An orthogonal, one-pot, simultaneous UV-mediated route to thiol-ene/sol-gel film

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Abstract. We describe a novel combination of orthogonal reactions based on UV-driven thiol-ene and alkoxysilyl condensation reactions to form a single-step route toward thioether-bridged silsesquioxane films. Our chemical strategy consists of using two bifunctional (meth)acrylate (**E**) and propanethiol (**T**) trimethoxysilyl precursors containing two complementary functional moieties for thiol-ene coupling and sol-gel process. The reaction kinetics revealed that c.a. 85% of thiol and ene conversions were consumed concomitantly. Meanwhile, a complete hydrolysis was accomplished, affording ultimately a high degree of condensation (81%). Emphasis was placed on differences of mechanical properties between sol-gel hybrids resulting from thiol-ene reaction (**E-T** mixture) and ene homopolymerization (**E** only) using scratch test measurements. For the methacrylate system, the formation of thioether linkages within a vitreous silica network emerged as a useful strategy for the formation of a uniform, low-stress and flexible crosslinked hybrid structure. Enhanced mechanical properties were manifested by an expanded elastic domain, and better resistance to cracking. Moreover, there are clear indications that mechanical properties can be easily tuned upon varying the ratio of the two hybrid precursors.

Keywords: mechanical properties, thiol-ene, nanocomposites, photopolymerization, UV irradiation

1. Introduction

The revival of ‘thiol-ene’ reaction, which refers to the radical-mediated addition of thiol across terminal alkene, hinges mainly on some essential factors: accessibility of raw materials, mild reaction conditions, efficiency and chemoselectivity [1, 2]. The last feature refers to the fact that this coupling reaction can be ‘orthogonal’ to a broad array of reagents, solvents, and functional groups. Thiol-ene orthogonality [3] has already paved the way for step-growth polymerizations and chain functionalizations performed in a wide range of chemical environments: aerated [4] and aqueous [5] conditions for example, or in the presence of biological [6] and complex [7]

systems. The recent combination of thiol-ene with sol-gel chemistry provides another perfect illustration of this tolerance, because this inorganic polymerization process generally entails water and alcoholic solvents/byproducts, acid or base catalysts, and precursors bearing chloro or alkoxy hydrolyzable reactive groups [8]. However, most of the reported synthesis methodologies remain sequential describing a thiol-ene step uncoupled from the sol-gel process [9]. In most instances, the (photoinduced) thiol-ene cross-linking was thus carried out subsequently from isolated and purified thiol- or vinyl-functionalized hybrid building blocks including silsesquioxanes [10–17], POSS (polyoligomeric silsesquiox-

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ane) cages [18, 19] or zirconium oxoclusters [20], all prepared separately. These approaches were derived from the conventional two-step route used to synthesize hybrid sol-gel photopolymer films via radical or cationic photopolymerization [21]. Complementing a thiol-ene network with an intimately mixed silica or oxide matrix is clearly part of a wider strategy to improve its mechanical properties, which are usually plagued by low glass transition temperature and poor cross-link densities [22]. Although the aforementioned approaches claimed notable improvements in properties such as abrasion resistance, thermal stability [12] or refractive index [23], they do not allow optimum use of thiol-ene orthogonality, raising hopes that synthesis conditions could be dramatically improved.

We describe herein a combination of two photoinduced orthogonal reactions based on thiol-ene and alkoxysilyl sol-gel condensation toward the rapid formation of thioether-siloxane nanocomposite films. As outlined in Figure 1, this novel approach focuses on simultaneous, one-pot reactions, thereby minimizing the number of required synthetic steps and reducing the number of work-up and purification operations. Our chemical strategy consists of using a bifunctional monomer – 3-(trimethoxysilyl)propyl methacrylate (E_1) or acrylate (E_2) – containing two complementary ene and trimethoxysilyl functional moieties for thiol-ene and sol-gel process, respectively. This latter is coupled with 3-(trimethoxysilyl)-1-propanethiol (T) to form a single-step route toward thioether-bridged silsesquioxane films (if no

