Date: 10-7-2023

IRB #: PRO-FY2019-268
Title: Who chooses to participate in politics?
Creation Date: 11-29-2018
End Date:
Status: Approved
Principal Investigator: Eric Groenendyk
Review Board: University of Memphis Full Board
Sponsor:

Study History

Submission Type	Review Type	Decision
Initial	Exempt	Exempt

Key Study Contacts

Member Eric Groenendyk	Role Principal Investigator	Contact grnendyk@memphis.edu
Member Eric Groenendyk	Role Primary Contact	Contact grnendyk@memphis.edu
Member Robert Hoberg	Role Investigator	Contact rhoberg@memphis.edu

Initial Submission

Section 1 Institutional Review Board Protocol Application

Human Research Protections Program
Institutional Review Board

*required

Principal Investigator

1 Name: Eric Groenendyk
Organization: Political Science
Address: 315 Administration Building , Memphis, TN 38152-3370
Phone: 901-678-3462
Email: grnendyk@memphis.edu

1a Your UofM Appointment Status

- Professor
- ✓ Associate Professor
- Assistant Professor
- Instructor
- Student
- Staff
- Other

2 Do you have a Co-PI or Co-PIs?

✓ Yes

No

Co-Principal Investigator(s)

*required

Primary Contact

3 Name: Eric Groenendyk
Organization: Political Science
Address: 315 Administration Building , Memphis, TN 38152-3370
Phone: 901-678-3462
Email: grnendyk@memphis.edu

Co-Investigators

4

Use the text area for investigators outside UofM, and use the Find People button below for UofM investigators.

Please choose your UofM investigator(s) here:

Name: Robert Hoberg
Organization: Users loaded with unmatched Organization affiliation.
Address: , Memphis, TN 38152-3370
Phone:
Email: rhoberg@memphis.edu

5 Is there a financial sponsor for this study?

Yes

✓ No

Determination
Do you need a determination for whether or not your study is human subjects research requiring IRB review?

6

Human subject means a living individual about whom an investigator (whether professional or student) conducting research obtains data through intervention or interaction with the individual, or identifiable private information.

Research means a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge.

Yes. Proceed to determination questions for submission

✓ No. Proceed with your protocol submission

Section 3 IRB Protocol General Information

*required

CITI Training Completion Information

6 CITI (**C**ollaborative **I**nstitutional **T**raining **I**nitiative at the University of Miami) Training in human subjects research is required every two years.

Date of completion:

07/21/2017

CITI Modules Completed

Check all that apply.

- ✓ Social & Behavioral Research Investigators
- Bio medical Research
- Students conducting no more than minimal risk research
- IRB Members
- Nursing

CITI Record ID:

20802661

Section 4 IRB Protocol

*required

6 Anticipated number of subjects for the entire project.

600

7 Submission type

✓ Exempt study

Secondary Analysis of Existing Data

All other studies

*required

Purpose of the study

a) **Study Goal**. Provide a concise statement of the study hypothesis(es) or goal(s).
b) **Literature review.** Briefly describe how the pertinent body of literature supports the study goal. Include citations and references.
c) **Citations and references**. Include citations and a complete reference section.
d) **Possible contribution**. Describe the potential benefits of the proposed research study to the literature.

The goal of this study is to determine who is motivated to participate in studies of "politics." Does making a study sound overtly political attract a different type of participants?

8 This study has the potential to contribute to the literatures on survey methods, polarization, and motivated reasoning. Perhaps the most difficult challenge for survey research is selection bias. Regardless of the quality of the sample, there will always be some who refuse to participate. Thus, some respondents, with a certain set of characteristics will self-select themselves out of the sample. While researchers know a bit about what types of people these tend to be, one might wonder how political polarization is affecting trends in participation. With so many people being turned off by the uncivil nature of contemporary politics (e.g. Mutz 2006), are political surveys attracting people who

like to debate and turning people who don't? If so, this could have an enormous affect on the inferences researchers are able to draw.

This same effect may manifest themselves more broadly, not just in polling, but in political discourse. Recent work shows that when people hear the word "politics," they think of disagreement and debate, not deliberation. These associations trigger directionally motivated reasoning, leading people to counter-argue against information that conflicts with their predispositions (Groenendyk & Krupnikov n. p.). In short, people enter into political discussions expecting debate, prepare themselves for that. But, how do these expectations affect who joins the discussion in the first place? This study seeks to answer that question.

Mutz, Diana. (2006) Hearing the other side: Deliberative versus participatory Democracy. Princeton, NJ: Princeton University Press.
Groenendyk & Krupnikov. "What motivates reasoning? A theory of goal-dependent political evaluations." Unpublished manuscript.

