**RESURVEY**

**GROWTH, INEQUALITY, AND ORGANIZATIONAL DESIGN**

**THAILAND:**

**RESURVEY 2003**
**INSTITUTIONAL SURVEY**

**By**
**Saving Association for Production, Thailand**

**The National Research Opinion Center (NORC)**

1. **The National Science Foundation, USA**
2. **The National Institute of Health, USA**
3. **The Ford Foundation (Thailand)**

## I. Village Identification

(Team Leader Records)

| | |
|---|---|
| **CVR1A** Changwat | **CVR1A [ ]** |
| **CVR1A1** Amphoe | **CVR1A1 [ ]** |
| **CVR1B** Tambon | **CVR1B [ ]** |
| **CVR1C** Village | **CVR1C [ ]** |
| **CVR2A** Team Number | **CVR2A [ ]** |

(Visit Information, Enumerator Records)

| **CVR2B** Enumerator | **CVR2C** Visit # | **CVR2D** Start Time | **CVR2E** End Time | **CVR2F** Day/Month | **CVR2G** Visit Results |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |

**CVR2G: Visit Results**

1 - completed
3 - partially completed
5 - institution refused
7 - no one home
9 - potential respondent could not answer questions satisfactorily
11 - other (specify) **CVR2GO**

(Interview Information, Field Editor or Team Leader Records)

| **CVR3B** Editor | **CVR3C** Edit # | **CVR3D** Start Time | **CVR3E** End Time | **CVR3F** Day/Month | **CVR3G** Results | **CVR3H** Action |
|---|---|---|---|---|---|---|
| | | | | | | |
| | | | | | | |
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**CVR3G: Edit Results**

1 - ready for data entry
3 - talk to enumerator
5 - sections incomplete
7 - recommend find different respondent
9 - other (specify) **CVR3GO**

**CVR3H: Action**

1 - send to data entry
3 - set up repeat interview with same institution (record sections to be repeated)
5 - other (specify) **CVR3H0**

**We commend your village's efforts to pool available resoures by setting up this institution. We would like to learn about the history of the institution's policies and financial situation. Please provide us with as many details possible.**

## II. Institutional Identification

| | |
|---|---|
| **II1** Name of Institution _____ | **II1** **[ xxx ]** |

**II2** Address of Institution **(Fill in the following table)**

| | |
|---|---|
| **II2A Baan** | |
| **II2B Moo** | |
| **II2C Tambon** | |
| **II2D Amphoe** | |
| **II2E Changwat** | |
| **II2F Postal Code** | |
| **II2G Telephone of Contact Person** | |
| **II2H Name of Contact Person** | |

| | |
|---|---|
| **II3** At what level does this institution operate? (1 – Village level, 3 - Tambon Level, I f **at Village Level skip to II4**) | **II3** **[ ]** |
| **II3A** IF TAMBON LEVEL, specify the names of all villages that members come from and the number of members from each village: | **II3A** **[ xxx ]** |

| Moo | Name of Villages | # of Members |
|---|---|---|
| | | |
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| | | |
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| | | |
| | | |

***2 pages missing***

| | | |
|---|---|---|
| **BR15** Number of years in current office | | |
| **BR16** Responsibilities in institution (**Use codes for responsibilities. Record all tha apply.**) | | |
| **BR17** Is the person paid any allowance by the institution? (1 - yes, 3 - no) (**If yes, coninue. If no, skip to BR20.**) | | |
| **BR18** How much is the allowance? | | |
| **BR19** How often is he/she paid? | | |

| | |
|---|---|
| **BR20** Stop Time | **BR20** **[ ]** |

**BB4: Codes for whose phone:** 1-my own, 3-neighbor's, 5-village's public phone

**BR8A: Codes for highest grade completed**

| | | | |
|---|---|---|---|
| 1 - no school | 15 - M or MS 1 | 29 - M or MS 8 | 43 - PWT 2 |
| 3 - P. 1 | 17 - M or MS 2 | 31 - PWC 1 | 45 - Univ. 1 |
| 5 - P. 2 | 19 - M or MS 3 | 33 - PWC 2 | 47 - Univ. 2 |
| 7 - P. 3 | 21 - M or MS 4 | 35 - PWC 3 | 49 - Univ. 3 |
| 9 - P. 4 | 23 - M or MS 5 | 37 - PWS 1 | 51 - Univ. 4 |
| 11 - P. 5 | 25 - M or MS 6 | 39 - PWS 2 | 53 - other |
| 13 - P. 6 | 27 - M or MS 7 | 41 - PWT 1 | |

