GROWTH, INEQUALITY AND ORGANIZATIONAL DESIGN

THAILAND: KEY INFORMANT SURVEY

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GROWTH, INEQUALITY AND ORGANIZATIONAL DESIGN

THAILAND: KEY INFORMANT (Village Headman)

FOLLOW-UP SURVEY

I. Village Identification

(Team Leader Records)

CVR1A Changwat	**CVR1A** [ ]
CVR1B Amphoe	**CVR1B** [ ]
CVR1C Tambon	**CVR1C** [ ]
CVR1D Village #	**CVR1D** [ ]
CVR1F Team Number	**CVR1F** [ ]

(Visit Information: Enumerator Records. Record **CVR2D** and **2F** at end of visit.)

CVR2A Enumerator	**CVR2B** Visit #	**CVR2C** Start Time	**CVR2D** End Time	**CVR2E** Day/Month	**CVR2F** Visit Results

CVR2F: Visit Results
1 - completed
3 - partially completed
5 - headman refused
7 – headman unavailable
9 – headman unable to answer the answer questions satisfactorily
11 - other (specify) **CVR2FO**

(Interview Information: Field Editor or Team Leader Records. Record **CVR3D**, **3F** and **3G** at end of edit)

CVR3A Editor	**CVR3B** Edit #	**CVR3C** Start Time	**CVR3D** End Time	**CVR3E** Day/Month	**CVR3F** Results	**CVR3G** Action

CVR3F: Edit Results
1 - ready for data entry
3 - talk to enumerator
5 - sections incomplete
7 - other (specify) **CVR3FO**

CVR3G: Action
1 - send to data entry
3 - set up repeat interview with the headman
5 – set up interview with someone else
7 - other (specify) **CVR3G0**

II. Characteristics of Village Headman (Respondent)

VH1A Name (of village headman)	
VH1B1 Were you the headman of this village 12 months ago? *(1 – yes, 3 – no.)*	**VH1B1** **[ ]**
VH1B2 *Enumerator: Is this the same person who was interviewed during the big survey? (1 – yes, 3 – no. If 1 skip to* **VH2A**.*)*	**VH1B2** **[ ]**
VH1C *Enumerator: record sex. 1 – male 3 – female*	**VH1C** **[ ]**
VH1D How old were you on your last birthday?	**VH1D** **[ ]**
VH1E What titles/positions do you currently hold? *(Record all that apply, except in the case w here the respondent is the head of the organization. For example if the respondent is the head of the tambon council record only F and not F and G.)*	**VH1E** **[ ]**

Codes for Titles	L – head of BAAC group
A – no title	M – member of BAAC group
B – headman	N – member of agricultural cooperative
C – headman's helper	O – head of housewife's group
D – head of tambon	P – member of housewife's group committee
E – head of tambon's helper	Q – head of women's group
F – head of tambon council	R – member of women's group committee
G – member of tambon council	S – rice miller
H – head of rice bank committee	T – shop keeper
I – member of rice bank committee	U – money lender
J – head of PCG committee	V – teacher
K – member of PCG committee	W – other (specify)

VH1F How many years have you lived in this village?	**VH1F** **[ ]**
VH1G What is the highest grade of school that you have completed?	**VH1G** **[ ]**

Codes for Schooling	21 - P. 6	45 - PWC 3
1 - no school	23 – P. 7	47 - PWS 1
3 – not yet enrolled in school	25 - M or MS 1	49 - PWS 2
	27 - M or MS 2	51 - PWT 1
5 – A. 1	29 - M or MS 3	53 - PWT 2
7 – A. 2	31 - M or MS 4	55 - Univ. 1
9 – A. 3	33 - M or MS 5	57 - Univ. 2
11 - P. 1	35 - M or MS 6	59 - Univ. 3
13 - P. 2	37 - M or MS 7	61 - Univ. 4
15 - P. 3	39 - M or MS 8	63 -q other
17 - P. 4	41 - PWC 1	
19 - P. 5	43 - PWC 2	

VH1H From which occupation did you earn most of your income over the past 12 months? *(If 1 continue, otherwise skip to* **VH1J**.)	**VH1H** **[ ]**

Codes for occupation	
0 – no prior occupation 1 – inactive/retired (or no secondary occupation) 3 - in school 5 – looking for work 7 - rice farmer 9 - corn farmer 11 – orchard farmer 13 – farmer growing a different crop 15 - shrimp farmer 17 - raise fish other than shrimp 19 - raise livestock: chicken or duck 21 - raise livestock: pig, cow (meat or dairy) or water buffalo 23 - construction work in village 25 - construction work out of village but in Changwat 27 - construction work in another Changwat, not Bangkok 29 - construction work in Bangkok 31 - rice miller	33 - shop keeper 35 - factory worker 37 – mechanic 39 - clerical worker 41 - administrative or managerial job 43 – nurse 45 – teacher 47 – policeman 49 - government official 51 – accountant 53 – electrician 55 - cleaner or janitor 57 – trader 59 – housework 61 – general agricultural labor jobs that vary over the year 63 – general non-agricultural labor jobs that vary over the year 65 - other (specify)