*required

Methods and Procedures

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a) Study design. Provide a summary statement of the design methodology used. For example, stating that the study is a randomized clinical trial using a double blind procedure with a placebo control. Another example would be a reanalysis of de-identified archival data.
b) Materials. Provide a concise description of all special equipment, instruments, or measures in this section. Also, label and attach copies of data collection tools at the end of this Initial Review Request.
c) Procedures. Provide a chronological description of the experience of being a participant in this study. For archival data, describe how the data is secured, stored, and used. Include the process by which consent will be obtained.
d) Indicate which procedures and treatments are associated with the present study and those which are not part of the study (i.e., pre-existing programs, interventions, or classroom exercises).

9

a) This survey experiments contains 3 different conditions. In each condition, participants will be asked if they want to participate in a "consumer survey" or one of three types of "politics" surveys (political survey, political debate survey, political deliberation survey). However, participants will be asked the same series of questions (about themselves, their political opinions, and their consumer opinions) regardless which they choose.
b) Study participants will be asked about their interests, their personality, the partisan identities and feelings toward parties, ideology, their demographics, and a few political knowledge questions.
c) This study will be recruited through Amazon's Mechanical Turk (MTurk). They will decided

whether they decide to participate. All respondents will be paid $1.50 for their participation in the study, which will take about 10 minutes.

Attachments: Instruments and Measures

Qualtrics Survey Software.pdf

Secondary analysis of existing data

10

The specific information is necessary when identifiable data about human subjects will be obtained. Data are identifiable if they include direct or indirect identifiers such as name, email address, UID Number, race, gender, nationality, age etc.
a) List source of the data and an explanation of why the data were originally collected.
b) Describe in detail the data you plan to access and analyze.
c) Indicate the requirements of the data supplier and how access to the data will be granted or obtained. If access to the data is governed by a data use agreement, provide a copy of the agreement.
d) Describe procedures that will protect data you are given access.
N/A

Data information: Data Use Agreement, Data Sharing Agreement, Variables List etc.

*required

Investigator Qualifications

11

a) Describe the research team's qualifications and experience pertinent to conducting this research project. **This description must address and include information about the lead investigator and, if the lead investigator is a student, the faculty advisor as well.**

b) If physical or psychological assessments are being administered who will administer

the assessment and score the results and what are their qualifications for doing so? Is the training in human subject protection of those administering assessments adequate?
Dr. Groenendyk has conducted numerous experiments of this type during his decade as a professor. He holds a Ph.D. in political science from University of Michigan. Results of his work have been published in numerous journals, including American Journal of Political Science, Journal of Politics, Political Behavior, Political Psychology, and a book with Oxford University Press.

*required

Human Subjects

12

a) Characteristics. Describe the characteristics of the participant population. Include the age range(s), gender, ethnicity, health status, any physical, mental, cognitive or emotional limitations, and any other relevant variables.
b) Vulnerable Populations. Indicate if subjects include students, prisoners, pregnant women or any other class of subjects that might be especially vulnerable and require special consideration.
c) Pre-existing relationship to subject pool. If subjects are students, describe the relationship between students and researcher. If there is a pre-existing relationship between the researcher and the subject pool, please describe that relationship in detail.
d) Selection. Describe criteria for inclusion and exclusion of subjects in the study. Provide a detailed explanation for each exclusion and inclusion criterion.
e) Justification for the proposed sample size. This number helps reviewers understand the expected sample size. Please explain why this number was chosen for your sample size. Any increases to sample size require a modification to the study.

a) Participation will be restricted to adults residing in the U.S. Individuals on Mturk will decided whether or not they choose to participate, which should ensure a diverse sample.

b) No vulnerable populations will be targeted.

c) None

d) Mturk requires participants to be 18 or older, and I will further restrict the sample to individuals residing in the U.S.

e) I plan to survey 600 respondents, 200 for each condition. I have no way to conduct power analyses, since this is the first study of it's kind, but I suspect this will provide sufficient statistical power.

*required

Recruitment

13 Describe how subjects will be identified and recruited.
Provide detailed description and examples, where relevant, of any material to be presented to potential participants prior to their receipt of the informed consent/assent documents.

Subjects will be recruited using Amazon's Mechanical Turk, so they will decide whether or not to participate. If they decide to participate, they will be paid $1.50 for the participation. The only recruitment materials that will be used will be the brief description of the study posted on Mturk (see attached).

