IDNO:

BRAC Southern Sudan TUP Programme in Yei/Gudele/Lologo
Baseline Survey - 2013

Date of interview: _____/_____/2013

IDENTIFICATION

Respondent's Name: …… Line No.

Father's Name: ……..

Husband's Name: ……

Household head's Name: …… Line No.

Contact Address: ……

Boma Name: ……

block/cluster name: …… Cluster code:

Household serial number (from census):

Describe location of the house (e.g. what are the nearby landmarks, road location etc.):

GPS location:

Latitude								
N	0		.					

Longitude								
E	3		.					

I am ……., from BRAC which is an NGO. We are going to conduct a research project in your locality. Our goal is to collect information from sampled households including your house with a broad objective of providing support to the poorest people of your area. Under this research project, we will record information on demography, social issues, enterprise involvement, mobility, knowledge and health status from you and your partner. We will want to know detailed information about each member of your family. The information you give us is completely voluntary. We would like to inform you that you can stop giving information at any point of time. The information you provide will be used only for research purpose and you will neither benefit nor lose anything for your participation. Do you agree to provide information?

Yes =1 No=0

If survey was not completed, why not? ……

S1. Household Members, main characteristics

LINE NO.	Name	Relationship with the respondent	Sex Male [1]; Female [0]	Age (Years)	[ ]'s marital status	[ ]'s religion	[ ]'s tribe /clan (see codes)	Does [ ] participate in an NGO?	If 10+ old	
									Has [ ] been away from home for work in the last 12 months? Yes [1]; No [0]	(if yes) Where?
	1	2	3	4	5	6	7	8	9	10
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										

Marital Status:
Never married [1];
Divorced [2];
Married, monogamous [3];
Married, polygamous [4]
Separated/disserted [5];
Widowed [6]

Religion:
Catholic [1];
Anglican /Protestant [2];
Other Christian [3];
Muslim [4];
Traditional [5];
None [6];
Other, specify

Codes for clans/tribes:

Bari [1];	Kuku[2];
Pojulu [3];	Kakwa [4];
Lotugo [5];	Lokoya [6]
Muru [7];	Nyagwara [8];
Dinka [9]	Other, specify

NGO participation:
Never [0];
Yes [1]
Used to but not anymore [9]

Whether has disability:
Yes [1];
No [0];

Where migrates:
Same village [1];
Diff village/town, same county [2] ;
Different County [3];
Outside country [4]
Don't know [88]

S2. Household Members, education

Line No.	First/last name of the members (Maintain line number from S1)	If older than 5 years			Members 5-25 years of age						
					For those currently attending						(**If not attending**), main reason for not attending: (One reason)
		Can [ ] read and write a letter? Yes [1]; No [0]	Degree achieved by [ ] (See codes)	School status	Which grade is [ ] currently attending? (See codes)	What type of school? Govt. [1]; Private [2]; Others [3]	How far do you think [ ] will study? (see codes)	How much did your HH spend during the past 12 months on [ ]'s schooling? [In Sudanese Pounds]			
								Fees	Uniform	Books etc.	
	1	2	3	4	5	6	7	8	9	10	11
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											
11											
12											
13											
14											
15											

Degree Achieved:
No education [0]
Less than primary [1]
Primary completed [2]
Some Secondary [3]
O-Level [4] A-Level [5]
Certificate [6] Diploma [7]
University [7]
Don't know [99]

School status:
Never attended [1]
Currently attending [2]
Stopped attending [3]
Expected to start [4]

Grade attending/expect to achieve:
P1 [1]; P2 [2]; P3 [3]; P4 [4]; P5 [5];
P6 [6]; P7 [7]; P8 [8];
S1 [9]; S2 [10]; S3 [11];
Diploma/certificate [12];
University degree [13];
Master's [14]; PhD [15];
Don't know [99];

Reason for not attending:
Finished studies [1]; Distance [2];
Can't afford [3]; Institution did not admit [4];
Have to work [5]; Doesn't want to study [6];
Disability [7]; Orphaned [8];
Pregnancy [9]; Sickness/calamity in family [10];
Others, specify

S3. Income Generating Activities of HH members older

In the last one year, what income generating activities have household members been involved in? List up to 3 earning activities for each member.