VH1I When you were active, from what occupation did you earn most of your income in a typical year?	**VH1I** [ ]
VH1J How many rai of land do you and your spouse own?	**VH1J** [ ]
VH1K How many rai of land did you and your spouse inherit?	**VH1K** [ ]

III. Population

VH2A How many households are there in the village today?	**VH2A** [ ]
VH2B What is the population of the village today?	**VH2B** [ ]

VH2C Comparing the past 12 months to the 12 months before that, do you think there have been any significant changes in any of the following? *(1 – yes, 3 - no. Enumerator: ask for each issue listed in the table below. If the answer is 3 go on to the next issue. If it is 3 and the last issue, go to* **VH3A**.)

VH2D Please describe the change. Did ___ 1 – increase or 3 – decrease?

VH2E What do you think is the reason for this change?

VH2F How has this change affected this village?

Issue	**VH2C** change	**VH2D** up/down	**VH2E** reason	**VH2E translation**	**VH2F** affect	**VH2F translation**
Temporary migration						
number of people moving temporarily to the village from Bangkok						
number of people moving temporarily to the village from other urban areas						
number of people moving temporarily to the village from rural areas						
number of people leaving the village temporarily to go to Bangkok						
number of people leaving the village temporarily to go to other urban areas						
number of people leaving the village temporarily to go to rural						

areas						
Permanent migration						
number of people moving permanently to the village from Bangkok						
number of people moving permanently to the village from other urban areas						
number of people moving permanently to the village from rural areas						
number of people leaving the village permanently to go to Bangkok						
number of people leaving the village permanently to go to other urban areas						
number of people leaving the village permanently to go to rural areas						

IV. Occupation

VH3A Comparing the past 12 months to the 12 months before that, do you think there have been any significant changes in any of the following? *(1 – yes, 3 - no. Enumerator: ask for each issue listed in the table below. If the answer is 3 go on to the next issue. If it is 3 and the last issue, go to* **VH4A**.)
VH3B Please describe the change. Did ___ 1 – increase or 3 – decrease?
VH3C What do you think is the reason for this change?
VH3D How has this change affected this village?

Issue	**VH3A** change	**VH3B** up/down	**VH3C** reason	**VH3C translation**	**VH3D** affect	**VH3D translation**
the number of people in the village who are unemployed and/or looking for work						
the number of people in the village who raise fish or shrimp						
the number of people in the village who raise livestock						
the number of people in the village who grow crops (field, tree or other)						
the number of people in the village who have household businesses						

the number of people in the village who work for factories						
the number of factories operating near the village						
the number of people in the village who work in construction						
the number of people in the village who do agricultural wage labor						
the number of people in the village who do non-agricultural wage labor (but not at a factory)						
the number of people in the village who do piece work at home						
the number of people in the village who own no land						

V. Agriculture

VH4A Comparing the past 12 months to the 12 months before that, do you think there have been any significant changes in any of the following? *(1 – yes, 3 - no. Enumerator: ask for each issue listed in the table below. If the answer is 3 go on to the next issue. If it is 3 and the last issue, go to* **VH4E**.)
VH4B Please describe the change. Did ___ 1 – increase or 3 – decrease?
VH4C What do you think is the reason for this change?
VH4D How has this change affected this village?

Issue	**VH4A** change	**VH4B** up/down	**VH4C** reason	**VH4C translation**	**VH4D** affect	**VH4D translation**
the types of crops that people in this village grow		NA				
the number of people in the village that use walking tractors						
the number of people in the village who use chemical fertilizer						
the average amount of chemical fertilizer used per rai						
the yield per rai on the main crop grown in this village						
the number of rai under cultivation						
cooperation of farmers in acquiring						

inputs						
cooperation of farmers in production						
cooperation of farmers in selling and/or transporting output						
the availability of water for agriculture: man-made sources of water for agriculture (resevoirs, irrigation projects, etc.)						

