

## **SUPPLEMENTARY INFORMATION TO:**

# New particle formation from sulfuric acid and ammonia: nucleation and growth model based on thermodynamics derived from CLOUD measurements for a wide range of conditions

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13 **SI Text1: Acid-base model differential equations**

14

15 The model integrates the differential equations listed below using a fourth-order Runge-  
 16 Kutta algorithm. The time-dependent concentration for each cluster depends on the balance  
 17 between production rate,  $P$ , and loss rate,  $L$ . Relevant loss terms in the present study (analyzing  
 18 new particle formation in the CLOUD chamber) are the wall loss rate,  $k_w$ , the dilution rate,  $k_{dil}$ ,  
 19 and the condensation/coagulation sink (see Kürten et al., 2018). For the smallest clusters the  
 20 evaporation rate,  $E$ , is also a relevant loss process. The clusters in the molecular size bins are  
 21 denoted by their sulfuric acid content,  $A$ , where an index indicates the number of molecules  
 22 contained in the cluster. For the smallest clusters (monomer up to the tetramer) the clusters are  
 23 also distinguished according to their base content, where  $B$  with an index indicates the number  
 24 of base (ammonia) molecules in the cluster (note that the clusters never contain more base than  
 25 acid, see main text). This nomenclature results in the following set of equations.

26

27 **Monomers ( $A_1$  and  $A_1B_1$ ):**

28

$$29 \frac{dA_1B_1}{dt} = P - L \cdot A_1B_1 \quad (1a)$$

30

$$31 P = K_{1,1} \cdot A_1 \cdot B_1 + E_{A2B1} \cdot A_2B_1 \quad (1b)$$

32

$$33 L = k_{w,1} + k_{dil} + E_{A1B1} + \sum_{i=1}^n K_{1,i} \cdot N_i \quad (1c)$$

34

35 The last term in the equation of the losses ( $L$ ) represents the condensation/coagulation sink.

36

37 The parameter  $A_{1,\text{total}}$  is a constant input parameter determining the total sulfuric acid  
 38 concentration. From this the concentration of “free” sulfuric acid is calculated in every time  
 39 step of the integration:

40

$$41 A_1 = A_{1,\text{total}} - A_1B_1 \quad (1d)$$

42

43

44 **Dimers ( $A_2$ ,  $A_2B_1$  and  $A_2B_2$ ):**

45

$$46 \frac{dA_2}{dt} = P - L \cdot A_2 \quad (2a)$$

47

$$48 P = 0.5 \cdot K_{1,1} \cdot A_1 \cdot A_1 + E_{A3B0} \cdot A_3 \quad (2b)$$

49

$$50 L = k_{w,2} + k_{dil} + E_{A2} + K_{1,2} \cdot B_1 + \sum_{i=1}^n K_{2,i} \cdot N_i \quad (2c)$$

51

$$52 \frac{dA_2B_1}{dt} = P - L \cdot A_2B_1 \quad (2d)$$

53

$$54 P = K_{1,1} \cdot A_1 \cdot A_1B_1 + K_{1,2} \cdot B_1 \cdot A_2 + E_{A2B2} \cdot A_2B_2 + E_{A3B1} \cdot A_3B_1 \quad (2e)$$

55

$$56 \quad L = k_{w,2} + k_{dil} + E_{A2B1} + K_{1,2} \cdot B_1 + \sum_{i=1}^n K_{2,i} \cdot N_i \quad (2f)$$

$$59 \quad \frac{dA_2B_2}{dt} = P - L \cdot A_2B_2 \quad (2g)$$

$$61 \quad P = 0.5 \cdot K_{1,1} \cdot A_1 B_1 \cdot A_1 B_1 + K_{1,2} \cdot B_1 \cdot A_2 B_1 + E_{A3B2} \cdot A_3 B_2 \quad (2h)$$

$$63 \quad L = k_{w,2} + k_{dil} + E_{A2B2} + \sum_{i=1}^n K_{2,i} \cdot N_i \quad (2i)$$

