Date: 10-7-2023

IRB #: PRO-FY2021-112
Title: Dinner Party Study
Creation Date: 10-2-2020
End Date:
Status: Approved
Principal Investigator: Eric Groenendyk
Review Board: University of Memphis
Sponsor:

Study History

Submission Type	Review Type	Decision
Initial	Exempt	Exempt

Key Study Contacts

Member Eric Groenendyk	Role Principal Investigator	Contact grnendyk@memphis.edu
Member Eric Groenendyk	Role Primary Contact	Contact grnendyk@memphis.edu

Initial Submission

Section 1 Investigator Information

Human Research Protections Program
Institutional Review Board

*required

Principal Investigator

1 Name: Eric Groenendyk
Organization: Political Science
Address: 315 Administration Building , Memphis, TN 38152-3370
Phone: 901-678-3462
Email: grnendyk@memphis.edu

1a Your UofM Appointment Status

- Professor
- ✓ Associate Professor
- Assistant Professor
- Instructor
- Student
- Staff
- Other

2 Do you have a Co-PI or Co-PIs?

✓ Yes

No

Co-Principal Investigator(s)

*required

Primary Contact

3 Name: Eric Groenendyk
Organization: Political Science
Address: 315 Administration Building , Memphis, TN 38152-3370
Phone: 901-678-3462
Email: grnendyk@memphis.edu

Co-Investigators

4 Use the text area for investigators outside UofM, and use the Find People button below for UofM investigators.
Elizabeth Connors (ecconnors@gmail.com, Department of Political Science, University of South Carolina

Please choose your UofM investigator(s) here:

5 Is there a financial sponsor for this study?

Yes

✓ No

Determination
Do you need a determination for whether or not your study is human subjects research requiring IRB review?

6

Human subject means a living individual about whom an investigator (whether professional or student) conducting research obtains data through intervention or interaction with the individual, or identifiable private information.

Research means a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge.

Yes. Proceed to determination questions for submission

✓ No. Proceed with your protocol submission

Section 3 IRB Protocol General Information

*required

CITI Training Completion Information

7 CITI (**C**ollaborative **I**nstitutional **T**raining **I**nitiative at the University of Miami) Training in human subjects research is required every two years.

Date of completion:

09/06/19

CITI Modules Completed

Check all that apply.

- ✓ Social & Behavioral Research Investigators
- Bio medical Research
- Students conducting no more than minimal risk research
- IRB Members
- Nursing

CITI Record ID:

31374793

Section 4 IRB Protocol

*required

8 Anticipated number of subjects for the entire project.

1500

9 Submission type

✓ Exempt study

Secondary Analysis of Existing Data

All other studies

*required

Purpose of the study

a) **Study Goal**. Provide a concise statement of the study hypothesis(es) or goal(s).
b) **Literature review.** Briefly describe how the pertinent body of literature supports the study goal. Include citations and references.
c) **Citations and references**. Include citations and a complete reference section.
d) **Possible contribution**. Describe the potential benefits of the proposed research study to the literature.

a) The goal of this study is to determine who selects into and out of politics, and under what conditions.
b) Recent work by Groenendyk and Krupnikov (2020) shows that people associate politics with disagreement and debate, which leads to directionally motivated reasoning (Kunda 1990) in contexts labeled "political." We seek to extend this logic by investigating how politics influences self-selection (Heckman 1990) into and out of studies and social situations labeled "political." If people select out of such studies, these studies may be systematically overestimating the polarization of the American electorate (e.g. .Iyengar and Westwood 2014). Our preliminary results suggest that people, especially women, are more likely to select out of surveys and focus groups labeled "political," but they select back in when they are told their voice is valued. This study builds on these findings by

10 examining whether they extend to social situations, specifically dinner party conversations. If we are right, in addition to making a vital methodological contribution, our results would also contribute to the literature demonstrating the incompatibility between deliberative and participatory democracy (Mutz 2006).

c) References:

Groenendyk, Eric and Yanna Krupnikov. 2020. "What Motives Reasoning: A Theory of Goal-Dependent Political Evaluation. " *American Journal of Political Science ,* forthcoming.

