



1 Evidence of the complexity of aerosol transport in the lower 2 troposphere on the Namibian coast during AEROCLO-sA

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12 **Abstract.** The evolution of the vertical distribution and optical properties of aerosols in the free troposphere, above
13 stratocumulus, is analysed for the first time over the Namibian coast, a region where uncertainties on aerosol-cloud
14 coupling in climate simulations are significant. We show the high variability of atmospheric aerosol composition
15 in the lower and middle troposphere during the AEROCLO-sA field campaign (22 August - 12 September 2017)
16 around the Henties Bay supersite, using a combination of ground-based, airborne and space-borne lidar
17 measurements. Three distinct periods of 4 to 7 days are observed, associated with increasing aerosol loads (aerosol
18 optical thickness at 550 nm ranging from ~ 0.2 to ~0.7), as well as increasing aerosol layer depth and top altitude.
19 Aerosols are observed up to 6 km above mean sea level during the later period. Aerosols transported within the
20 free troposphere are mainly polluted dust (dust mixed with smoke from fires in Angola) for the first 2 periods (22
21 August-1 September 2017) and smoke (from Angola and South America) for the last part (3-9 September) of the
22 field campaign. Lagrangian back trajectory analyses highlight that the highest aerosol layers (between 5 and 6 km
23 above mean sea level) come from South America (Brazil, Argentina and Paraguay) and reach Henties Bay after 4
24 to 5 days. They are transported eastward by the mid latitude westerlies and towards Southern Africa by the
25 equatorward moving cut-off low originating within the westerlies. This results in a very complex mixture of
26 aerosols over the coastal regions of Namibia that must be taken into account when investigating aerosols radiative
27 effects above stratocumulus clouds in the south east Atlantic Ocean.

28 **Keywords:** dust, biomass burning aerosols, regional transport, atmospheric dynamics, back trajectories, lidar
29

30 1 Introduction

31 The western coast of southern Africa is a complex area in terms of both atmospheric composition and dynamics,
32 where aerosol-radiation-cloud interactions play a significant role on local and remote climates. A large part of this
33 complexity is related to atmospheric circulation associated with a low-laying coastal strip next to an elevated
34 continental plateau covering most of the sub-continent, as well as fast evolving synoptic patterns largely controlled
35 by the S' Helena anticyclone over the Atlantic and the mid-latitude westerlies on the poleward edge of this high-
36 pressure system (Tyson and Preston-White, 2000). The complexity inherent to the atmospheric composition over
37 this region is also linked to the variety of aerosol sources and the way they are transported at the regional scale.



38 Southern Africa is the most important source of biomass burning aerosols (BBA) while dust aerosol emission
39 sources are found along the Namibian coast lines, as well as in the Etosha Pan in Namibia and in the Makgadikgadi
40 Pan in Botswana (Ginoux et al., 2012; Vickery et al., 2013). Anthropogenic sources are related to industrial
41 emissions from South Africa and port activities in Namibia, together with ship emissions (Johansson et al., 2017)
42 along the Namibian coast, that give rise to elevated concentrations of sulfate aerosols. Finally, sea salt aerosols
43 emitted over the south east Atlantic as a result of strong winds swirling around the S^l Helena anticyclone and
44 sulphur species emissions over the northern Benguela Upwelling System of the coast of Namibia (Andreae et al.,
45 2004; Bates et al., 2001) also contribute to the atmospheric composition over coastal southern Africa. Furthermore,
46 the ocean region off the coast of Angola and Namibia is covered by a quasi-permanent stratocumulus deck, topping
47 the marine boundary layer (Keil and Haywood, 2003). As a result, the air over the coastal region of southern Africa
48 is not only a unique mixture of various gases as well as liquid and solid particles, it also presents a very layered
49 structure, with marine aerosols, anthropogenic pollution and dust emitted along the coastline present in the marine
50 boundary layer (i.e. below the stratocumulus deck) and BBA and dust emitted over the continental plateau being
51 transported aloft. The stratification of the aerosol layers evolves over the south east Atlantic with the distance from
52 the coastline as also mixes across the stratocumulus layer (Adebiyi and Zuidema, 2016; Gordon et al., 2018). These
53 clouds being particularly sensitive to aerosol presence (e.g. Costantino and Bréon, 2013, 2010) and the most
54 effective in reflecting solar radiation back to space, the coastal southern Africa region is arguably one of the regions
55 where the aerosol-radiation-cloud interactions are strongest in the world (Adebiyi et al., 2015; Fuchs et al., 2017).
56 The vertical distribution of aerosols (and absorbing aerosols in particular) as well as their location with respect to
57 bright low-level clouds (above or below) is of paramount importance as it significantly influences the vertical
58 profile of radiative heating in the atmosphere (e.g. León et al., 2002; Ramanathan et al., 2007; Raut and Chazette,
59 2008) and, in turn, the stability of the atmosphere, thereby modifying convective and turbulent motions and clouds
60 (e.g. Ackerman et al., 2000; McFarquhar and Wang, 2006). In this context, state-of-the-art climate models diverge
61 by several W m^{-2} when attempting to calculate the regional direct radiative effect over coastal Southern Africa
62 (Myhre et al., 2013; Stier et al., 2013) ranging from negative (-3 W m^{-2}) to strong positive forcing ($+5 \text{ W m}^{-2}$) for
63 mean seasonal averages. This is mainly because of a limited knowledge of aerosol properties, vertical position of
64 aerosol and cloud layers. These model shortcomings can also affect climate simulations in remote areas. Indeed,
65 perturbations of the radiative budget in this area have been shown to be connected with rainfall anomalies in Brazil
66 (Jones et al., 2009) or to influence the position of the Intertropical Convergence Zone and, in turn, the African and
67 Asian monsoon (Jones and Haywood, 2012).

68 The main purpose of this article is to characterise the temporal and spatial evolutions of vertical distribution of
69 aerosols optical properties observed along the coastline of Namibia, in Henties Bay, in August and September
70 2017 during the Aerosols, Radiation and Clouds in southern Africa (AEROCLO-sA) field campaign (Formenti et
71 al., 2019). The evolution of the vertical distribution of aerosols properties is examined as a function of the synoptic
72 conditions and aerosol source activation. The investigation is conducted by analysing a combination of ground-
73 based, airborne and space-borne lidar measurements, together with back-trajectory and numerical weather forecast
74 model analyses, as well as complementary space-borne passive sensors observations.

75 Section 2 presents the observations and provides a description of the ground-based, airborne and space-borne
76 active and passive remote sensing instruments used during the field campaign, together with complementary
77 numerical simulation tools. Section 3 presents the evolution of the vertical profiles of aerosols during the



78 campaign, together with the main optical and geometrical characteristics of the lifted aerosol layers and identifies
79 three distinct periods with increasing aerosol load. The variability of the vertical distribution of aerosols around
80 Henties Bay during the later period is assessed using lidar and dropsonde measurements acquired over the ocean,
81 as detailed in Section 4. In Section 5, we investigate the different origins and transport pathways of aerosols in the
82 free troposphere towards Henties Bay during the 3 periods. The last section is dedicated to the summary and
83 conclusion. The description of the ground-based lidar is given in Appendix A, together with the calibration and
84 data inversion processing.

85 2 Observations and simulations

86 The AEROCLO-sA supersite of Henties Bay ($-22^{\circ} 6' S$, $14^{\circ} 17' E$, Figure 1) belongs to the Sam Nujoma Marine
87 and Coastal Resources Research Centre (SANUMARC) of the University of Namibia in the Orongo region. It has
88 been selected because of its geographical position: bounded by the Atlantic Ocean on its western side and by the
89 Namibia desert, ~ 800 m above the mean sea level (AMSL), on its eastern side (Formenti et al., 2019). The analysis
90 presented here relies mainly on active and passive remote sensing observations acquired from i) ground-based
91 instruments deployed in Henties Bay, namely an ALS 300 lidar (Leosphere Inc, Saclay, France) operating at a
92 wavelength of 355 nm and a sun photometer from the National Aeronautics and Space Administration Aerosol
93 Robotic Network (AERONET), ii) the airborne lidar LEANDRE nouvelle Génération (LNG) installed on the
94 Service des Avions Français Instrumentés pour la Recherche en Environnement (SAFIRE) Falcon 20 which flew
95 several times in the vicinity of Henties Bay and iii) space-borne instruments, namely the Cloud-Aerosol Lidar
96 with Orthogonal Polarization (CALIOP), the Cloud-Aerosol Transport System (CATS) lidar and the Moderate-
97 Resolution Imaging Spectroradiometer (MODIS). The available measurements are given in Table 1 against both
98 the date and the universal time count (UTC). The synergy between ground-based lidar measurements, space-borne
99 observations (aerosol typing and aerosol optical thickness (AOT)) and those of the sun photometer (AOT and
100 Ångström exponent) was used to better constrain the retrieval of the aerosol optical parameters (see Appendix A):
101 aerosol extinction coefficient (AEC), lidar ratio (LR) and particle depolarisation ratio (PDR). The space-borne
102 lidar-derived aerosol types are associated with prescribed LR_s (see Section 2.4) that are used for the inversion of
103 the ground-based lidar.

104 **Table 1: Data available during the field campaign on August and September 2017 from: the ground-based ALS lidar**
105 **and AERONET sun photometer in Henties Bay, the airborne LNG lidar, dropsonde released from the Falcon 20, as**
106 **well as the CATS and CALIOP space-borne lidars. The line highlighted in bold indicates when the AERONET inversion**
107 **allows the retrieval of a relevant value for the lidar ratio (level 2 data). The aerosol typing as provided by CALIOP and**
108 **CATS is also indicated for overpasses in the vicinity of Henties Bay.**

Date	ALS measurement time (UTC)	F20 flight LNG & dropsonde measurement time (UTC)	Coupling ALS/ AERONET	CALIOP Orbit close to the site	CATS Overpass time (UTC)
22 Aug	1400-2400	-	X	-	-

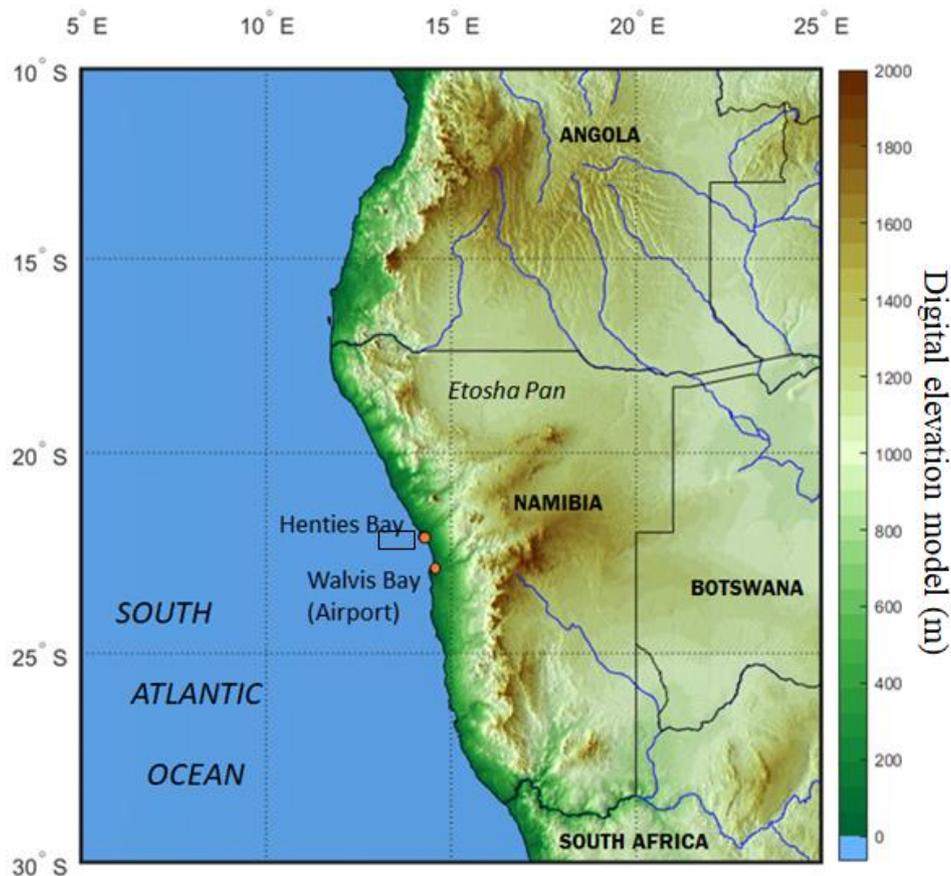


23 Aug	1645-2330	-	X	-	0342-0357 Smoke
27 Aug	1545-1700	-	X	-	-
28 Aug	1030-1230	-	X	10.2017-08-28T00-08-17Z 10.2017-08-28T12-26-48Z Polluted dust/Smoke	-
29 Aug	1730-2250	-	-	10.2017-08-29T23-55-43Z Smoke	0122-0207 Smoke
30 Aug	1800-2000	-	-		0047-0102 Smoke
31 Aug	1430-2100	-	X	10.2017-08-31T12-57-28Z Smoke/Polluted dust	1452-1507 Smoke/Dust
02 Sep	0930-1130 1715-1900	-	X	10.2017-09-02T12-44-54Z Smoke/Polluted dust	-
03 Sep	1400-1540	-	X	-	-
04 Sep	2330-2400	-	-	10.2017-09-04T00-13-44Z Smoke	-
05 Sep	1400-1500 1845-1945	Flight 6 LNG: ~1000 Dropsonde #5: 0952	-	-	2204-2219 Smoke
06 Sep	0100-0200 0830-1230	Flight 8 LNG: ~0830 and ~0900 Dropsondes #3 and #4: 0843 and 0908	X	-	1258-1313 Smoke/dust