**BR8B: Codes for educational system**: 1 - either old system, 3 -new, 5-temple

**BR9: Codes for Professional Training**

**BR10: Codes for Occupation**

1 - inactive
3 - in school
5 - rice farmer
7 - corn farmer
9 - shrimp farmer
11 - raise fish other than shrimp
13 - orchard farmer
15 - farmer growing a different crop
17 - raise livestock: chicken or duck
19 - raise livestock: pig, cow or water buffalo
21 - construction work in village
23 - construction work out of village but in Changwat
25 - construction work in Bangkok
27 - rice miller
29 - shop keeper
31 - factory worker – production
33 - mechanic
35 - clerical worker
37 - administrative or managerial job
39 - other (specify) **BR10O**

**BR11: Codes for worker type**

1 - wage worker
5 - unpaid family worker
7 - owner (self employed)
9 - gov't employee
11 - piece rate worker at home
13 - piece rate worker outside home
15 - other

**BR12: Codes for Village or Tambon Positions**

A - no positions
B - headman

C - assistant headman
D - kamnan
E - assistant kamnan
F - PCG committee member
G - Rice Bank committee member
H - head of BAAC group
I - member of women's group committee
J - member of housewife's group committee
K - member of tambon council
L - member of the committee of another organization

**BR13: Codes for Postition in Institution**

A - Chairman
B - Comptroller
C - Treasurer
D - Advisor to Group
E - Public Relations for PCG
F - Other (specify) **BR13O**

**BR16: Codes for Responsibilities in Institution**

A - Perform Audits
B - Manage Cash
C - Keep Group Records
D - General Affairs
E - Financial Affairs
F - Control the Accounting System
G - Do the Accounting
H - Collect Money from Members
I - Make Deposits With Bank
J - Distribute Dividends
K - Run Group Meetings
L - Other (specify) **BR16O**

## IV. Identification Of Activities

### Savings

| | |
|---|---|
| **IA3** Does this institution currently offer savings facilities to its members ? (1 - yes, 3 - no) | **IA3** **[ ]** |
| **IA4** Has this institution continuously offered savings service since it first started the service ? (1 - yes, 3 - no) **(If yes, skip to IA9. If no, continue.)** | |
| **IA5** Why does this institution not offer the savings service to members anymore? | |
| **IA5 Translation** | |
| **IA9** Does this institution keep the records of savings ? (1 - yes, 3 - no) | **IA9** **[ ]** |

**IA9A If the institution keeps the records of the savings of members, ask to see them and make photocopies of summary data for further study.**

**(!! Instructions on what should be copied.)**

1. Pledged savings account
2. Other types of saving account
3. Savings account- as shares

**Lending**

| | |
|---|---|
| **IA12** Does this institution currently offer lending service to its members ?<br>(1 - yes, 3 - no) | **IA12**<br>**[ ]** |
| **IA13** Has this institution continuously offered lending service since it first started the service ? (1 - yes, 3 - no)<br>**(If yes, skip to IA18. If no, continue.)** | **IA13**<br>**[ ]** |
| **IA14** Why does this instution stop offering the savings service (both money and objects such as rice) to member? | |
| **Translation** | |
| **IA18** Does this institution keep the records of lending (saving) for the past 12 months?<br>(1 – yes, 3 - no) | **IA18**<br>**[ ]** |

**IA18A If the institution keeps the records of the lending to members, ask to see them and make photocopies of summary data for further study.**

The relevant documents are one or many of these following items.