	First/last name of all HH members by Line number in S1	Earning Activity codes	For how many months during last year did [ ] do this activity? (Enter No. of Months)	Usually, for how many days did [ ] do this activity per month (during the last year)? (Enter Days)	On a typical working day, how many hours did [ ] spend on this activity? (Enter hours)	Was any other member involved in this activity? Yes [1]; No [0]	(if Yes in Column 6) Enter Line numbers of other members			Is [ ] the main/only person in this activity? Yes [1]; No [0];	(if yes) What was [ ]'s earning on a typical month? (in SSP)	What was total HH earnings from this activity during past year? (In SSP)
	1	2	3	4	5	6	7a	7b	7c	8	9	10
A	**1**											
B												
C												
A	**2**											
B												
C												
A	**3**											
B												
C												
A	**4**											
B												
C												
A	**5**											
B												
C												
A	**6**											
B												
C												
A	**7**											
B												
C												

Note: Cross check information of Column 6 and 7 across different line numbers for consistency. Income must be reported in Column 9 and 10 if no one else is helping OR the line number is for the main person involved in this activity for the household.

	First/last name of all HH members by Line number in S1	Earning Activity codes	For how many months during last year did [ ] do this activity? (Enter No. of Months)	Usually, for how many days did [ ] do this activity per month (during the last year)? (Enter Days)	On a typical working day, how many hours did [ ] spend on this activity? (Enter hours)	Was any other member involved in this activity? Yes [1]; No [0]	(if Yes in Column 6) Enter Line numbers of other members			Is [ ] the main/only person in this activity? Yes [1]; No [0];	(if yes) What was [ ]'s earning on a typical month? (in SSP)	What was total HH earnings from this activity during past year? (In SSP)
	1	2	3	4	5	6	7a	7b	7c	8	9	10
A	**8**											
B												
C												
A	**9**											
B												
C												
A	**10**											
B												
C												
A	**11**											
B												
C												
A	**12**											
B												
C												
A	**13**											
B												
C												
A	**14**											
B												
C												
A	**15**											
B												
c												

S4. Self-employment <u>involvement</u> of the respondent

Have you been involved in any non-farm self-employment in the past 12 months? ☐
Yes [1], No [0] (If No→**S5**)

No.	Questions	**Enterprise 1**	**Enterprise 2**	**Enterprise 3**
A	Description of enterprise(s)			
B	Code (See enterprise code list)			
C	Line Number of person *mainly* responsible			
D	How many other members contribute			
E	How long have you been doing this?	____ yr ____m	____ yr ____m	____ yr ____m
F	Did you ask for a loan for your business in the past 12 months? Yes [1]; No [0];			
G	If No, why not? (Answer and skip to K) Didn't need it [1]; Not interested [2]; No available sources of credit [3]; No one will give me a loan [4]			
H	If asked for a loan, who did you ask? (Codes below)			
I	If asked, how much did you ask for? (in Sudanese Pounds)			
J	If asked, how much did you obtain? (in Sudanese Pounds)			
K	In the past 12 months, how many months did the enterprise operate/activity was done?			
L	If you were to sell your business now, how much would you sell it for? (In Sudanese Pounds)			
M	What was the <u>average monthly gross sale</u> during the months when the enterprise was operating? (in Sudanese Pounds)			
N	What was the <u>average monthly</u> profit?			
O	What was the <u>average monthly</u> expenditure on raw materials/inputs? (In Sudanese Pounds)			
Q	Other monthly operating expenses (such as rent, fuel, kerosene, electricity etc.)? (In Sudanese Pounds)			

<u>Source of loan:</u> 1= Formal Banks 2= Micro finance institutions 3= Credit union 4=SACCOs
5= Landlord 6= Employer 7= Relative 8= Friend 9= Local money lender 10= Other

S5. **Business Plans** – Are there any new earning activities that you want to start next year?
Yes [1]; No [0] (if No, go to **S6**) ☐

	Which business activities do you want to start next year? (see activity code list)
Activity 1	
Activity 2	
Activity 3	
Activity 4	
Activity 5	

S6. How confident are you in availing the following services if you need to?

