Date: Tuesday, November 22, 2016 9:57:40 AM Print Close

Pro00061863 : Backyard effects in foreign aid allocations View: Study Identification - Identification - **Tobias Heinrich**

VIEW000072

Study Identification Information

This is the first step in your Human Research Application. You will automatically be guided to the appropriate forms needed to complete your submissions.

1.0 * **Full Title:**
Enter the full study title
Backyard effects in foreign aid allocations

2.0 * **Short Title:**
Enter a short descriptive title for this study (65 characters maximum):
Backyard effects in foreign aid allocations

3.0 * **Briefly describe the scientific or scholarly rationale:**
(i.e. purpose of research)
To understand how the tying of foreign aid may operate politically in the donor country, we examine how much people appreciate effects that may accrue or are perceived accrue to the locale in which they life.

4.0 * **Brief Study Summary**
Non-scientific description of the research study, using 3 to 10 sentences: *Statements such as "see protocol" are not acceptable.*

Note: Text entered in the Brief Study Summary field will be used to describe your study at www.SCresearch.org, an online directory designed to facilitate recruitment, if inclusion on this site is indicated later in the application.
Foreign aid often flows (first) to NGOs and contractors in the donor country; this has long beed criticized by aid scholars and activists as unfortunate misallocations of aid. We provide a theory for why aid might be tied to the home country in such a way: people appreciate the (perceived) local effects of aid if the NGO/contractor is geographically nearby. Our evidence comes from a survey experiment that seeks to test the implications of this argument.

5.0 * **Is this a pilot study?**
○ Yes ◉ **No**

Pro00061863: Backyard effects in foreign View: Study Identification - Institutional Review Board

aid allocations - Tobias Heinrich

Institution

1.0 * **Select the appropriate Institutional Review Board (IRB) for review**:
University of South Carolina

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Study Identification - IRB Review Request

IRB Review Request for Multi-site Studies

The ***IRB of Record*** is the IRB with the primary responsibility for reviewing a study. For a multi-site study, the internal IRB may serve as the IRB of Record for other sites as well, or they may defer review to another IRB. **If you are requesting one of these IRB reviews, indicate the type below. Otherwise, click Continue on this page.**

IRB OF RECORD IS YOUR INTERNAL IRB

Central Review

1.0 The review model where your internal IRB has agreed to serve as the single, central IRB of Record for other sites involved in a multi-site study. Be sure to contact your local IRB to assure that appropriate authorization agreements have been or will be executed.

The institution that allows this in eIRB is MUSC.

* **Is this a Central Review?**
○ Yes ◉ **No**

IRB OF RECORD IS ANOTHER IRB

Facilitated Review

2.0 The review process used by your local, internal IRB as they make the determination whether or not to accept another IRB's review of a study.

All institutions allow this review in eIRB.

* **Is this a Facilitated Review (non-HSSC IRB, non-NCI CIRB, non-contracted IRB)?**

○ Yes ◉ **No**

Independent Review Model

3.0 The review model where the NCI CIRB is the sole IRB of Record responsible for both study review as well as review of local context considerations for enrolled institutions.

The institutions that allow this review in eIRB are MUSC, GHS, PH, AnMed and Self Regional.

* **Is this an Independent Review (NCI CIRB)?**
◯ Yes ◉ **No**

External IRB Review

4.0 The review process used by your local, internal IRB where they defer IRB review to a contracted IRB. The external IRB becomes the IRB of record for all aspects of the study.

The institutions that allow this review in eIRB are MUSC, GHS, SRHS, AnMed and Self Regional.

* **Is this an External IRB Review (e.g., WIRB, etc.)?**
◯ Yes ◉ **No**

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Study Identification - Study Personnel Affiliation

Study Personnel Affiliation

1.0 * **Are all personnel on this research study affiliated with the institution of the designated IRB? If no, the next screen will contain a list of HSSC eIRB users for all institutions.**
◉ **Yes** ◯ No

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Study Identification - Study Personnel (Institution Specific)

Study Personnel

1.0 * **Principal Investigator:**

Click the Select button and choose a PI
Tobias Heinrich

2.0 Study Coordinator
Click the Select button and choose the individual who will assist in coordinating the overall activities of the research study.