Recruitment Materials

Attach advertisements, postings on social media, posters, scripts for radio/TV, other electronic ads, scripts for verbal recruitment, copies of email recruitments and any text that will be provided to potential participants. It should be clear in all recruitment materials that you are conducting research. See Sample Recruitment flyer on IRB website.

Mturk Advertisement.docxSample documents: sample_recruitment_flyer.doc

*required

Subject Compensation

14 a) Describe any economic or other incentives for participation including reimbursement for time and travel.
b) If study participation requires subject to complete multiple sessions, compensation must be pro-rated over
the course of the study. (Example: In a study where subjects are compensated $50 per session, Tom completes only two sessions, then he should be compensated $100 for his participation)
c) If the study incentive involves earning course credit, list alternative ways to earn the same credit.

Subjects will receive $1.50 for participating in the study. Each willing participant will complete the survey one time, and will receive compensation regardless if it is completed.

Risk Benefit Analysis

*required

Potential Risks

15 a) Describe all potential risks: physical, psychological, social, legal or other associated with each procedure. Assess the probability, severity, potential duration and reversibility of each risk.
b) Identify those risks that are minimal and those which are more than minimal.
c) Describe the procedures used to minimize any potential risks.

There are no foreseeable risks for physical, psychological, social, legal nor other harm. Participants will only be asked about themselves and their opinions on everyday topics.

*required

Potential Benefits

16 a) Describe the direct potential benefits to the subject. If there are none, this should be so stated.
b) Describe the potential societal benefits of the study in terms of human health/welfare, the advancement of knowledge or the good of society.

The potential benefits include advancement in research on motivated reasoning, political behavior, and survey methodology. By researching who is attracted to overtly political information we gain the information necessary to assess the motives for being attracted to politics, or why some find the political to be repellent. Participants in these sorts of survey's often say they find it cathartic to talk about their opinions.

*required

Differential Evaluation of Risks and Benefits

17 Justify the research study based on your evaluation of the risk/benefit assessment. When composing this section, imagine you are standing in front of a panel of researchers who are all skeptical about your research. Your task is to reassure them that the benefits of your research outweigh the risks.

Because there are no inherent risks in this research, the benefits clearly outweigh the risks.

*required

Privacy

The research proposal should outline strategies to protect privacy, including how the investigator will access participant information.
In developing strategies for the protection of subjects' privacy, consideration should be given to:

- The methods used to identify and contact potential subjects.
- The settings in which an individual will be interacting with an investigator.
- The appropriateness of all personnel present for research activities.
- The methods used to obtain information about subjects.
- The nature of the requested information.
- Information that is obtained about individuals other than the target subjects, and whether such individuals meet the regulatory definition of human subject (e.g., a subject provides information about a family member for a survey).
- Privacy guidelines developed by relevant professional associations and scholarly disciplines.
- How to access the minimum amount of information necessary to complete the study.

18

The data will be completely anonymous. Participants will find the survey by logging int Mturk. Participants will never interact directly with the investigator. I will have no way to connect participants' response in Qualtrics to their identities, which are only know by Mturk. We will only see their Mturk participant numbers. Likewise, Mturk will not have access to the data, because they will be collected through Qualtrics. Qualtrics will be set to anonymize, and no identifying information will be collected.

*required

Confidentiality

The research proposal should outline in detail what variables of identifiable data will be handled, the strategies to maintain confidentiality of identifiable data, including controls on storage, handling, sharing of data as well as eventual destruction of identifiable data including signed consent forms.

NOTE: If using an online survey like Qualtrics, Survey Monkey, etc., change settings to Anonymize Responses so IP addresses will not be collected. The Qualtrics default is to

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collect IP address and GPS coordinates of respondents. By setting the survey to Anonymized Responses the investigator will not be collecting this identifiable information. Include this language in the Confidentiality, Methods/Procedures, and in any other necessary sections/documents noting that the investigators will set Qualtrics to Anonymize Responses.

The data will be completely anonymous. I will have no way to connect participants' response in Qualtrics to their identities, which are only know by Mturk. We will only see their Mturk participant numbers. Likewise, Mturk will not have access to the data, because they will be collected through Qualtrics. Qualtrics will be set to anonymize, and no identifying information will be collected.

*required

Collaboration, Engagement & Sponsor Relationships

20

a) Describe all collaborative relationships necessary to complete your research. Include letters of support from the collaborator(s). This letter must come from a person with director-level authority within the collaborating institution. When the collaborator has an Institutional Review Board, please include a copy of the IRB application sent to collaborating institution.
b) Indicate in your study when U of M IRB approval must be issued before the collaborator will commit to the study.
c) Specify what data will be provided to the collaborator(s) and sponsor(s).