Heckman, James J. 1990. "Selection Bias and Self-Selection." In *Econometrics*. J. Eatwell et al. (eds.) Palgrave MacMillan . New York, NY.

Iyengar, Shanto and Sean Westwood. 2014."Fear and Loathing Across Party Lines: New Evidence of Group Polarization." *American Journal of Political Science* 59(3): 690-707.

Kunda, Ziva. 1990. "The Case for Motivated Reasoning." *Psychological Bulletin 108(3): 480-498.*

Mutz. Diana. 2006. *Hearing the Other Side. Deliberative versus Participatory Democracy*. Cambridge University Press, New York, NY.

d) Our results have the potential to contribute to the literature on survey methodology, the literature on political polarization, and the literature on democratic deliberation.

*required

Methods and Procedures

a) Study design. Provide a summary statement of the design methodology used. For example, stating that the study is a randomized clinical trial using a double blind procedure with a placebo control. Another example would be a reanalysis of de-identified archival data.

b) Materials. Provide a concise description of all special equipment, instruments, or measures in this section. Also, label and attach copies of data collection tools at the end of this Initial Review Request.

c) Procedures. Provide a chronological description of the experience of being a participant in this study. For archival data, describe how the data is secured, stored, and used. Include the process by which consent will be obtained.

11 **d)** Indicate which procedures and treatments are associated with the present study and those which are not part of the study (i.e., pre-existing programs, interventions, or classroom exercises).

a) The study is a Qualtrics survey with an embed experiment. All respondents will be asked to consider whether they would attend a dinner party, but the topic up for discussion at the dinner party is experimentally manipulated: politics versus movies. Following their answer, they are asked to consider whether their response would change if they could expect the conversation to be disagreeable versus calm and reasoned.
b) The survey instrument is attached.
c) Study participants will be recruited through the crowdsourcing website Prolific (similar to Mturk). This will require them to log onto the website, see the description of our posted study, and decide to click. This will bring them to our consent form. Once granting consent by clicking to continue with the study, they will begin the survey. The survey should take approximately 6 minutes to complete. Qualtrics will be set to anonymize responses.
d) NA

Attachments: Instruments and Measures

Dinner Party Study_Survey Instrument.docx

Secondary analysis of existing data

12 The specific information is necessary when identifiable data about human subjects will be obtained. Data are identifiable if they include direct or indirect identifiers such as name, email address, UID Number, race, gender, nationality, age etc.
a) List source of the data and an explanation of why the data were originally collected.
b) Describe in detail the data you plan to access and analyze.
c) Indicate the requirements of the data supplier and how access to the data will be granted or obtained. If access to the data is governed by a data use agreement, provide a copy of the agreement.
d) Describe procedures that will protect data you are given access.

Data information: Data Use Agreement, Data Sharing Agreement, Variables List etc.

*required

Investigator Qualifications

a) Describe the research team's qualifications and experience pertinent to conducting this research project. **This description must address and include information about the lead investigator and, if the lead investigator is a student, the faculty advisor as well.**

13 b) If physical or psychological assessments are being administered who will administer the assessment and score the results and what are their qualifications for doing so? Is the training in human subject protection of those administering assessments adequate?

a) Eric Groenendyk has a Ph.D. in political science from the University of Michigan and is Associate Professor of Political Science at the University of Memphis. He has been conducting studies of this type for 20 years. He has published a book and numerous articles, published in respected journals.

Elizabeth Connors has a Ph.D. in political science from Stony Brook University and is Assistant Professor of Political Science at the University of South Carolina. She has been conducting studies of this type for nearly a decade and has published her work in respected journals.

b) NA

*required

Human Subjects

a) Characteristics. Describe the characteristics of the participant population. Include the age range(s), gender, ethnicity, health status, any physical, mental, cognitive or emotional limitations, and any other relevant variables.

b) Vulnerable Populations. Indicate if subjects include students, prisoners, pregnant women or any other class of subjects that might be especially vulnerable and require special consideration.

c) Pre-existing relationship to subject pool. If subjects are students, describe the relationship between students and researcher. If there is a pre-existing relationship between the researcher and the subject pool, please describe that relationship in detail.

d) Selection. Describe criteria for inclusion and exclusion of subjects in the study. Provide a detailed explanation for each exclusion and inclusion criterion.

