Data-Dent, W3: Advocacy and Enabling Environment Metrics

Case Study Interview Questionnaire in India

OVERVIEW and CONSENT

We would like to interview you—in your professional capacity—for a study on the role of advocacy in influencing nutrition policy in India. The study is part of a project on Data for Decisions to Expand Nutrition Transformation (Data-DENT) that is led by Johns Hopkins University, the International Food Policy Research Institute (IFPRI), and Results for Development (R4D). The information and insights we collect from you are for research purposes only, and will be used to better understand and analyze the nutrition policy processes, at the federal and state levels in India. This analysis will, in turn, contribute to understanding what elements of the enabling environment—including financial resources, policy champions, and coordinating mechanisms—facilitate the impact of advocacy on nutrition policy in general and on infant and young child feeding (IYCF) practices and food fortification in particular.

Your responses will be recorded through a survey program known as SurveyCTO and only be accessible to the research team. All responses will remain confidential. You have the opportunity at the end of the interview to notify us if you would be willing to allow for any of your responses to be included in our research outputs through indirect attribution, which would include your title and stakeholder category (e.g. director of a donor organization). No other identifying information (e.g., name, mobile number, email address) will appear in our report. If you indicate to us that you do not want any quotation of your responses, even through indirect attribution, they will not be included in our report.

We anticipate no risks to your participation in this interview. We estimate that the interview will take between 30 to 45 minutes. Participation in this interview/discussion is voluntary and you may refuse to participate, discontinue the interview/discussion at any time, or skip any question you do not want to answer.

Enumerators: Please read the interviewee the above informed consent statement, obtain a copy of their consent, and provide them with a copy for their records. If the interviewee does not consent, please do not proceed with the interview.

SECTION 1: Background Information (BI)

This section requests some basic background information from each respondent, which determines the subsequent survey modules they should complete.

BI.1: Respondent ID Number	_____
BI.2: At what geographical level is the interviewed focused on?	1 – National → **BI.4** 2 – State level
BI.3: If subnational, which state?	1 – Bihar 2 – Uttar Pradesh
BI.4: To which type of stakeholder group does this respondent belong?	1 – Advocacy organization → **BI.6** 2 – Government → **BI.5** 3 – Donor → **BI.6** 4 – Private sector → **BI.6** 5 – Media →**BI.6** 6 – Research community (e.g. academics, think tanks) → **BI.6** 7 – Other → **BI.6**
BI.5: If government, please indicate which ministry, agency, or department the respondent is representing	1 – National: Ministry of Health and Family Welfare (MoHFW) 2 – National: Ministry of Women and Child Development (MWCD) 3 – National: Ministry of Agriculture and Farmers Welfare 4 – National: Ministry of Food Processing Industries 5 – National: Ministry of Finance 6 – National: Ministry of Consumer Affairs, Food and Public Distribution 7 – Food Safety and Standards Authority of India (FSSAI) 8 – Bihar Department of Health & Family Welfare 9 – Bihar Department of Social Welfare 10 – Bihar Department of Finance 11 – Bihar Department of Food Processing 12 – Bihar Department of Agriculture 13 – UP Department of Agriculture 14 – UP Department of Food and Civil Supplies 15 – UP Department of Finance 16 – UP Department of Social Welfare 17 – UP Department of Women Welfare 18 – UP Department of Medical Health & Family Welfare 95 – Other _____

BI.6: Name of organization for which the respondent works	_____

SECTION 2–Organizational Capacity of Advocacy Organizations (OC)

[This section is only asked of advocacy organizations]

We will now ask some questions about your organization's staffing and financial basis, strategic vision, and major advocacy activities.