07 Sep	1600-2100	-	-	-	2156-2211 Smoke
08 Sep	1300-1500 2000-2200	-	-	-	2052-2107 Smoke
09 Sep	0000-0045 0900-1200	-	X	-	2001-2016 Smoke

109



110

111 **Figure 1:** Location of the Henties Bay experimental site (in Namibia) on the west African coast. The Walvis Bay airport
 112 where the SAFIRE Falcon 20 aircraft operated during AEROCLO-sA is also indicated. The black rectangle surrounds
 113 the area chosen to average the MODIS-derived AOTs.

114 **2.1 Ground-based lidar**

115 The ALS lidar measurements were carried out continuously between 22 August and 13 September, 2017. For the
 116 aerosol study there is much less data during clear sky because of the quasi-ubiquitous presence of marine
 117 stratocumulus and fog during a large part of the observation days. The fog opacity is often such that the laser beam



118 is fully attenuated after a few hundred meters. We therefore considered average profiles taken during periods when
119 no low-level clouds or fog events are observed, i.e. between about 1 and 4 hours on a given day (see Table 1). The
120 description of the lidar is given in Appendix A, together with the calibration and data inversion processing.

121 2.2 AERONET sun photometer

122 The site of Henties Bay was equipped with a sun and sky scanning spectral radiometer manufactured by CIMEL
123 Inc (Paris, France) and belonging to the AERONET automatic and global network of sun photometers providing
124 long-term and continuous monitoring of aerosol optical, microphysical and radiative properties
125 (<http://aeronet.gsfc.nasa.gov/>). Eight spectral bands are generally used between 340 and 1020 nm. The aerosol
126 optical thickness at the lidar wavelength of 355 nm (AOT_{355}) is assessed using the Ångström exponent (Ångström,
127 1964) and the sun photometer AOT at 380 and 440 nm (e.g. Hamonou et al., 1999). We use level 2.0 (cloud
128 screened and quality-assured) aerosol optical thickness (AOT) data in the following. The total uncertainty on AOT
129 is $< \pm 0.01$ for $\lambda > 440$ nm and $< \pm 0.02$ for $\lambda < 440$ nm (Holben et al., 1998). Nevertheless, additional bias may exist
130 when thin clouds are present and not screened in the AERONET level-2 products (Chew et al., 2011). To limit
131 this, ground-based lidar profiles are used to identify the presence of clouds when sun photometer observations are
132 available.

133 2.3 Airborne measurements

134 In this study, we also analyse extinction coefficients over the Atlantic, and in the vicinity of Henties Bay, acquired
135 with the LNG Lidar (Bruneau et al., 2015) flown on the SAFIRE Falcon 20 on 5 and 6 September. The Falcon 20
136 operated from Walvis Bay, on the western coast of Namibia, roughly 100 km south of Henties Bay where the
137 AEROCLO-sA supersite was located. Details on the Falcon payload as well as the on the flight plans conducted
138 during these two days can be found in Formenti et al. (2019). In addition to the LNG data, we also make use of
139 dynamical and thermodynamical data acquired offshore of Namibia with the Vaisala dropsonde system.
140 During the first flight (flight #6 in the morning of 5 September 2017), the Falcon operated from 0736 to 1014
141 UTC. It flew mostly above the continent to monitor dust emissions over the Etosha pan (see Formenti et al., 2019).
142 The later portion of the flight was conducted over the sea (from 0930 to 1014 UTC), and a dropsonde was launched
143 from 13.78°E / 21.69°S at 0952 UTC. For the second flight (flight #9 in the morning of 6 September 2017), the
144 Falcon 20 operated from 0703 to 0927 UTC and flew over the ocean from 0820 to 0927 UTC. Two dropsondes
145 were launched from 11.92°E / 19.87°S at 0843 UTC and from 13.41°E / 22.23°S at 0908 UTC.
146 The LNG data over the sea are inverted using the same procedure as for the ground-based ALS lidar (see Appendix
147 A) and utilizing the same lidar ratio vertical distribution (see values retrieved in Henties Bay for the two days in
148 Section 3).

149 2.4 Spaceborne observations

150 2.4.1 CALIOP & CATS

151 The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) has been flying onboard the Cloud-Aerosol
152 Lidar Pathfinder Satellite Observation (CALIPSO) since 2006 (<https://www-calipso.larc.nasa.gov/products/>).
153 Details on the CALIOP instrument, data acquisition, and science products are given by Winker et al. (2007). In
154 this work, we use CALIOP level-2 data, version 4.10, which was corrected for aerosol typing, as noted in Burton



155 et al. (2012). The aerosol sorts identified in the free troposphere (FT) are typically polluted dust and elevated
156 smoke (see example in Appendix A). These particles are likely composed of a majority of biomass burning aerosols
157 originating from Angola and northeastern Namibia.
158 The CATS lidar orbited between 375 and 435 km onboard the non-sun-synchronous International Space Station
159 (Yorks et al., 2016). It operated between January 2015 and October 2017 with the objective of measuring some
160 cloud and aerosols properties which are useful for climate study. CATS flew over Namibia at various times during
161 the AEROCLO-sA field campaign (Table 1). We mainly used the aerosol typing derived from CATS
162 measurements, which is similar to the one established for CALIOP. The correspondence between the aerosol
163 typing derived from CALIOP and CATS measurements are given in the Table 2. It should be noted that not all the
164 aerosol types are named exactly in the same way. An example of aerosol typing is given in Appendix A.

165

166 **Table 2: Lidar ratio (LR) corresponding with the CATS- and CALIOP-derived aerosol typing.**

CALIOP/CATS Aerosol typing	Lidar ratio (sr) at 532 nm
Polluted continental or smoke/Polluted continental	70/65
Clean continental/Clean-background	53/55
Clean marine/Marine	23/25
Dust/Dust	44/45
Polluted dust/Dust mixture	55/35
Elevated smoke/Smoke	70/70
Dusty marine/Marine mixture	37/45

167

168 2.4.2 MODIS

169 The MODIS instruments (King et al., 1992; Salmonson et al., 1989) are aboard the Aqua and Terra platforms
170 (<http://modis-atmos.gsfc.nasa.gov>). The polar orbit of Terra (<http://terra.nasa.gov>) passes over the equator from
171 north to south in the morning, whereas Aqua (<http://aqua.nasa.gov>) has its ascending node over the equator during
172 the afternoon. They provide a complete coverage of the Earth surface in one to two days with a resolution between
173 250 and 1000 m at ground level depending on the spectral band. We use the Terra and Aqua AOT at 550 nm from
174 the MODIS aerosol product level-2 data. Both products are given with a spatial resolution of $10 \times 10 \text{ km}^2$ at nadir.
175 The standard deviation on the AOT retrieval (Remer et al., 2005) over land (ocean) is $0.15 \pm 0.05 AOT$
176 ($0.05 \pm 0.03 AOT$). The thermal anomalies derived from the MODIS fire product (e.g. Ichoku et al., 2008) are also
177 used (<https://modis.gsfc.nasa.gov/data/dataproduct/mod14.php>).

178 2.5 Modelling

179 The meteorological patterns are studied using Meteorological fields provided by the 6-hourly operational analyses
180 of the European Centre for Medium-Range Weather Forecasts (ECMWF, <http://apps.ecmwf.int/datasets/>, Dee et
181 al. (2011)). We also use the near real time analyses of atmospheric dynamics and aerosols from the Copernicus
182 Atmosphere Monitoring Service (CAMS, <https://atmosphere.copernicus.eu>). The calculations for synoptic



183 analysis are computed on a 0.75-degree horizontal regular grid. Daily means are computed by averaging time steps
184 at 03:00, 09:00, 15:00 and 21:00 UTC of daily forecasts initialised at 00.00 UTC. For local analyses, the
185 meteorological wind fields are computed by using 1-h data on a 0.25-degree horizontal regular grid from the Fifth
186 ECMWF Reanalysis (ERA5, [https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-](https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-datasets/era5)
187 [datasets/era5](https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-datasets/era5), Hoffmann et al., 2018). The back trajectories analyses are based on the Hybrid Single Particle
188 Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler and Rolph, 2014; Stein et al., 2015). The wind fields
189 used as input from the HYSPLIT model are from GDAS (Global Data Assimilation System,
190 <http://www.ncep.noaa.gov/>) at 0.5° horizontal resolution. The isentropic ensemble mode with 24 individual back
191 trajectories is computed to take into account the transport trajectory spread associated with the wind field. Using
192 different modelling approaches allows the consistency of results to be verified.

193 3 Temporal evolution of the aerosol properties and vertical distribution over Henties Bay

194 The temporal evolution of the AOT at 550 nm derived from passive remote sensing observations (MODIS and the
195 Henties Bay sun photometer) and 6-hourly CAMS fields between 22 August and 9 September 2017 are shown in
196 Figure 2. For CAMS, both the AOT extracted from the grid cell centred on Henties Bay and the average AOT
197 calculated on a 3x3 grid-point box surrounding the site are shown. There are little differences between the two
198 CAMS-derived AOTs, which highlight the homogeneity of aerosol plumes overpassing Henties Bay according to
199 the model and during that period. The MODIS AOT at 550 nm plotted in Figure 2 is a daily synthesis of Terra and
200 Aqua products extracted over the sea only (see the black rectangle in Figure 1), to avoid mixing the effects of coast
201 and surface albedo in the AOT retrievals. The ground-based lidar derived AOT at 355 nm (computed from the
202 AEC) is not plotted in Figure 2 but given in Table 3. The AEC profiles shown in Figures 3 and 4 are obtained in
203 cloud free conditions using a standard inversion procedure detailed in Appendix A. Most AEC profiles show clear
204 air with low particle concentrations between the planetary boundary layer (PBL) and the elevated aerosol layer,
205 with the notable exception of 2 September, when only aerosols are observed in the PBL (Figure 4b).

206 In Figure 2, three distinct periods can be identified based on the evolution of the CAMS-derived AOT at 550 nm.
207 The optical and geometrical properties of the aerosol layers derived from the remote sensing instruments over
208 Henties Bay during the 3 periods are summarized in Table 3. Integral optical properties are provided in both the
209 PBL and the FT. The first period P₁ (22-28 August 2017, see lines highlighted in green in Table 3) is characterized
210 by an averaged AOT of ~0.15 at 550 nm, while for the second period P₂ (28 August – 1 September 2017, see lines
211 highlighted in orange in Table 3) the AOT increases to ~0.4. During the third period P₃ (3-9 September 2017), the
212 average AOT is higher than during P₂ and around 0.55 at 550 nm. The variability of the CAMS-derived AOT is
213 much larger during P₃ than during P₁ and P₂. The Angstrom exponent (AE) evolves during the period of interest,
214 with AE~1 during P₁ et AE~1.4 during P₂ and P₃ (see Table 3), suggesting the presence of larger aerosol in the
215 atmospheric column during P₁. However, the LR values associated with aerosols in the PBL and in the FT (23 and
216 55 sr, respectively) are the same for P₁ and P₂ (see Table 3) and suggest a predominance of clean marine aerosols
217 in the PBL (Flamant et al., 1998) and the presence of terrigenous aerosols mixed with smoke. This is coherent with
218 the polluted dust type inferred from the CALIOP observations in Figure A3a on 28 August 2017. Polluted dust
219 corresponds to a mixture of dust and smoke aerosols in this region, with previously deposited dust from Etosha
220 pan being remobilized by pyroconvection and mixed with BBA before being transported aloft. During P₂, MODIS
221 highlights large AOT values (> 0.5 at 550 nm) over Angola and the Etosha pan (Figure 5a on 30 August 2017).