Figure 1. a) An orthogonal coupling strategy was developed by combining thiol-ene and sol-gel reactions for the synthesis of hybrid thiol-ene films. b) schematic representation of the thioether-bridged silsesquioxane network

homopolymerization of ene occurs) represented in Figure 1. Thiol-ene is photochemically triggered by the aid of a radical photoinitiator, while sol-gel process is catalyzed through the release of photoacids. The concept of sol-gel photopolymerization of silicon alkoxide-based films has the peculiar advantages of proceeding in bulk, thus avoiding solvent or water addition, and sol-gel transition. Nevertheless, it proceeds through a hydrolysis-condensation mechanism, entirely similar to that of a conventional hydrolytic sol-gel process [24, 25]. Catalysis is mediated by photogenerated Brönsted superacids, while the hydrolysis stage relies on the permeation of air moisture. The high chemoselectivity of thiol-ene allows it to form a highly orthogonal reaction pair with photoacid-catalyzed hydrolysis-condensation of the trimethoxysilyl groups. Additionally, this route obviates the necessity for solvent, deprotection/activation steps, or thermal densification of the oxopolymer network.

In contrast to chain-growth polymerization leading to multiple additions, thiol-ene is a true coupling reaction as a single S–C bond arises from the net addition of thiol across an ene. The resulting benefit is the formation of a well-defined silsesquioxane network including fewer crosslinks and flexible thioether bridges able to offset silica brittleness and residual stress. Emphasis was placed on the possible differences of mechanical responses between sol-gel hybrids resulting from thiol-ene reaction and ene homopolymerization since little study has been done so far on this subject. In our case, the choice of (meth)acrylate precursors (**E**) able to undergo both reactions creates an opportunity to elucidate their distinct mechanical behaviors. Unlike main approaches in the literature based on dynamic mechanical analysis, we investigated surface properties through scratch test apparatus equipped with *in situ* visualization and image-analysis protocol. This technique can provide new insight into the adhesion of the hybrid films, elasto-plastic properties of the film material, fracture mechanism, and more generally resistance to scratch.

2. Experimental section

2.1. Materials

3-(Trimethoxysilyl)-1-propanethiol (**T**, 98 mol%), 3-(trimethoxysilyl)propyl methacrylate (**E**₁, 98 mol%) and acrylate (**E**₂, 98 mol%) were purchased from Sigma-Aldrich and used without further purification.

4-methylphenyl)[4-(2-methylpropyl)phenyl]iodonium hexafluorophosphate (Irgacure 250, 75 wt% in propylene carbonate) is a ionic photoacid generator provided by BASF and used to photocatalyze the inorganic photopolymerization. The radical photoinitiator for thiol-ene coupling or ene homopolymerization is 2-hydroxy-2-methyl-1-phenyl-propan-1-one (Darocur 1173) kindly provided by BASF. The silicon wafer (100) substrate was purchased from SILTRONIX Silicon Technologies. Optically polished BaF₂ IR windows (25×5 mm) were purchased from Korth Kristalle GmbH.

2.2. Hybrid thiol-ene film preparation

2 wt% of I250 and D1173 were added to a **T-E**_i thiol-ene mixture containing an equimolar ratio in SH and C=C functions. Before UV exposure, the homogeneous and solvent-free formulations were cast onto two different substrates (BaF₂ pellet or borosilicate glass) using a wire-wound bar to yield a liquid film with a thickness of 3.8±0.2 μm. BaF₂ substrate was employed for real-time Fourier transform infrared (RT-FTIR) experiments. In this specific case, irradiation was performed at room temperature with simultaneous exposure to UV and IR analytical beam during 200 s. As UV source, a polychromatic mercury-xenon lamp (Hamamatsu, L8252, 200 W, 365 nm reflector) was used, which covers a broad continuous spectrum spanning from short-wavelength UV to infrared (250–2000 nm). This lamp was connected to a flexible light-guide to generate a spot light beam on the film sample and an elliptical cold reflector was added to prevent any adverse effects from heat (IR radiation). In the spectral region between 250 and 600 nm, the light irradiance *I* was estimated at 600 mW·cm⁻². After the irradiation process, the temperature increase was very limited (<2 °C), neglecting any thermal contribution to the sol-gel process. All measurements were repeated at least three times and reproducible results were obtained. Larger films dedicated to mechanical characterization and solid-state NMR analysis were prepared with the same formulation deposited on glass substrate previously cleaned with acetone and ethanol. In this second case, irradiation was performed at room temperature under an industrial UV conveyor using a microwave Hg arc lamp (H lamp, Fusion). The belt speed of the conveyor was set at 10 m/min and the lamp intensity at 100%. Under these conditions, for each pass, the emitted light dose