Questions	**Responses**	
OC.1: How long have you been working at this organization? *[Only allow one response category to be selected]*	1 – Less than 1 year 2 – Between 1 and 3 years 3 – Between 3 and 5 years	4 – Between 5 and 7 years 5 – More than 7 years
OC.2: How long has this organization been in existence? *[Only allow one response category to be selected]*	1 – Less than 1 year 2 – Between 1 and 3 years 3 – Between 3 and 5 years	4 – Between 5 and 7 years 5 – More than 7 years
OC.3: Which health and nutrition issues does your organization prioritize for advocacy? *[More than one option can be selected]*	1 – Infant and child nutrition 2 – Maternal nutrition 3 - Anemia 4 - Adolescent nutrition 5 - HIV/AIDS 6 – Malaria 7- Micronutrient deficiencies 8 – Food access	9 – Right to food campaign 10 -Non-communicable diseases 11 – Food safety practices 12 – Water and sanitation 95 – Other_____
OC.4: Which are the main policy interventions that you feel would be most effective to address the areas that your organization prioritizes? *[More than one option to be selected but do NOT read response options. Code based on respondent's answers]*	1 – Vitamin supplementation (IFA, calcium, vitamin A, zinc) 2 – Food supplementation 3 - Social protection programs (e.g. cash transfers) 4 - Food fortification 5 – Deworming 6 – Immunizations	7 – Improved nutrition labeling 8 – Improved infant and young child feeding practices 9 – Social and behavioral change communication 10 – School feeding programs 95 – Other _____
OC.5: What are the <u>main</u> types of advocacy activities that your organization pursues? *[Select all that apply]*	1 – Conduct research 2 – Convene stakeholder meetings 3 – Work with local or international media 4 – Organizing public rallies 5 – Training activities for private sector 6 – Training activities for community	9 – Formal meetings with policymakers (e.g. presentation to Parliament) 10 – Informal meetings with policymakers (e.g. phone calls to legislators) 95 – Other _____

	7 – Training activities for government actors 8 – Letter writing to policymakers	
OC.6: Has the organization's mandate changed since it began working in India?	1 – Yes 2 – No →**OC.7** **98 – Don't know →OC.7**	
OC.6_elaborate If so, please elaborate.	_____	
OC.7 How many individuals work in this organization? *[Only allow one response category to be selected]*	1 – Less than 10 2 – Between 10 - 20 3 – Between 20-50	4 – Between 50-100 5 – More than 100 98 – Don't know
OC.8: How many staff in this organization have experience and training in nutrition?	_____ 98- Don't know	
OC.9: Including this one, how many offices do you have in India?	_____	
OC.10: If you have other offices besides this one in India, which other states are they located in? *[Please select from drop down menu. More than one can be selected]*		
OC.11. In approximately how many districts in India do you have activities?		
OC.12: What is the approximate average annual budget for your organization?	_____ Amount	_____ Currency
OC.13: Approximately how much of that budget, as a percentage, goes towards advocacy activities, rather than for salaries or overhead costs?	_____(%) 98- Don't know	
OC.14: Of the activities that are planned in an average year, approximately what percent can be covered by existing resources rather than anticipated appropriations?	_____(%) 98- Don't know	
OC.15: Does your organization have a document that outlines the accomplishments it wants to achieve?	1 – Yes 2 – No →**OC.20**	
OC.16: If so, are there specific objectives outlined in the document?	1 – Yes 2 – No →**OC.20**	
OC.17: If yes, please provide an example of these objectives		
OC.18: Are the objectives intended to be achieved by a specific date or timeframe?	1 – Yes 2 – No →**OC.20**	
OC.19: If yes, please provide an example.		