3.0 Co-Investigator(s)
Click the Add button and select the Co-Investigators for this study:
PI must obtain agreement of co-investigators prior to submitting their names to the study.

Name	Organization
William Christiansen	Political Science - USC
Timothy Peterson	Political Science - USC

4.0 Other Study Team Member(s)
Click the Add button and select any other team members (other project assistants, students, etc.):
Name Credentials Organization Role on Study Edit Permission
There are no items to display

5.0 Guest List
Click the Add button and select any user to have read-only access to study information:
Name Credentials Organization
There are no items to display

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Study Identification - eIRB Communication Coordinators

eIRB Communication Coordinators

1.0 * Select those study team members that will handle eIRB communication for this study.

	Person
☐	William Christiansen
☑	**Tobias Heinrich**
☐	Timothy Peterson

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Study Identification - Study Sites

Study Sites

1.0 * **Indicate all affiliated sites that will be involved in the research study.**
Check all that apply:
University of South Carolina

List any other affiliated facilities where research activities will take place:

2.0 * **Does this study involve other non-affiliated institutions, organizations or sites?**
◯ Yes ◉ **No**

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Human Subjects Research - Human Subjects Research

Human Subjects Research
The following questions will assist you in determining whether this research study meets the federal requirements for Human Subjects Research.

1.0 * **Is this research study a systematic investigation, including research development, testing, and evaluation, designed to develop or to contribute to generalizable knowledge?** Note: Please check 'Yes' if the study involves a Humanitarian Use Device (HUD).

◉ **Yes** ◯ No

Note: http://www.hhs.gov/ohrp/humansubjects/guidance/45cfr46.html#46.102

2.0 * **Does this research study involve the investigator obtaining data about living individuals through 1) intervention or interaction with the individual; or 2) identifiable private information?** Note: Please check 'Yes' if the study involves a Humanitarian Use Device (HUD).

◉ **Yes** ◯ No

Note: http://www.hhs.gov/ohrp/humansubjects/guidance/45cfr46.html#46.102

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Training - CITI Training Records

CITI Training Records

Review this information when considering if human subjects research education/training is complete for all investigators and study staff. Personnel training displayed are the current and historical records required by the institution associated with the team member's eIRB user account.

NOTE: All study team members must be in compliance with training requirements prior to beginning any role in the study.

If training is missing or expired:
1. Instructions for completing research education requirements can be found at www.citiprogram.org.
2. Verify this institution's affiliation has been added to the CITI user profile and complete the required training.
3. Verify the first name, last name and preferred email of the CITI user profile matches the eIRB user profile.

The content on this page is provided as a tool to display research training records in real time. These data are routinely updated and are, therefore, current at the present viewing of this content.

1.0 Principal Investigator CITI Completion Records

Name Organization Completed CITI Training

		Curriculum	Group	Stage	Date Earned	Date Expires
Tobias Heinrich	University of South Carolina	Human Research	Social & Behavioral Researchers	Basic Course	1/17/2014	1/16/2017

2.0 Study Coordinator CITI Completion Records

Name Organization Completed CITI Training

3.0 Co-Investigator(s) CITI Completion Records

Name Organization Completed CITI Training

		Curriculum	Group	Stage	Date Earned	Date Expires
William Christiansen	University of South Carolina	Human Research	Social & Behavioral Researchers	Basic Course	2/9/2016	2/8/2019

		Curriculum	Group	Stage	Date Earned	Date Expires
Timothy Peterson	University of South Carolina	Human Research	Social & Behavioral Researchers	Basic Course	1/7/2015	1/6/2018

4.0 Other Study Team Member(s) CITI Completion Records

Name Organization Completed CITI Training

There are no items to display

5.0 Mentor CITI Completion Records

Name Organization Completed CITI Training

6.0 Collaborating Institutions PI(s) CITI Completion Records

Name Organization Completed CITI Training

There are no items to display

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Review Type - Study Review Type

Study Review Type

Minimal Risk means that the risks of harm anticipated in the proposed research are not greater -- considering probability and magnitude -- than those ordinarily encountered by the general population in daily life or during the performance of routine physical, laboratory, or psychological exams or tests.