## 66 Trimmers ( $A_3$ , $A_3B_1$ , $A_3B_2$ and $A_3B_3$ ):

$$68 \quad \frac{dA_3}{dt} = P - L \cdot A_3 \quad (3a)$$

$$70 \quad P = K_{1,2} \cdot A_1 \cdot A_2 + E_{A4} \cdot A_4 \quad (3b)$$

$$72 \quad L = k_{w,3} + k_{dil} + E_{A3} + K_{1,3} \cdot B_1 + \sum_{i=1}^n K_{3,i} \cdot N_i \quad (3c)$$

$$75 \quad \frac{dA_3B_1}{dt} = P - L \cdot A_3B_1 \quad (3d)$$

$$77 \quad P = K_{1,2} \cdot A_1 \cdot A_2 B_1 + K_{1,3} \cdot B_1 \cdot A_3 + K_{1,2} \cdot A_1 B_1 \cdot A_2 + E_{A4B1} \cdot A_4 B_1 \quad (3e)$$

$$79 \quad L = k_{w,3} + k_{dil} + E_{A3B1} + K_{1,3} \cdot B_1 + \sum_{i=1}^n K_{3,i} \cdot N_i \quad (3f)$$

$$82 \quad \frac{dA_3B_2}{dt} = P - L \cdot A_3B_2 \quad (3g)$$

$$P = K_{1,3} \cdot B_1 \cdot A_3 B_1 + K_{1,2} \cdot A_1 \cdot A_2 B_2 + K_{1,2} \cdot A_1 B_1 \cdot A_2 B_1 + E_{A4B2} \cdot A_4 B_2 + E_{A3B3} \cdot A_3 B_3$$

(3h)

$$87 \quad L = k_{w,3} + k_{dil} + E_{A3B2} + K_{1,3} \cdot B_1 + \sum_{i=1}^n K_{3,i} \cdot N_i \quad (3i)$$

$$90 \quad \frac{dA_3B_3}{dt} = P - L \cdot A_3B_3 \quad (3j)$$

$$92 \quad P = K_{1,3} \cdot B_1 \cdot A_3 B_2 + K_{1,2} \cdot A_1 B_1 \cdot A_2 B_2 + E_{A4B3} \cdot A_4 B_3 \quad (3k)$$

$$94 \quad L = k_{w,3} + k_{dil} + E_{A3B3} + \sum_{i=1}^n K_{3,i} \cdot N_i \quad (3l)$$

97 **Tetramers ( $A_4$ ,  $A_4B_1$ ,  $A_4B_2$ ,  $A_4B_3$  and  $A_4B_4$ ):**

98

$$99 \frac{dA_4}{dt} = P - L \cdot A_4 \quad (4a)$$

100

$$101 P = K_{1,3} \cdot A_1 \cdot A_3 + 0.5 \cdot K_{2,2} \cdot A_2 \cdot A_2 \quad (4b)$$

102

$$103 L = k_{w,4} + k_{dil} + E_{A4} + K_{1,4} \cdot B_1 + \sum_{i=1}^n K_{4,i} \cdot N_i \quad (4c)$$

104

$$105 \frac{dA_4B_1}{dt} = P - L \cdot A_4B_1 \quad (4d)$$

106

$$108 P = K_{1,4} \cdot B_1 \cdot A_4 + K_{1,3} \cdot A_1 \cdot A_3B_1 + K_{1,3} \cdot A_1B_1 \cdot A_3 + K_{2,2} \cdot A_2 \cdot A_2B_1 \quad (4e)$$

109

$$110 L = k_{w,4} + k_{dil} + E_{A4B1} + K_{1,4} \cdot B_1 + \sum_{i=1}^n K_{4,i} \cdot N_i \quad (4f)$$

111

$$113 \frac{dA_4B_2}{dt} = P - L \cdot A_4B_2 \quad (4g)$$

114

$$115 P = K_{1,4} \cdot B_1 \cdot A_4B_1 + K_{1,3} \cdot A_1 \cdot A_3B_2 + K_{1,3} \cdot A_1B_1 \cdot A_3B_1 + K_{2,2} \cdot A_2 \cdot A_2B_2 + 0.5 \cdot K_{2,2} \cdot \\ 116 A_2B_1 \cdot A_2B_1 \quad (4h)$$

117

$$118 L = k_{w,4} + k_{dil} + E_{A4B2} + K_{1,4} \cdot B_1 + \sum_{i=1}^n K_{4,i} \cdot N_i \quad (4i)$$

119

$$121 \frac{dA_4B_3}{dt} = P - L \cdot A_4B_3 \quad (4j)$$

122

$$123 P = K_{1,4} \cdot B_1 \cdot A_4B_2 + K_{1,3} \cdot A_1 \cdot A_3B_3 + K_{1,3} \cdot A_1B_1 \cdot A_3B_2 + K_{2,2} \cdot A_2B_1 \cdot A_2B_2 + E_{A4B4} \cdot \\ 124 A_4B_4 \quad (4k)$$

