Principles of hydromorphological surveys of Polish rivers

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Abstract: This paper presents the key principles of the new Polish methodology for hydromorphological river surveys which is consistent with the provisions of the Water Framework Directive. This method proposes to investigate only the main watercourse of the water body. The assessment is based on cartographic maps, satellite images and the existing databases. Field surveys are limited to selected stretches of the water body. The classification of the river's ecological status and ecological potential is based on a hierarchical system comprising four elements: hydrological regime, river continuity, channel morphology and floodplain. They are evaluated in view of features characterized by selected attributes. The method is the same for natural and heavily modified water bodies, while a simplified methodology is used to investigate artificial water bodies. It does not account for differences in abiotic type, landscape or size of the catchment area. The results are presented in abridged and field protocols. The attributes are evaluated on a five-point grading scale or through a descriptive approach which supports the calculation of ecological quality ratios for quality elements, hierarchical system elements and the water body. The usefulness of the proposed method has been tested on 11 pilot water bodies. The presented approach enables to perform hydromorphological surveys of Polish rivers by 2015, as required under the Water Framework Directive.

Key words: *hydromorphological method, monitoring, river survey, Water Framework Directive*

INTRODUCTION

The Water Framework Directive (WFD) 2000/60/EC has placed the Member States under an obligation to evaluate the ecological status and the ecological potential of their rivers. Such assessments investigate the biological, hydromorphological, physical and chemical elements of watercourses. For many years, EU

members have been developing various methods for evaluating the above parameters, and a common methodological approach is not required. As part of the Common Implementation Strategy (CIS) of the WFD (2003) for 2003–2009, twenty two guidance documents have been developed to assist Member States in accomplishing this difficult task. Whereas various methods have been proposed for surveying selected biological elements, Poland has not developed a uniform approach to monitoring river hydromorphology. There are no methods for the collective presentation of evaluation results for all elements of the water body. The aim of ecomorphological surveys initiated in the 1980s was to change the perception of watercourses from that of drainage reservoirs to habitats of valuable flora and fauna species. As of 1995, ecomorphological river surveys in Poland involved an evaluation of selected features and attributes based on a point scale (ILNICKI and LEWANDOWSKI, 1995). Beginning in 2004, the British River Habitat Survey method was also deployed (SZOSZKIEWICZ *et al.*, 2004). The key principles of the new Polish methodology for hydromorphological river surveys (MHR), approved by the Chief Inspectorate for Environmental Protection in Warsaw in December 2009 (ILNICKI *et al.*, 2009), are presented below.

LEGAL AND FORMAL GROUNDS FOR THE PROPOSED METHODOLOGY

The scope of hydromorphological survey methods is dictated by the Water Framework Directive 2000/60/EC, the guidelines of standard EN14614 developed by the European Committee for Standardization CEN-TC 230 in 2004, and European guidance standard prEN 15843 approved in 2009. The first standard identifies 10 quality elements for assessing a river's hydromorphological condition, while the second determines the methodology for their evaluation.

The proposed method has to be consistent with the provisions of Directive No. 1882/2003 of 29 September 2003 standardizing and rationalizing environmental reports (EC Reporting Directive) and dedicated software developed for the European Commission in 2009 by Atkins Ltd. The discussed method has to be compatible with the Water Information System for Europe (WISE) developed since 2007 and the Shared Environmental Information System (SEIS) as part of which the European Environmental Agency has to be provided with a data dictionary for rivers, termed WISE-SoE: Rivers (formerly Eionet-Water). In 2009, WFD codes and indicators of hydromorphological quality elements were defined for reporting purposes (Tab. 1 and 2). The proposed method also falls subject to the provisions of Directive 2007/2/EC establishing an Infrastructure for Spatial Information in the European Community (INSPIRE) and metadata services. The transposition of the above provisions into the Polish law has not yet been completed.