222 MODIS also evidences the existence of numerous fire hotspots (Figure 5b) over Angola, but also close to the
223 Etosha pan area. The 650 hPa wind field also plotted in Figure 5a shows a high-pressure circulation over the area
224 which favours the transport of dust and smoke aerosols in the FT towards the Henties Bay site. Furthermore, we
225 observe a drastic change in terms of thickness of the elevated aerosol layer (~ 1.3 km during P_1 and ~ 2.5 km during
226 P_2 , Table 3) as well as in terms of maximum AEC in the FT (~ 0.12 km $^{-1}$ during P_1 and ~ 0.25 km $^{-1}$ during P_2 , Table
227 3) as seen in the AEC profiles (compare Figure 3a-c for P_1 with Figure 3d-f and Figure 4a for P_2). The height of
228 the base of the elevated aerosol layer also increases between the 2 periods, from 1.6 km to ~ 2.4 km (Table 3).
229 These changes in optical and geometrical properties of the aerosols in the FT are related to the variability of long-
230 range transport over the area, as discussed in Section 5.

231 Significant changes in aerosol optical properties are also observed between P_2 and P_3 , with the LR values in the
232 FT evolving from 55 to 70 sr and in the PBL from 23 to 55 sr, except on 8 and 9 September when LR values in
233 the PBL are equal to 20 sr. During P_3 , aerosols in the FT are identified as "smoke" (based on the CALIOP and
234 CATS typing). Very few sun photometer data are available for LR retrieval due to the quasi permanent presence
235 of a cloud cover over Henties Bay during the cycles of almucantar measurements. Nevertheless, such a
236 measurement could be obtained during P_3 , on 3 September 2017 at $\sim 14:10$ UTC. A sun photometer-derived LR
237 value of ~ 63 sr at 532 nm was found to match the LR associated with the smoke type of CALIOP and CATS (i.e.
238 65-70 sr at 532 nm). The LR values observed in the PBL during P_3 (55 sr) between 3 and 7 September (Table 3)
239 are higher than during P_2 , which suggests the presence of a local mixture of terrigenous dust from coastal sources
240 and anthropogenic pollution (Formenti et al., 2019). We observe a significant change in terms of thickness of the
241 elevated aerosol layer (~ 2.5 km during P_2 and ~ 3.2 km during P_3 , Table 3) as well as in terms of maximum AEC
242 in the FT (~ 0.25 km $^{-1}$ during P_2 and ~ 0.3 km $^{-1}$ during P_3 , Table 3), as seen in the AEC profiles (compare Figure
243 3d-f and Figure 4a for P_2 with Figure 4c-f for P_3). On the other hand, the height of the base of the elevated aerosol
244 layer decreases between the 2 periods, from 2.4 km to ~ 1.75 km (Table 3).

245 Interestingly, during P_2 and P_3 , the ground-based lidar measurements evidence the presence of layer of relatively
246 high PDR ($\sim 10\%$) between the PBL (characterised by low PDR, i.e. $<2\%$) and the elevated aerosol layers ($\sim 5\%$)
247 as seen in Figure 3e-f and Figure 4a,c-f. The near-ground PDR values ($<2\%$) indicate the presence of rather
248 spherical particles. Above the PBL, the PDR values in excess of 5% indicate an aerosol mixing with the presence
249 of large non-spherical particles, i.e. dust particles.

250 Overall, the AOTs from CAMS match perfectly the ones derived from both MODIS and the sun photometer,
251 except on 2 September and 7-8 September. On 2 September a minimum in AOT is observed by the sun photometer
252 which is not reproduced by CAMS simulations (even though a local minimum in the CAMS AOT can be seen).
253 This may be explained by the coarse spatio-temporal sampling of the model, which is insufficient to highlight this
254 sharp variation in AOT. Ground-based lidar AEC measurements on that day highlight the absence of aerosols in
255 the FT (see Figure 4b). At the end of the measurement period (7 and 8 September), the sun photometer and MODIS
256 are positively biased with respect to CAMS. This could be related to the presence of unscreened optically thin
257 clouds such as the ones observed in the ground-based lidar data on 8 September (Figure A2d).

258

259 **Table 3. Properties of aerosol layers above the Henties Bay site as derived from the ground-based lidar, CALIOP,**
260 **CATS, the sun photometer and MODIS: lidar ratios for the free troposphere (LR_{FT}) and the planetary boundary layer**
261 **LR_{PBL} at 532 nm, ground-based lidar (GBL)-derived AOT_{GBL} at 355 nm for the upper aerosol layer (UAL in bold) and**
262 **the entire column as sampled by the lidar (Total), sun photometer-derived AOT_{phot} at 355 nm and 550 nm, sun**
263 **photometer-derived Ångström exponent (AE), MODIS-derived AOT_{MODIS} in $0.5^\circ \times 0.5^\circ$ area over the sea close to Henties**



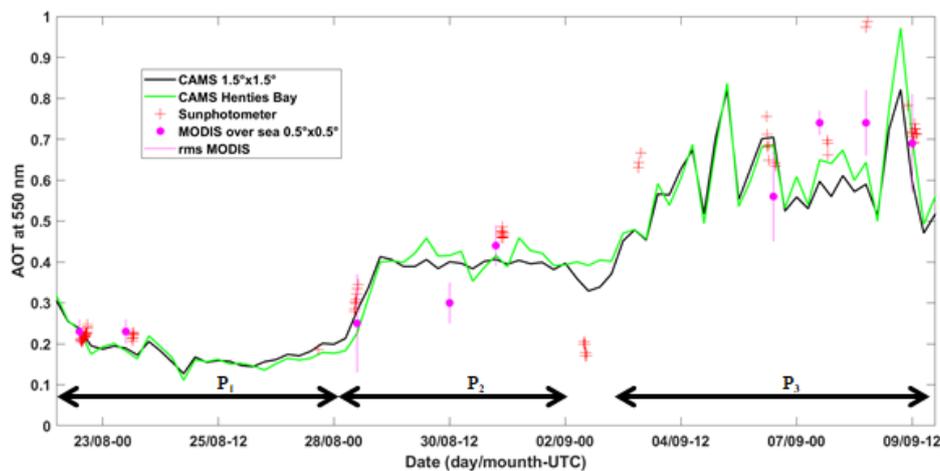
264 Bay, UAL thickness and bottom height and maximum of the aerosol extinction coefficient (AEC_{max}) in the UAL. P_1 and
 265 P_2 correspond to periods when the UAL is mostly composed of “polluted dust”, and P_3 corresponds to period when
 266 smoke aerosols dominate the composition of the UAL.

Date UTC	LR_{FT} LR_{PBL} (sr)	AOT_{GBL} at 355 nm UAL Total	AOT_{phot} at 355 nm at 550 nm	AE	AOT_{MODIS} 550 nm 0.5°x0.5°	UAL width (km)	UAL bottom height (km)	AEC_{max} in the UAL (km^{-1})
Period P_1								
22/08 1400-1607	55 23	0.17±0.01 0.36±0.02	0.37±0.02 0.22±0.01	1.15±0.15	0.26±0.03	0.9	1.5	0.14
22/08 1608-2400	55 23	0.17±0.04 0.37±0.05	-	-	-	0.9	1.5	0.14
23/08 1645-2330	55 23	0.16±0.01 0.31±0.03	0.33±0.01 0.22±0.01	0.95±0.05	0.23±0.03	1.0	1.8	0.11
27/08 1545-1700	55 23	0.22±0.01 0.32±0.01	0.33 0.18	1.27	- (clouds)	2.5	1.6	0.09
Period P_2								
28/08 1030-1230	55 23	0.47±0.02 0.63±0.03	0.59±0.04 0.24±0.04	1.5±0.05	0.25±0.12	3.0	1.7	0.21
29/08 1730-2250	55 23	0.45±0.02 0.60±0.03	-	-	- (clouds)	2.0	3.0	0.21
30/08 1800-2000	55 23	0.55±0.05 0.82±0.04	-	-	0.30±0.05	2.2	2.5	0.30
31/08 1430-1630	55 23	0.66±0.03 0.83±0.01	0.85±0.02 0.42±0.08	1.4±0.04	0.44±0.05	2.6	2.3	0.29
31/08 1631-2100	55 23	0.51±0.08 0.67±0.08	-	-	-	2.5	2.4	0.22
Few aerosols in the FT								
02/09 0930-1130	37 18	0.16±0.02 0.32±0.02	0.28±0.03 0.19±0.02	0.9±0.1	- (clouds)	2.6	2.0	0.07
02/09 1715-1900	37 18	0.04±0.01 0.16±0.01	-	-	-	0.9	0.5	0.06
Period P_3								
03/09 1400-1540	70 55	0.85±0.08 1.22±0.15	1.21±0.02 0.65±0.01	1.43±0.02	- (clouds)	4.8	1.2	0.23
04/09 2330-2400	70 55	0.68±0.12 0.81±0.15	-	-	- (clouds)	3.0	1.7	0.23
05/09 1400-1500	70 55	0.82±0.12 0.92±0.15	-	-	- (clouds)	2.3	2.2	0.33
06/09	70	1.09±0.12	1.34±0.06	1.50±0.04	0.56±0.11	3.0	3.0	0.41



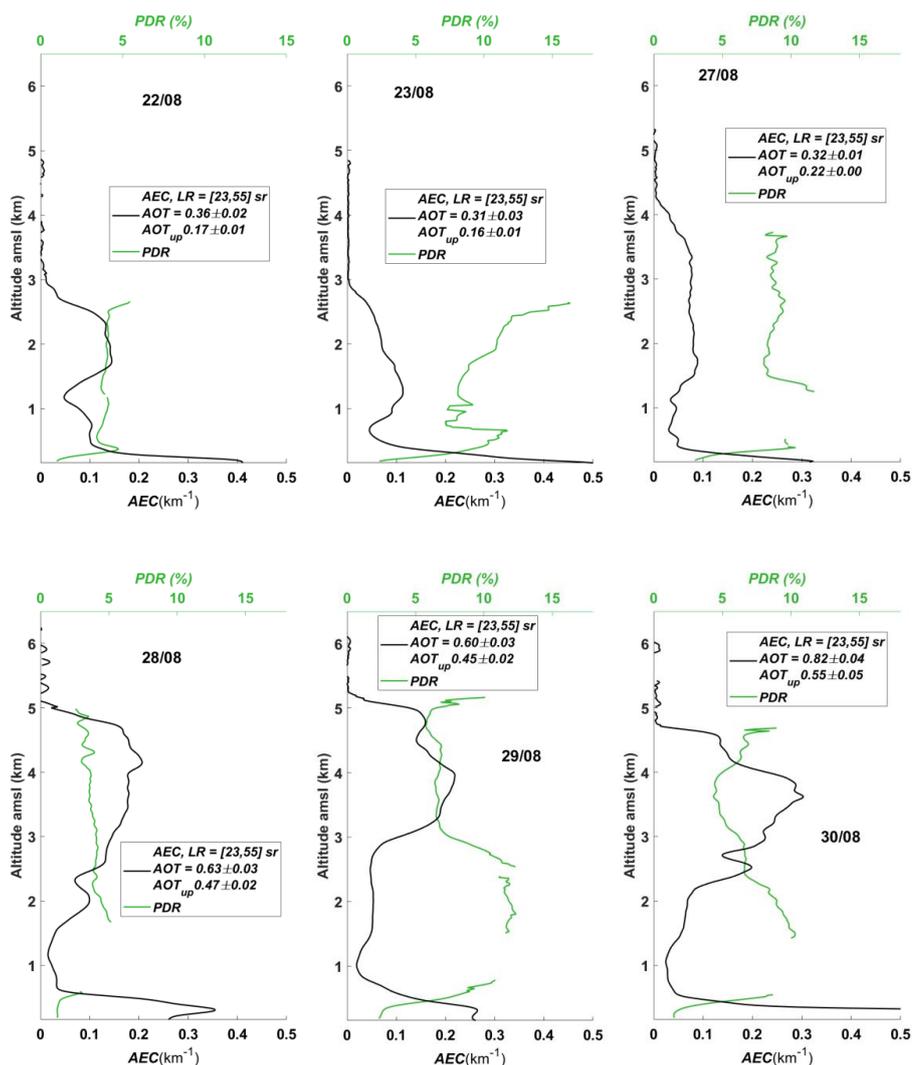
0830-1230	55	1.31 ± 0.12	0.70 ± 0.05					
07/09	70	0.95 ± 0.12	1.30 ± 0.04	1.46 ± 0.01	0.74 ± 0.03	3.0	2.7	0.32
1600-1904	55	1.28 ± 0.16	0.68 ± 0.02					
08/09	70	0.59 ± 0.03	1.87	1.4	0.74 ± 0.08	2.5	1.4	0.25
1300-1500	20	0.73 ± 0.06	1.01					
09/09	70	0.84 ± 0.11	1.41 ± 0.09	1.44 ± 0.01	0.69 ± 0.12	4.0	1.0	0.30
0900-1200	20	0.88 ± 0.11	0.75 ± 0.01					

267



268

269 Figure 2: Temporal evolution of the AOT at 550 nm derived from CAMS (black and green solid lines), sun photometer
 270 (red crosses) and MODIS (magenta dots) data. The green solid line shows CAMS AOT extracted on the grid cell centered
 271 on Henties Bay. The black solid line shows the CAMS AOT averaged over 9 grid cells (a 3x3 grid box) centered on
 272 Henties Bay. The 3 periods highlighted by the AOT values (P_1 , P_2 and P_3) are indicated.