125

$$126 L = k_{w,4} + k_{dil} + E_{A4B3} + K_{1,4} \cdot B_1 + \sum_{i=1}^n K_{4,i} \cdot N_i CS_{A4B3} \quad (4l)$$

128

$$129 \frac{dA_4B_4}{dt} = P - L \cdot A_4B_4 \quad (4m)$$

130

$$131 P = K_{1,4} \cdot B_1 \cdot A_4B_3 + K_{1,3} \cdot A_1B_1 \cdot A_3B_3 + 0.5 \cdot K_{2,2} \cdot A_2B_2 \cdot A_2B_2 \quad (4n)$$

132

$$133 L = k_{w,4} + k_{dil} + E_{A4B4} + \sum_{i=1}^n K_{4,i} \cdot N_i \quad (4o)$$

135 From the concentrations of the acid-base clusters the concentrations of the total monomer ( $N_1$ ),  
136 dimer ( $N_2$ ), trimer ( $N_3$ ) and tetramer ( $N_4$ ) can be determined:

$$138 \quad N_1 = A_1 + A_1 B_1 \quad (5a)$$

$$140 \quad N_2 = A_2 + A_2 B_1 + A_2 B_2 \quad (5b)$$

$$142 \quad N_2 = A_2 + A_3 B_1 + A_3 B_2 + A_3 B_3 \quad (5c)$$

$$N_1 \equiv A_1 + A_2 B_1 + A_3 B_2 + A_4 B_3 + A_5 B_4 \quad (5d)$$

146 The calculation of the larger clusters (starting with the pentamer) and particles is calculated in  
147 the same way as described previously (Kürten et al., 2018):

$$149 \quad \frac{dN_{k \geq 5}}{dt} = P - L \cdot N_{k \geq 5} \quad (5e)$$

$$151 \quad P = \frac{1}{2} \cdot \sum_{i+j=k} N_i \cdot N_j \quad (5f)$$

$$L \equiv k_{\omega, k} + k_{dil} + \sum_{i=1}^n K_{k,i} \cdot N_i \quad (5g)$$

156 SI Text2: Calculation of evaporation rates

158 Evaporation rates are calculated from  $dH$  (in kcal mol<sup>-1</sup>) and  $dS$  (in cal mol<sup>-1</sup> K<sup>-1</sup>) according to  
 159 (Ortega et al., 2012, Kürten et al., 2015):