Resources/help	0= Not confident at all 1=Somewhat confident 2=Very confident
Local health centre	
NGO/MFIs for loans	
Going to school to enroll children	
Food transfer	
Join local cooperatives	

S7. Transfers/remittances during last year.

S7.1 During the last year, has your HH received any transfers/supports in cash/kind?* ☐
Yes [1]; No [0] **(If No, go to S7.2)**

	From whom? (name)	NetworkID	Whether in cash? Cash [1]; Kind [0]	Total Value (In Sudanese Pounds)
1				
2				
3	`			

S7.2 During the last year, has your HH given out any transfers in cash/kind?* ☐
Yes [1]; No [0] **(If No, go to S8)**

	To whom? (name)	NetworkID	Whether in cash? Cash [1]; Kind [0]	Total Value (In Sudanese Pounds)
1				
2				
3				

Note: NetworkID is the HH serial number if the household is from the same village/cluster.

S8. **Access to cultivable land**
How much cultivable land did your household have access to?

	Land owned and cultivating	Land owned but not cultivating	Land owned and renting out	Cultivating other's land for rent	Cultivating community land
Amount (fedan)					

S9. Assets owned by the household

	Items	Amount/number (If none, enter '0')	If you were to sell this asset today, how much can you sell it for? (In Sudanese Pounds)
1	Houses		
2	Homestead Land (plot of 20X20)		
3	Cattle/cow/large livestock		
4	Sheep/goat/medium-sized livestock		
5	Chickens and Ducks		
6	Plough		
7	Unit for keeping livestock		
8	Shop premises		
9	Radio/Cassette Player		
10	Television		
11	Electric Fan		
12	Mobile phone		
13	Chair and tables		
14	Bed		
15	Bicycle		
16	Carts		
17	Sewing Machine		
18	Mosquito Net		
19	How many of these mosquito nets are ITN?		
20	Motorcycle		
21	(if Owns a motorcycle) is it for business?		Yes [1]; No [0];

S10. Housing Conditions

	Question	Codes	Answer
1	How did you get this house that you are living in? (Multiple possible)	1=Built it; 2=Inherited; 3=Given by govt. 4=Given by NGO; 99=Do not own Other, specify	
2	How many houses does your household occupy/live in?	Enter number of houses	
3	How many houses does your household rent out?		
4	What is the major construction material of the roof?	Thatch, Straw [1]; Wood, Planks [2]; Iron sheets/tin [3]; Cement [4]; Other [5]	
5	What is the main source of lighting in your dwelling?	Electricity [1]; Paraffin or kerosene lantern [2]; Tadooba [3]; Firewood [4]; Solar [5]; Other (specify)	
10	What type of fuel do you use most often for cooking?	Firewood [1]; Charcoal [2]; Paraffin/kerosene [3]; Gas [4]; Solar [5]; Biogas [6]; Saw dust [7]; Other (specify)	

S11. WATER and SANITATION

	Question	Codes	Answer
1	What is the major source of drinking water for your household?	Public taps [1]; Bore-hole [2]; Protected well/spring [3]; Unprotected well/spring [4]; River, stream, lake [5]; Vendor/Tanker truck [6]; Gravity flow scheme [7]; Rain water [8]; Other(specify)	
2	What is done to the water **before** household members drink it?	Nothing [1] Boiling [2] Filtering with a cloth [3] Letting it settle [4] Chlorination [5] Others(Specify)	
3	(If water source is outside the house) How long does it take to collect the drinking water from the main source?	To and from (in Minutes)	
		Waiting Time (in Minutes)	
4	What is the type of toilet that is mainly **used** in your household?	Flush toilet or other sanitary [1] Uncovered pit latrine [2] Bush/open space [3]	
5	**When** do the members usually **wash** their hands during the day: (more than one if appropriate - do not prompt)	Never [0] After defecating [1] Before cooking / eating [2] After eating [3] other (specify)	
6	**What** do the members use to **wash** their hands?	Nothing [0] Water only [1] Water + Soap [2] Water + Ash [3] other (specify)	
7	Do the members always wash their hands with soap after defecation?	Nobody does [1]; Some do [2]; All of them do [3];	
8	Why is it important to wash hands after defecation? (do not show the options to the respondent)	To reduce worm infestation [1] To prevent diarrhea [2] To prevent contagious diseases [3] To prevent sickness [4] Don't know [99] Not important [98] Others (specify)	

