Steller sea lions taken in Canadian waters is not known.

The minimum estimated mortality rate incidental to commercial and recreational fisheries (both U.S. and Canadian) is 45.8 sea lions per year, based on fisheries observer data (17.0), opportunistic observations (24.2), and stranding data (4.6).

Subsistence/Native Harvest Information

The subsistence harvest of Steller sea lions during 2004-2008 is summarized in Wolfe et al. (2009b). During each year, data were collected through systematic interviews with hunters and users of marine mammals in approximately 2,100 households in about 60 coastal communities within the geographic range of the Steller sea lion in Alaska. Approximately 16 of the interviewed communities lie within the range of the eastern U.S. stock. As of 2009, data on community subsistence harvests are no longer being collected. Therefore, the most recent 5-years of data (2004-2008) will be retained and used for estimating an annual mortality estimate. The average number of animals harvested and struck but lost is 12 animals/year (Table 8).

An unknown number of Steller sea lions from this stock are harvested by subsistence hunters in Canada. The magnitude of the Canadian subsistence harvest is believed to be small. Alaska Native subsistence hunters have initiated discussions with Canadian hunters to quantify their respective subsistence harvests, and to identify any effect these harvests may have on management of the stock.

Table 8. Summary of the subsistence harvest data for the eastern stock of Steller sea lions, 2004-2008.

Year	Estimated total number taken	Number harvested	Number struck and lost
2004	12[1]	5	7
2005	19[2]	0	19
2006	12.6[3]	2.5	10.1
2007	6.1[4]	0	6.1
2008	9.7[5]	1.7	8.0
Mean annual take (2004-2008)	11.9	1.8	10.0

[1] Wolfe et al. 2005; [2] Wolfe et al. 2006; [3] Wolfe et al. 2008; [4] Wolfe et al. 2009a; [5]Wolfe et al. 2009b.

Other Mortality

Illegal shooting of sea lions in U.S. waters was thought to be a potentially significant source of mortality prior to the listing of sea lions as threatened under the ESA in 1990. Such shooting has been illegal since the species was listed as threatened. (Note: the 1994 amendments to the MMPA made intentional lethal take of any marine mammal illegal except for subsistence hunting by Alaska Natives or where imminently necessary to protect human life).

Steller sea lions were taken historically in British Columbia during commercial salmon farming operations. Preliminary figures from the British Columbia Aquaculture Predator Control Program indicated a mean annual mortality of 45.8 Steller sea lions from this stock over the period from 1999 to 2003 (Olesiuk 2004). Starting in

2004, aquaculture facilities were no longer permitted to shoot Steller sea lions (P. Olesiuk, Pacific Biological Station, Canada, pers. comm.).

Strandings of Steller sea lions with gunshot wounds do occur, along with strandings of animals entangled in material that is not fishery-related. During the period from 2006 to 2010, there were 2 reported strandings of animals from this stock with gunshot wounds in Oregon and Washington, 1 in 2006 and 1 in 2010, resulting in an estimated annual mortality of 0.4 Steller sea lions. This estimate is considered a minimum because not all stranded animals are found, reported, or cause of death determined (via necropsy by trained personnel). Four mortalities from gunshots were reported in Alaska (1 in 2007, 1 in 2009, and 2 in 2010); however, Steller sea lions reported in the Alaska stranding database as shot are not included in this estimate, as they may result from animals struck and lost in the Alaska Native subsistence harvest. In addition, human-related stranding data are not available for British Columbia. Two Steller sea lion mortalities attributed to vessel collisions were reported to the Alaska stranding network.

Mortalities may occasionally occur incidental to marine mammal research activities authorized under MMPA permits issued to a variety of government, academic, and other research organizations. Between 2006 and 2010, there was 1 incidental mortality resulting from research on the eastern stock of Steller sea lions, which results in an annual average of 0.2 mortalities per year from this stock (Tammy Adams, pers. comm., Permits, Conservation, and Education Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Silver Spring, MD 20910). Two Steller sea lions died in 2008 in traps at Bonneville Dam, part of the lethal take program targeting California sea lions, averaging 0.4 mortalities per year.

The total non-fishery human-related serious injury and mortality of eastern Steller sea lions for the 2006-2010 period based on stranding and other reports is 7 (2 gunshots, 2 vessel collisions, 2 incidentally taken in traps, 1 research mortality), giving an average annual serious injury and mortality of 1.4.

STATUS OF STOCK

Based on currently available data, the minimum estimated U. S. commercial fishery-related mortality and serious injury for this stock (17.0) is less than that 10% of the calculated PBR (200) and, therefore, can be considered to be insignificant and approaching a zero mortality and serious injury rate. The estimated annual level of total human-caused mortality and serious injury (45.8 (commercial and recreational fisheries) + 11.9 (subsistence) + 1.4 (other human-caused mortality) = 59.1) does not exceed the PBR (1998) for this stock. The eastern U.S. stock of Steller sea lion is currently listed as “threatened” under the ESA, and therefore designated as “depleted” under the MMPA. As a result, this stock is classified as a strategic stock. The eastern stock of Steller sea lion is being considered for removal from listing under the ESA by NMFS (NMFS 2008), based in part on its consistent increase in abundance since the mid-1970s. On June 29, 2010, NMFS initiated a review of the eastern Distinct Population Segment population status to reassess the listing classification under the ESA (75 FR 37385). On August 30, 2010, NMFS received a petition to delist the eastern DPS from the States of Washington and Oregon, and on September 1, 2010, the Secretary of Commerce received a petition to delist this DPS from the State of Alaska. NMFS Alaska Region Protected Resources Division prepared a draft status review to address the petitions to delist the eastern DPS and is prepared to issue a final de-listing decision in April 2013. Although the stock size has increased, the status of this stock relative to its Optimum Sustainable Population size is unknown. The overall estimated annual rates of increase of 3.1% (Pitcher et al. 2007), 2.99%, and 4.18% (NMFS draft Status Review) of the eastern U. S. stock has been consistent and long-term, and may indicate that this stock is reaching OSP size.

Habitat Concerns

Unlike the observed decline in the western U. S. stock of Steller sea lion, there has not been an overall decline in the eastern U. S. stock. The eastern U. S. stock is increasing throughout the northern portion of its range (Southeast Alaska and British Columbia), and is stable or increasing slowly in the central (Oregon through central California). In the southern end of its range (Channel Islands in southern California), it has declined considerably since the late 1930s, and several rookeries and haulouts south of Año Nuevo Island have been abandoned. Changes in the ocean environment, particularly warmer temperatures, may be factors that have favored California sea lions over Steller sea lions in the southern portion of the Steller’s range (NMFS 2008). A revised Recovery Plan reviewing current threats to the eastern and western U.S. stocks and proposing actions and guidelines for recovery was released by NMFS in March 2008 (NMFS 2008).

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