$$161 \quad E = \frac{K \cdot 10^{-6}}{k_B \cdot T \cdot K_{eq}}. \quad (6)$$

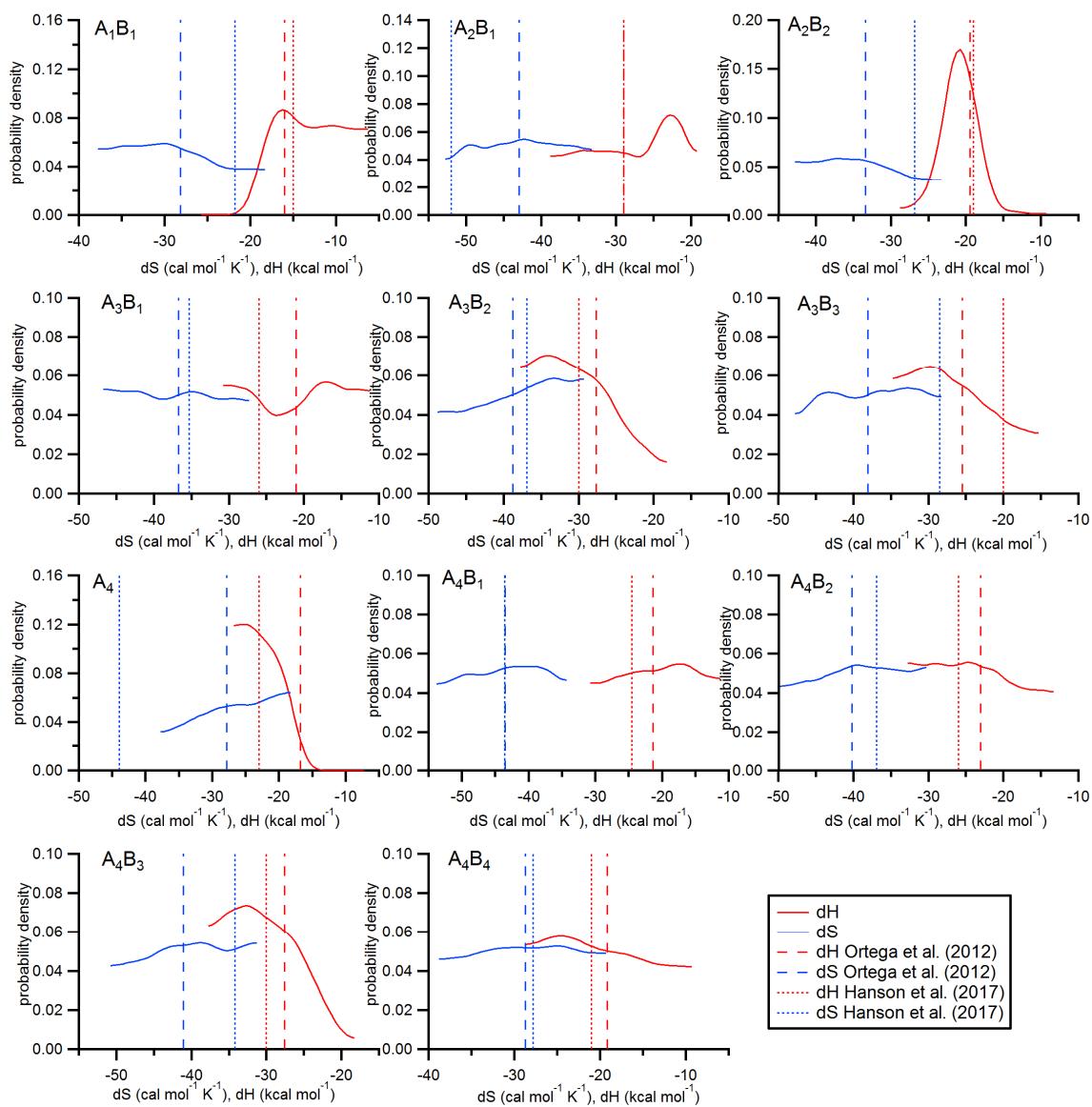
163 The equilibrium constant,  $K_{\text{eq}}$ , is given by

$$165 \quad K_{eq} = \frac{1}{10^5 Pa} \cdot exp \left( -\frac{dH \cdot 1000}{R'_{gas} \cdot T} + \frac{dS}{R'_{gas}} \right). \quad (7)$$

The constants are  $k_B = 1.381 \times 10^{23} \text{ J K}^{-1}$  and  $R_{\text{gas}} = 1.987 \text{ cal mol}^{-1} \text{ K}^{-1}$ ;  $T$  is the temperature and  $K$  the collision rate constant (Chan and Mozurkewich, 2001); the scaling factor  $10^6$  is used to convert the collision constant from  $\text{cm}^3 \text{ s}^{-1}$  to  $\text{m}^3 \text{ s}^{-1}$ .

