(-96) Not applicable
(-97) To be collected
(-98) Refused to answer
(-99) Missing

ASSOCIATION FORM A

IFRI FORM A **July 2013**
SHORT INSTRUMENT

This Association Form has been designed to obtain information about forest associations related to one or more forests through the activities of residents of one or more settlements.

A primary forest association is one or more user groups with rules, policies, and/or guidelines about the forest, some of which users have prescribed for themselves.

A secondary forest association is defined as two or more forest associations that work together to accomplish joint activities and/or objectives with rules, policies, and/or guidelines, some of which have been prescribed by the secondary forest association.

A tertiary forest association (or parent organization) is defined as two or more secondary forest associations that work together to accomplish some joint activities and/or objectives with rules, policies, and/or guidelines, some of which have been prescribed by the tertiary association.

Research ID<RID>:_____Country ID <CID>:_____Site ID <SID>:_____

Date of site visit (dd-mm-yr):_____

Date(s) data collected for this form (mm-dd-yr): _____

Name of association <ANAME>:_____

Is this forest association a <AFED>:

(1) ____ Primary Forest Association?

(2)____ Secondary Forest Association?

(3) ____Tertiary Forest Association?

Name(s) of forest(s):_____

Name(s) of settlement(s):_____

Has this forest association been coded in previous years? <ACODED>:

(1) No
(2) Yes
(3) Uncertain
(4) Yes, with a different name *(If this response is checked, write old name*
_____<AOLDNAME>*.)*

A. HISTORY AND ACTIVITIES

A1. What year was this forest association formed? <AYEAR>_____

A2. Who initiated the initial formation of the forest association? <AINITWHO_>

Multiple answers may be applicable.
(1) ____Local users/user group,
(2) ____Local government/local NGO,
(3) ____Regional/national government or NGO
(4)____ International NGO or government

A3. During the past year, has the forest association coordinated, passed rules or modified rules for the following activities?

Activity	Mark "0" for No, "1" for Yes
Planting seeds, seedlings or other maintenance activities	<AMAINT>
Forest protection	<APROTECT>
Rule enforcement	<ARULEENF>
Harvesting/using	<AHARVEST>
Processing/producing	<APROCESS>
Selling	<ASELL>
Conflict resolution	<ACONFLRES>

B. GOVERNANCE STRUCTURE

B1. Is the executive committee (leadership) of the association similar to the majority of members of the association in the following social and economic characteristics?

Socio-econ characteristics	Mark "0" for No-they are different, "1" for Yes-they are similar
Level of education	<ALEADEDUC>
Wealth/socio-economic status	<ALEADWEALTH>
Ethnicity	<ALEADETHNIC>

B2. Can the association's executive committee (leadership) be sanctioned or removed from office for poor performance? <AREMOVE>

Mark only one answer.

(0) ____No
(1) ____Yes

B3. How often does the executive committee (leadership) of the association change? <ALEADCHANGE>

Mark only one answer.
(1)____Every year
(2)____Every 2-3 years
(3)____Every 4-5 years
(4)____Less than every 5 years
(5)____Never

B4. Are meetings held in which all members of the forest association are eligible to participate? <AMEETALL>

Mark only one answer.

(0) ____No – skip to B6
(1) ____Yes

B5. If 'yes', how frequently are these meetings organized? <AMEETFREQ>

Mark only one answer.

(1)____At least once a month
(1)____Once every 3-6 months
(3)____Once a year
(4) ____Less than once a year
(-96) ____Not applicable

B6. Are records of any kind maintained by the association? <ARECMAINT>

Mark only one answer.
(0) No – skip to B8
(1) Yes

B7. If records are maintained, are they available for examination by others? <AEXAMINE>

Mark only one answer.
(0) ____No
(1) ____Yes
(-96) ____Not applicable

B8. Are the activities of the association supervised by a higher organization? <ASUPER>

Mark only one answer.
(0) No
(1) Yes

B9. Do guards receive compensation? <APAIDG>

Multiple answers may be applicable.
(1) ____No. They do not receive compensation.
(2)____Yes. They are paid in cash through formal fines or funds collected by the association.
(3)____Yes. They receive in-kind compensation from the association.
(4) ____Yes. They are paid through funds received by an external source.
(5)____Yes. They receive compensation through bribes they receive from individuals caught illegally harvesting forest products.
(-96) ____ Not applicable – There are no guards.

B10. How are guards selected to watch over the forest? <ASELGUARD>

Mark only one answer.
(1) ____By election
(2)____By appointment
(3)____By lots
(4)____By rotation
(-96) ____ Not applicable- There are no guards.

B11. Has a woman ever been a member of the leadership of the association? <AFEMMEM>

Mark only one answer.
(0) ____No
(1) ____Yes

B12. On average, over the past five years, what is the single most important financial source for the forest association? <ASRCE5>

Mark only one answer.
(1) ____Voluntary contribution of funds,
(2) ____Membership fee
(3)____Payments that substitute for labor input
(4)____Fines
(5)____National or regional government
(6)____Development agency
(7)____Sales of forest products from the forest
(8)___Own taxes
(9)____Special levies
(10)____Aid from external NGOs
(11)____Aid from indigenous NGOs
(12)____Foreign government
(13)____Other<ASRC5OTH> _____

B13. If the association did not receive any funds from external agencies and had to rely on contributions from members or its user group, or other funds raised locally, could it support all its expenditures? <ASUPPORT>

Mark only one answer.
(1) ____No, it could not meet its expenses if no funds were received from external sources
(2) ____Yes, it could support expenditures with a combination of sales of products and contributions from members
(3)____ Yes, it could support itself by sales from forest products
(4) ____Yes, it could support its expenditures by contributions from members alone

B14. How are the rules created by the association enforced? Are they enforced by: <AENFRULES>

Mark only one answer.
(1) ____Local people -Members of the user group or officials appointed by the association(s)
(2) ____External officials appointed by the government
(3) ____Both- local people and external officials