OC.20: Are there any opportunities for your organization to reflect on its strategic objectives and for it to shift focus, tactics, and/or resources as needed?	1 – Yes 2 – No →**OC.22**	
OC.21: If so, please elaborate on what these opportunities are.		
OC.22: Who do you view as the <u>main audience</u> for the activities of your organization? *[Respondent will only be able to choose one]*	1 – General public 2 – National policy makers 3 – Local policymakers (state or LGA) 4 – Donor community 5 – Traditional and religious authorities 6 – Academic and research community 95 – Other _____	
OC.23: Please share the top three sources you turn to for obtaining data, research, and information to substantiate the policies that you advocate?	1 - _____	
	2 - _____	
	3 - _____	
OC.24: Is there a narrative that your organization uses to distinguish its advocacy position?	1 – Yes 2 – No →**OC.27**	
OC.24_elaborate. If so, please elaborate		
OC.25: Since your organization has been engaged in advocacy in India, has the narrative remained consistent?	1 – Yes → **OC.26** 2 – No 98 – Don't know	
OC.25_elaborate. If not, please explain the main changes.		
OC.26: With which group of stakeholders do you think this narrative resonates the most?	_____ 98 – Don't know →**OC.27**	
OC.26_elaborate. Please explain why.		
OC.27: We will now ask you about some potential media outreach activities. For each one, please tell us, on average, how many times in a year your organization engages in these activities, if at all.	A. Newspaper op-eds	D. Blogs
	B. Radio appearances	E. Social media
	C. TV appearances	F. Other_____
OC.28: We will now ask you about some potential outputs that your organization might produce. For each one, please tell us, on average, how many times in a year your organization engages in these activities, if at all.	A. Policy/issue briefs	D. Videos
	B. Working papers	E. Training tools
	C. Databases	F. Other _____
OC.29: We will now ask you about some potential capacity events that your organization might host. For each listed stakeholder, please tell us, on average, how many times in a year your organization engages in these activities, if at all.	A. Journalists	D. District level implementers/front line workers
	B. Parliamentarians	E. Other civil society organizations
	C. Federal or state bureaucrats	F. Other _____
OC.30: Does your organization have an operational website?	1 – Yes 2 – No	
OC.31: What is the main modality through which your organization interacts directly with policymakers?	1 – Email exchanges 2 – Phone calls 3 – Workshops and seminars 4 – In-person meetings 95 – Other	
OC.32: What aspects of the political and legal environment in India/this state facilitate your organization's ability to engage in nutrition advocacy?	_____	

OC.33: What aspects of the political and legal environment in India/this state limit your organization's ability to engage in nutrition advocacy?	_____
OC.34: Has your organization's ability to engage in nutrition advocacy shifted over time due to changes in the legal or political environment in India/this state?	1 – Yes 2 – No →NA.1
OC.35: If so, please elaborate on how your organization's ability to engage in nutrition advocacy has changed.	_____

SECTION 3: Network Activities (NA)

[This section is only asked of advocacy organizations]

This section focuses on the connections that your organization may have with other advocacy organizations.

Questions	**Responses**	
NA.1: Do you actively partner in a network with other advocacy organizations when advancing nutrition goals?	1 – Yes 2 – No →**NA.11**	
NA.2: What is the main criteria you use to select with which other advocacy organizations to partner? *[Please only select one]*	1 - Shared vision 2 – Credibility of reputation 3 – Connections with key decisionmakers 4 – Past achievements 5 – Personal connections with individuals at the organization 6 – Resources available by the organization(s) to mobilize action at scale 95 – Other	
NA.3: Can you please share with us the names of up to three organizations with which you partner most frequently to advance your organization's objectives?	A. _____	
	B. _____	
	C. _____	
NA.4: For each of the organizations mentioned above, how often do you interact?	A. _____	1 –At least once a week 2 – At least once a month 3 – At least once a quarter 4 - At least once every six months 5 – At least once a year 95 – Other _____
	B. _____	1 –At least once a week 2 – At least once a month

		3 – At least once a quarter 4 - At least once every six months 5 – At least once a year 95 – Other _____
	C. _____	1 –At least once a week 2 – At least once a month 3 – At least once a quarter 4 - At least once every six months 5 – At least once a year 95 – Other _____
NA.5: For each of the organizations mentioned above, do you have a formal governance arrangement that guides your engagement, such as a board or regularly scheduled meetings?	A._____	1 – Yes 2 – No
	B. _____	1 – Yes 2 – No
	C. _____	1 – Yes 2 – No
NA.6: For each of the organizations mentioned above, what are the main activities in which you jointly engage?	A._____	1 – Host public events 2 – Collaborate on submission to the media 3 – Contact a government official 95 – Other _____
	B. _____	1 – Host public events 2 – Collaborate on submission to the media 3 – Contact a government official 95 – Other _____
	C. _____	1 – Host public events 2 – Collaborate on submission to the media 3 – Contact a government official 95 – Other _____
NA.7: What are the main points of agreement related to nutrition policy in India within this network of advocacy organizations?	_____	