14 **e) Justification** for the proposed sample size. This number helps reviewers understand the expected sample size. Please explain why this number was chosen for your sample size. Any increases to sample size require a modification to the study.

a) Study participants will be recruited through Prolific, a crowdsourcing platform. All study participants will be be Americans over the age of 18. Prolific does not permit individuals under 18 from participating in their services. Participants will be able to choose, based on the information posted on Prolific's website, whether or not to participate in the study.

b) No vulnerable populations will be targeted for our sample.
c) No pre-existing relationship exists between the researchers and subject pool.
d) Only Americans over 18 years old will be allowed to participate. All others will be excluded. Participants will choose whether or not to participate in the study.
e) We have chosen our sample size of 1500 in order to minimize margin of error in our estimates (and thus Type II error) without collecting more data that needed (and spending more money than needed). This study is sufficiently different from our previous work that we cannot conduct power analyses. Nonetheless, based on our previous effects sizes, we have roughly estimated that this is the sample size needed.

*required

Recruitment

15 Describe how subjects will be identified and recruited.
Provide detailed description and examples, where relevant, of any material to be presented to potential participants prior to their receipt of the informed consent/assent documents.

Study participants will decide on their own whether or not they want to participate in our study, which will be posted on Prolific. See the attached recruitment materials.

Recruitment Materials

Attach advertisements, postings on social media, posters, scripts for radio/TV, other electronic ads, scripts for verbal recruitment, copies of email recruitments and any text that will be provided to potential participants. It should be clear in all recruitment materials that you are conducting research. See Sample Recruitment flyer on IRB website.

Prolific Advertisement_Dinner Party Study.docxSample documents: sample_recruitment_flyer.doc

*required

Subject Compensation

a) Describe any economic or other incentives for participation including reimbursement for time and travel.

16

b) If study participation requires subject to complete multiple sessions, compensation must be pro-rated over
the course of the study. (Example: In a study where subjects are compensated $50 per session, Tom completes only two sessions, then he should be compensated $100 for his participation)
c) If the study incentive involves earning course credit, list alternative ways to earn the same credit.

a) Study participants will be paid $1 for their participation in this 6 minute study.
b) NA
c) NA

Risk Benefit Analysis

*required

Potential Risks

17

a) Describe all potential risks: physical, psychological, social, legal or other associated with each procedure. Assess the probability, severity, potential duration and reversibility of each risk.
b) Identify those risks that are minimal and those which are more than minimal.
c) Describe the procedures used to minimize any potential risks.

a) There is little to no risk involved in participating in our study--no greater than the risk a person would expect to encounter in a normal days' activities.
b) Any risks involved in participation are extremely minimal.
c) All responses will be completely anonymous with no possibility of being linked back to the respondent.

*required

Potential Benefits

18

a) Describe the direct potential benefits to the subject. If there are none, this should be so stated.
b) Describe the potential societal benefits of the study in terms of human health/welfare, the advancement of knowledge or the good of society.

a) Survey respondents often say the find it cathartic to be asked their opinions and express their thoughts and feelings. I suspect this is especially true in the current political climate.
b) This study has the potential to make a vital methodological and also substantive contribution. From a methodological standpoint, we suspect survey researchers need to be

careful about labeling studies as "political" because it leads to systematic selection bias. Specifically, it may be leading researchers to overestimate the amount of polarization in American politics, which is an incredibly important topic. We suspect the same process may be discouraging people who are less inclined to debate (especially women, according to our preliminary results) to disengage from political discourse, which has incredibly important and detrimental consequences for democracy. Fortunately, our preliminary results suggest that these effects can all be undone by simply emphasizing that people's voices are actually valued.

*required

Differential Evaluation of Risks and Benefits

19 Justify the research study based on your evaluation of the risk/benefit assessment. When composing this section, imagine you are standing in front of a panel of researchers who are all skeptical about your research. Your task is to reassure them that the benefits of your research outweigh the risks.