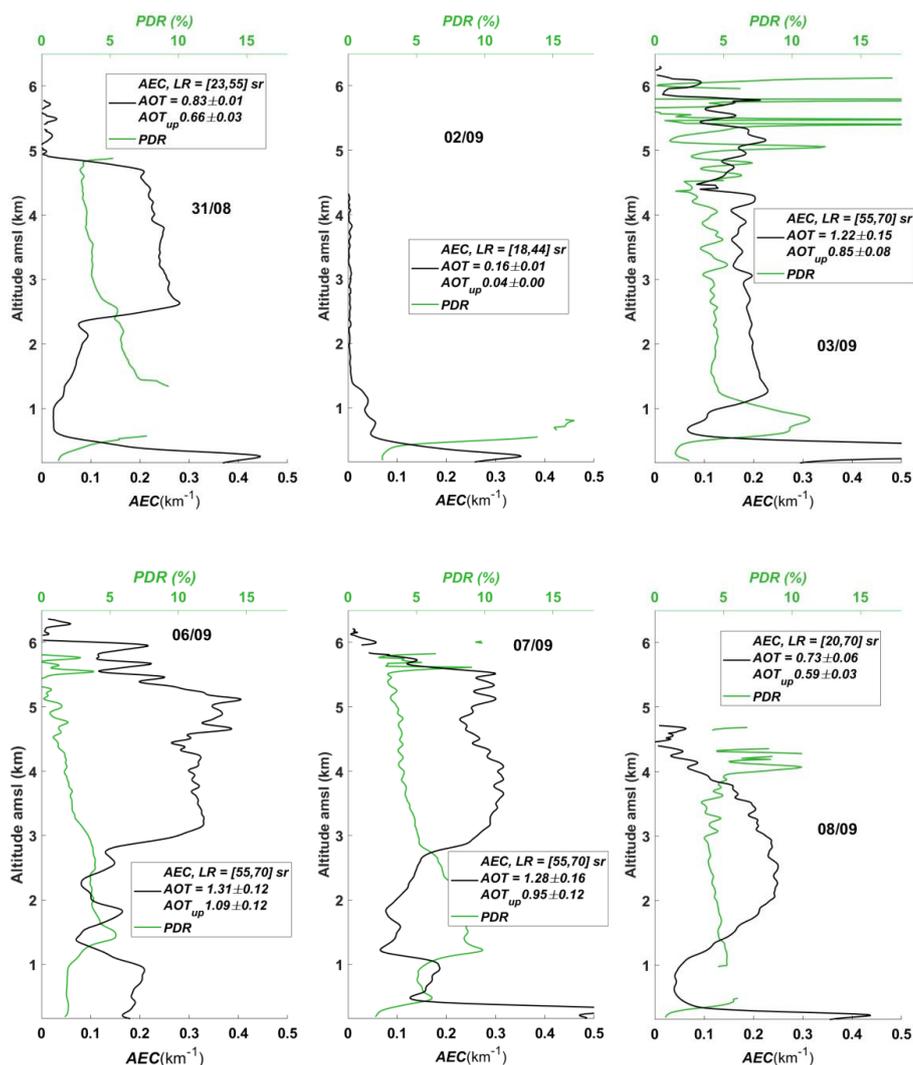


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Figure 3: Vertical profiles of the aerosol extinction coefficient (AEC) and particle depolarization ratio (PDR) at 355 nm: on a) 22 (1400-1607 UTC), b) 23 (1645-2330 UTC), c) 27 (1545-1700 UTC), d) 28 (1030-1230 UTC), e) 29 (1730-2250 UTC) and f) 30 (1800-2000 UTC) August 2017. The total aerosol optical thickness (AOT) and the optical thickness of the upper aerosol layer (AOT_{up}) are also given.

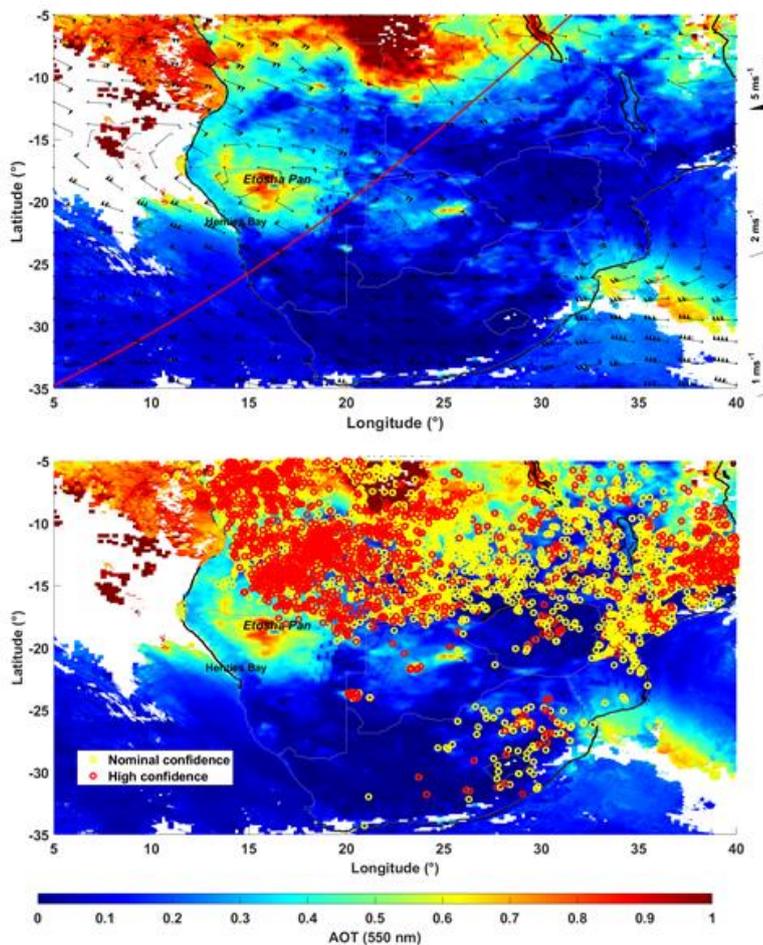
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280

281

282 **Figure 4: Vertical profiles of the aerosol extinction coefficient (AEC) and particle depolarization ratio (PDR) at 355 nm:**
283 **on a) 31 (1430-1630 UTC) August, b) 2 (1715-1900 UTC), c) 3 (1400-1540 UTC), d) 6 (0830-1230 UTC), e) 7 (1600-1904**
284 **UTC) and f) 8 (1300-1500 UTC) September 2017. The total aerosol optical thickness (AOT) and the optical thickness of**
285 **the upper aerosol layer (AOT_{up}) are also given.**



286

287 **Figure 5: MODIS-derived (a) AOT and (b) fire hotspots on 30 August 2017. The ERA5 wind field at 650 hPa (~3.8 km**
288 **AMSLL) is also overlain. The night time ground track of CATS (b) is plotted with a red solid line (see Figure A3b).**

289 **4 Spatial variability of aerosol optical properties and vertical distribution from airborne observations**

290 The purpose of this section is to highlight the spatial variability of the vertical structure of aerosols in the vicinity
291 of Henties Bay through an analysis of the airborne lidar observations acquired offshore during two flights, on 5
292 and 6 September 2017. Airborne observations during AEROCLO-sA were only made during period P₃ (Formenti
293 et al., 2019).

294 Figure 6a shows the three dimensional evolution of LNG-derived aerosol backscatter coefficient (ABC) profiles
295 at 532 nm along the Falcon 20 flight track in the morning of 5 September 2017 following the methodology by
296 Chazette and Totems (2017). LNG data highlight the presence of a widespread elevated BBA layer over the area
297 of interest. The inversion of the LNG ABC data is performed using the same LRs as for the inversion of the ground-
298 based lidar in Henties Bay (70 sr in the FT and 55 sr in the PBL, see Table 3). The average LNG-derived AEC



299 profile shown in Figure 6b is obtained over the ocean between the two vertical dotted black lines in Figure 6a
300 around 1000 UTC. Figure 7 shows the comparison between the dropsonde profiles of temperature, wind and
301 relative humidity (RH) located over the ocean in Figure 6a and their counterparts extracted from ERA5 at 1000
302 UTC in a $0.25^\circ \times 0.25^\circ$ grid centred on the Henties Bay site. There is a very good agreement between the vertical
303 wind profiles (intensity and direction), nonetheless the wind is a little stronger on the dropsonde vertical profile,
304 especially around 2 km AMSL, above the marine PBL, where it is in excess of 20 m s^{-1} (and less than 15 m s^{-1} in
305 ERA5). The dropsonde measurements evidence the very sharp RH gradient at the top of the BBA layer (from 80%
306 to nearly 1-2%, Figure 7b) at 6 km AMSL, this gradient being collocated with the large vertical gradient of AEC
307 at 532 nm seen in the LNG data (Figure 6b). The high RH values in the elevated BBA layer are generally associated
308 with the large amounts of water vapour released during wood combustion in wild fires (Haywood et al., 2003;
309 Parmar et al., 2008). They also evidence a minimum of RH above the PBL, around 2 km AMSL, roughly coinciding
310 with the base of the BBA layer (~ 2.2 km AMSL, Table 3). The sharp RH gradient at the top of the BBA layer is
311 not well represented in the ERA5 analysis. The depth of the marine PBL is also seen to be thicker in the
312 observations than in the model (Figure 7b), possibly because the ERA5 profiles is partly over the Namibian coast.
313 The airborne lidar data evidence the presence of stratocumulus over the ocean around 1 km AMSL (Figure 6b, the
314 absence of lidar data below that height indicating that the laser beam is completely extinguished in the cloud),
315 close to the maximum of RH observed with the dropsonde (Figure 7b).