is 1.46 J/cm² (UVA [320–390 nm]: 0.45 J/cm², UVB [280–320 nm]: 0.42 J/cm², UVC [250–260 nm]: 0.09 J/cm² and UVV [395–445 nm]: 0.5 J/cm²). Only 5 passes under the UV conveyor were performed to afford solid crosslinked films. For ene chain-growth polymerization (homopolymerization), the same procedure was repeated without including the thiol-based organosilane (T).

2.3. Characterization

Time-resolved infrared spectra obtained by RT-FTIR spectroscopy were recorded with a Bruker Vertex 70. The resolution of the infrared spectra was 4 cm⁻¹. Liquid film thickness prior to irradiation was determined by optical profilometry using an Altisurf 500 workstation (Altimet) equipped with a 350 μ m AltiProbe optical sensor. During the UV irradiation, the absorbance decrease of the CH₃ symmetric stretching vibration band centred at 2848 cm⁻¹ (distinctive from CH₃ methanol vibration modes) was monitored to follow the methoxysilyl hydrolysis in PDMOS. All spectra were baseline corrected prior to integration with the software OPUS 6.5. To obtain quantitatively reliable ²⁹Si and ¹³C solid state NMR spectra, single pulse magic angle spinning (SPE-MAS) experiments were performed on a Bruker Avance II 400 spectrometer operating at 79.48 and 100.6 MHz respectively with a Bruker double channel 7 mm probe. Spectra were recorded using a pulse angle of $\pi/4$, a recycling delay of 80 and 60 s respectively, a spinning frequency of 4 or 5 kHz and high-power proton decoupling during the acquisition. ²⁹Si and ¹³C chemical shifts are both relative to tetramethylsilane. Deconvolution of the spectrum was performed using Dmfit software [26]. The scratch resistance of the UV-cured coatings deposited onto glass plate was characterized at room temperature using the apparatus described in previous articles [27]. The tip was a diamond sphere of radius 96 μ m, and the sliding speed was maintained constant (30 μ m/s). First of all, one should note that the penetration of the spherical indenter is at least 40 times smaller than the film thickness. As a result, this latter can be considered as a semi-infinite solid. The tests were performed under a dry atmosphere (Relative Humidity <4%). Stepwise normal load ramps were performed in the range of 0.04 to 10 N. At each force step, the tip moved over a distance of 1 mm to obtain a groove that can be analyzed in terms of steady state regime. The tangential load was recorded

throughout the experiment, and pictures were taken with the help of an *in situ* microscope equipped with a CCD camera. Then, the size and shape of the contacts on the coatings were analyzed through the transparent sample and substrate.