Now I am going to ask you some questions about your financial loans and savings.
[Enumerator: Please make sure no one else is around since these are personal questions for the respondent]

S12. How much cash savings do you have (Respondent only)? (in SSP, if no savings enter [0])

Amount	Savings at home	Bank/post office	BRAC	Other NGOs	Other cash savings
(In Sudanese Pounds),					

S13. Do you have any loans outstanding? ☐

Yes [1]; No [0]. If yes, list loans by size. If No, move to **S15.**

Loan Number	Who from? (see box for codes)	Whether in Cash? In Cash [1]; In kind [2]	Loan Value (outstanding/due) (In Sudanese Pounds)	Interest bearing? Yes [1] No [0]	Did you have to show collateral? Yes [1]; No [0]
1					
2					
3					

Sources of borrowing: Bank [1]; Money-lender [2]; Shop-keeper [3]; Relative [4]; Friend/Neighbor [5]; BRAC [6]; Other NGO [7]; Other (specify)

S14. Do you have any lending?

Yes [1]; No [0]. If yes, list all loans given out by size. If No, move onto **S15.**

Loan Number	Who from? (see box for codes)	Whether in Cash? In Cash [1]; In kind [2]	Loan Value (outstanding/due) (In Sudanese Pounds)	Interest bearing? Yes [1] No [0]
1				
2				
3				

S15. Emergency funding

Suppose your household is in an emergency. How much money you will be able to collect in 3 days from each of the following sources?

Amount	Friends/relatives	Private money lender	Co-operatives	Microfinance institutions	Banks
(in Sudanese Pounds)					

S16. Vulnerability

Have you faced the following crises in the last 12 months?

No.	Event	Whether faced (Yes [1], No [0])	(if yes)	
			How much is the cost/loss? (in Sudanese pounds)	How did you cope with the crisis (main one)?*
A	Serious illness of household member			
B	Death of household member			
C	Theft/robbery			
D	Death of livestock			
E	Other (specify)			

*Code for coping: Reduced consumption [1]; Sold assets [2]; Borrowed money [3]; Took casual employment [4]; Relied on support from friend/relatives [5]; Other [6]; Did nothing [9];

S17. Food Security

SL	**I would like to ask you some questions about what you do when you do not have enough food or money to buy food. In the past month, how frequently have you:**		
	Question	**Codes**	**Answer**
1	Worried that your household would not have enough food?	Everyday [1] 3-6 times a week [2] 1-2 times a week [3] Less than once a week [4] Never [5]	
2	Not been able to eat the foods you preferred to eat because of lack of resources?		
3	Ate just a few kinds of food day after day due to lack of resources?		
4	Ate food that you preferred not to eat because you did not have resources to obtain other food?		
5	Limited portions at mealtimes because there was not enough food?		

	Question	Codes	Answer
6	Ate fewer meals in a day because there was not enough food?	Everyday [1] 3-6 times a week [2] 1-2 times a week [3] Less than once a week [4] Never [5]	
7	Had no food at all in the household because there were no resources to get more?		
8	Gone to sleep at night hungry because there was not enough food?		
9	Gone a whole day without eating anything because there was not enough food?		
10	In the past 12 months, were there months in which you did not have enough food to meet your family's needs?	Yes [1] No [0] (>>Next Section)	
11	If yes, which months? (Circle months)	1=Jan 2=Feb 3=Mar 4=Apr 5=May 6=Jun 7=Jul 8=Aug 9=Sep 10=Oct 11=Nov 12=Dec	

S18. Meals in last 3 days

How many people have had meals in the household in the last 3 days?