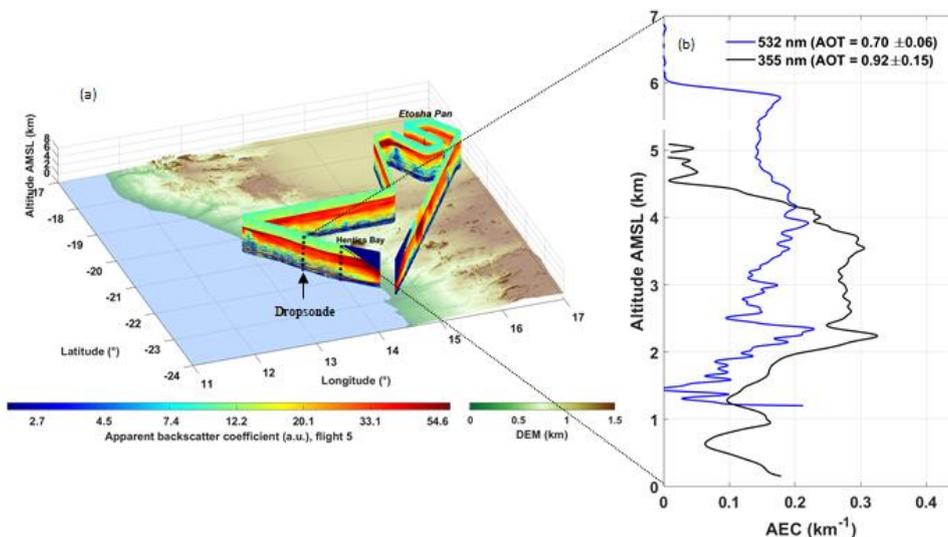
316 When comparing the mean vertical distribution of aerosols from the LNG-derived AEC profile offshore and the
317 ground-based lidar AEC profile in Henties Bay averaged between 1400 and 1500 UTC (Figure 6b), we observe
318 differences in terms of the altitude of the BBA layer top. Note that since the two lidars operate at different
319 wavelengths, the AEC intensity is not directly comparable, but the vertical structure of AEC profiles is. On the
320 other hand, we see that the bottom of the BBA layer is located at roughly the same altitude (Figure 6b).
321 Furthermore, ERA5 analyses also evidence the fact that the dynamical and thermodynamical structure of the lower
322 troposphere over Henties Bay did not evolve significantly between 1000 and 1500 UTC (not shown), except for
323 an increase of RH between 5 and 6 km AMSL (by 20%, coherent with the apparition of clouds as seen in Figure
324 A2c) and of wind speed at 4.5 km AMSL (by 5 m s^{-1}). Rather, the difference can be explained by regional scale
325 circulation in the mid troposphere across the area. Over the ocean, ERA5 data indicates stronger northwest winds
326 ($\sim 23 \text{ m s}^{-1}$) at the location of the airborne lidar AEC profile compared to the wind over Henties Bay (12 m s^{-1}) for
327 the entire day on 5 September (not shown). The resulting horizontal wind shear between the Namibian coast and
328 the ocean lead to differential advection within the BBA layer, and a different vertical structure of the aerosol layer
329 between the coastline and over the ocean.

330 During the flight on 6 September 2017 (Figure 8a), LNG observations were made further offshore than on the
331 previous day. In Figure 8b, we compare the AEC profiles acquired with LNG to the west and the northwest of
332 Henties Bay (marked '1' and '2', respectively in Figure 8a) at ~ 0830 and ~ 0900 UTC, with the average AEC
333 profile obtained between 0700 and 0930 UTC from the ground-based lidar in Henties Bay. Differences in the
334 structure of the BBA layer appear between the offshore airborne lidar measurements from Henties Bay (profile '1'
335 in Figure 8a) and the one further north (profile '2' in Figure 8a), the structure of the later being coherent with the
336 ground-based AEC profile (Figure 8b). The structure of the elevated BBA layer observed from the AEC profiles
337 in '1' and in Henties Bay match the structure of the RH and wind speed profiles from the southernmost dropsonde
338 (Figure 9b), with a top (base) altitude of 5 km (3 km) AMSL. The wind in the BBA layer is observed to be rather



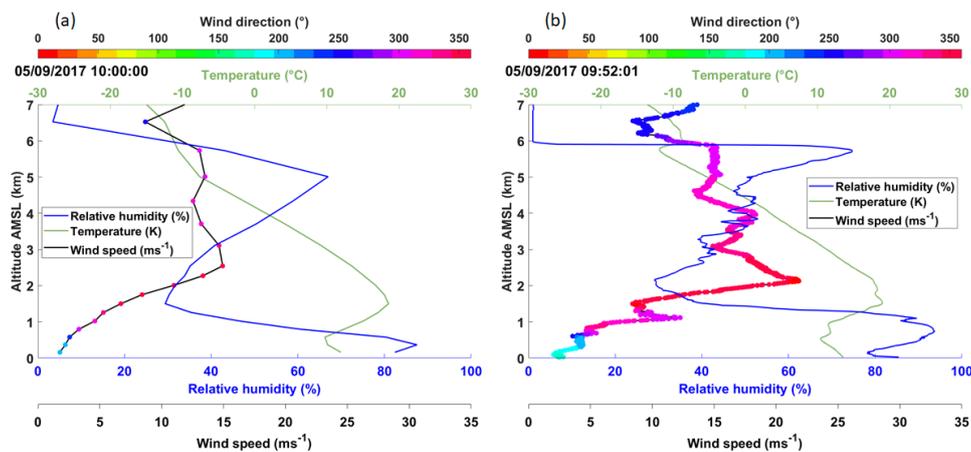
339 constant and equal to 17 m s^{-1} on average as well as coming from the north. The maximum RH in the FT is $\sim 55\%$
340 and observed near the top of the BBA layer. Unlike what was observed the previous day, the RH above the top of
341 the BBA layer is non negligible ($\sim 20\%$), which together with the AEC profile in Henties Bay, suggests the presence
342 of a distinct aerosol layer above the main BBA layer, that is not seen in the AEC profile '1' (Figure 8b). The
343 elevated BBA layer is separated from the PBL by a rather dry layer with small AECs, characterized by a strong
344 wind shear (Figure 9b). The structure of the PBL observed in the AEC profile in Henties Bay mimics that seen in
345 the RH profile, the PBL being capped by a moderate temperature inversion (3 K) but a significant RH gradient.
346 The AEC profile '2' derived from LNG observations and obtained $\sim 100 \text{ km}$ north of profile '1' exhibits a different
347 structure than that of Henties Bay. The top of the BBA layer is observed to be slightly higher (5.2 km AMSL)
348 while the altitude of the base of the BBA layer is the same ($\sim 3 \text{ km AMSL}$). The wind speed in the BBA layer as
349 seen from the northernmost dropsonde (Figure 9a) is weaker than when it is closer to Henties Bay (Figure 8b),
350 while the RH is higher throughout the lower troposphere, especially below the elevated BBA layer. The LNG
351 profile in '2' exhibits significant AEC values below the base of the BBA layer observed further south, which may
352 be partly related to the impact of RH on aerosol optical properties. The RH above the top of the BBA layer is non
353 negligible ($\sim 20\%$), which together with the AEC profile in Henties Bay suggests the presence of a distinct aerosol
354 layer above the main BBA layer, that is not seen in the AEC profile '1', but seen over Henties Bay (Figure 8b). A
355 deep moist layer (including the PBL) is observed below the BBA layer.

356 In addition to the important variability in terms of vertical structure of the AEC profiles, it should be noted that
357 the 550 nm AOT derived from the sun photometer in Henties Bay (0.70 ± 0.05) is significantly higher than those
358 determined from the airborne lidar data at 532 nm in '1' (0.37 ± 0.06), but also significantly lower than that
359 measured in '2' (1.13 ± 0.10). Even though this may be partly related to the variability of RH and the hygroscopic
360 growth of aerosols (e.g. Haslett et al., 2019), particularly below the BBA layer where RH is high in '2', the non-
361 negligible AEC values between 5 and 6 km AMSL in Henties Bay (with $\text{AOT} \gtrsim 0.2$ at 355 nm) and in '2' (with
362 $\text{AOT} \gtrsim 0.08$ at 532 nm) suggest that the aerosol may have a different origin than those below 5 km AMSL. Such
363 a contribution was even more marked on the previous day (see Figure 6b), with an AOT at 532 nm above 5 km
364 AMSL in excess of ~ 0.05 .



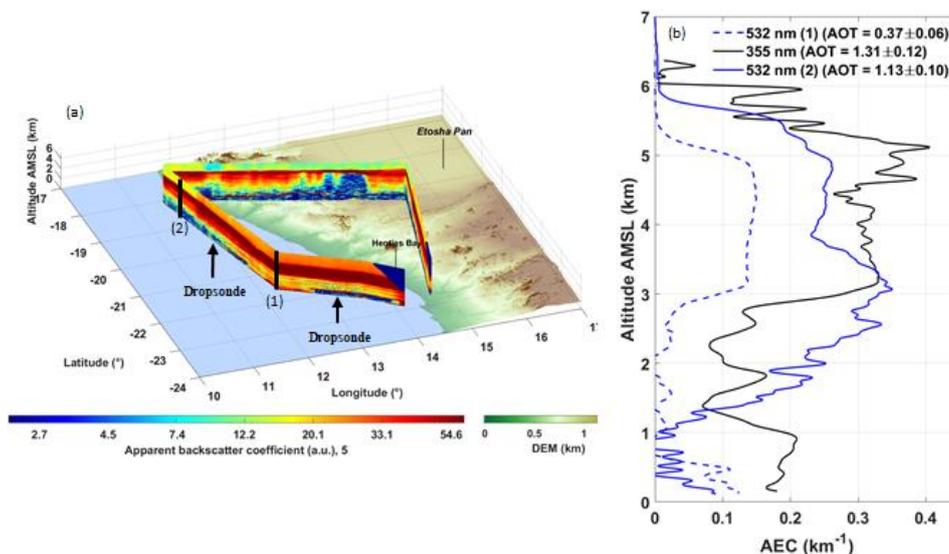
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366 Figure 6: (a) Distance-height (“curtain-like”) evolution of the LNG-derived apparent backscatter coefficient at 532 nm
367 below the SAFIRE Falcon 20 during the morning flight on 5 September 2017. The location of the dropsonde released
368 over the ocean is indicated as well as the location of the averaged LNG aerosol extinction coefficient (AEC) profile
369 shown in (b) (between the 2 dotted vertical lines). (b) Vertical profiles of the AEC derived from the airborne lidar at
370 532 nm (~1000 UTC, blue solid line) and from the ground-based lidar at 355 nm (~1400-1500 UTC, black solid line).



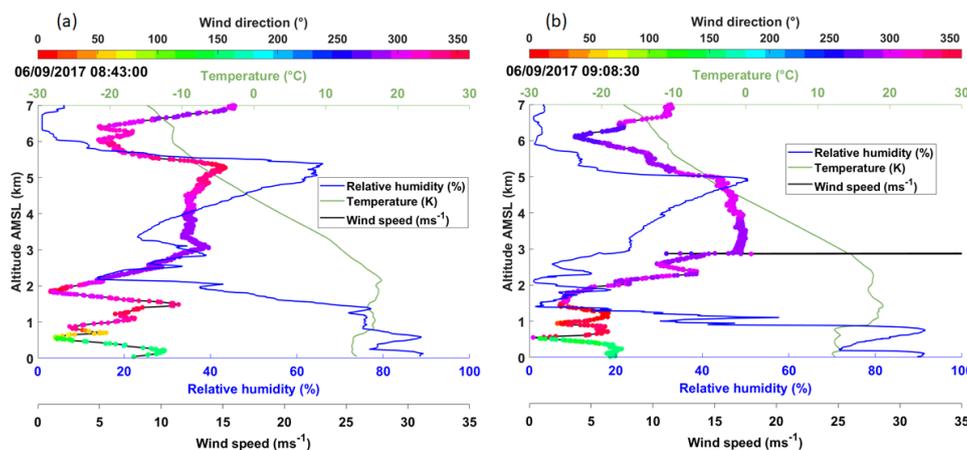
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372 Figure 7: (a) Wind speed (black solid line), wind direction (coloured dots), RH (blue solid line) and temperature (green
373 solid line) profiles extracted from ERA5 at 1000 UTC above Henties Bay over a 0.25° by 0.25° grid. (b) Same as (a) but
374 measured by the dropsonde released over the ocean at 0952 UTC on 5 September 2017.



375

376 Figure 8: (a) Same as Figure 6a, but on 6 September 2017. The locations of the two launched dropsondes are also
 377 indicated by arrows. The lidar AEC profile labelled '1' shown in (b) is obtained after inversion of the LNG observations
 378 averaged between the two locations of the two dropsondes. The AEC profile labelled '2' is obtained after inversion of
 379 the lidar data between the northern most dropsonde and the northern end of the Falcon leg. (b) Vertical profiles of the
 380 AEC derived from the airborne lidar at 532 nm (~0830 and ~0900 UTC, for profile '2' (solid blue line) and '1' (dashed
 381 blue line), respectively) and from the ground-based lidar at 355 nm (~0700-0930 UTC, black solid line).



382

383 Figure 9: (a) & (b) Same as Figure 7b, but for the dropsondes released at 0843 UTC (to the northwest of Henties Bay)
 384 and at 0908 UTC (west of Henties Bay). The locations of the dropsondes are shown in Figure 8a.