NA

Collaboration Attachments

Letters of support, IRB approvals / protocols from collaborating institutions

Proposal

21

If your study is sponsored, please insert or attach a copy of the funded proposal under this section.

Full Board and **Expedited** review-categorized research require informed consent for human subjects to participate in research. Such consent must be given by the subject and parent/guardian if the subject is under the age of eighteen (18) years. Voluntary and fully informed consent must be obtained and documented in writing unless a waiver is requested and granted.

Also, templates/guidelines for Informed Consent, Parental Consent, and Children's Assent forms are available on the IRB website.

EXEMPT review-categorized research also requires obtaining voluntary consent to participate. This consent will provide subjects with pertinent information such as stating that the activity involves research and the University of Memphis has approved the research. Also, as is appropriate, include information such as contact for investigators, description of the procedures, risks and benefits, and IRB contact information.

WAIVERS:

WAIVER OF DOCUMENTATION OF INFORMED CONSENT
45 CFR 46.117(c)
The Institutional Review Board (IRB) may consider waiving the requirement for obtaining documentation of informed consent if the following conditions are met. To request a waiver, justification for the waiver should be included in the IRB submission and should address each of the criteria listed below.

1. IRB may waive requirement to obtain a signed consent form for some or all of subjects if:
a. the only record linking the subject and the research would be the consent document and the principal risk would be harm resulting from breach of confidentiality; each subject must be asked whether subject wants documentation;
OR
b. the research presents no more than minimal risk and involves no procedures for which written consent is normally
required.

2. In cases where documentation is waived, the IRB may require investigator to provide subjects with written statement regarding the research.

[Note that 1a above is not included in FDA. 1b is included in FDA and HHS regulations 21 CFR 56.109(c)]

WAIVER OF INFORMED CONSENT*
THESE CRITERIA DO NOT APPLY IF THE STUDY IS FDA REGULATED**
45 CFR 46.116 [d]

The Institutional Review Board (IRB) may consider waiving the requirement for obtaining informed consent if all of the following conditions are met. To request a waiver, justification for the waiver should be included in the IRB submission and should address each of the criteria listed below.

1. THE RESEARCH INVOLVES MINIMAL RISK TO SUBJECTS
This condition is satisfied if either the likelihood or the magnitude of harm/discomfort is no greater than what the subjects would ordinarily encounter in daily life or during routine clinical care.

2. THE WAIVER OR ALTERATION WILL NOT ADVERSELY AFFECT THE RIGHTS AND WELFARE OF THE SUBJECTS
The IRB will assess whether subjects' rights, such as the "right to privacy", would be violated if the consent were waived. *For example, in the case of "right to privacy", the IRB will consider the safeguards for minimizing the potential invasion of privacy and will consider the potential benefits of participation.*

3. THE RESEARCH COULD NOT PRACTICABLY BE CARRIED OUT WITHOUT THE WAIVER;
AND
For example, obtaining informed consent would not be practicable if the investigator will have no direct contact with subjects and will not know their identities.

4. WHENEVER APPROPRIATE, THE SUBJECTS WILL BE PROVIDED WITH ADDITIONAL PERTINENT INFORMATION AFTER THEY HAVE PARTICIPATED IN THE STUDY
In social science research involving deception, it is common practice to debrief the subjects at the conclusion of the study. In other studies, however, it would not be appropriate to require debriefing. For example, if the research proposed collection of tissue without identifiers, it would not be possible for the investigator to provide additional information since the identities of the subjects would be unknown.

** To conduct research involving deception or passive consent procedures, these criteria must be met.*
*** Waiver of Consent in FDA regulated studies is permissible only in life-threatening situations or acute care research if specific FDA mandated requirements are met.*

Even if all of the above conditions are met, the IRB is authorized to require an investigator to obtain informed consent. For example, the IRB may determine that the knowledge being sought is not important enough to justify the use of unaware subjects.

Consent Documents

Attach Consent, Assent, Parental/Guardian permission, Waiver requests (Waiver of written documentation of informed consent, Wavier of informed consent)

*required

Consent statement (for exempt research), or waiver requests can go here

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If you have nothing to add here, please type n/a.