1. account of invididual borrowing
2. account of other types of borrowing – by types
3. Borrow contract
4. Committee Report on Lending

**Investment**

| | |
|---|---|
| **IA21** Does this institution currently invest in income generating activities ? (1 - yes, 3 - no) | **IA21** **[ ]** |
| **IA22** Has this institution continuously invested in income generating activities since it first invested in such activities ? (1 - yes, 3 - no) **(If yes, skip to IA27. If no, continue.)** | **IA22** **[ ]** |
| **IA23** Why does this institution not continuously invest in income generating activities? | |
| **IA23 Translation** | |
| **IA27** Does this institution keep the records of investment ? (1 - yes, 3 - no) | **IA27** **[ ]** |

**IA27 If the institution keeps the records of the investment of members, ask to see them and make photocopies of summary data for further study.**

1. Account (revenue/expense) of activities
2. Buy - sell account
3. Committee report for each activity

**IA28** We would like to learn more about all of the non-financial services offered by this institution. For each service listed below, please tell us if the service was offered during the last year and how much money (if any) was charged for the service.

**IA28A** At the time of foundation, if the service is curretnly offered, if the service has ever been offered, the years that the service was offered.

**IA28B** Any service charge to the member or others or not? (indicated in number, 0 if not applicable)

| **SERVICE** | **IA28A Currently** | **IA28B How much** |
|---|---|---|
| **Occupation** | | |
| A. Plowing | | |
| B Harrowing | | |
| C Transplanting | | |
| D Harvesting | | |
| E Weeding | | |
| F Vaccination | | |
| G Joint purchase of inputs | | |
| H Joint sale of products | | |
| I Joint application of inputs | | |
| J Working animals | | |
| K Walking animals | | |
| L Machine & equipment | | |
| M Loading & unloading | | |
| N Labor | | |
| O Other***(specify)*** | | |
| **Training and Consultation** | | |
| P Training in agricultural activities | | |
| Q Training in non-agricultural activities | | |
| R Advice/consultation for agricultural activities | | |
| S Advice/consultation for non-agricultural activities | | |
| **Livelihood** | | |
| T Insurance | | |
| U Death benefits | | |
| V Emergency assistance | | |
| W Medical care | | |
| X Other***(specify)*** | | |
| **Coordination** | | |
| Y Access to common land | | |

| | | |
|---|---|---|
| Z Labor sharing | | |
| AA Pest control | | |
| AB Water access | | |
| AC Other***(specify)*** | | |

| | |
|---|---|
| **FD21** Has any further training given to the officers of this institution during the last year? (1- yes, 3 - no)<br>**(If yes, continue. If no, skip to FD27.)** | **FD21**<br>**[ ]** |
| **FD24** How long did the training last ? (record the number of days) | **FD24 days**<br>**[ ]** |
| **FD25** How many people attended the training session ? | **FD25**<br>**[ ]** |
| **FD26** What were the topics covered ?<br>**(Use code for traiing topics. Record all that apply.)**<br>**FD26oth**_____<br>**FD26oth(translation)**_____ | **FD26**<br>**[ ]** |

**FD19: Codes for Taining Topics**

A - accounting
B - loan management
C - skills of organizing groups
D - application for membership
E - motivation for savings and /or investment
F - benefits of this institution
G - other (specify **FD26oth_____)**

| | |
|---|---|
| **FD34** Has any further training given to general members of this institution during the last year? (1- yes, 3 - no)<br>**(If yes, continue. If no, skip to FD40.)** | **FD34**<br>**[ ]** |
| **FD37** How long did the training last ? (record the number of days) | **FD37 days**<br>**[ ]** |
| **FD38** How many people attended the training session ? | **FD38**<br>**[ ]** |
| **FD39** What were the topics covered ?<br>**(Use code for traiing topics. Record all that apply.)**<br>**FD39oth**_____<br>**FD39oth(translation)**_____ | **FD39**<br>**[ ]** |

**FD39: Codes for Taining Topics**

A - accounting
B - loan management
C - skills of organizing groups
D - application for membership
E - motivation for savings and /or investment
F - benefits of this institution
G - other (specify **FD39oth_____)**

## VI MEMBER/CLIENTS OF THE INSTITUTION

| | |
|---|---|
| **MC2** At present, who actually are the typical members of this institution? **(Use codes for membership. Record all that apply.)**<br>**MC2oth1_____**<br>**MC2oth1(translation)_____**<br>**MC2oth2_____**<br>**MC2oth2(translation)_____** | **MC2**<br>**[ ]** |