3. Results and discussion

3.1. Synthesis and characterization of thiol-ene hybrid films

Based on real-time FTIR data, Figure 2a is a conversion-time plot (SH, C=C and OSi(OCH₃)₃) during UV irradiation in an air environment of a E₁-T film including a stoichiometric ratio of thiol-ene functional groups. The formulation contains also 2 wt% diaryl iodonium salt photoacid generator (I250) and hydroxyphenylketone radical photoinitiator (D1173), to build-up the two silsesquioxane networks and the thioether bridges, respectively. The acid-catalyzed hydrolysis of OSi(OCH₃)₃ into SiOH, which precedes condensation, occurs at very fast rates achiev-

Figure 2. Temporal conversion in ene, thiol and methoxysilyl groups during the UV irradiation of E₁-T with a 1:1 thiol-ene stoichiometry (a) and E₁ (b). Kinetic data were obtained from integrated absorbance in the FTIR spectra: C=C (triangle, =CH₂ bending at 1310 cm⁻¹), SH (circle, S-H stretching at 2570 cm⁻¹) SiO-CH₃ (square, CH₃ asymmetric stretching at 2848 cm⁻¹).

ing completion after 20 s exposure. In addition, there are clear indications that thiol and methacrylate are consumed concomitantly, suggesting that a thiol-ene coupling is preferentially active compared to a conventional ene homopolymerization. Low film thickness ($\approx 4 \mu\text{m}$) and viscosity (2–3 mPa·s at 25°C) are both conducive to oxygen permeation to form peroxy radicals. While these species are inactive in a chain-growth radical process, they can readily abstract a hydrogen atom from a thiol to form an active thiyl radical adding to an acrylate. Therefore, a single transfer/addition thiol-ene mechanism is likely to operate under these conditions, to yield a homogenous thioether-bridged silica network as sketched in Figure 1. Furthermore, because sol-gel photopolymerization is generally under a water per-

meation controlled-regime [25], thin film conditions favour a fast a complete hydrolysis reaction. Under these conditions, thiol-ene reaction which takes place rapidly ($<25 \text{ s}$), proceeds almost synchronously with hydrolysis. This latter feature is critical to ensure high conversion (85%) as an early sol-gel vitrification is likely to impede the thiol-ene coupling reaction. Conversely, a conventional chain-growth polymerization can be favored by irradiating the single precursor **E**₁ film. In this second case, the silsesquioxane network architecture changes significantly (Figure 3). In conventional chain-growth polymerizations, each monomer is coupled to two other monomers units affording higher cross-link density in contrast to radical thiol-ene reaction in which each ene group forms only a single bond with

Figure 3. a) Dual ene homopolymerization and sol-gel process from precursors **E**₁ (R = CH₃) and **E**₂ (R = H) affording a polymer-siloxane nanocomposite structure represented in b).

another thiol. Figure 2b displays the organic-inorganic kinetic curves for the nanocomposite film derived from **E**₁ irradiated alone. The hydrolysis rates remain unchanged with respect to the thiol-ene **E**₁-**T** mixture, whereas the consumption of the methacrylate moiety is significantly different. As expected, methacrylate homopolymerization is slower and strongly restricted by oxygen inhibition, which limits the conversion to ca. 20%. However, the resultant polymethacrylate hybrid film is tack-free. Likewise, a thiol-ene/sol-gel tandem reaction was carried out with the **E**₂-**T** pair. Figure 4 shows some kinetic profiles very similar to the first couple (100% hydrolysis, 80% thiol-ene conversion). This suggests that this approach could accept a range of thiol- or ene-based silsesquioxane precursors. Compared with conventional routes to hybrid thiol-ene networks, a key feature of this tandem photosol-gel and photopolymerization is high reaction speed. Although the present data establish sol-gel and thiol-ene reactions as a robust orthogonal set of coupling reactions, the chemoselectivity must be mitigated by the fact that carboradicals from radical PI or thiol radi-

Figure 4. Temporal conversion in ene, thiol and methoxysilyl groups during the UV irradiation of **E**₂-**T** with a 1:1 thiol/ene stoichiometry (a). For comparison, kinetics of **E**₂ homopolymerization/sol-gel reaction is given (b).

cals can be oxidized by Ph_2I^+ . However, consumption of onium salt by this mechanism does not seem to affect the sol-gel progress.