Institutional Review Board
315 Administration Bldg.
Memphis, TN 38152-3370
Office: 901.678.2705
Fax: 901.678.2199

Consent to Participate in a Research Study
Public Opinion Study

WHY ARE YOU BEING INVITED TO TAKE PART IN THIS RESEARCH?
You are being invited to take part in a research study about public opinion. You are being invited to take part in this research study because we are interested in the opinions of people like you. If you volunteer to take part in this study, you will be one of about 600 people to do so.
WHO IS DOING THE STUDY?
The person in charge of this study is Dr. Eric Groenendyk of University of Memphis Department of Political Science. There may be other people on the research team assisting at different times during the study.
WHAT IS THE PURPOSE OF THIS STUDY?
By doing this study, we hope to learn about Americans' opinions about social issues. We are especially interested in what leads people to agree on some things and what leads them to disagree

on other things. If you are interested in receiving the results of this study, you are welcome to e-mail principle investigator at grnendyk@memphis.edu.

WHERE IS THE STUDY GOING TO TAKE PLACE AND HOW LONG WILL IT LAST?

The research procedures will be conducted through an Internet Survey program called Qualtrics. This study will take about 5 minutes.

WHAT WILL YOU BE ASKED TO DO?

You will be asked to answer a series of survey questions. We ask that you take your time and answer honestly.

WHAT ARE THE POSSIBLE RISKS AND DISCOMFORTS?

To the best of our knowledge, the things you will be doing have no more risk of harm than you would experience in everyday life.

WILL YOU BENEFIT FROM TAKING PART IN THIS STUDY?

There is no guarantee that you will get any benefit from taking part in this study. However, some people have experienced satisfaction when asked to mull over their opinions on political issues. Your willingness to take part, however, may, in the future, help society as a whole better understand this research topic.

DO YOU HAVE TO TAKE PART IN THE STUDY?

If you decide to take part in the study, it should be because you really want to volunteer. You will not lose any benefits or rights you would normally have if you choose not to volunteer. You can stop at any time during the study and still keep the benefits and rights you had before volunteering.

IF YOU DON'T WANT TO TAKE PART IN THE STUDY, ARE THERE OTHER CHOICES?

If you do not want to be in the study, there are no other choices except not to take part in the study.

WHAT WILL IT COST YOU TO PARTICIPATE?

There are no costs associated with taking part in the study.

WILL YOU RECEIVE ANY REWARDS FOR TAKING PART IN THIS STUDY?

You will receive $1.50 for taking part in this study. If you decide to withdraw from the study at any time, you will still be paid in full.

WHO WILL SEE THE INFORMATION THAT YOU GIVE?

We will make every effort to keep private all research records that identify you to the extent allowed by law.

Your information will be combined with information from other people taking part in the study. When we write about the study to share it with other researchers, we will write about the combined information we have gathered. You will not be personally identified in these written materials. We may publish the results of this study; however, we will keep your name and other identifying information private.

This study is anonymous. That means that no one, not even members of the research team, will know that the information you give came from you. Qualtrics will be set to anonymize ISP addresses.

CAN YOUR TAKING PART IN THE STUDY END EARLY

If you decide to take part in the study you still have the right to decide at any time that you no longer want to continue. You will not be treated differently if you decide to stop taking part in the study. The individuals conducting the study may need to withdraw you from the study. This may occur if you are not able to follow the directions they give you, if they find that your being in the study is more risk than benefit to you, or if the agency funding the study decides to stop the study early for a variety of scientific reasons.

WHAT IF YOU HAVE QUESTIONS, SUGGESTIONS, CONCERNS, OR COMPLAINTS?

Before you decide whether to accept this invitation to take part in the study, please ask any questions that might come to mind now. Later, if you have questions, suggestions, concerns, or

complaints about the study, you can contact the investigator, Dr. Eric Groenendyk at grnendyk@memphis.edu. If you have any questions about your rights as a volunteer in this research, contact the Institutional Review Board staff at the University of Memphis at 901-678-2705 or irb@memphis.edu. We will give you a signed copy of this consent form to take with you.
What happens to my privacy if I am interviewed?
No identifying information will be associated with your responses. You will simply be known as a participant ID number in a large database.

Additional questions or concerns can be addressed to either irb@memphis.edu or by calling (901) 678-2705.

Any additional attachments can be added below:

Additional Attachments

Section 6 Investigator Contingency Response

When submitting your revisions to a protocol, inform the IRB how you addressed each of the contingencies for the previous version of the submission. Copy and paste the last issued contingency list in a Word document and include your response and related section/question directly underneath each respective contingency.

This document can be attached as an MS Word or a PDF file. You can also copy and paste your contingency response in the text box. See sample document below.

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*required

Add or attach your completed **Investigator Contingency Response** document. If you have nothing to add in the text box below, please type **"N/A"**.

Copy and paste document content here:

N/A

Or attach document here:

Sample documents: Example - Investigator Contingency Response.docx