## 170 SI FIGURES

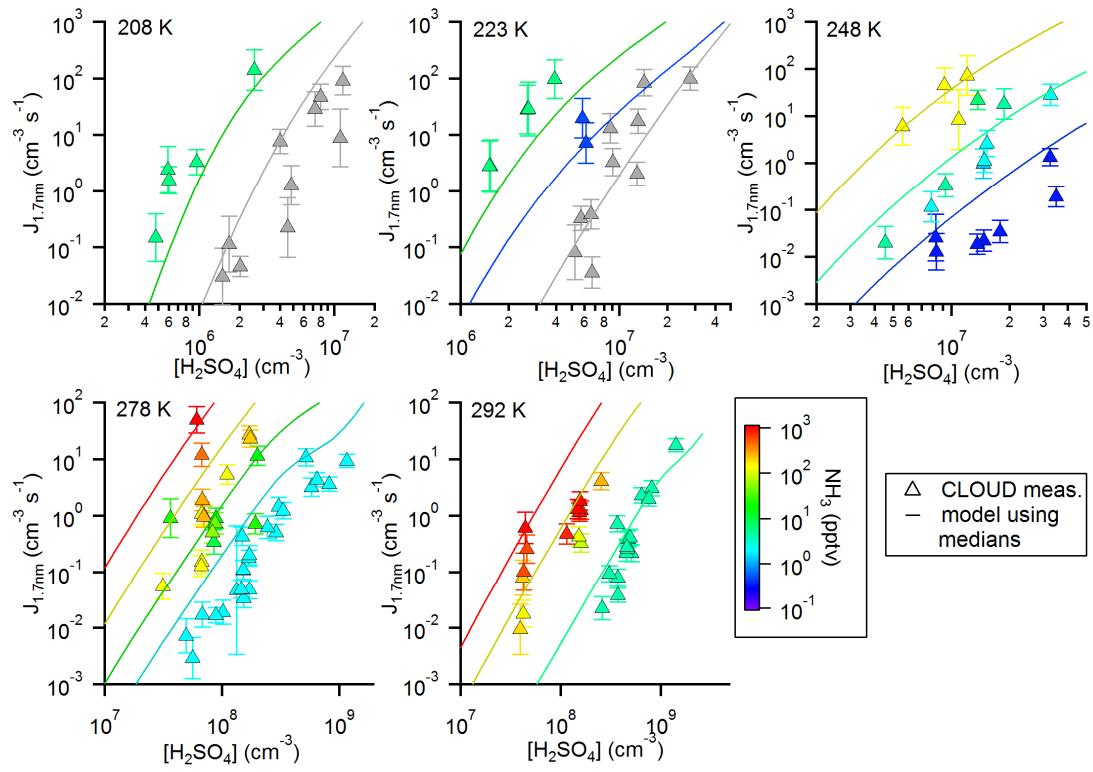
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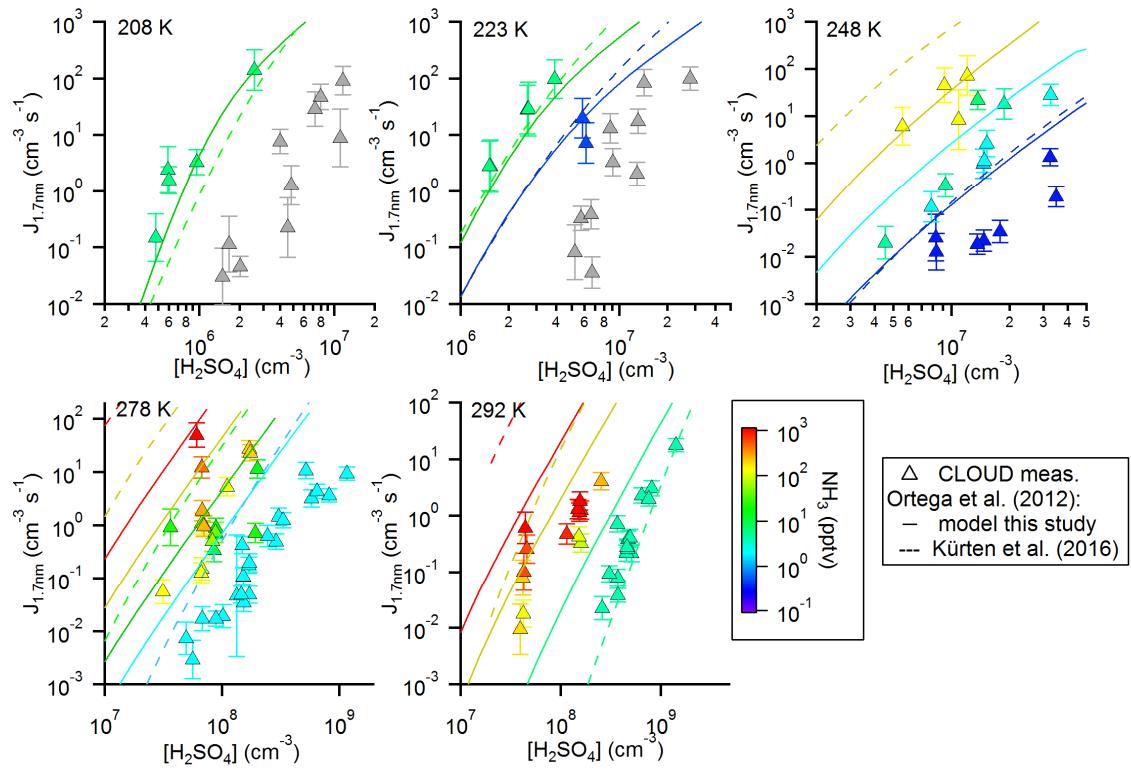
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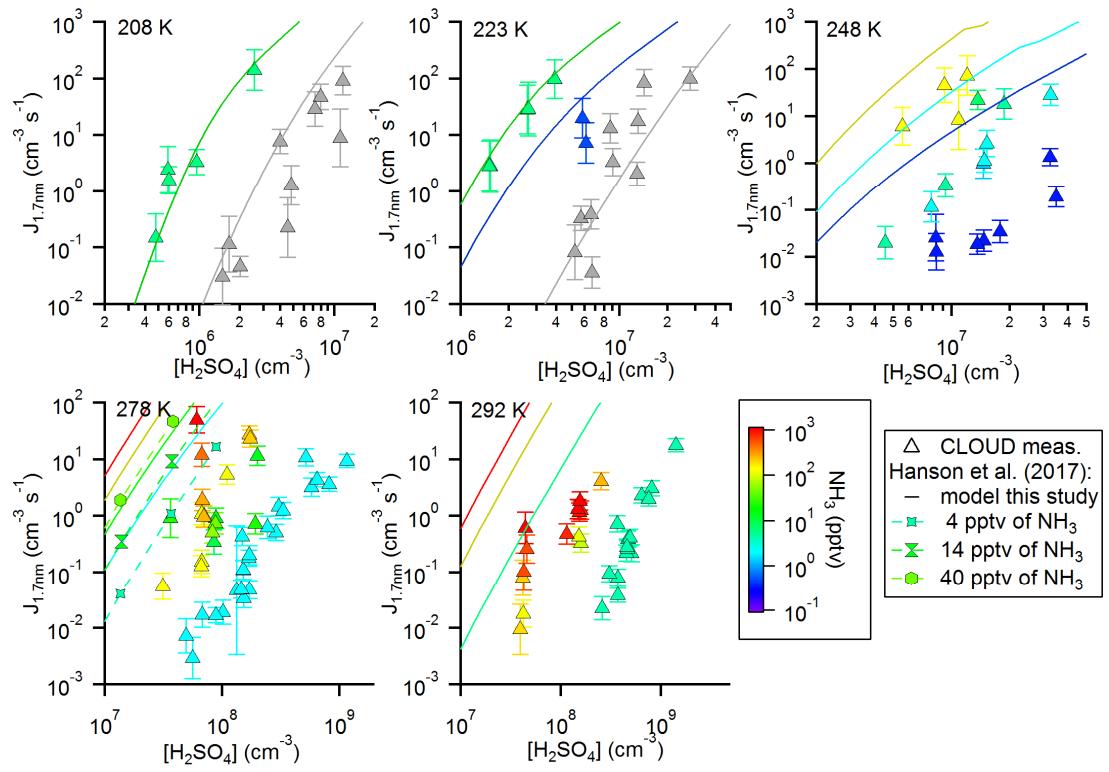
174 **Figure S1:** Probability density functions of  $dH$  and  $dS$  values for 11 clusters in the acid base  
 175 system ( $A_xB_y$  = cluster of sulfuric acid and ammonia with  $x$  sulfuric acid molecules and  $y$   
 176 ammonia molecules). The solid curves show the results from the Monte Carlo simulation. The  
 177 vertical lines indicate the literature data from Ortega et al. (2012, dashed lines) and Hanson et  
 178 al. (2017, dotted lines).



179  
180  
181 **Figure S2:** Comparison between simulated and measured new particle formation rates for five  
182 different temperatures. The color code indicates the ammonia mixing ratio; the grey symbols  
183 indicate pure binary conditions. The model uses the median values from Table 1 as the  
184 thermodynamic data (see also Figure 2).



185  
186  
187 **Figure S3:** Comparison between simulated and measured new particle formation rates for five  
188 different temperatures. The color code indicates the ammonia mixing ratio; the grey symbols  
189 indicate pure binary conditions. The solid lines show results for the thermodynamic data from  
190 Ortega et al. (2012) implemented in the model of the present study. The dashed lines show the  
191 calculated NPF rates published previously by using the ACDC model with the same  
192 thermodynamic data (Kürten et al., 2016).



193  
194  
195 **Figure S4:** Comparison between simulated and measured new particle formation rates for five  
196 different temperatures. The color code indicates the ammonia mixing ratio; the grey symbols  
197 indicate pure binary conditions. The model uses thermodynamic data from Hanson et al. (2017).  
198 The symbols (stars, hourglass symbols and hexagons) at 278 K are original data taken from  
199 Hanson et al. (2017).  
200

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