1.0 * Requested Review Type

Select the type of IRB review you are requesting.

Exempt

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Review Type - Exempt Research Category v2.0

Exempt Research Category

Conducting Exempt Research

Research that is exempt from IRB review is not exempt from the ethical principles pertaining to human subject research. All research involving human participants must follow the provisions of applicable regulations and standards regardless of the level or category of review.

Good research design dictates careful consideration of risks/benefits, protections, and informed

consent, even if review by the convened IRB does not occur. Moreover, the research design should meet applicable research ethics standards of the investigator's professional association or society. In all cases, the standards of respect for persons, beneficence, and justice enumerated by the Ethical Principles and Guidelines for the Protection of Human Subjects of Research (the Belmont Report) apply to research involving human subjects, whether reviewed or certified as exempt from review.

1.0 * **Exemption Category**

Choose the exemption category that applies to this research study

- [ ] None of these categories apply to this research study

- [ ] **Category 1**
Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (i) research on regular or special educational instructional strategies, or (ii) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.

- [x] **Category 2**
Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless: (I) information obtained is recorded in such a manner that human subjects can be identified, directly or indirectly through identifiers linked to the subjects; **AND** (ii) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability, or be damaging to the subjects' financial standing, employability, or reputation. **Note**: Surveys, interviews, or observations involving children **cannot** be exempt.

- [ ] **Category 3**
Research involving the use of education tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not exempt under Exemption Category (2) of this section if: (i) The human subjects are elected or appointed public officials or candidates for public office; or (ii) federal statutes require, without exception, that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.

- [ ] **Category 4**
Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, directly or through identifiers linked to the subjects.

Category 5
Research and demonstration projects which are conducted by or

☐ subject to approval of [federal] department or agency heads, and which are designed to study, evaluate, or otherwise examine (i) public benefit or service programs; (ii) procedures for obtaining benefits or services under these programs; (iii) possible changes or alternatives to those programs or procedures; or (iv) possible changes in methods or levels of payments for benefits or services under those programs.

Category 6

☐ Tests and food quality evaluation and consumer studies, (i) if wholesome food without additives is consumed, or (ii) If a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe by the Food and Drug Administration (FDA) or approved by the Environmental Protection Agency (EPA) or the Food Safety and Inspection Service of the U.S. Department of Agriculture (USDA).

Note: To qualify for exemption, at least one must be selected

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Review Type - Exemption Category Justification

Exemption Category

1.0 * **Enter Justification**

Provide the IRB with information describing your study design and methods. Provide rationale for the exempt criteria chosen on the prior page.

1) The demographic information we collect contains age, gender, education, and the geographic location of the respondent's IP address (though the IP address is not stored). These are rather coarse so that finding a particular person from these data is incredibly unlikely.

2) The questions that we ask are innocuous as they are attitudes about routine political issues, none of which are highly charged nowadays (unlike reproductive rights, and so on). So, even if 1) were to fail, no situation of vulnerability or even embarrassment would ensue.