NA.8: What are the main points of disagreement related to nutrition policy in India within this network of advocacy organizations?	_____	
NA.9: Please list any individual who are central to this network's activities and cohesion. *[Up to 3 can be selected]*	A. _____	
	B. _____	
	C. _____	
NA.10: With which organization are those individuals associated, if any?	A.	_____
	B.	_____
	C.	_____
NA.11: Which government ministry, department, or agency, at either the federal, state, or district level, do you perceive as the most receptive to your advocacy activities?	_____	
NA.12: Are there other prominent public figures (politicians, celebrities, sports figures, politicians' spouses, etc.) that also engage with your network and support your activities?	1 – Yes 2 – No →**NA.13**	
NA.12_elaborate: If so, please elaborate.	_____	
NA.13: Do you partner with other advocacy organizations that focus on addressing broader institutional, governance, and/or legal issues that affect the space for civic engagement in India/this state?	1 – Yes 2 – No→**EE.1**	
NA.13_elaborate: If so, please elaborate on what these other organizations are? *[Up to 3 can be selected]*	A. _____	
	B. _____	
	C. _____	
NA.14: If so, please elaborate on what issues you jointly address with these other organizations? *[Up to 3 can be selected]*	A.	_____
	B.	_____
	C.	_____

SECTION 4: Network Perceptions (NP)

[These questions are asked of all respondents <u>except</u> advocacy organizations]

This section will ask you to reflect on existing advocacy organizations that work on key nutrition issues.

NP.1: What do you view as the top three most effective nutrition advocacy organizations in India/this state *[pre-programmed]*?	A._____
	B._____

[A maximum of 3 can be selected]	C._____	
NP.2: For each of the organizations you noted above, please elaborate on what you view as their main messages and objectives.	A.	_____
	B.	_____
	C.	_____
NP.3: Thinking about each one in turn, can you please provide an example where these organizations have had an impact on nutrition policy in India/this state *[pre-programmed]*?	A.	_____
	B.	_____
	C.	_____
NP.4: Please reflect on the challenges that you believe hinder advocacy organizations having a greater impact on nutrition policy in India/this state *[pre-programmed]*.	_____	
NP.5: Do you believe that the challenges faced by advocacy organizations have changed over time?	1- Yes 2- No →**NP.7**	
NP.6: If so, please elaborate on how the challenges have changed.	_____	
NP.7: Is there any particular individual who you would identify as a prominent nutrition advocate in India/this state *[pre-programmed]*?	1 – Yes 2 – No →**NP.9**	
NP.7_name If yes, what is the name of the individual?	_____	
NP.8: Is individual associated with a particular organization?	1 – Yes 2 – No →**NP.9**	
NP.8_name. If yes, what is the name of organization?	_____	
NP.9: Have you participated in any events (e.g. workshops, policy seminars, capacity training) hosted by any nutrition advocacy organizations in the last 12 months?	1 – Yes 2 – No → **NP.11**	
NP.10: If so, what was the event and who hosted it?		
NP.11: Have you had any informal interactions (e.g. office meetings, phone calls) with individuals from any nutrition advocacy organizations in the last 12 months?	1 – Yes 2 – No → **NP.13**	
NP.12: If so, with which organizations?		
NP.13: From which advocacy organizations, if any, do you regularly receive updates and outputs, either by mail or email?		

SECTION 5: Enabling Environment (EE)

We will now ask you about other institutional and structural factors that may affect the potential for nutrition policy change. There will be a number of questions specifically focused on infant and young child feeding (IYCF) practices and food fortification.

EE.1: Which government institution do you believe is the most important for formulating and implementing **nutrition policy** in India/this state *[pre-programmed]*?	