**Codes for Membership**

A - anyone
B - villagers only
C - tambon residents only
D - men
E - women
F - land owners
G - landless
H - poor
I - wealthy
J - certain occupations (specify: **MC1/2oth1_____)**
K - recommendation of exiting members
L - other (specify: **MC1/2oth2_____**

| | |
|---|---|
| **MC5** Does the institution have a membership application form?<br>(1 - yes, 3 - no) | **MC5**<br>**[ ]** |
| **MC6** Does the institution interview applicants for membership?<br>(1 - yes, 3 - no)<br>**(If yes, skip to MC7. If no, continue.)** | **MC6**<br>**[ ]** |
| **MC6A** Why does not this institution interview the apllicants for membership ?<br>_____<br>_____<br>_____ | **MC6A**<br>**[xxxxxxxxxx]** |
| **MC7** What characteristics are evaluated about the applicant to determine whether or not they will be accepted into the institution?<br>**(Use codes for member qualification. Record all that apply.)** | **MC7**<br>**[ ]** |
| **MC7A** What are the two most important characteristics used in evaluating applicants?<br>**(Record two among those mentioned in MC7.)** | **MC7A**<br>**[ ]** |

**Code for Member Qualification**

A - age
B - sex
C - education level
D - occupation
E - landholding
F - crops grown
G - income
H - wealth
I - religious affiliation
J - nationality
K - reputation
L - size of family
M - number of years living in this village
N - membership with other organization (specify: **MC7oth1_____)**
O - reccomendation of exiting members
P - expected amount of saving
Q - other (specify: **MC7oth2_____)**

| | |
|---|---|
| **MC9** Is there an application fee for membership? (1 - yes, 3 - no)<br>**(If yes, continue. If no, skip to MC10.)** | **MC9**<br>**[ ]** |
| **MC9A** How much is the application fee for membership ? | **MC9A baht**<br>**[ ]** |
| **MC10** Is there a membership fee ? (1 – yes, 3 - no)<br>**(If yes, continue. If no, skip to MC11.)** | **MC10**<br>**[ ]** |
| **MC10A** How much is the membership fee ? | **MC10A baht**<br>**[ ]** |
| **MC11A** Find out the total number of members at present | **MC11A**<br>**[ ]** |
| **MC11B** Find out the total number of members for prior year<br>**(If MC11A=MC11B, skip to SV1)** | **MC11B**<br>**[ ]** |
| **MC12** Why does the number of members change in the past 12 months? | |
| **MC12 Translation** | |

## VII. INTERNAL SAVINGS

**Check IA3 to see if this institution provides savings service currently. If it does continue. If it does not, skip to LD0.**

| | |
|---|---|
| **SV1** What is the current form(s) of deposits accepted by the institution?<br>**(Use codes for form of deposits. Record all that apply.)**<br>**SV1oth _____** | **SV1**<br>**[ ]** |

**SV1: Codes for Form of Deposit**

A - cash
B - rice
C - other crops
D - animals
E - other (Specify: **SV1/2oth_____)**

| | |
|---|---|
| **SV4** What types of savings options are currently available from this institution?<br>**(Use codes for types of savings. Record all that apply.)**<br>**SV4oth _____(Translation)_____** | **SV4**<br>**[ ]** |
| **SV5** A year ago, what types of savings options were available ?<br>**(Use codes for form of deposits. Record all that apply.)**<br>**SV5oth _____(Translation)_____**<br>**(If SV4-SV5, skip to SV7A)** | **SV5**<br>**[ ]** |
| **SV6** Why did the change happen? | |
| **SV6 Translation** | |

**Codes for Types of Savings**

A - pledged savings (regular, scheduled savings)
B - pledged shares (regular, scheduled share purchase)
C - savings (spontaneous)
D - shares (spontaneous)
E - special deposits
F - time deposits
G - other (specify: **SV4/5oth_____)**

**SV7 From SV4 write down the types of savings that this institution *currently* offers in the first row of the table below.. Ask the following questions for each type of savings option that this institution currently offers.**