2.0 **Justification Document**

Click the Add button to upload document(s) to support justification, including any data collection forms and/or information sheets/letters to be provided to research subjects:

Name	Version	Orig. Author	Orig. Created	Last Modified

There are no items to display

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Protocol - Study Protocol

Study Protocol

1.0 * **Select the category that applies to your research study protocol:**
Investigator generated protocol/research plan

2.0 **Protocol document, grant application, or research protocol**

* Click the Add button to upload these document(s). (**Note: Do NOT upload consent or any other documents here.**)

Name	Version	Orig. Author	Orig. Created	Last Modified
NIMBY Protocol	0.03	Tobias Heinrich	11/17/2016 1:28 PM	11/18/2016 8:51 AM

3.0 **Protocol Summary Information (as applicable):**

3.1 **Study Protocol Version: Dated:**

3.2 **Sponsor Assigned Amendment Number: Dated:**

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Study Populations - Study Subjects

Study Subjects

1.0 * **Estimated Local Enrollment Goal**
Enter the anticipated number of subjects to be enrolled at local site:
0

2.0 **Estimated Study-Wide Enrollment Goal**
Enter the anticipated number of subjects to be enrolled at all sites:
2000

3.0 * **Briefly describe the setting in which the research will be conducted.**
We recruit participants on Amazon's MechanicalTurk platform. Therefore, all participants would be located wherever they happen to be sitting in front of a computer.

4.0 * **Participant Remuneration (Payment/Academic Credit)**
Will subject(s) receive remuneration?
(•) **Yes** ( ) No

5.0 * **Will prospective participants be vulnerable to coercion or undue influence?**

◯ Yes ◉ **No**

If yes, briefly describe additional safeguards included in the protocol to protect the rights and welfare of participants likely to be vulnerable.

6.0 * **Identify targeted subject population(s) involved in this research study** (**Note:** The purpose of this question is to determine equitable selection of subjects and to identify vulnerable populations.)
Select all that apply:

- ☑ **Adults (18+)**
- ☑ **Males**
- ☑ **Females**
- ☐ Pregnant Women
- ☐ Human Fetuses or Neonates
- ☑ **Minorities**
- ☐ Children (<18 years of age)
- ☐ Prisoners
- ☐ Comatose persons
- ☐ Cognitively Impaired persons
- ☐ Terminally Ill persons
- ☐ Employees of the principal investigator's institution
- ☐ Students enrolled at the principal investigator's institution
- ☐ Non-English speaking persons
- ☐ Socially/Economically disadvantaged persons
- ☐ Caregivers
- ☐ Elderly/Aged persons
- ☐ Institutionalized Individuals

7.0 * **Study Population**
Briefly describe the study population? (e.g. healthy volunteers, adults with Type II Diabetes, children with Asthma):
Adults living in the United States in one of these nine states: Virginia, Maryland, Massachusetts, New York, California, Florida, North Carolina, New Jersey, and Texas. The geographic restriction stems from our goal to generate good external validity: these nine states obtain move than 90% of all foreign aid contracts that USAID gave out in 2015. (District of Columbia is excluded because it has no U.S. Senators.)

8.0 * **Describe the selection criteria (inclusion/exclusion criteria):**
We restrict the MTurk population to people with mailing addresses in those nine states (MTurk enforces that), who have performed well in the

past on MTurk jobs (approval rating >= 90%), and who have taken on prior tasks (100+ successful tasks).

If people identify themselves to be from a state that is not among our nine of interest, we still pay that person and simply exclude the record in the analysis stage.

9.0 * **Describe recruitment procedures, including how subjects will be contacted, by whom, and how eligibility will be determined.**
We post job on MTurk and invite anyone fitting our criteria to take the job. (Actually, only eligible people will see the job.) People who accept the job will be directed to a website of one of the authors.

