Revised 12/15/2003

HARBOR PORPOISE (*Phocoena phocoena*): San Francisco-Russian River Stock

STOCK DEFINITION AND GEOGRAPHIC RANGE

In the Pacific, harbor porpoise are found in coastal and inland waters from Point Conception, California to Alaska and across to Kamchatka and Japan (Gaskin 1984). Harbor porpoise appear to have more restricted movements along the western coast of the continental U.S. than along the eastern coast. Regional differences in pollutant residues in harbor porpoise indicate that they do not move extensively between California, Oregon, and Washington (Calambokidis and Barlow 1991). That study also showed some regional differences within California (although the sample size was small). This pattern stands as a sharp contrast to the eastern coast of the U.S. and Canada where harbor porpoise are believed to migrate seasonally from as far south as the Carolinas to the Gulf of Maine and Bay of Fundy (Polacheck et al. 1995). A phylogeographic analysis of genetic data from northeast Pacific harbor porpoise did not show complete concordance between DNA sequence types and geographic location (Rosel 1992). However, an analysis of molecular variance (AMOVA) of the same data with additional samples found significant genetic differences for four of the six pair-wise comparisons between the four areas investigated: California, Washington, British Columbia, and Alaska (Rosel et al. 1995). These results demonstrate that harbor porpoise along the west coast of North America are not panmictic or migratory, and movement is sufficiently restricted that genetic differences have evolved. Recent preliminary genetic analyses of samples ranging from Monterey Bay, California to Vancouver Island, British Columbia indicate that there is small-scale subdivision within the U.S. portion of this range (Chivers *et al.*, 2002).

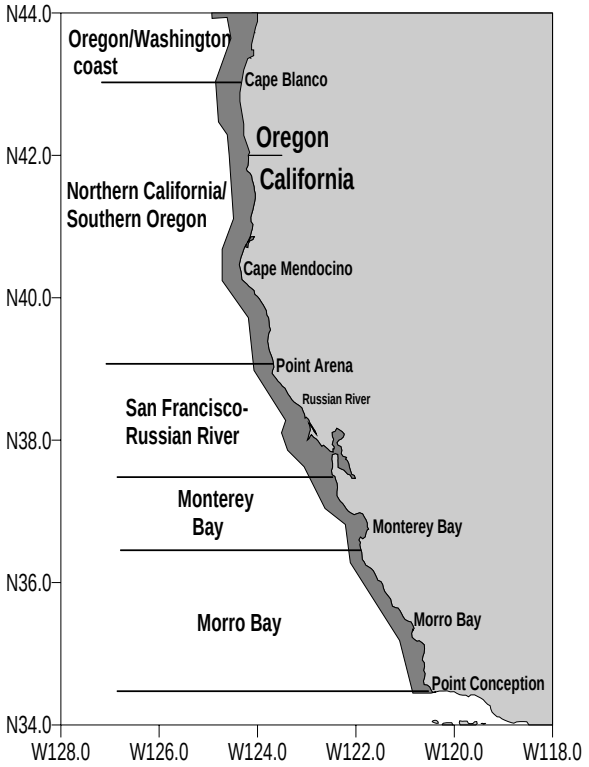

Figure 1. Stock boundaries and distributional range of harbor porpoise along the California/southern Oregon coast. Shaded area represents harbor porpoise habitat (0-200 m) along the U.S. west coast.

In their assessment of harbor porpoise, Barlow and Hanan (1995) recommended that the animals inhabiting central California (defined to be from Point Conception to the Russian River) be treated as a separate stock. Their justifications for this were: 1) fishery mortality of harbor porpoise is limited to central California, 2) movement of individual animals appears to be restricted within California, and consequently 3) fishery mortality could cause the local depletion of harbor porpoise if central California is not managed separately. Although geographic structure exists along an almost continuous distribution of harbor porpoise from California to Alaska, stock boundaries are difficult to draw because any rigid line is (to a greater or lesser extent) arbitrary from a biological perspective. Nonetheless, failure to recognize geographic structure by defining management stocks can lead to depletion of local populations. Based on recent genetic findings (Chivers *et al.*, 2002), California coast stocks were re-evaluated, and significant genetic differrences were found among four identified sampling sites. Revised stock boundaries are presented here based on these genetic data and density discontinuities identified from aerial surveys, resulting in six California/Oregon/Washington stocks where previously there had been four (Carretta *et al*. 2001a). The stock boundaries for animals that occur in California/southern Oregon waters are shown in Figure 1. For the 2002 Marine Mammal Protection Act (MMPA) Stock Assessment Reports, other Pacific coast harbor porpoise stocks include: 1) a Morro Bay stock, 2) a Monterey Bay stock, 3) a northern California/southern Oregon stock, 4) an Oregon/Washington coast stock, 5) an Inland Washington stock, 6) a Southeast Alaska stock, 7) a Gulf of Alaska stock, and 8) a Bering Sea stock. Stock assessment reports for Morro Bay, Monterey Bay, northern California/southern Oregon, Oregon/Washington coast, and Inland Washington waters harbor porpoise appear in this volume. The three Alaska harbor porpoise stocks are reported

separately in the Stock Assessment Reports for the Alaska Region.