An analysis of the numerous methods for hydromorphological river surveys developed in Europe in the past 25 years has contributed significantly to the pro-

Table 1. Parameters for the point rating of the hydromorphological status of natural water bodies and heavily modified water bodies in the MHR method – Elements 1 and 2 Codes of hydromorphological elements – Value QE2 Hydromorphstatusorpotential

Feature and code	Evaluated attribute (scale of 1–5 points)
Element 1: hydrological regime – QE2-1	
W-1 water flow QE2-1-1	changes in <i>SSQ</i> for (1981–2000):(1961–1980) flood risk: <i>SSQ:SWQ</i> for 1981–2000 drought risk: <i>SNQ:SSQ</i> for 1981–2000
W-2 flow characteristic QE2-1-1-1	disturbances caused by: reservoirs, water uptake, water transfer
W-3 connection to groundwater bodies QE2-1-2	% share of ground runoff (lowland water bodies only) broken connections between surface and underground water bodies
W-4 water uptake, transfer and retention QE2-1-1-4	surface water uptake: $>1 \text{ m}^3 \cdot \text{s}^{-1}$ and for more than 10 ha of ponds underground water uptake: $>5000 \text{ m}^3 \cdot \text{d}^{-1}$ wastewater discharge: $>3000 \text{ m}^3 \cdot \text{d}^{-1}$ water transfer discharge of cooling water or water with a chloride load $>1 \text{ kg} \cdot \text{s}^{-1}$ hydroelectric power station: output $>5 \text{ MW}$ weir afflux (length of water body) flood polders: $>20 \text{ ha}$ flows out or through a lake ($>50 \text{ ha}$)
Element 2: river continuity – QE2-2	
W-5 damming structures	length of water body (%) with limited possibility of fish migration

Note: The referenced features codes are based on “A user guide to the WFD Reporting Schemas (2009)”, and they have been adapted for the needs of the MHR method.

Explanations: *SNQ* – minimal annual discharge, *SSQ* – mean annual discharge, *SWQ* – high annual discharge.

posed methodology. Most of the analyzed approaches do not fully conform to the above legislative provisions, and they tend to disregard survey elements relating to the hydrological regime and river continuity. The analysis focused on the choice of the evaluated elements and features. The studies have an interdisciplinary character, and they should account for recent findings in natural sciences, water management and land reclamation.

A report on Poland’s water bodies (Raport..., 2005), the Atlas of Hydrographic Area Boundaries in Poland (Atlas..., 2005) and the Regulation of the Minister of the Environment on the classification and monitoring of ecological status, implemented in 2008–2009, have also contributed to the proposed methodology. The new method has to account for the classification of water bodies into various categories (natural, heavily modified, artificial), abiotic river types (a total of 26 have been identified), the size and characteristics of catchment areas (lowlands, highlands, mountains, physiographic mesoregions). Hydromorphological surveys

Table 2. Parameters for the point rating of the hydromorphological status of natural water bodies and heavily modified water bodies in the MHR method – Elements 3 and 4

Feature and code	Attribute
Quality element 3: river channel morphology – QE2-3	
W-6 planform QE2-2-3	range of watercourse regulation (% length) sinuosity index number of channels
W-7 longitudinal profile QE2-3-4	variability of longitudinal slope
W-8 cross profile QE2-3-1	variability of length, depth, bank inclination profile regularity bank slope presence of natural channel forms aggregate/stone mining in the river channel length (%) of embankments
W-9 river bed substrate QE2-3-2	cannot be assessed
W-10 revetment of the channel QE2-3-2-1	share (%) of bed protection in the length of the water body sediment discharge
W-11 river channel vegetation QE2-3-2-3	presence of coarse wood debris presence of numerous exposed roots on the bank aquatic vegetation cover on the water table (%) rush cover on the bank annual bank cutting and plant removal river shading presence of trees and shrubs on the bank
W-12 structure of the riparian zone (width of 10 m from the upper edge of escarpment) QE2-3-3	share (%) of areas not used for farming share of developed areas zone continuity
Element 4: floodplain QE2-4	
W-13 valley characteristics QE2-4-3-1	cannot be assessed
W-14 land use QE2-4-3-2	share of semi-natural and natural areas share of grassland share of developed areas
W-15 flood embankments QE2-4-3-3	share of periodically flooded areas width of the inter-embankment zone
W-16 nature conservation areas QE2-4-3-4	share of protected valley areas

should also rely on data supplied by surveys investigating other parameters of a river's ecological status as well as the location of control points for monitoring biological, physical and chemical elements.

A total of 4508 water bodies identified in Poland have a combined length of around 110,000 km. Due to high monitoring costs, a simple evaluation method is

required. Hydromorphological river surveys cover the entire water body. This approach constitutes the main difference between the discussed method and methods that evaluate ecological status parameters based on a system of control points.

An effective hydromorphological survey method has to account for the availability, cost, update status and range of cartographic maps, orthophotomaps, satellite geographic information systems (geographic information portals), hydrological databases and databases managed by watercourse administrators.