385 5 Origin of elevated BBA layers over Henties Bay

386 Figure 10 shows the time-height evolution of hourly RH profiles from ERA5 between 22 August and 9 September
 387 2017. The 3 periods (P₁, P₂ and P₃) identified from the AOT (Figure 2) are seen to correspond to distinct RH
 388 conditions in the mid troposphere, with rather dry conditions during P₁, then increased RH below 5 km AMSL



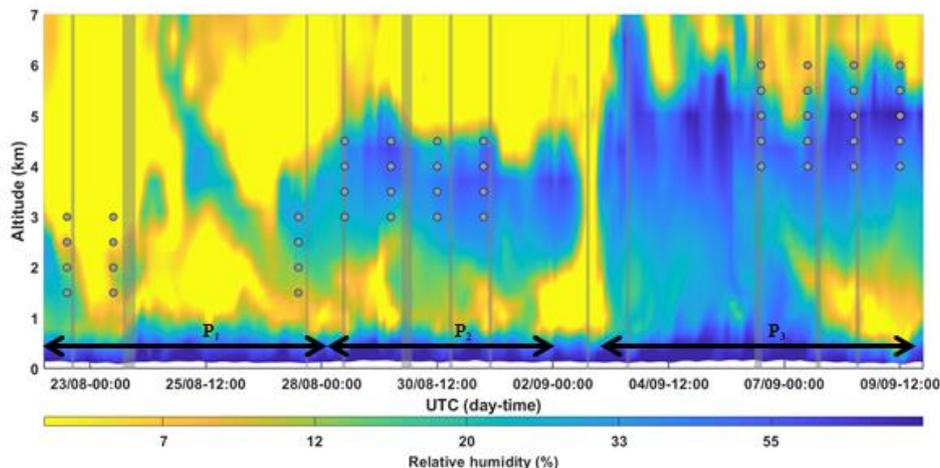
389 during P_2 and even more humid conditions below 6 km AMSL during P_3 . For instance, the RH values between 2.5
390 and 5 km AMSL increases from values $< 10\%$ to values in excess of 60% between P_1 and P_2 , which is most
391 probably associated with the transport of BBA over Henties Bay. Likewise, the RH values between 5 and 6 km
392 AMSL increases from 5% to ~70-80% between P_2 and P_3 , which may be an indication of the transport of BBA
393 from a different origin than during P_2 . Periods P_2 and P_3 are clearly separated by an episode of very dry RH
394 conditions on 2 September, the day also corresponding to a minimum of AOT over Henties Bay (Figure 2). In the
395 following, we designed retro-trajectories analyses to investigate the origin of the 2 BBA layers in the FT seen in
396 Figure 10.

397 A statistical study of the back trajectories of air masses ending over Henties Bay was designed to analyse the
398 circulations related to the 3 identified periods P_1 , P_2 and P_3 . Six-day back-trajectories are initialized at 1200 UTC
399 using the ensemble mode of the Lagrangian HYSPLIT model for which 27 trajectories are calculated for each
400 selected altitude point over Henties Bay. Altitudes are discretised every 500 m between the base and the top of the
401 BBA layers. The back trajectories are computed for days when ground-based lidar AEC profiles are available for
402 Henties Bay (Table 3). A composite of the back trajectories is then made for the 3 different periods. Note that the
403 heights selected for releasing the back trajectories are different for the 3 periods, namely between 1500 and 3000
404 m AMSL for P_1 , between 3000 and 4500 m AMSL for P_2 and between 4000 and 6000 m AMSL for P_3 , based on
405 the information about the structure of the elevated aerosol layer in Table 3 and Figure 3 and 4. For each period,
406 grey dots in Figure 10 represent the altitudes of the starting point of the uppermost and lowermost back trajectories
407 for each of the days when lidar-derived AEC profiles are available. For periods P_2 and P_3 , these altitudes are seen
408 to be contained within atmospheric layers characterized by high RH values, corroborating the fact that BBA layers
409 observed over Henties Bay are associated with high RHs.

410 For each period, more than 300 back trajectories are accumulated. To visualize the results, we used the two-
411 dimensional histograms presented in Figure 11. The shapes of the two-dimensional histograms are clearly different
412 between the 3 periods. During P_1 , the density of trajectories is highest to the north of Henties Bay, and particularly
413 along the Angola and Namibia coastline (Figure 11a). The air masses are turning counter clockwise before reaching
414 Henties Bay, which is coherent with the presence of an upper level anticyclone over the continental plateau. The
415 distribution of the trajectories suggests that the aerosols observed over Henties Bay mainly originate from Angola
416 and northern Namibia (close to the back trajectories starting point) and are transported very rapidly towards the
417 observational super site. There are a few trajectories coming from over the southern Atlantic Ocean. During P_2
418 (Figure 11b), the density of trajectories is highest along the Namibia coastline north of Henties Bay and over the
419 ocean. The distribution of trajectories suggests that the BBA observed in Henties Bay originate from the continent
420 (Angola) and have travelled a few hundred kilometres over the ocean before being transported back towards the
421 southern African coastline. More trajectories are seen over the southern Atlantic Ocean than during P_1 . There are
422 also trajectories tracking back to Argentina. The density of back trajectories originating from South America
423 increases significantly during P_3 (Figure 11c). They correspond to air masses arriving above 5000 m AMSL over
424 Henties Bay. Two main transport pathways from South America to southern Africa are observed: a southern route
425 where trajectories go as far south as 48°S before moving equatorward to Namibia and a more direct northern route
426 where trajectories first follow the eastern coast of Brazil before heading due east towards Namibia. Transport from
427 South America along the northern routes took 4 to 5 days to reach Henties Bay, whereas the transport along the
428 southern route only took 2-3 days. The southern pathway is likely dominant, and the aerosols being transported



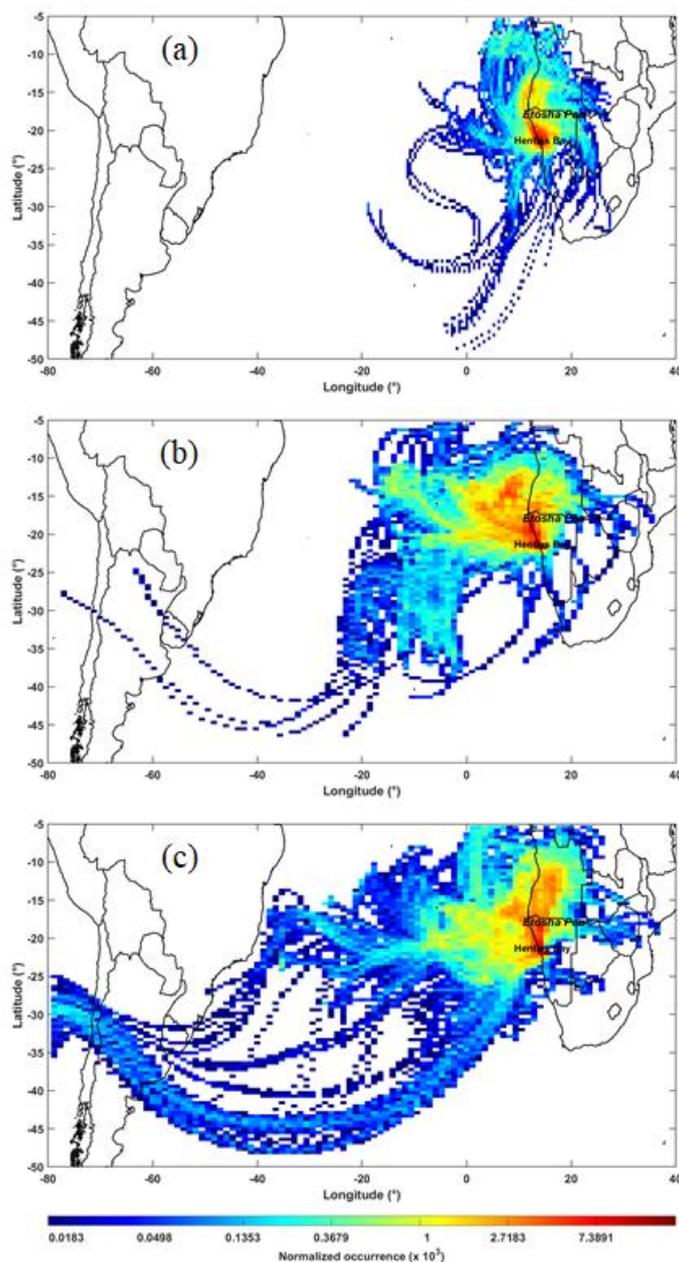
429 are mainly associated with biomass burning occurring on 3-4 September 2017 over Brazil, Argentina and
430 Paraguay, as shown from the MODIS-derived AOT fields and wild fire hotspots for that day (Figure 12a).
431 The aerosols released from the biomass burning over Amazonia are seen to be transported poleward over Argentina
432 due to the strong winds at 500 hPa along the western flank of a high pressure centred over the eastern coast of
433 Brazil (Figure 12b). A mid-tropospheric westerly jet then transports the aerosol plumes over the Atlantic Ocean
434 (as seen also seen in Figure 12a) where they are then advected northward around the eastern edge of the high-
435 pressure system over Brazil. The important cloud cover along the southern and eastern fringes of the high-pressure
436 system does not allow the retrieval of AOTs with MODIS, except offshore of the Rio de la Plata estuary. The
437 northward progression of the air masses transporting the BBA along the coast is further accelerated by the presence
438 of a poleward moving cut-off low (centred at 40°S, 15°W) separating from the westerlies further south (Figure
439 12b). Over the following days, the cut-off low is seen to merge back with the westerlies while progressing
440 eastward, and the high-pressure system at 500 hPa is observed to also move over the Atlantic Ocean and merge
441 with the St Helena high on 5 September. On that day, the mid-tropospheric westerly jet already seen on 3
442 September (Figure 12b) transports the aerosols issued from wild fires over South America along the southern
443 fringe of the St Helena high, which is centred at ~25°S and ~20°W. The westerly jet is associated with a dense
444 mid-level cloud cover that prevents the retrieval of the AOT associated with the aerosols transported within the
445 jet, except along its northern edge over Argentina and the western Atlantic Ocean, close to the coast of South
446 America (Figure 12c). The jet is seen to extend quite far east over the Atlantic Ocean (from the combination of
447 ERA5 winds and nebulosity) and to almost reach the southern tip of southern Africa. The aerosols travelling along
448 the southern route with the westerly jet are then redirected towards Namibia by the strong northerly flow along the
449 eastern flank of the St Helena high.
450 Closer to the Henties Bay, the occurrence of trajectories is highest along the northern Namibian coast, over the
451 land. This suggests a more direct transport from the wild fire regions in Angola than during P₂ and even P₁. The
452 occurrence of trajectories over the ocean just west of the southern African coast suggests that a significant part of
453 the aerosols arriving in Henties Bay have travelled over the ocean before being transported back towards the
454 continent, as already evidenced during P₂.
455