	Below 10 years	10 years and above
1st day (Yesterday)		
2nd day (the day before)		
3rd day		

S19. Last 3 days Food Consumption

Have you had these following foods in last 3 days?
(Enumerators: Please note that these are consumption, and include items for which they did not spend money)

	Food Type	Food eaten/consumed by members (Value in Sudanese Pounds)	Main source? Purchase [1] ; Own production [2]; Other, specify
1	Cereals (maize, bread etc.)		
2	Pulses		
3	Oil		
4	Salt		
5	Sugar		
6	Meat		
7	Fish		
8	Egg		
9	Milk/Milk Products		
10	Vegetables		
11	Fruit		
12	Spices		
13	Alcohol		
14	Other (specify)		

S20. Non-food Consumption

Items	Total Value (In SSP)
Within the last month only:	
Fuel for cooking	
Cosmetics/hair dressing	
Soap/toiletries	
Transportation Costs	
Entertainment Costs	
Other, specify	
Other, specify	

Items	Total Value (In SSP)
Within last one year:	
Clothing and footwear	
Household utensils	
Household furniture	
Household textiles (bed sheets, curtains, etc.)	
Rituals and ceremonies (e.g. marriage, birth parties, funerals)	
Charities/hidia/sadaka	
Dowry	
Other, specify	

S21. Migration of the respondent

1	Where were you born?	Current payam of residence [1] Another payam in same county [2]; Another county [3]; Another country [4]	
2	How many years have you been living in this village/boma?	(Enter number of years)	
3	Are you planning to move anywhere in the next one year?	Yes [1]; No [0] → **Q.4**	
4	Where do you think you will move to?	Adress:	
5	If you were to move away in the next one year from current place of residence, can you name one person in this village that is most likely to remain here and would know where you have gone?	Enter Name and address	
6	How many relatives (households) do you have in your boma/village?	Enter Number	

S22. Social Network

HH SL NO	Print name of head of other selected households in the same village	Name of head's father or husband	Do you know [ ]? 1=Yes 0=No (If No→ Next HH)	How long do you know [ ]? (number of years)	How would you describe your relationship with [ ]? (see codes)	Did you borrow any money from [ ] last year? 1=Yes 0=No	Did you lend any money to [ ] last year? 1=Yes 0=No	Have you shared any food item with [ ] last year? 1=Yes 0=No
	(N01)	**(N02)**	**(N03)**	**(N04)**	**(N05)**	**(N06)**	**(N07)**	**(N08)**

Codes for relation (N05): 1=Family, 2=Relative, 3=Friend, 4=Have acquaintance, 5=No relationship, other, specify

Codes for trust (N9, N10, N11): 1= Not at all, 2=Very little/seldom, 3=somewhat/sometimes, 4=quite a bit/most of the times, 5=completely/always

Social network (continue)

HH SL NO	Print name of head of other selected households in the same village	Name of head's father or husband	Do you know [ ]? 1=Yes 0=No (If No→ Next HH)	How long do you know [ ]? (number of years)	How would you describe your relationship with [ ]? (see codes)	Did you borrow any money from [ ] last year? 1=Yes 0=No	Did you lend any money to [ ] last year? 1=Yes 0=No	Have you shared any food with [ ] last year? 1=Yes 0=No
	(N01)	**(N02)**	**(N03)**	**(N04)**	**(N05)**	**(N06)**	**(N07)**	**(N08)**

Codes for relation (N05): 1=Family, 2=Relative, 3=Friend, 4=Have acquaintance, 5=No relationship, other, specify

Codes for trust (N9, N10, N11): 1= Not at all, 2=Very little/seldom, 3=somewhat/sometimes, 4=quite a bit/most of the times, 5=completely/always