VH4E Comparing the past 12 months to the 12 months before that, do you think there have been any significant changes in the way farmers in this village sell their output or in who they sell their output to? *(1 – yes, 3 – no. If no skip to* **VH5A***.)*	**VH4E** **[ ]**
VH4F Please describe the change in the way that farmers sell their output.	
VH4F Translation	
VH4G What do you think is the reason for this change?	
VH4G Translation	
VH4H How has this change affected the village?	
VH4H Translation	

VI. Social Networks

VH5A Comparing the past 12 months to the 12 months before that, do you think there have been any significant changes in any of the following? *(1 – yes, 3 - no. Enumerator: ask for each issue listed in the table below. If the answer is 3 go on to the next issue. If it is 3 and the last issue, go to* **VH6A**.)

VH5B Please describe the change. Did ___ 1 – increase or 3 – decrease?

VH5C What do you think is the reason for this change?

VH5D How has this change affected this village?

Issue	**VH5A** change	**VH5B** up/down	**VH5C** reason	**VH5C translation**	**VH5D** affect	**VH5D translation**
the number of people who send remittances of to people in this village						
the amount of money that is remitted						
the number of villagers who help other villagers by providing free labor						
the number of villagers who help other villagers by sharing equipment						
the number of villagers who help other villagers with money						
the number of villagers who help other villagers with rice						

VII. Credit and Savings

VH6A Comparing the past 12 months to the 12 months before that, do you think there have been any significant changes in any of the following? *(1 – yes, 3 - no. Enumerator: ask for each issue listed in the table below. If the answer is 3 go on to the next issue. If it is 3 and the last issue, go to* **VH6E**.)
VH6B Please describe the change. Did ___ 1 – increase or 3 – decrease?
VH6C What do you think is the reason for this change?
VH6D How has this change affected this village?

Definitions:
formal financial institutions: commercial banks, finance companies, insurance companies, etc. *except BAAC and Agricultural Cooperative*
village organizations: rice bank, PCG, buffalo bank, village fund, etc.
informal financial institutions: neighbors, moneylenders, ROSCA, etc.

Issue	**VH6A** change	**VH6B** up/down	**VH6C** reason	**VH6C translation**	**VH6D** affect	**VH6D translation**
the number of village organizations offering credit and/or savings in the village						
the number of informal institutions offering credit and/or in the village						
the number of villagers who save with formal financial institutions						
the amount villagers save with formal financial institutions						
the number of villagers						

who save with village organizations						
the amount villagers save with village organizations						
the number of villagers who save with the BAAC or Agricultural Cooperative						
the amount villagers save with the BAAC or Agricultural Cooperative						
the number of villagers who borrow from formal financial institutions						
the amount villagers borrow from formal financial institutions						
the number of villagers who borrow from village organizations						
the amount villagers borrow from village organizations						
the number of villagers who borrow						

from informal financial institutions						
the amount villagers borrow from informal financial institutions						
the number of villagers who borrow from the BAAC or the Agricultural Cooperative						
the amount villagers borrow from the BAAC or the Agricultural Cooperative						
the number of BAAC groups that are active in the village						
the number of people who are members of BAAC groups in the village						

VH6E In the past 12 months have any new village organizations been established? *(1 - yes, 3 – no. If no skip to* **VH6H**.)	**VH6E** **[ ]**
VH6F What new organizations have been established?	
VH6F Translation	
VH6G What impact have these new organizations had on the village?	

VH6G Translation	
VH6H In the past 12 months have any village organizations ceased to operate? *(1 – yes, 3 – no. If no skip to* **VH7A.***)*	**VH6H [ ]**
VH6I What organizations have ceased to operate?	
VH6I Translation	
VH6J What impact has this had on the village?	
VH6J Translation	

VIII. Prices

VH7A Comparing the past 12 months to the 12 months before that, do you think there have been any significant changes in any of the following? *(1 – yes, 3 - no. Enumerator: ask for each issue listed in the table below. If the answer is 3 go on to the next issue. If it is 3 and the last issue, go to* **VH8A**.)
VH7B Please describe the change. Did ___ 1 – increase or 3 – decrease?
VH7C What do you think is the reason for this change?
VH7D How has this change affected this village?

Issue	**VH7A** change	**VH7B** up/down	**VH7C** reason	**VH7C translation**	**VH7D** affect	**VH7D translation**
wages villagers receive for non-agricultural work						
wages villagers receive for agricultural work						
interest rates villagers pay for borrowing						
interest rates villagers						

receive for savings						
the price/value of land in the village						
price that villagers receive for the main agricultural output from this village						
prices villagers pay for agricultural inputs						
prices villagers pay for consumer goods						

IX. Health and Natural Disasters

VH8A Over the past 12 months, has the village experienced any of the following? *(1 – yes, 3 - no. Enumerator: ask for each event listed in the table below. If the answer is 3 go on to the next event. If it is 3 and the last event, go to* **VH9A**.)
VH8B Approximately how many households in the village were affected by this event?
VH8C How has this event affected this village?