Evidence of sol-gel condensation in the two nanocomposite thiol-ene films was indeed provided by ^{29}Si solid-state NMR. Figure 5 displays the quantitative ^{29}Si SPE-MAS NMR spectra of the two **E**₁-**T** and **E**₂-**T** nanocomposite films. In both systems, the broad resonances at -48 , -57 and -69 ppm were straightforwardly assigned to $(\text{XO})_2(\text{R})\text{Si}(\text{OSi})$ (T^1), $(\text{XO})(\text{R})\text{Si}(\text{OSi})_2$ (T^2) and $(\text{R})\text{Si}(\text{OSi})_3$ (T^3) where R is the organic component, and X is CH_3 or H (after hydrolysis). The proportion of the different T^n is provided in the same figure. A comparable and moderate condensation degree of 81% was estimated for the two thiol-ene hybrid films, supporting the efficiency of the photoinduced sol-gel reaction despite a high thiol-ene coupling yield. We note that similar levels were achieved with the two hybrid analogues obtained from the single ene organosilane precursors: **E**₁ (78%) and **E**₂ (80%), although much lower ene conversion was reported in this case ($<30\%$). Additionally, the formation of thioether bonds was investigated for the **E**₁-**T** pair by ^{13}C SPE-MAS NMR (data not given). The $-\text{C}-\text{S}-\text{C}-$ connectivity was reflected by the almost disappearance of the two unsaturated carbons (120–130 ppm) from **E**₁ and the appearance of an intense resonance at 35 ppm assigned to the two α -carbons attached to sulfur, with a chemical shift significantly different from α -carbon in thiols (25 ppm for $-\text{C}-\text{SH}$ in precursor **T**).

Figure 5. Solid-state ^{29}Si SPE MAS NMR spectra of UV crosslinked **E**₁-**T** (a) and **E**₂-**T** (b) thiol-ene hybrid films

3.2. Mechanical characterization

There is a vast array of work on the mechanical properties of thiol-ene networks [28–30]. However, the majority is focused on homogeneity in the network crosslink density reflected by a narrowing of the loss tangent peak measured via dynamic mechanical analysis [31]. In our case, the surface mechanical properties of the cured hybrid films have been emphasized by means of scratch tests. For the preparation of the specimens, larger surfaces (20×20 mm) were needed, and films were synthesized under a UV-conveyor (see experimental section). However, we checked that similar final conversions were obtained under these irradiation conditions. In order to evaluate the effect of thiol-ene coupling on film mechanical properties, **E₁-T** behavior was compared with **E₁** film, both having comparable inorganic content (27±2 wt%) and level of condensation (81±2 mol%).

As described in a separate publication [27], the ‘MicroVisioScratch’ set-up comprises a diamond tip draining across the film under increasing step-wise load, and coupled with *in situ* optical microscope observation to study the mechanical behavior and damage mechanism which appears during scratching. Figure 6 displays a set of *in situ* photo-

graphs of the grooving tip taken during the scratch tests performed on these three films. The most relevant pictures are provided as well as the corresponding average contact pressure (i.e. the normal force contact surface ratio). For the thiol-ene hybrid film **E₁-T**, three canonical mechanical domains can be observed. Below a threshold pressure of 2058 MPa, the *elastic* regime is maintained in which the film undergoes only a reversible deformation, and there is consequently neither residual scratching track nor damage after the passage of the tip (Image 1). Beyond 2058 MPa, appear consecutively the two usual forms of *cracking*: first *blistering* (Image 2) with a fracture mechanism restricted to the substrate/film interface. Above 2823 MPa, *flaking* occurs (Image 3) which reflects cohesive cracking within the film. By comparison, the film derived from single precursor **E₁** reveals no *elastic* domain but a very narrow *plastic* region (Image 1'). In this *plastic* domain, the contact area becomes dissymmetric showing an apparent front pad and the second distinctive point is a partial recovery of the groove left on the surface (Image 2'). For pressure greater than 115 MPa, *flak-*

Figure 6. Difference of scratch resistance between thiol-ene coupled hybrid films (**E₁-T**) and homopolymer hybrid films (**E₁**) as a function of the average contact pressure (P). The different regimes (*elastic*, *blistering* and *cracking*) are reported.