POPULATION SIZE

Previous estimates of abundance for California harbor porpoise were based on aerial surveys conducted between the coast and the 50-fm isobath during 1988-95 (Barlow and Forney 1994, Forney 1999a). These estimates did not include an unknown number of animals found in deeper waters. Barlow (1988) found that the vast majority of harbor porpoise in California were within the 0-50-fm depth range; however, Green et al. (1992) found that 24% of harbor porpoise seen during aerial surveys of Oregon and Washington were between the 100m and 200m isobaths (55 to 109 fathoms). A systematic ship survey of depth strata out to 90 m in northern California showed that porpoise abundance declined significantly in waters deeper than 60 m (Carretta *et al.* 2001b). A recent analysis of harbor porpoise trends including oceanographic data suggests that the proportion of California harbor porpoise in deeper waters may vary between years (Forney 1999b). In 1999, aerial surveys extended farther offshore (to the 200m depth contour or a minimum of 15 nmi from shore in the region of the San Francisco-Russian River stock) to provide a more complete abundance estimate. Although two harbor porpoise sightings were made in offshore waters under poor conditions (Beaufort sea state 3), only good conditions have traditionally been included in abundance analyses for this species (Barlow and Forney 1994, Forney 1999a), and therefore no offshore sightings contributed to the abundance estimate for this stock. Based on aerial surveys from 1997-99 under good survey conditions (Beaufort $\leq$ 2, cloud cover $\leq$ 25%) the estimate of abundance for this stock is 6,674 animals (CV = 0.39) (Carretta 2003).

Minimum Population Estimate

The minimum population estimate for the San Francisco-Russian River harbor porpoise stock is taken as the lower 20th percentile of the log-normal distribution of the abundance estimated from the 1997-99 aerial surveys, or 4,858 animals.

Current Population Trend

Analyses of a 1986-95 time series of aerial surveys have been conducted to examine trends in harbor porpoise abundance in central California (Forney, 1995; 1999b). After controlling for the effects of sea state, cloud cover, and area on sighting rates, Forney (1995) found a negative trend in population size; however, that trend was no longer significant when sea surface temperature (a proxy measure of oceanographic conditions) was included in an updated non-linear trend analysis (Forney 1999b). The negative correlation between harbor porpoise sighting rates and sea surface temperatures indicates that apparent trends could be caused by changing oceanographic conditions and movement of animals into and out of the study area. Encounter rates for the 1997 survey, however, were very high (Forney 1999a) despite the warmer sea surface temperatures caused by strong El Niño conditions. These observations suggest that patterns of harbor porpoise movement are not directly related to sea surface temperature, but rather to the more complex distribution of potential prey species in this area. Although encounter rates

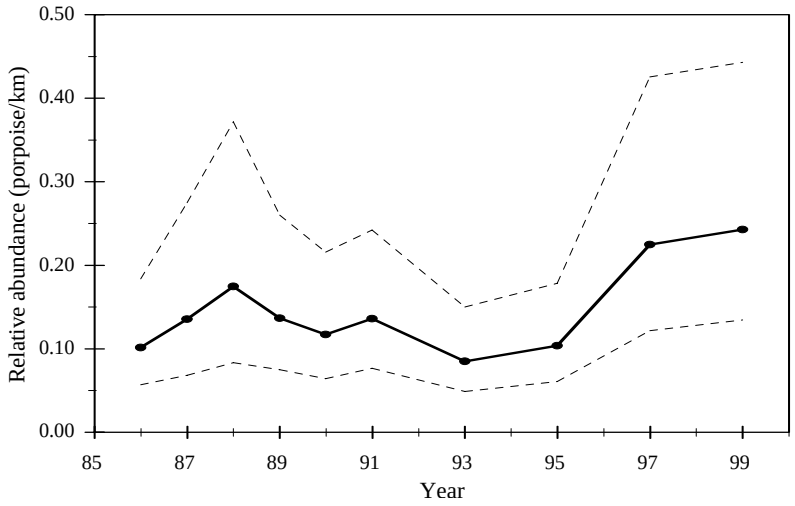

Figure 2. Relative abundance (+/- one standard error) of central California (Pt. Conception to Russian River) harbor porpoise, 1986-99, adjusted for sea state and cloud cover (following methods of Forney 1995). The trend shown includes the range of three California stocks (Morro Bay, Monterey Bay, and San Francisco-Russian River).