[Please encourage the respondent to provide only one option]	
EE.2: Which donor institution do you believe is the most important for supporting **nutrition policy** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*	
EE.3: Which private sector actor do you believe is the most important for supporting **nutrition policy** in India/this state *[pre-programmed]*?	
EE.4: Which civil society actor do you believe is the most important for supporting **nutrition policy** in India/this state *[pre-programmed]?* *[Please encourage the respondent to provide only one option]*	
EE.5: Which government institution do you believe is the most important for formulating and implementing policy on **IYCF** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*	
EE.6: Which donor institution do you believe is the most important for supporting **IYCF** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*	
EE.7: Which private sector actor do you believe is the most important for supporting **IYCF** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*	
EE.8: Which civil society actor do you believe is the most important for supporting **IYCF** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*	
EE.9: Can you identify any major recent achievements in India/this state *[pre-programmed]*with respect to **IYCF**?	
EE.10: What do you believe is the main impediment to greater progress on **IYCF** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*	1– Lack of sufficient financing 2– Lack of sufficient political will 3 – Lack of capacity among key government implementing actors 4 – Lack of incentives by the private sector 5– Lack of citizen understanding of the issue 95-Other _____
EE.11: Given the many development issues in India/this state *[pre-programmed]*, how important do you think **IYCF** is among federal/state policymakers *[pre-programmed]* on a scale of 1 to 10 (with 10 being very important)?	_____
EE.12: Please explain your ranking	
EE.13: Which government institution do you believe is the most important for formulating and	

implementing policy on **food fortification** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*		
EE.14: Which donor institution do you believe is the most important for supporting **food fortification** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*		
EE.15: Which private sector actor do you believe is the most important for supporting **food fortification** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*		
EE.16: Which civil society actor do you believe is the most important for supporting **food fortification** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*		
EE.17: Can you identify any major recent achievements in India/this state *[pre-programmed]*with respect to **food fortification**?		
EE.18: What do you believe is the main impediment to greater progress on **food fortification** in India/this state *[pre-programmed]*? *[Please encourage the respondent to provide only one option]*	1– Lack of sufficient financing 2– Lack of sufficient political will 3 – Lack of capacity among key government implementing actors 4 – Lack of incentives by the private sector 5– Lack of citizen understanding of the issue 95 – Other _____	
EE.19: Given the many development issues in India/this state *[pre-programmed]*, how important do you think **food fortification** is among federal/state policymakers *[pre-programmed]* on a scale of 1 to 10 (with 10 being very important)?	_____	
EE.20: Please explain your ranking		
EE.21: Do you belong to any of the following committees or fora?	A. National Health Mission	1 - Yes 2 - No
	B. State Nutrition Mission	1 - Yes 2 – No
	C. State Rural Livelihood Mission (UP)	1 - Yes 2 – No
	D. State Level Steering Committee	1 - Yes 2 - No
	E. District Nutrition Committee	1 - Yes 2 - No
	F. Integrated Child Development Services	1 - Yes 2 - No
EE.22: On a scale of 1 – 5 (with 5 being very effective), how effective would you rank the following committees and fora at improving coordination on nutrition across sectors:	A. National Health Mission	_____
	B. State Nutrition Missions	_____

	C. State Rural Livelihood Mission (UP)	_____
	D. State Level Steering Committee	_____
	E. District Nutrition Committees	
	F. Integrated Child Development Services	_____
EE.23: Are there other coordinating bodies <u>across sectors</u> that we have omitted but which you believe are highly effective?	1– Yes 2– No →**EE.25**	
EE.24: If yes, please elaborate on the name of those bodies. *[A maximum of 4 can be listed]*	A._____	
	B._____	
	C._____	
	D._____	
EE.25: Why do you believe that these coordinating bodies have been effective?	A.	_____
	B	_____
	C.	_____
	D.	_____
EE.26: Are there any coordinating bodies for nutrition <u>between the federal level and the states</u> that you view as highly effective?	**1-Yes** **2 – No →FINAL**	
EE.27: If so, please list the names of these coordinating bodies. *[A maximum of 4 can be listed]*	A. ._____	
	B. ._____	
	C. ._____	
	D. ._____	
EE.28: Why do you believe these multi-level coordinating bodies are highly effective?	A.	_____
	B.	_____
	C.	_____
	D.	_____
FINAL: Would you be willing to be quoted, through indirect attribution, in our analysis?	1 - Yes 2 - No	
Enumerator notes: *Enumerators, please use this space if needed to add any extra details about the interviews.*		

END: Thank you very much for your participation. Your responses have been very useful.