The discussed method should support the calculation of the analyzed river's ecological quality ratio (*EQR*) based on selected four elements, features and attributes evaluated on a point scale or through a descriptive approach. A set of reference conditions (river's natural condition) should be established for evaluating the river's present ecological status. Based on *EQR* values, a river's ecological status or ecological potential is classified into five or four classes. Owing to such extensive requirements, the development of a method for evaluating the hydromorphological attributes of Polish rivers is a complex process. A simple method characterized by low cost and low labor intensity is, therefore, required to address the above problem.

METHODOLOGY FOR HYDROMORPHOLOGICAL RIVER SURVEYS (MHR)

In view of varied hydrological features, mainly the diverse morphology of river channels and valleys, surveys have to cover the entire length of a water body, rather than subjectively selected sections with a length of 100–1000 m or monitoring points for assessing the biological, physical and chemical attributes of watercourses. The latter approach would produce numerous errors and it would not support a correct evaluation of ecological status. For this reason, the analogue principle may not be adopted in the first, six-year surveillance cycle. In addition to the main watercourse, water bodies often comprise tributaries with a completely different hydrological regime and morphological parameters; therefore, **surveys have to be limited to their main watercourse**. This approach narrows down the scope of the investigation from 110,000 km to around 75,000 km.

The proposed method does not account for water categories, landscapes, catchment areas and abiotic types as this diversity of features would require dozens of survey methods. In view of inaccurate criteria for differentiating natural water bodies from heavily modified waters, a single method has been developed for them and a separate method has been proposed for artificial watercourses. A similar approach has been adopted by the majority of European countries, some of which introduced separate methods for rivers with very large catchment areas (>10 000 km²).

Surveillance elements and the applicable features and attributes have been carefully selected to ensure that the proposed method delivers reliable results at

a relatively low cost. The features and attributes presented in Tables 1 and 2 have been chosen in view of the existing survey methods in Central Europe and the need to evaluate highly diverse parameters. Quality element codes for reporting to the European Commission are also indicated. Each of the four quality elements are assessed in view of several features and the features are evaluated based on selected attributes. A series of features (W-9, W-13), mostly attributes, are presented in descriptive form only (Tab. 3). The above applies to catchment area size, flow volume and valley characteristics. Flow volume is determined by the size of the catchment area, and it does not characterize a water body's ecological status or ecological potential. The evaluation of hydrological regime poses greatest difficulty. Landscapes and abiotic types have been taken into account to assess only selected features. Abiotic types have been combined into six groups to avoid errors

Table 3. Attributes evaluated by the MHR method in a descriptive approach only

Feature	Evaluated attribute
Element 1: hydrological regime	
W-1 water flow	specific flow ($\text{dm}^3 \cdot \text{s}^{-1} \cdot \text{km}^{-2}$)
	mean annual discharge (1961–1980)
	mean annual discharge (1981–2000)
	inviolable flow
	degree of human pressure on stream gauge records according to the Institute of Meteorology and Water Management (IMGW)
W-2 flow characteristics	longitudinal slope
	presence of a waterfall
	presence of a bridge with supports in the river channel
	waterway with sluices
W-3 connection to groundwater bodies	number of groundwater bodies
Element 2: river continuity	
W-5 damming structures	none
Element 3: river channel morphology	
W-7 longitudinal profile	average longitudinal slope
W-8 cross section	width of river channel between the upper edges of banks
W-9 river bed substrate	predominant granulometric composition of the river channel bed according to PN-EN-ISO 14688
	group of abiotic types
W-11 river channel vegetation	fallen trees in the river channel (fallen or cut by beavers)
Element 4: floodplain	
W-13 valley characteristics	valley cross-section
W-14 land use	predominant type of land use in the valley
W-15 flood embankments	location of river, road and railway embankments
	level of flood protection (25-20-1%)

in their identification (ILNICKI *et al.*, 2010). The river substratum has been described based on standard PN-EN ISO 14688-2:2006.