during the 1999 aerial survey were again higher than in past years, the trend in relative abundance (following methods of Forney 1995) is not statistically significant (p=0.12, Figure 2). More detailed studies of encounter rate patterns in relation to satellite-derived sea surface temperature during 1993-99 are planned to shed light on potential oceanography-related movement patterns of harbor porpoise in this region.

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Based on what are argued to be biological limits of the species (i.e. females give birth first at age 4 and produce one calf per year until death), the theoretical, maximum-conceivable growth rate of a closed harbor porpoise population was estimated as 9.4% per year (Barlow and Boveng 1991). This maximum theoretical rate may not be achievable for any real population. [Woodley and Read (1991) calculate a maximum growth rate of approximately 5% per year, but their argument for this being a maximum (i.e. that porpoise survival rates cannot exceed those of Himalayan thar) is not well justified.] Population growth rates have not actually been measured for any harbor porpoise population. Because a reliable estimate of the maximum net productivity rate is not available for northern California harbor porpoise, we use the default maximum net productivity rate (R_{MAX}) of 4% for cetaceans (Wade and Angliss 1997).

POTENTIAL BIOLOGICAL REMOVAL

The potential biological removal (PBR) level for this stock is calculated as the minimum population size (4,858) times one half the default maximum net growth rate for cetaceans (½ of 4%) times a recovery factor of 0.5 (for a species of unknown status; Wade and Angliss 1997), resulting in a PBR of 49.

HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

Fishery Information

The incidental capture of harbor porpoise in California has largely been limited to set gillnet fisheries in Monterey Bay and to a lesser extent, Morro Bay. Coastal setnets are not allowed north of Bodega Head (to protect salmon resources there). However, two harbor porpoise strandings near Bodega Head in 1998, one inside San Francisco Bay in 1998, and one near Montara, San Mateo County in 2001 were attributed to fishery-related mortality, but the responsible fishery is unknown. Although the stranding locations fall within the range of the San Francisco-Russian River harbor porpoise stock and this is probably the source stock for the mortalities, it is possible that some of these animals were taken from the northern California/southern Oregon stock and subsequently drifted southward to the stranding location.

Table 1. Summary of available information on incidental mortality and injury of harbor porpoise (San Francisco-Russian River stock) in commercial fisheries that might take this species. Mean annual takes are based on 1997-2000 data unless noted otherwise. n/a indicates that data are not available.

Fishery Name	Year(s)	Data Type	Percent Observer Coverage	Observed Mortality	Kill/Day	Estimated Mortality (CV in parentheses)	Mean Annual Takes (CV in parentheses)
Unknown fishery	1997-2001	stranding	n/a	3 (in 1998) 1 in 2001		n/a	$\geq$ 0.8 (n/a)
Minimum total annual takes							$\geq$ 0.8 (n/a)

STATUS OF STOCK

Harbor porpoise in California are not listed as threatened or endangered under the Endangered Species Act nor as depleted under the Marine Mammal Protection Act. Barlow and Hanan (1995) calculate the status of harbor porpoise relative to historic carrying capacity (K) using a technique called back-projection. They calculate that the central California population (including Morro Bay, Monterey Bay, and San Francisco-Russian River stocks) could have been reduced to between 30% and 97% of K by incidental fishing mortality, depending on the choice of input parameters. They conclude that there is no practical way to reduce the range of this estimate. New information does not change this conclusion, and the status of central California harbor porpoise populations relative to their Optimum Sustainable Population (OSP) levels must be treated as unknown. There are no known habitat issues that are of particular concern for this stock. Because the known human-caused mortality or serious injury (0.8 harbor porpoise per year) is less than the PBR (49), this stock is not considered a "strategic" stock under the MMPA. Because average annual fishery mortality

is less than 10% of the PBR, the fishery mortality can be considered insignificant and approaching zero mortality and serious injury rate.

REFERENCES

Barlow, J. 1988. Harbor porpoise (*Phocoena phocoena*) abundance estimation in California, Oregon and Washington: I. Ship surveys. Fish. Bull. 86:417-432.

Barlow, J. and P. Boveng. 1991. Modeling age-specific mortality for marine mammal populations. Mar. Mamm. Sci. 7(1):84-119.