**SV7A** Is this type of saving optional or required ?
(1- optional, 3 - required)
**(If required, continue. If optional , skip to SV7C.)**
**SV7C** How often do members have to save ?
(1 - any time, 3 - weekly, 5 - monthly, 7 - annually, 9 - other (SV7Coth_____))
**SV7D** In the past 12 months, what was the minimum amount of deposit made to this type of savings account ?
**SV7E** In the past 12 months, what was the maximum amount of deposit made to this type of savings account ?
**SV7F** In the past 12 months, what was the average amount of deposit per member made to this type of savings account?

| **Type of saving** | **Type of saving in SV4** | **SV7A Optional** | **SV7C How often** | **SV7D Minimum** | **SV7E Maximum** | **SV7F Average** |
|---|---|---|---|---|---|---|
| | | | | | | |
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**SV7M** In the past 12 months , what was the annual interest rate paid to this type of savings account ?
**SV7O** In the past 12 moths, has there been any change in the way that the intersetrate is calculated?(1 - yes, 3 - no)
**SV7P** Why did the interest rate change ?

| **Type of saving** | **SM7M Interest rate in the past 12 months** | **SV7O Interest 12 months before** | **SV7C Why change** | **SV7P Translation** |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**SV18A** In the past 12 months, how much is the amount of total savings in that category?
**SV18B** In the 12 months before that, how much is the amount of total savings? (If **SV18A=SV18B, skip to ask about another saving option, if it is the last option, skip to SV18D)**
**SV18C** What makes the total amount of saving change, in your opinion ?

| **Type of saving** | **SM18A savings in the past 12 months** | **SV18B Savings in 12 months before** | **SV18C Why change** | **SV18C Translation** |
|---|---|---|---|---|
| | | | | |
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| | |
|---|---|
| **SV18D** Any requirement about the minimum amount of the first saving? (1 – yes, 3 – no) **(If yes, continue asking. If no, skip to SV18F)** | **SV18D [ ]** |
| **SV18E** How much? | **SV18E baht [ ]** |
| **SV18F** Are non-members allowed to deposit? (1 – yes, 3 – no) **(If yes, continue asking. If no, skip to SV19A)** | **SV18F [ ]** |
| **SV18G** Does this institution evaluate the ability to deposit of non-members? (1 – yes, 3 – no) | **SV18G [ ]** |
| **SV19A** How many persons who deposit with this institutioin? | **SV19A [ ]** |
| **SV19B** In the 12 months before that, how many of them? | **SV19B [ ]** |
| **SV20** Why did it change? | |
| **SV20 Translation** | |

## VIII INTERNAL LENDING

**Check IA12 to see if this institution provides loan service currently. If it does continue. If it does not, skip to DF3A.**

| | |
|---|---|
| **LD1** What is the current form(s) of loans made by this institution?<br>**(Use codes for form of loans. Record all that apply.)**<br>**LD1oth _____**<br>**Translation** | **LD1**<br>**[ ]** |

**LD1, 2: Codes for Form of Loans**

A - cash
B - rice
C - other crops
D - animals
E - other (Specify: **LD1/2oth_____)**

| | |
|---|---|
| **LD4** Does this institution have a loan application form ? (1 - yes, 3 - no)<br>**(If yes, please photocopy the form.)** | **LD4**<br>**[ ]** |
| **LD4A** Does this institution interview the applicant before giving them a loan ?<br>(1 - yes, 3 - no)<br>**(If yes, continue. If no, skip to LD5.)** | **LD4A**<br>**[ ]** |
| **LD4B** What questions do you ask during the interview ?<br>**(Skip to LD5.)** | **LD4B**<br>**[xxxxxxxxxx]** |
| **LD4B translation** | |
| **LD5** Currently, what criteria are used to determine whether an applicant is eligible to receive a loan ?<br>**(Use codes for eligibility. Record all that apply.)**<br>**LD5oth _____** | **LD5**<br>**[ ]** |

Missing 2 pages

| | |
|---|---|
| **LD21A** In the past 12 months, how much was the amount of annual loans?<br>**(If LD21A=LD21B, skip to LD24A)** | |
| **LD21C** Why does the amount of loan change ? | |
| **LD21C Translation** | |
| **LD24A** How much is the interest rate this year for the amount of annual loans?<br>**(If others than money, such as objects, record them, too.)**<br><br>**(translation)** | **LD24A**<br>**[ ]** |
| **LD24B** In the prior 12 months, how much was the yearly interest rate for the loan? | **LD24B**<br>**[ ]** |
| **translation**<br>**(If LD24A=LD24B, skip to DF3A)** | |
| **LD24C** Why did the interest rate change? | |
| **LD24A Translation** | |