Topographic maps in the 1:50 000 scale (reference maps) and 1:10 000 (detailed maps) were regarded as most useful for the study. Analogue and digital **orthophotomaps** (satellite geographic information system) with 0.5 m resolution, available from Regional Centers for Geodetic and Cartographic Documents, (www.wodgik.pl), were also used. Geoportal (www.geoportal.gov.pl), Google Maps (<http://maps.google.com>), Geoserwer.pl (www.geoserwer.pl) and Zumi.pl (www.zumi.pl) websites proved to be valuable sources of data. Other reference materials involved theme maps (raster maps of hydrographic area boundaries in Poland, soil and agricultural map in the 1:100 000 scale, geological maps, wetland maps, updated maps of nature protection areas and other), hydrological and hydrographic atlases and data from reports developed for the Ministry of Environment. Hydrological data are available solely in the database of the Institute of Meteorology and Water Management (IMGW), and information on the scope of regulation, the condition of watercourses and hydroengineering structures can be obtained from Regional Water Management Authorities (RZGW) and Land Reclamation and Water Structure (ZMiUW) Authorities. The data acquired during hydromorphological surveys are to be compiled in a new database.

The main documents applied in the MHR method are office protocols. Identical protocols are developed for natural and heavily modified water bodies, while artificial water bodies are described by simplified protocols. Field protocols are identical for all water bodies. An **abridged protocol** comprises nine pages for most water bodies. The first page contains basic information on the investigated water body, the number of field protocols and digital photographs. A point rating system or a descriptive method applied to evaluate the studied attributes is stated separately for each feature on successive pages. This approach creates a hierarchical assessment system. The attributes are used to calculate *EQR* values for every feature, and the arithmetic mean of those values constitutes the *EQR* of the element. The ecological quality ratios are stated in the range of 0.0–0.1 to an accuracy of 0.01. The same method is applied to calculate the *EQR* for the investigated water body as the arithmetic mean determined for all four elements (Tab. 4).

A **field protocol** is compiled based on all data accumulated as part of the described approach. It is updated during field surveys covering minimum 10% of the studied water body's length. Field surveys should identify the following main features: W-5 Damming structures, W-8 Cross section, W-10 Revetment of the channel, W-11 River channel vegetation, W-12 Structure of the riparian zone. The results of field surveys are used to modify the office protocol and calculate ecological quality ratios.

Based on the resulting *EQR* values, the investigated water body is classified into one of five **ecological status classes**. Pursuant to the Framework Water Directive, all rivers have to conform to class 1 and class 2 requirements. This require-

Table 4. Excerpt from an office protocol for hydromorphological surveys of natural and heavily modified rivers

E-3 ELEMENT 3 – RIVER CHANNEL MORPHOLOGY		
The following features are evaluated: river course, degree of regulation, longitudinal profile, cross-channel profile, reinforcing structures, river channel vegetation, structure of the littoral zone		
Attribute	Evaluation method	Points
Feature W-6 – planform		
Range of river regulation	< 0% of water body length	5
	10–50% of water body length	3
	>50% of water body length	1
Sinuosity index (<i>k</i>) (lowland rivers only)	Ratio of water body length to valley length	
	$k \geq 1.3$	5
	$k 1.3\text{--}1.05$	3
	$k \leq 1.05$	1
Number of channels	braided channel	5
	single channel	3
Max. (<i>M</i>): ... points, Evaluation (<i>O</i>) ... points <i>EQR (O:M) W-6 = 0,...</i>		
Feature W-7– longitudinal section		
Average longitudinal slope according to a map in the 1:10 000 scale	Average slope ...%	none
Variability of longitudinal slope	high	5
	average	3
	low	1
Max. (<i>M</i>): ... points, Evaluation (<i>O</i>) ... points <i>EQR (O:M) W-7 = 0,...</i>		
Feature W-10– revetment of the channel		
Reinforcing structures. Channel has vertical banks built of rock, concrete or a tight steel wall; banks are reinforced with enrockment, gabions, concrete cladding, river chutes (ramps, rapids), spurs, current deflectors; channel transition into a pipeline (without spillways), siphon, boat and ferry harbours, other*	share in % length of both banks:	
	none	5
	low <5%	4
	average 5–30%	3
	high 30–50%	2
	very high >50%	1
Movement of sediment (dragged and floating)	natural, no aggregate mining	5
	visible erosion and colmatage	3
	disrupted, aggregate mining	1
Max. (<i>M</i>): ... points, Evaluation (<i>O</i>) ... points <i>EQR (O:M) W-10 = 0,...</i>		
Ecological Quality Ratio (<i>EQR</i>) OF THE RIVER CHANNEL MORPHOLOGY ELEMENT (arithmetic mean of features W6-W12)		
$(0,... + 0,... + 0,... + 0,... + 0,... + 0,...): 6 =$ <i>EQR = 0,...</i>		
Ecological Quality Ratio (<i>EQR</i>) of the water body (arithmetic mean of quality elements 1–4)		
$(0,... + 0,... + 0,... + 0,...): 4 =$ <i>EQR = 0,...</i>		

ment is very difficult to meet. Natural watercourses have higher class 2 boundary values (*EQR*), than heavily modified water bodies, while the lowest values are reported in respect of artificial water bodies.