Event	**VH8A** experience	**VH8B** households	**VH8C** affect	**VH8C translation**
flood				
drought				
storm				
crop disease				
soil erosion				
polluted drinking water				
polluted				

water for agriculture				
villagers suffering from cholera				
villagers suffering from typhoid				
villagers suffering from malaria				
villagers suffering from HIV/AIDS				
villagers suffering from hemorrhagic fever				
villagers suffering from smallpox				
villagers suffering from other disease				

X. Risk Response

VH9A Comparing this past year (June 1999 – May 2000) to the year before that (June 1998 – May 1999), which was the worst year for village income? *(1 – this past year, June 1999 – May 2000, 3 – the year before, June 1998 – May 1999, 5 – income exactly the same in both years. If 5 skip to* **VH10A**.*)*	**VH9A** **[ ]**
VH9B Why? (Record codes for first two that the respondent mentions) **VH9B1O** (if other) _____ **VH9B2O** (if other) _____ 11 – flood 13- not enough rainfall	**VH9B1** **[ ]** **VH9B2** **[ ]**

15- pests destroy crop 17- crop yield low for some other reason 19 - low price for output 21 – high input prices 23 – other (specify)
VH9B1O Translation _____ **VH9B2O Translation** _____
VH9C Was this a bad year for many of the households in the village? **VH9C** (1 - yes, 3 - no) **[ ]**

VH10A What have been the biggest challenges that the has village faced over the past 12 months?	
VH10A Translation	
VH10B What would you like to achieve in the future?	
VH10B Translation	
VH10C Has this village been affected by the recent turmoil in Thailand's economic circumstances? *(1 – yes, 3 – no. If no skip to* **VH10E***.)*	**VH10C** **[ ]**
VH10D How has this village been affected?	
VH10D Translation	
This is the end of the interview. Thank you very much for your time and cooperation. We very much appreciate it. Your answers will be studied along with many others to learn more about Thailand's development and to help design more effective institutions to help villagers.	
VH10E Do you have any additional comments or observations?	
VH10E Translation	
VH10F Enumerator comments	

VH10F Translation	
VH11 Stop Time	**VH11** [ ]

XI. One Million Village Fund

VF1 Do your village request the 1million village fund? (1-yes; 3-no)	**VF1** [ ]
VF2 Do you get the fund? (1-yes; 3-no)	**VF2** [ ]
VF3 When you get the fund (Month/Year)?	**VF3** [ ]
VF4 Why don't you get the fund yet? *1. Just request* *3. Problem during the request* *5. Other (Specify)* **VF4O**	**VF4** [ ]
VF5 Why don't you get the 1million village fund yet? *1. Not interesting* *3. Problem on villager grouping* *5. Problem during the request* *7. Other (Specify)* **VF5O**	**VF5** [ ]

XII. OTOP Project

GP1 Do your village join the OTOP Project? (1-yes; 3-no)	**GP1** [ ]
GP2 What Product?	

GP3A Were your village supported on marketing? (1-yes; 3-no)	**GP3A** [ ]
GP3B Were your village supported on borrowing sources? (1-yes; 3-no)	**GP3B** [ ]
GP3C Were your village supported on unrepaid money sources? (1-yes; 3-no)	**GP3C** [ ]
GP3D Were your village supported on training? (1-yes; 3-no)	**GP3D** [ ]
GP3E Were your village supported on Production Licenses? (1-yes; 3-no)	**GP3E** [ ]
GP4A Does the project help the village to increase income? (1-yes; 3-no)	**GP4A** [ ]
GP4B Does the project help the village to reduce production cost? (1-yes; 3-no)	**GP4B** [ ]
GP4C Does the project help the village to increase members? (1-yes; 3-no)	**GP4C** [ ]
GP4D Does the project help the village to increase size of production? (1-yes; 3-no)	**GP4D** [ ]
GP5 Why doesn't your village join the OTOP project?	
GP6 Did your village join the Community Enterprise Project? (It's the Government's Project that extends loan not over than 500,000Baht to the Community which out least 5 villagers joining together to set up a business) (1. yes; 3. no)	**GP6** [ ]

GP7 What is the purpose of borrowing? *A. Agricultural cost* *B. Agricultural investment* *C. Cost of re-structure in career* *D. Investment in re-structure in career*	**GP7** **[ ]**
GP8 Before this project why your household did not borrow other type of loan which has the same purpose? *A. High interest rate* *B. Short period in repaid* *C. Insufficient Collateral* *D. few amount of money for borrowing*	**GP8** **[ ]**
GP9 How does BAAC help in set up the group?	

GP10 Why our village do not join this project?