456



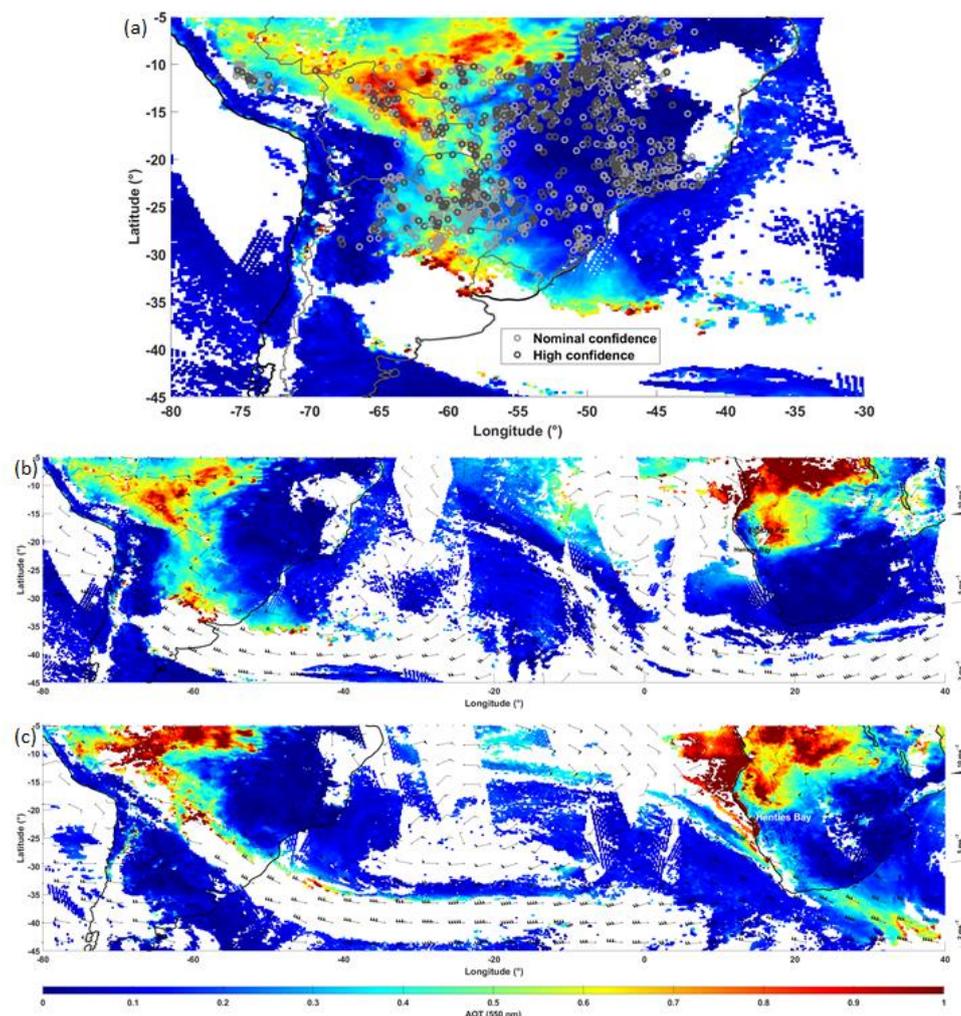
457 Figure 10: Time-height evolution of the relative humidity vertical profiles derived from ERA5 above Henties Bay. The
458 grey vertical lines indicate the time of the ground-based lidar profiles shown in Figure 3 and Figure 4. The thickness of
459 the grey lines depends on the averaging period (the thicker the line, the longer the average). The 3 periods highlighted
460 by the AOT values (P_1 , P_2 and P_3) are also indicated. The grey dots represent the altitudes of the starting point of the
461 uppermost and lowermost back trajectories for each of the days when lidar-derived AEC profiles are available.



462
463 Figure 11: (a) Normalized occurrence of the back trajectories starting over Henties Bay at 1200 UTC on 22, 23 and 27
464 August 2017 between 1500 and 3000 m AMSL (period P_1). (b) Same as (a) but during P_2 , for back trajectories from 28
465 to 31 August, between 3000 and 4500 m. (c) Same as (a) but during P_3 , for trajectories from 6 to 9 September between



466 4000 and 6000 m. The calculations have been made using 6-day isentropic back trajectories with the HYSPLIT model
467 (courtesy of NOAA Air Resources Laboratory; <http://www.arl.noaa.gov>) in ensemble mode.



468
469 **Figure 12:** (a) MODIS-derived AOT at 550 nm and wild fire hotspots on 3 September 2017 over Brazil, Paraguay and
470 Argentina. (b) MODIS-derived AOT and ERA5 wind field at 500 hPa on 3 September 2017. (c) Same as (b) but on 5
471 September 2017.

472 6 Conclusion

473 During the intensive field campaign of the AEROCLO-sA project (22 August - 12 September 2017), the very
474 persistent cloud cover topping the marine boundary did not allow continuous ground-based monitoring of the
475 aerosol layers above the stratocumulus deck, in the mid-troposphere. Nevertheless, the few available lidar
476 observations that could be processed to retrieve AEC profiles allowed the first ever characterization of the aerosols
477 layer advected over Henties Bay associated with different periods of transport (P_1 , P_2 and P_3) from areas of biomass
478 burning. The inversion of the ground-based lidar profiles was carried out using the constraints provided by the



479 aerosol typing of the CALIOP and CATS space-borne instruments, but also the photometric measurements from
480 AERONET network. The latter showed a good agreement with the MODIS AOT observations and the AOT
481 outputs of the CAMS model. Differences were noted in the presence of high aerosol contents (AOT at 355 nm >
482 0.8) between the lidar- and sunphotometer-derived AOTs, but those were likely due to the presence of clouds that
483 were not detected by the passive sensors.

484 Using a combination of the above stated observations and back trajectory analyses, we evidence 3 periods with
485 very different transport modes towards Henties Bay during the field campaign. The lowest AOTs (<0.2 at 550 nm)
486 of the first period (P_1) are associated with air masses from Angola travelling along the Namibian and Angolan
487 coasts. Intermediate AOTs (~0.4 at 550 nm) of the second period (P_2) are associated with polluted dusts (i.e. dust
488 mixed with biomass burning aerosols from Angola), as well as dust from the Etosha Pan, which are recirculated
489 above the ocean. During the third period (P_3), the largest AOTs (~0.7 at 550 nm) are observed. The atmospheric
490 composition in the free troposphere for this period is the most remarkable. We highlight a significant contribution
491 of forest fire aerosols from South America (Brazil, Argentina and Paraguay) with a plume transported to Henties
492 Bay around 5000-6000 m AMSL (~10-15% of the low-middle troposphere AOT), i.e. above those coming from
493 Angola that circulated over the Atlantic before reaching Henties Bay. The aerosol plume from South America is
494 advected across the Atlantic Ocean along two main routes: one that follows the coast of Brazil before heading
495 straight towards southern Africa, and one that follows the strong westerlies along the southern fringes of the St
496 Helena high before heading north toward Namibia in connection with an equatorward moving cut-off low.

497 To the authors' knowledge, this is the first time that the evolution of the optical properties of aerosols trapped in
498 the FT over coastal Namibia is evidenced, in link with the dominant transport patterns of lifted aerosols. It is also
499 the first time that the contribution of the South American wild fires to the atmospheric composition over southern
500 Africa is assessed on the basis of active and passive remote sensing observations and trajectory analyses.
501 Highlighting the transport of BBA from South America and its advection on top of the BBA layers originating
502 from Angola and northeast Namibia is of paramount importance in this region of the globe, where the feedback of
503 aerosols and clouds on the radiative balance of the Earth system is still poorly known. The results presented here
504 are therefore fundamental in order to provide realistic constraints to climate modelling by taking into better account
505 of the origin, distribution against altitude and optical properties of biomass burning aerosols.

506 Furthermore, the temporal variability of BBA transport patterns from South America to southern Africa appears
507 to be related to the variability of the Southern Annular Mode (SAM, i.e. the north-south movement of the westerly
508 wind belt around Antarctica). Trenberth (2002) show that the SAM is the main driver of extratropical circulation
509 in the Southern Hemisphere on weekly to decennial time scales, which is also the main driver of climate variability,
510 affecting wildfire activity over South America (e.g. Holz et al., 2017). For instance, positive phases of the SAM
511 (i.e. when a band of westerly winds contracts toward Antarctica) are associated primarily with warm conditions in
512 the forested areas of South America, thereby favouring wild fire activity. On the other hand, negative phases lead
513 to an expansion of the wind belt towards the lower latitudes, leading to the possibility for BBA transported in the
514 westerlies to reach southern Africa in the austral winter. Given the possible short time scale of variability of the
515 SAM, it is likely that the transport patterns to Henties Bay identified during period P_3 are related to a negative
516 SAM phase, while during P_1 they are related to a positive phase. On longer time scales, climate modelling studies
517 indicate a robust positive trend in the SAM for the end of this century (Lim et al., 2016), so that climate conditions



518 conducive to an impact of the widespread South American fire activity in southern Africa will likely continue
519 throughout the 21st century.

520

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542

543 **Data availability.** The aircraft and ground-based data used here can be accessed using the AEROCLO-sA database
544 at <http://baobab.sedoo.fr/AEROCLO-sA/>. An embargo period of 2 years after the upload applies. After that,
545 external users can access the data in the same way as AEROCLO-sA participants before that time. Before the end
546 of the embargo period, external users can request the release of individual datasets. It is planned for AEROCLO-
547 sA data to get DOIs, but this has not been carried out for all datasets yet. The back trajectories data can be obtained
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551 participated to the study of atmospheric dynamic and to the paper editing, GS participated to the back-trajectories
552 computation, AB gathered the CATS lidar data and the wind fields, PF coordinated the AEROCLO-sA project,
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733 **Appendix A: Ground-based lidar analysis – link with spaceborne lidar observations**

734 **A.1 Description of the ground-based lidar**

735 The ground-based lidar system used at the Henties Bay site is the ALS450® lidar manufactured by Leosphere and
 736 initially developed by the Commissariat à l’Energie Atomique (CEA) and the Centre National de la Recherche
 737 Scientifique (CNRS) (Royer et al., 2011a). The lidar emission is based on an Ultra® Nd:YAG laser manufactured
 738 by Quantel, delivering 6 ns width pulses at the repetition rate of 20 Hz with a mean pulse energy of 16 mJ at a
 739 wavelength of 355 nm. This system is particularly well-adapted to measure tropospheric aerosol profiles in the
 740 lower and middle troposphere. Its high vertical resolution of ~15 m after filtering and temporal resolution (~1
 741 minute) gives the advantage of being able to follow the fast vertical evolutions of the atmospheric scattering layers
 742 and to accurately locate the aerosol layers within the troposphere. The lidar is composed of two receiver channels
 743 dedicated to the measurement of the co-polar and cross-polar signals. The detection is carried out by
 744 photomultiplier tubes and narrowband filters with a bandwidth of 0.5 nm. Its main characteristics are summarized
 745 in Table A1 where we have added the features of the LNG lidar for comparison.

746

747 **Table A1: Main characteristics of both the ALS and LNG lidars.**

	Ground-based lidar ALS	Airborne lidar LNG
Laser	Nd:YAG, flash-pumped, Q-switched Q-smart QUANTEL	Flashlamp-pumped Nd:YAG Q-switched oscillator (Quantel YG980)
Pulse duration	6 ns	6 ns @ 335 nm 7 ns @ 532 nm 8 ns @ 1064 nm
Reception channels	// 354.7 nm ⊥ 354.7 nm	// 355, 532 and 1064 nm ⊥ 355 nm
Emitted energy	16 mJ	50 mJ @ 335 nm 10 mJ @ 532 nm 50 mJ @ 1064 nm
Frequency	20 Hz	20 Hz
Reception diameter	15 cm	30 cm (Cassegrain telescope)
Field-of-view	~2.3 mrad	0.5 mrd @ 335 nm 6 mrd @ 532 nm 8 mrd @ 1064 nm
Filter bandwidth/transmission	0.5 nm / 70% @ 335 nm // and ⊥	5 nm/ 25% @ 335 nm // and ⊥ 0.2 nm / 25% @ 532 nm 1 nm / 30% @ 1064 nm
Detector	Photomultiplier (PM) tubes	PM Hamamatsu H6780-04 @ 355 nm



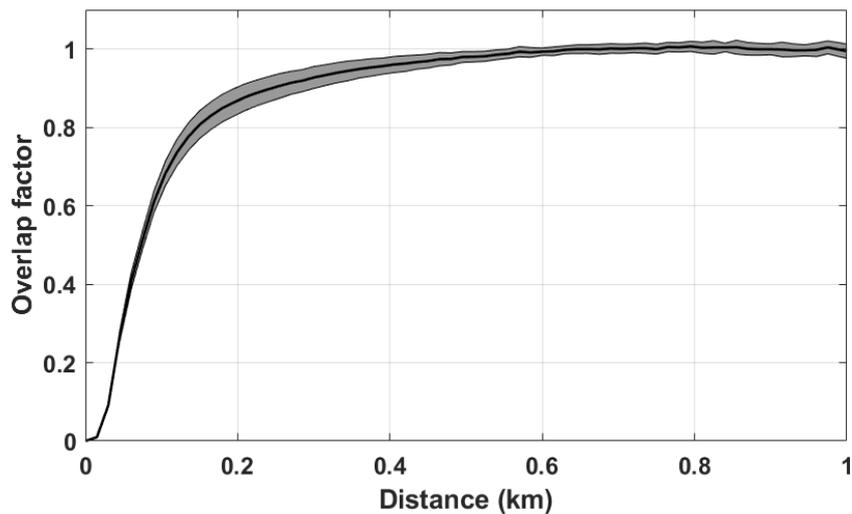
		PM Hamamatsu H6780-02 @ 532 nm APD Perkin-Elmer C30659- 1060 @ 1064 nm
Post processing vertical resolution	15-30	6 m