Hydromorphological survey results are compiled in a special database kept by the Institute of Meteorology and Water Management. The data are used to develop maps where ecological status and ecological potential classes are assigned five color codes, as per WFD requirements. The hydromorphological features of watercourses in river basins, water regions and other areas are described based on MHR data.

DISCUSSION AND SUMMARY

The first methods for evaluating river ecomorphology were developed in Germany in the 1980s. In 1985–2009, new methods were proposed by other countries where a water body's ecological status was assessed based on selected features. The identified features were compared against ecological status features dating back to the 19th century with the use of a point rating system comprising 20–40 features. European standard No. 14614 identifies 10 features. The selection of features varied significantly and in most cases, it did not account for the hydrological regime, river passability for aquatic organisms and sediment discharge. The Water Framework Directive placed EU Member States under an obligation to monitor the hydromorphological status of their rivers, and selected countries (Czech Republic, Denmark, Poland, Slovakia) have developed new methods for hydrological river surveys. The assessment of the hydrological regime proved to be most problematic. A point rating system for evaluating ecological status ratios was popularly applied in line with guidelines prEN 15843. Most methods relied on five ecological status classes, of which only class 1 and class 2 correspond to a good ecological status required by the WFD.

The new Polish MHR method draws upon the experiences of various countries. It is fully consistent with the requirements of the Water Framework Directive and standard No. 14614. The method accounts for the provisions of other EU directives listed above and Polish regulations, and it supports the performance of river surveys by 2015. The method relies on cartographic maps, databases and geographic information systems. It has been tested in 2009 on 11 pilot water bodies in various parts of Poland. Office and field protocols enable the calculation of ecological quality ratios based on a clearly defined list of 16 features and 81 attributes. Only 25% of those attributes are presented in descriptive form, while the remaining items are evaluated on a point rating scale. The calculation of *EQR* for all features permits the identification of factors that prevent the attainment of a good ecological status and, therefore, require recovery measures.

CONCLUSIONS

The Hydromorphological River Survey method MHR is fully consistent with EU and Polish regulations, and it has been developed in view of the relevant experiences acquired by other countries. The method supports the achievement of deadlines for implementing river survey programs, set for 2015 by the Water Framework Directive.

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STRESZCZENIE

Podstawowe zasady monitoringu hydromorfologicznego polskich rzek

Słowa kluczowe: *metoda, ocena hydromorfologii rzek, Ramowa Dyrektywa Wodna, monitoring*

W pracy przedstawiono podstawowe założenia nowej, polskiej metodyki monitoringu hydromorfologicznego rzek (MHR), dostosowanej do wymogów Ramowej Dyrektywy Wodnej. Zgodnie z nią przewiduje się badanie jedynie całego głównego cieką jednolitej części wód. Ocena opiera się na materiałach kartograficznych i teledetekcyjnych oraz istniejących bazach danych. Prace terenowe wykonuje się w ograniczonym zakresie. Do oceny stanu i potencjału ekologicznego stosowany jest system hierarchiczny. Zakłada on dokonanie oceny czterech elementów: reżimu hydrologicznego, ciągłości rzeki, morfologii koryta i doliny zalewowej. Są one oceniane na podstawie licznych wskaźników charakteryzowanych przez wybrane atrybuty. W analogiczny sposób bada się cieki naturalne i silnie zmienione, w uproszczony cieki sztuczne. Sposób ten nie różni się dla wydzielonych typów biotycznych, krajobrazów i wielkości zlewni cieką. Wyniki oceny są prezentowane w protokołach kameralnych i terenowych. Atrybuty podlegają ocenie punktowej lub opisowej, która umożliwia obliczenie współczynników jakości ekologicznej wskaźników, elementów oraz jednolitej części wód. Przydatność metody MHR została sprawdzona w 11 pilotowych jednolitych częściach wód. Stwarza ona możliwość przeprowadzenia wymaganej oceny hydromorfologii cieków w Polsce do końca 2015 r., czego wymaga Ramowa Dyrektywa Wodna.

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