Barlow, J. and K. A. Forney. 1994. An assessment of the 1994 status of harbor porpoise in California. U.S. Dep. Commer., NOAA Tech. Memo. NMFS-SWFSC-205. 17 pp.

Barlow, J. and D. Hanan. 1995. An assessment of the status of harbor porpoise in central California. Rept. Int. Whal., Special Issue 16:123-140.

Calambokidis, J. and J. Barlow. 1991. Chlorinated hydrocarbon concentrations and their use for describing population discreteness in harbor porpoises from Washington, Oregon, and California. pp. 101-110 In: J. E. Reynolds III and D. K. Odell (eds.) Marine mammal strandings in the United States. NOAA Tech. Rep. NMFS 98.

Carretta, J.V., J. Barlow, K.A. Forney, M.M. Muto, and J. Baker. 2001a. U.S. Pacific Marine Mammal Stock Assessments: 2001. U.S. Dep. Commer. NOAA Technical Memorandum, NOAA-TM-NMFS-SWFSC-317. 280 p.

Carretta, J.V., B.L. Taylor, and S.J. Chivers. 2001b. Abundance and depth distribution of harbor porpoise (*Phocoena phocoena*) in northern California determined from a 1995 ship survey. U.S. Fishery Bulletin 99:29-39.

Carretta, J.V. 2003. Preliminary estimates of harbor porpoise abundance in California from 1997 and 1999 aerial surveys. Southwest Fisheries Science Center Administrative Report LJ-03-04. 12 p.

Chivers, S.J., A.E. Dizon, P.J. Gearin, and K.M. Robertson. 2002. Small-scale population structure of eastern North Pacific harbour porpoises, (Phocoena phocoena), indicated by molecular genetic analyses. Journal of Cetacean Research and Management 4(2):111-122.

Forney, K. A. 1999a. The abundance of California harbor porpoise estimated from 1993-97 aerial line-transect surveys. Admin. Rep. LJ-99-02. Southwest Fisheries Center, National Marine Fisheries Service, P.O. Box 271, La Jolla, CA 92038. 16 pp.

Forney, K. A. 1999b. Trends in harbor porpoise abundance off central California, 1986-95: Evidence for interannual changes in distribution? J. Cetacean Res. Manage. 1:73-80.

Gaskin, D. E. 1984. The harbour porpoise (*Phocoena phocoena* L.): regional populations, status, and information on direct and indirect catches. Rep. int. Whal. Commn 34:569-586.

Green, G. A., J. J. Brueggeman, R. A. Grotefendt, C. E. Bowlby, M. L. Bonnell, and K. C. Balcomb, III. 1992. Cetacean distribution and abundance off Oregon and Washington, 1989-1990. Ch. 1 In: J. J. Brueggeman (ed.). Oregon and Washington Marine Mammal and Seabird Surveys. Minerals Management Service Contract Report 14-12-0001-30426 prepared for the Pacific OCS Region.

Laake, J. L., J. C. Calambokidis, S. D. Osmek, and D. J. Rugh. 1997. Probability of detecting harbor porpoise from aerial surveys: estimating g(0). J. Wildl. Manag. 61:63-75.

NMFS, Southwest Fisheries Science Center, P.O. Box 271, La Jolla, CA 92038-0271

NMFS, Southwest Region, 501 West Ocean Blvd, Long Beach, CA 90802-4213

Polacheck, T., F. W. Wenzel, and G. Early. 1995. What do stranding data say about harbor porpoise (*Phocoena phocoena*). Rep. Int. Whal. Comm., Special Issue 16:169-179.

Rosel, P. E. 1992. Genetic population structure and systematic relationships of some small cetaceans inferred from mitochondrial DNA sequence variation. Ph.D. Dissertation, Univ. Calif. San Diego. 191pp.

Rosel, P. E., A. E. Dizon, and M. G. Haygood. 1995. Variability of the mitochondrial control region in populations of the harbour porpoise, Phocoena phocoena, on inter-oceanic and regional scales. Can. J. Fish. and Aquat. Sci. 52:1210-1219.

Wade, P. R. and R. P. Angliss. 1997. Guidelines for Assessing Marine Mammal Stocks: Report of the GAMMS Workshop April 3-5, 1996, Seattle, Washington. U. S. Dep. Commer., NOAA Tech. Memo. NMFS-OPR-12. 93 pp.

Woodley, T. H. and A. J. Read. 1991. Potential rates of increase of a harbour porpoise (*Phocoena phocoena*) population subjected to incidental mortality in commercial fisheries. Can. J. Fish. Aquat. Sci. 48:2429-2435.