## IX. EXTENDED REPAYMENT OF LOANS AND DEFAULT

| | |
|---|---|
| **DF3A** In the past 12 months, how many times have there been any extension of due date to return ….?<br>(1 - yes, 3 - no)<br>**(If none, record 0)** | **DF3A**<br>**[ ]** |
| **DF3B** In the past 12 months, how many times have there been any extension of due date to return the interest.?<br>(1 - yes, 3 - no)<br>**(If none, record 0)** | **DF3B**<br>**[ ]** |
| **DF3C** In the past 12 months, how many times that loaners did not pay the loan back? (for example, the case that financial institution has to collect the debt or take the collateral from the guarantors. Another is the case of NPL) | **DF3C**<br>**[ ]** |

**DF3D~F Fill out the following table for reason of an extension of the debt return (DF3A column DF3D) and an extension of the repayment for borrowing interest (DF3B column DF3E) and fail to repay (DF3C column DF3F) in the past 12 months.**

| **REASON:** | **DF3D** Extend Only Principal<br># of cases | **DF3B** Extension of Principal and Interest<br># of cases | **DF3C** Other Defaults<br># of cases |
|---|---|---|---|
| **Family Problems** | | | |
| 1 Death of spouse | | | |
| 2 Death of other family member | | | |
| 3 Divorce | | | |
| 4 Change in family structure | | | |
| 5 Elderly to take care of | | | |
| 6 Other: **DF3oth1**_____ | | | |
| **Illness**: | | | |
| 7 Cost of medicine | | | |
| 8 Cost of hospital/clinic | | | |
| 9 Cost of treatment | | | |
| 10 Other: **DF3oth2**_____ | | | |
| **Housing**: | | | |
| 11 House burned down | | | |
| 12 House was robbed | | | |
| 13 Other: **DF3oth3**_____ | | | |
| **Natural Disaster**: | | | |
| 14 Flood | | | |
| | | | |

| **REASON:** | **DF3D** Extend Only Principal<br><br># of cases | **DF3B** Extension of Principal and Interest<br><br># of cases | **DF3C** Other Defaults<br><br># of cases |
|---|---|---|---|
| 15 Drought | | | |
| 16 Hailstorm | | | |
| 17 Strong wind | | | |
| 18 Other: **DF3oth4_____** | | | |
| **Employment**: | | | |
| 19 Fired from job | | | |
| 20 Unable to find new job | | | |
| 21 Other: | | | |
| **Other**: | | | |
| Other: **DF3oth5_____** | | | |

## X. INTERNAL STRUCTURE OF THE INSTITUTION

| | |
|---|---|
| **ST0** Start Time | **ST0**<br>[ ] |

**ST2A** What type of committee does this institution have?
**(Record all of the committee name in the 2nd column of the table below and interview the questions in ST2B-X)**
**ST2B** How many people serve on this committee ?
**ST2C** How many times did this committee hold the meeting during last 12 months ?
**ST2D** What are the responsibilities of this committee?

| No. | ST2A Name | ST2A Translation | ST2B Number | ST2C Meeting |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

| No. | ST2D Responsibility | ST2D Translation |
|---|---|---|
| | | |
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| | |
|---|---|
| **ST3** Did this institution hold a general meeting for all the members during last 12 months? | **ST3** **[ ]** |

**We would like to know when the last three general meetings were held and what percentage of members participated in the meeting. Fill out the table starting from the most recent meeting.**

| | **ST3D** What percentage of members participated in the meeting ? |
|---|---|
| **1** | |
| **2** | |
| **3** | |
| **4** | |
| **5** | |