Given the extremely minimal risks associated with this study, compared to the large potential benefits, the benefits clearly outweigh the risks.

*required

Privacy

The research proposal should outline strategies to protect privacy, including how the investigator will access participant information.
In developing strategies for the protection of subjects' privacy, consideration should be given to:

20

- The methods used to identify and contact potential subjects.
- The settings in which an individual will be interacting with an investigator.
- The appropriateness of all personnel present for research activities.
- The methods used to obtain information about subjects.
- The nature of the requested information.
- Information that is obtained about individuals other than the target subjects, and whether such individuals meet the regulatory definition of human subject (e.g., a subject provides information about a family member for a survey).
- Privacy guidelines developed by relevant professional associations and scholarly disciplines.
- How to access the minimum amount of information necessary to complete the study.

Study participants will be contacted through the crowdsourcing website Prolific. Participants will take the study over a computer or smartphone. Researchers are highly qualified, but will not be present while participants are taking the study, since they will be doing it over the Internet. All data collected will be in the form of survey responses, and no sensitive data will be collected. Qualtrics will be set to anonymize responses. No information will be obtained about anyone other than the target subject. Only the minimum amount of information necessary will be collected. That information will be stored securely, and there will be no possible way to identify respondents, since payment will occur separately (by Prolific) from data collection (through Qualtrics).

*required

Confidentiality

The research proposal should outline in detail what variables of identifiable data will be handled, the strategies to maintain confidentiality of identifiable data, including controls on storage, handling, sharing of data as well as eventual destruction of identifiable data including signed consent forms.

NOTE: If using an online survey like Qualtrics, Survey Monkey, etc., change settings to Anonymize Responses so IP addresses will not be collected. The Qualtrics default is to collect IP address and GPS coordinates of respondents. By setting the survey to Anonymized Responses the investigator will not be collecting this identifiable information. Include this language in the Confidentiality, Methods/Procedures, and in any other necessary sections/documents noting that the investigators will set Qualtrics to Anonymize Responses.

21

There will be no identifiable data collected. Qualtrics will be set to anonymize IP addresses. It will be impossible to link survey responses to respondents, since payment will occur through Prolific (where respondents are identified by one set of ID numbers) and Qualtrics (where respondents are identified by a separate set of ID numbers) and there is no way to link the two. Data will be saved on a secure drive. Consent forms will not be signed. Consent will be given by clicking to continue. with the study. Data will be stored on a secure hard drive, which only the investigators will have access to. In accordance with norms in the field, if published, anonymous data will be made publicly available for purposes of replication (Note: This is a now a requirement for publication in all top journals).

*required

Collaboration, Engagement & Sponsor Relationships

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22 a) Describe all collaborative relationships necessary to complete your research. Include letters of support from the collaborator(s). This letter must come from a person with director-level authority within the collaborating institution. When the collaborator has an Institutional Review Board, please include a copy of the IRB application sent to collaborating institution.
b) Indicate in your study when U of M IRB approval must be issued before the collaborator will commit to the study.
c) Specify what data will be provided to the collaborator(s) and sponsor(s).

a) I will be collaborating with Elizabeth Connors, Assistant Professor of Political Science at the University of South Carolina. We will be splitting the cost of the data collection, once it has been completed.
b) NA
c) All data will be shared with my collaborating investigator.

Collaboration Attachments

Letters of support, IRB approvals / protocols from collaborating institutions

Proposal

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If your study is sponsored, please insert or attach a copy of the funded proposal under this section.

Full Board and **Expedited** review-categorized research require informed consent for human subjects to participate in research. Such consent must be given by the subject and parent/guardian if the subject is under the age of eighteen (18) years. Voluntary and fully informed consent must be obtained and documented in writing unless a waiver is requested and granted.

Also, templates/guidelines for Informed Consent, Parental Consent, and Children's Assent forms are available on the IRB website.

EXEMPT review-categorized research also requires obtaining voluntary consent to participate. This consent will provide subjects with pertinent information such as stating that the activity involves research and the University of Memphis has approved the research. Also, as is appropriate, include information such as contact for investigators, description of the procedures, risks and benefits, and IRB contact information.