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Funding and Sponsorship - Study Funding Information

Study Funding Information

1.0 * **Primary Funding Source (Active or Pending)**
Select primary (active or pending) funding sources for this study:
Internal Funding

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Funding and Sponsorship - Study Sponsorship

Study Sponsorship

1.0 **Sponsor(s)**
Click the Add button and select the sponsor(s)
Name
There are no items to display

2.0 **Other Sponsor(s)**
If sponsor(s) is/are not in the above list above, enter name(s) here:

3.0 **External Identifier (if applicable):**
(e.g. agency/sponsor assigned numbers)

4.0 **Internal Identifier (if applicable):**
(e.g. proposal or award Number)

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Funding and Sponsorship - Participant Remuneration

Participant Remuneration (Payment)

1.0 * **Remuneration is for:**
Participation

2.0 * **Amount:** $0.40

3.0 * **Receive academic course credit (e.g. extra or research credit)**
○ Yes ◉ **No**

4.0 * **Describe the methods and schedule of payment(s):**
1) Once a participants reaches the last page of the survey experiment, he/she sees a unique exit code. Upon entering this code on MTurk, we can verify the code, approve the job, and the person gets paid immediately.
2) We will vary the pay gradually to maximze participation for our given funds.

Pro00061863: Backyard effects in foreign aid allocations - View: Checklist - Application Checklist
Tobias Heinrich

Application Checklist

1.0 **Will the following be involved in the research study?**
Select all that apply

- ☐ HIPAA Waiver of Authorization for Research
- ☑ **Use of survey, questionnaire, focus group/interview questions**
- ☐ Advertisements or recruiting materials
- ☐ Videotaping, audiotaping, filming or photographing research subjects
- ☐ Data from the statewide Health Sciences South Carolina (HSSC) Clinical Data Warehouse ?

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: Other Study Specifics - Use of Survey-Questionnaire

Use of Survey, Questionnaire, Focus Group/Interview Questions

1.0 * **Survey, Questionnaire, Focus Group/Interview Document(s)**
Click the Add button to upload document(s)

Name	Version	Orig. Author	Orig. Created	Last Modified
Sample		Tobias	11/17/2016 1:28	11/17/2016 1:28

flow.pdf	0.01	Heinrich	PM	PM

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich

View: Privacy - Privacy and Confidentiality

Privacy and Confidentiality

1.0 * **Describe the procedures and safeguards that will be implemented to protect the privacy and confidentiality of the participants' data. Include details, as applicable to the study, such as: privacy of interview site, procedures for coding/de-identifying data, provisions to avoid public identification/embarrassment of participants; persons with access to private identifiable data, etc.**
This is a research project, which upon completion and successful publication, will comply with the good norms of political science and make all data available for replication purposes as well as for other scholars to study.

The demographic data are so coarse that identification of an individual should be impossible by design, and the collected attitudes in themselves are innocuous as they touch upon policy questions that are not highly charged.

The privacy of the interview site is freely scalable by the respondent as he/she would take the survey wherever the computer is.

2.0 * **Where will study records and data collected at this site be stored**
Select all that apply:

Password protected network storage

Password protected end-user/portable device (desktop computer, laptop, palm pilot, blackberry, etc.)

If OTHER, describe:

If information will be stored on an end-user/portable device, describe the security on the end-user/portable device that will be used to prevent unauthorized access to the data in the event the device is lost or stolen:
Theft would not be a problem from our respondents' point of view as we plan on making the data available anyways after publishing our study.

3.0 * **Will the study use a National Institutes of Health (NIH) Certificate of Confidentiality?**
○ Yes ◉ **No**

If YES, what is the NIH Certificate of Confidentiality status?

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: General Comments

General Comments

1.0 Add any additional comments to assist in the review of this research study.
Thank you.

2.0 Add any miscellaneous documents that do not fit in other sections of the study application.

Click Add to upload document(s)
Name Version Orig. Author Orig. Created Last Modified
There are no items to display

Pro00061863: Backyard effects in foreign aid allocations - Tobias Heinrich View: SF - Final Page

Final Page

This is the end of the application. Click the Finish button to close the forms and return to the Application workspace. Click Edit Application Forms in the Application workspace to return to the forms at any time.

NOTE: Clicking Finish does not submit the application for review; this activity can only be executed in the Application workspace by the PI.