**ST4** Please fill out the following table for the person responsible for managing the money of the institution and the person responsible for keeping the records of the institution **(Note: if either of these people are respondents of the survey, write his/her name only and skip the questions ST4B through ST4T.)**

| | **1. Fund Manager** | **2. Record Keeper** |
|---|---|---|
| **ST4A** Name | | |
| **ST4B** House number | | |
| **ST4C** Phone number | | |
| **ST4D** Whose phone is it ? (see code) | | |
| **ST4E** Sex **( 1-male, 3-female)** | | |
| **ST4F** Age | | |
| **ST4G** Years of residence in this village | | |
| **ST4H** Highest grade of school completed. (**Use codes for schooling.)** | | |
| **ST4I** What educational system? **(Use codes for education system.)** | | |
| **ST4J** Other professional training **(Use codes for professional training. Record all that apply.)** | | |
| **ST4K** Current Occupation **(Use codes for occupation.)** | | |
| **ST4L** Type of worker now **(Use codes for type of worker.)** | | |
| **ST4M** Current and past village/tambon positions **(Use codes for title. Record all that apply.)** | | |

| | | |
|---|---|---|
| **ST4N** Current position in institution **(Use codes for position in institution. Record all that apply.)** | | |
| **ST4O** Number of years as a member | | |
| **ST4P** Number of years in current office | | |
| **ST4Q** Responsibilities in institution (**Use codes for responsibilities. Record all that apply.**) | | |
| **ST4R** Is the person paid any allowance by the institution? (1 - yes, 3 - no) (**If yes, coninue. If no, skip to ST5)** | | |
| **ST4S** How much is the allowance? | | |
| **ST4T** How often is he/she paid? | | |

**ST4D: Codes for whose phone:** 1-my own, 3-neighbor's, 5-village's public phone

**ST4H: Codes for schooling**

| | | | |
|---|---|---|---|
| 1 - no school | 15 - M or MS 1 | 29 - M or MS 8 | 43 - PWT 2 |
| 3 - P. 1 | 17 - M or MS 2 | 31 - PWC 1 | 45 - Univ. 1 |
| 5 - P. 2 | 19 - M or MS 3 | 33 - PWC 2 | 47 - Univ. 2 |
| 7 - P. 3 | 21 - M or MS 4 | 35 - PWC 3 | 49 - Univ. 3 |
| 9 - P. 4 | 23 - M or MS 5 | 37 - PWS 1 | 51 - Univ. 4 |
| 11 - P. 5 | 25 - M or MS 6 | 39 - PWS 2 | 53 - other |
| 13 - P. 6 | 27 - M or MS 7 | 41 - PWT 1 | |

**ST4I: Codes for educational system**: 1 - either old system, 3 -new, 5-temple

**ST4J: Codes for Professional Training**

**ST4K: Codes for Occupation**

1 - inactive
3 - in school
5 - rice farmer
7 - corn farmer
9 - shrimp farmer
11 - raise fish other than shrimp
13 - orchard farmer
15 - farmer growing a different crop
17 - raise livestock: chicken or duck
19 - raise livestock: pig, cow or water buffalo
21 - construction work in village
23 - construction work out of village but in Changwat
25 - construction work in Bangkok
27 - rice miller
29 - shop keeper
31 - factory worker – production
33 - mechanic
35 - clerical worker
37 - administrative or managerial job
39 - other (specify) **ST 4Koth**

**ST4L: Codes for worker type**

1 - wage worker
5 - unpaid family worker
7 - owner (self employed)
9 - gov't employee
11 - piece rate worker at home
13 - piece rate worker outside home
15 - other

**ST4M: Codes for Titles**

A - no positions
B - headman
C - assistant headman
D - kamnan
E - assistant kamnan
F - PCG committee member
G - Rice Bank committee member
H - head of BAAC group
I - member of women's group committee
J - member of housewife's group committee
K - member of tambon council
L - member of the committee of another organization

**ST4N: Codes for Postition in Institution**

A - Chairman
B - Comptroller
C - Treasurer
D - Advisor to Group
E - Public Relations for PCG
F - Other (specify) **ST4Noth**

**ST4Q: Codes for Responsibilities in Institution**

A - Perform Audits
B - Manage Cash
C - Keep Group Records
D - General Affairs
E - Financial Affairs
F - Control the Accounting System

G - Do the Accounting
H - Collect Money from Members
I - Make Deposits With Bank
J - Distribute Dividends
K - Run Group Meetings
L - Other (specify)**ST4Qoth**