ing takes place (Image 2'). It is well-established that this critical pressure or load, generating the first damage in a scratch test, is representative of the behavior of a coating. As conclusion, this first methacrylate system (E_1 -T vs E_1) shows thiol-ene as a very efficient approach for the formation of crosslinked materials with enhanced mechanical properties. In glassy silsesquioxane networks, the residual stress may be important and modes of dissipation are frozen out and unable to accommodate deformation without the formation of cracks. In the E_1 -T thiol-ene system, we hypothesize that the introduction of flexible thioether bridges provides more molecular mobility and may balance the rigidity of the glassy siloxane network. While flexible connective organic

groups are able to extend elasticity domain and retard cracking, rigid carbon-carbon single bonds and more crosslinked of polymethacrylate main chain (E_1) do not give the ability for polymer segments to move and dissipate energy deformation, thus causing mechanical failure at low loading.

The further advantage of the thiol-ene hybrid can be illustrated by varying the proportion between the two precursors: E_1 and T as an easy means to modulate the proportion between ene homopolymerization and ene coupling. Where appropriate, Figure 7 shows the extent of the *elastic*, *blistering* and *flaking* domains as a function of the average contact pressure for six different hybrid films produced with different ene molar fractions: 0% (T), 20, 40,

Figure 7. Effect of thiol and ene monomer proportion on *elasticity*, *blistering* and *flaking* of E_1 -T hybrid films during scratch tests. Data are plotted as a function of the average contact pressure in MPa. (x/y [%]) E_1 -T is associated with a hybrid film including x [%] of ene and y [%] of thiol groups.

Figure 8. Difference of scratch behavior between thiol-ene hybrid films E_2 -T and its homologue based on radical chain-growth polymerization E_2 depending on average contact pressure (P)

Figure 9. Extent of *elastic*, *blistering* and *flaking* domains in E_2 -T hybrid films as a function of the thiol/ene molar proportions (x/y [mol%])

50% (E_1 -T₁), 80 and 100 % (E_1). There is clearly a progressive extension of the *elastic* domain, and better resistance to cracking as the ratio of thiol and ene functions approximates 1 (E_1 -T₁). The utility of forming thioether bridges within a silsesquioxane is thus demonstrated as a way to create a uniform, low-stress and flexible crosslinked hybrid structure. Moreover, there are clear indications that mechanical properties can be easily modulated. We see the versatility of the approach enabling to tune mechanical properties of polysulfide-crosslinked materials with varying the ratio of the two precursors.

Nevertheless, generalization of the findings of the E_1 -T couple to other thiol-ene couples seems challenging. For example, the E_2 -T thiol-ene film reveals somewhat similar elasticity, and cracking resistance compared to its homopolymerized homologue E_2 (Figure 8). In this second system, we clearly see that the added value of a flexible thioether linkage is less obvious, because the polyacrylate formed in E_2 has a much lower glass transition temperature than that of the polymethacrylate of E_1 . This difference is attributed to stiffening of main chain of the polymer by the α -methyl substituent of the polymethacrylate. However, Figures 9 illustrates again how mechanical properties can be tailored by changing the proportion of the two precursors, with clearly the best performances achieved with the thiol-ene hybrid film having 1:1 thiol ene molar ratio.

4. Conclusions

An orthogonal coupling strategy was developed by combining thiol-ene and sol-gel photoinduced reactions, which was subsequently applied to the one-pot synthesis of uniform and low stress hybrid films. Starting from two bifunctional meth(acrylate) and thiol trimethoxysilane precursors, thiol-ene has proven to be an efficient ligation tool to bind the two

resultant silsesquioxane networks together through a single coupled thioether product. In this approach the sol-gel process enables the formation of a rigid oxo-polymer siloxane network with high crosslink density, while the thiol-ene coupling imparts flexibility, thus improving elasticity and resistance to cracking of the final material. The interest of using (meth)acrylate organosilane precursors is that thiol-ene and conventional chain-growth polymerization can be combined with the ability to tailor mechanical response.

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