WAIVERS:

WAIVER OF DOCUMENTATION OF INFORMED CONSENT
45 CFR 46.117(c)
The Institutional Review Board (IRB) may consider waiving the requirement for obtaining documentation of informed consent if the following conditions are met. To request a waiver, justification for the waiver should be included in the IRB submission and should address each of the criteria listed below.

1. IRB may waive requirement to obtain a signed consent form for some or all of subjects if:
a. the only record linking the subject and the research would be the consent document and the principal risk would be harm resulting from breach of confidentiality; each subject must be asked whether subject wants documentation;
OR
b. the research presents no more than minimal risk and involves no procedures for which written consent is normally
required.

2. In cases where documentation is waived, the IRB may require investigator to provide subjects with written statement regarding the research.

[Note that 1a above is not included in FDA. 1b is included in FDA and HHS regulations 21 CFR 56.109(c)]

WAIVER OF INFORMED CONSENT*
THESE CRITERIA DO NOT APPLY IF THE STUDY IS FDA REGULATED**
45 CFR 46.116 [d]

The Institutional Review Board (IRB) may consider waiving the requirement for obtaining informed consent if all of the following conditions are met. To request a waiver, justification for the waiver should be included in the IRB submission and should address each of the criteria listed below.

1. THE RESEARCH INVOLVES MINIMAL RISK TO SUBJECTS
This condition is satisfied if either the likelihood or the magnitude of harm/discomfort is no greater than what the subjects would ordinarily encounter in daily life or during routine clinical care.

2. THE WAIVER OR ALTERATION WILL NOT ADVERSELY AFFECT THE RIGHTS AND WELFARE OF THE SUBJECTS
The IRB will assess whether subjects' rights, such as the "right to privacy", would be violated if the consent were waived. *For example, in the case of "right to privacy", the IRB will consider the safeguards for minimizing the potential invasion of privacy and will consider the potential benefits of participation.*

3. THE RESEARCH COULD NOT PRACTICABLY BE CARRIED OUT WITHOUT THE WAIVER;
AND
For example, obtaining informed consent would not be practicable if the investigator will have no direct contact with subjects and will not know their identities.

4. WHENEVER APPROPRIATE, THE SUBJECTS WILL BE PROVIDED WITH ADDITIONAL PERTINENT INFORMATION AFTER THEY HAVE PARTICIPATED IN THE STUDY
In social science research involving deception, it is common practice to debrief the subjects at the conclusion of the study. In other studies, however, it would not be appropriate to require debriefing. For example, if the research proposed collection of tissue without identifiers, it would not be possible for the investigator to provide additional information since the identities of the subjects would be unknown.

** To conduct research involving deception or passive consent procedures, these criteria must be met.*
*** Waiver of Consent in FDA regulated studies is permissible only in life-threatening situations or acute care research if specific FDA mandated requirements are met.*

Even if all of the above conditions are met, the IRB is authorized to require an investigator to obtain informed consent. For example, the IRB may determine that the knowledge being sought is not important enough to justify the use of unaware subjects.

Consent Documents

Attach Consent, Assent, Parental/Guardian permission, Waiver requests (Waiver of written documentation of informed consent, Wavier of informed consent)
Consent Form_Dinner Party Study.docx

*required

Consent statement (for exempt research), or waiver requests can go here

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If you have nothing to add here, please type n/a.
See attached

Additional questions or concerns can be addressed to either irb@memphis.edu or by calling (901) 678-2705.

Any additional attachments can be added below:

Additional Attachments

Section 6 Investigator Contingency Response

When submitting your revisions to a protocol, inform the IRB how you addressed each of the contingencies for the previous version of the submission. Copy and paste the last issued contingency list in a Word document and include your response and related section/question directly underneath each respective contingency.

This document can be attached as an MS Word or a PDF file. You can also copy and paste your contingency response in the text box. See sample document below.

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*required

Add or attach your completed **Investigator Contingency Response** document. If you have nothing to add in the text box below, please type **"N/A"**.

Copy and paste document content here:

N/A

Or attach document here:

Sample documents: Example - Investigator Contingency Response.docx