**ST7 Financial Statements** -

*ww - refused to answer* *xx - not applicable* *yy - don't know* *zz - missing*

**Photocopy or copy down the balance sheets and income statements for as many consecutive years as possible. Create these yourself, if necessary.**

| | |
|---|---|
| **ST8** Stop Time | **ST8** **[ ]** |

## XI. INCOME GENERATING ACTIVITIES OWNED BY THE INSTITUTION.

| | |
|---|---|
| **IG0** Start Time | **IG0** **[ ]** |

**Check IA21. If this institution invests in any income generating activities currently. If it does continue. If it does not, skip to RF0.**

| | |
|---|---|
| **IG1** What types of income generating activities does this institution invest in? **(Use codes for types of investment. Record all that apply.)** | **IG1** **[ ]** |

**Codes for Investment Projects**

A - retail shop
B - rice mill
C - other food processing (specify: IG1oth1_____)
D - cottage industry(specify: IG1oth2_____)
E - other (specify: IG1oth3_____)

**Ask these questions with investments in income generating activities, analyze the record of each activities.**

**IG2 How much did the net profit in the past 12 months?**

| The activity number | Type of activities | IG2 Net Profits |
|---|---|---|
| **1** | | |
| **2** | | |
| **3** | | |
| **4** | | |
| **5** | | |

| | |
|---|---|
| **IG3** How does the institution use the income earned from the inverstment project ? | **IG3** **[xxxxxxxxx]** |

| | |
|---|---|
| | |

| |
|---|
| **IG3 translation** |

| | |
|---|---|
| **IG4** Does this instituion keep the records of how the income from the investment project is used ? (1 - yes, 3 - no) **(<u>If yes, ask them to show you the records and photocopy the summary statistics. If no summary statistics is available, analyze the records to genrate your own summay .)</u>** | **IG4 [ ]** |

| | |
|---|---|
| **IG5** Stop Time | **IG5 [ ]** |

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## XIII. FREE AID

| | |
|---|---|
| **FA0** Start Time | **FA0** **[ ]** |

| | |
|---|---|
| **FA1** Does the institution give free aids to people ?<br>**(**1 - yes, 3 - no) **(If yes, continue. If no, skip to FA10.)** | **FA1** **[ ]** |
| **FA2** What is the form of the free aid given by this institution ?<br>**(Use codes for free aid. Record all that apply.)**<br>**FA2oth1**_____<br>**FA2oth2**_____<br>**FA2oth3**_____ | **FA2** **[ ]** |

**Codes for Free Aid**

A - cash
B - rice
C - other crops (specify: FA2oth1_____)
D - animals (specify: FAoth2_____)
E - other (specify: FAoth3_____)

| | |
|---|---|
| **FA6** What is the maximum value of free aid that can be given to one person ?<br>(**If there is no upper limit, write down xx.)** | **FA6** **[ ]** |
| **FA7** What is the maximum number of times that one person can receive a free aid from this institution ? **(If there are no such limits, write xx.)** | **FA7** **[ ]** |

**FA8** Fill out the following table with number of cases where a free aid is given to a person for the following reason.

| | Reason: | # of cases |
|---|---|---|
| | PROBLEMS WITHIN FAMILY: | |
| A | Death of spouse | |
| B | Death of other family member | |
| C | Divorce | |
| D | Change in family structure | |
| E | Elderly to take care of | |
| F | Other:**FA8oth**_____ | |
| | ILLNESS: | |
| G | Cost of medicine | |
| H | Cost of hospital/clinic | |
| I | Cost of treatment | |
| J | Other: **FA8oth2**_____ | |
| | HOUSING: | |
| L | House burned down | |
| M | House was robbed | |

| | Reason: | # of cases |
|---|---|---|
| N | Other: **FA8oth3_____** | |
| O | Other: | |
| | NATURAL DISASTER: | |
| P | Flood | |
| Q | Drought | |
| R | Hailstorm | |
| S | Strong wind | |
| T | Other: **FA8oth4_____** | |
| | EMPLOYMENT: | |
| U | Fired from job | |
| V | Unable to find new job | |
| W | Other: | |
| | OTHER: | |
| X | Other: **FA8oth5_____** | |