748

749 A.2 Calibration

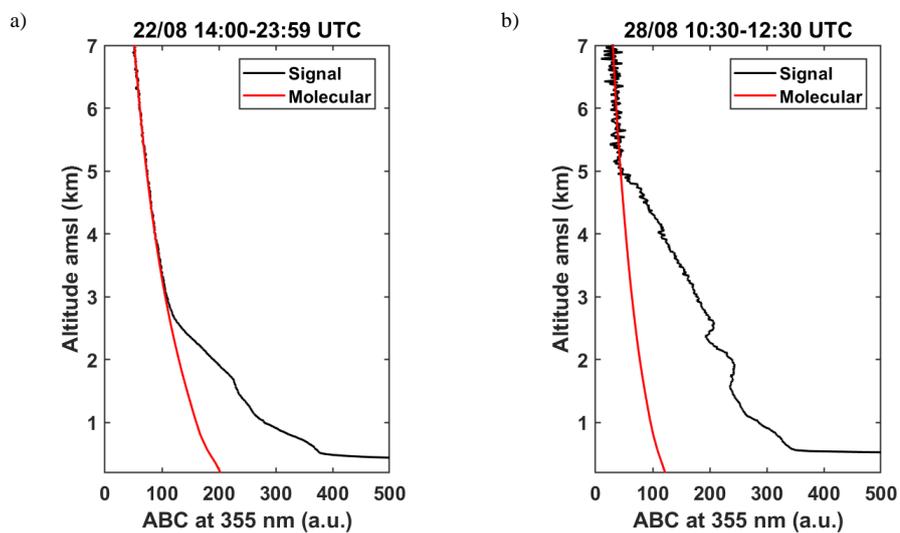
750 In order to derive aerosol extinction coefficient profiles (AEC), the lidar apparent backscatter coefficient (ABC)
751 measurements in the aerosol-free portions of the vertical profiles must be assessed and must follow the slope of
752 the molecular backscattering. Furthermore, close to the lidar emission source the overlap factor generated by the
753 overlap defects of the laser emission and telescope reception fields also needs to be assessed. The overlap factor
754 is derived from measurements acquired in the horizontal line of sight, with the hypothesis of a homogeneous
755 atmosphere along the line of sight between the emission and a distance of 1.5 km. The overlap factor and the
756 associated standard deviation are shown in Figure A1. It can be considered that the correction of the overlap factor
757 induces a relative error lower than 15% for an overlap factor between 0.8 and 1 (Chazette, 2003), corresponding
758 to a distance of 150 m from the emitter. The molecular contribution is obtained from the ERA5 pressure and
759 temperature data at the horizontal resolution of 0.25° using the Nicolet model (Nicolet, 1984). The error remains
760 below 2-3% (Chazette et al., 2012b).

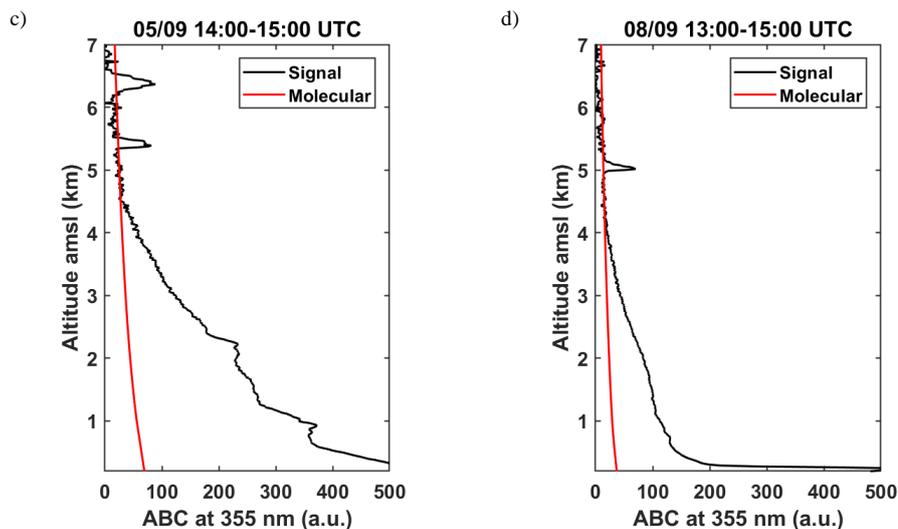
761 A representative sample of lidar profiles over the duration of the measurement field campaign is shown in Figure
762 A2, showing the ABC profiles that correspond to the raw lidar signal corrected for both the contribution of the sky
763 background and the solid angle, as in Royer et al. (2011a). The dates were chosen to be representative of the dataset
764 of lidar vertical profiles encountered during the AEROCLO-sA campaign. The curves in black are the ABC
765 profiles and those in red correspond to the molecular backscatter coefficient computed using ERA5 data. We note
766 that in the top of the profiles there is a perfect coincidence that ensures that the lidar is well aligned. The area
767 comprised between the black and red curves corresponds to the contribution of atmospheric aerosols and, in the
768 upper part of the profiles, to that of optically thin clouds (Figure A2c and d). The aerosol content increases rapidly
769 between 22 and 28 August, showing a significant evolution of aerosol contributions in the free troposphere (FT),
770 between 1 and 5 km above the mean sea level (AMSL).



771

772 Figure A1: Overlap factor of the ALS (continuous black line) and its standard deviation (grey area).





773 **Figure A2:** Apparent backscatter coefficient (black solid lines) profiles obtained from the ASL lidar in Henties Bay on:
774 a) 22 August 2017 between 1400 and 2359 UTC, b) 28 August 2017 between 1030 and 1230 UTC, c) 5 September 2017
775 between 1400 and 1500 UTC, and d) 8 September 2017 between 1300 and 1500 UTC. The red lines correspond to the
776 molecular backscatter coefficient computed using ERA5 data.

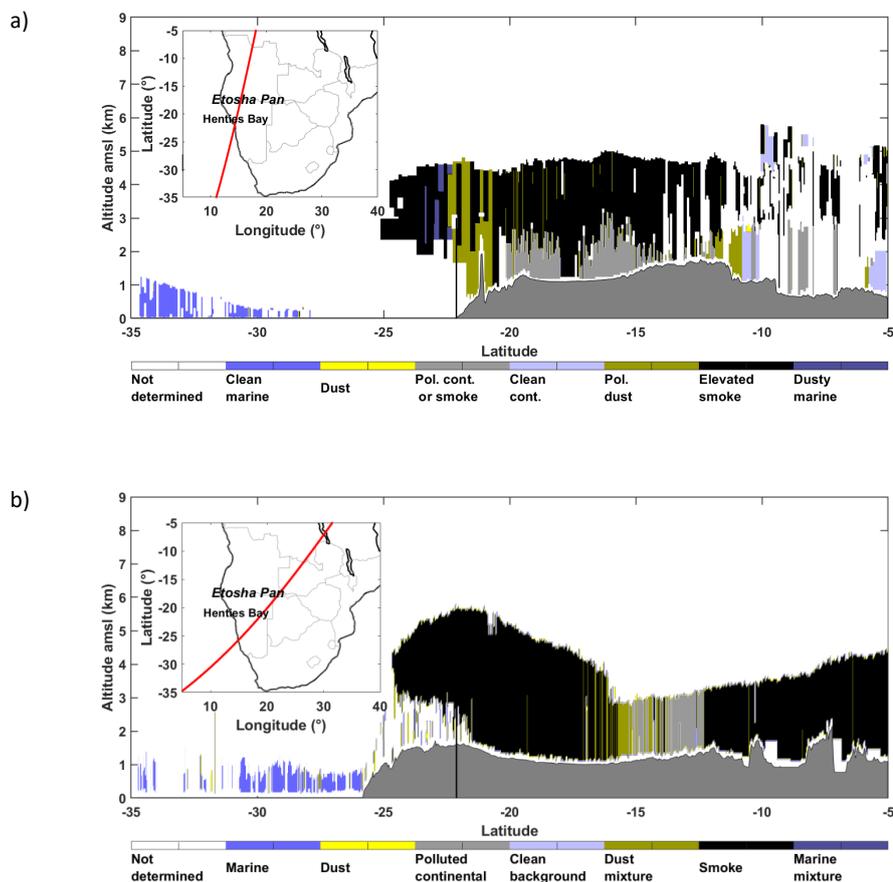
777 A.3 Ground-based lidar data processing using exogeneous constraints

778 The inversion procedure to retrieve the aerosol optical properties from ALS is well documented in previous articles
779 where uncertainty sources are exhaustively quantified (e.g. Raut and Chazette, 2009; Royer et al., 2011b; Chazette
780 et al., 2012a). In the present case, where a simple elastic backscattering lidar is used, we use additional constraints
781 to the lidar equation using sun photometer-derived aerosol optical thickness (AOT) when available, but also the
782 aerosol typing determined from the CALIOP and CATS measurements for cases where the orbit allowed the
783 sampling of aerosols present in the FT. Figure A3 gives the example of the case of the geographical coincidence
784 between the night CALIOP (CATS) orbit on 28 (30) August 2017 and the lidar measurements above the Henties
785 Bay site. All available CALIOP and CATS orbits passing over Namibia were analysed and the results in terms of
786 aerosol typing are given in Table 1 and Table 2. The correspondences in terms of LR are given in Table 2 for both
787 instruments.

788
789 In the area of interest, aerosol properties are different in the planetary boundary layer (PBL), where the composition
790 is dominated by marine and coastal dust emissions, and in the FT where the composition is dominated by long-
791 range transport of BBA and dust emitted over the continental plateau. Therefore, we have used different values of
792 LR in the PBL and in the FT to perform the lidar inversion when lidar measurements were acquired concomitantly
793 with sun photometer AOT measurements. The LR in the FT is derived from the aerosol typing performed by the
794 space-borne lidars (see Table 2). Values of $65\text{-}70\pm 25$ sr and 55 ± 25 sr at 532 nm are used for the two main aerosol
795 types sampled, namely smoke and polluted dust, respectively. The ground-based lidar in Henties Bay operates at
796 355 nm, the LR value is then different. Müller et al. (2007) showed that LR values at 355 and 532 nm differ by
797 about of 20% for forest fire smoke and less than 10% for dust aerosols (see the Table 1 of their paper), widely
798 included in the standard deviation of LRs derived from spaceborne lidars. In the PBL, the LR values are obtained
799 via a minimization of the difference of AOT between the ground-based lidar and the sun photometer: the LR in



800 the PBL is adjusted so that the AOT calculated from the lidar AEC profile matches best the AOT from the sun
801 photometer. The LR values obtained during the field campaign are representative of clean marine air aerosols (i.e.
802 20-23 sr) and polluted dust (i.e. 55 sr) and coherent with the LRs from CALIOP for these aerosol types (compare
803 Table 3 and Table 2). This was done for all days listed in Table 3, with the exception of 8 and 9 September 2017.
804 On those days, the sun photometer AOT could not be used to constrain the inversion of the lidar measurements.
805 This is likely due to the presence of unscreened clouds in the sun photometer inversion (as logged by the ground-
806 based lidar on 8 September, Figure A2d). For those two days, we have used a LR of 20 sr in the PBL to be able to
807 invert the lidar data. Note that the use of a value of 55 sr in the PBL on those days (i.e. the value retrieved for the
808 previous days) leads to an unrealistically high lidar-derived AOT. As a consequence, we observed an
809 underestimation of the lidar-derived AOT when compared to the sun photometer level 2 product.
810 Besides the determination of the AEC, we also evaluated the linear particle depolarization ratio (PDR) values using
811 an approach described in Chazette et al. (2012b). A detailed study of uncertainties for different aerosol types can
812 be found in Dieudonné et al. (2017). Uncertainties of 2% on the PDR can be expected.



813 Figure A3: a) CALIOP-derived aerosol typing for the night time orbit (10.2017-08-28T00-08-17Z) on 28 August 2017.
814 b) CATS-derived aerosol typing for the night time orbit (2017-08-30T00-32-37T01-18-13UT) on 30 August 2017. The



815 latitudinal location of the Henties Bay site is given by the vertical black line. Inserted panels in a) and b) show the
816 position of the space-borne lidar tracks over southern Africa and with respect to Henties Bay.