Nano level surface finishing technology of advanced ceramics – ELID (electrolytic in-process dressing)

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The present paper describes the highly efficient and precise ELID grinding method and presents a discussion on the ELID grinding process and the grinding characteristics of several kinds of ceramic materials. The following conclusions are obtained; (1) Good ground surface roughness and accuracy are achieved using the #4000 metal-bonded grinding wheel in through-feed centerless grinding for ZrO_2 optical fiber ferrules. (2) Efficient and precise grinding of spherical lens molds with cup wheels using the ELID process was proposed and tested in the present study. Our investigations revealed that ELID CG-grinding can be used to successfully achieve better surface roughness. (3) The ELID grinding method can be used to fabricate machined surfaces exhibiting desirable characteristics for hard AlN ceramics. The final finishing using a #30000 wheel produced an extremely smooth ground surface roughness of $0.008 \mu m Ra$.

1. Introduction

Efficient precision grinding techniques for ceramics are required in order to mass-produce ceramic parts. New grinding techniques for ceramics that employ fine bonded superabrasive wheels and high-stiffness grinding machines have been designed in order to achieve high grinding efficiency and produce high-quality ceramic parts. Ceramics are extremely hard to machine using conventional methods. Mirror finishing of these hard, brittle materials requires the use of diamond abrasives. Grinding in particular, compared with lapping and polishing, can be used to efficiently produce various geometric forms. A novel grinding technology, known as Electrolytic In-Process Dressing (ELID), which incorporates “in-process dressing” of metal-bonded wheels during the grinding process, while maintaining continuous protrudent abrasive from superabrasive wheels [1-5]. The present paper describes the highly efficient and precise ELID grinding method and presents a discussion on the ELID grinding process and the grinding characteristics of several kinds of ceramic materials.

2. Efficient and precision ELID centerless grinding of zirconia ceramics

2.1 Experimental set up for ELID centerless grinding of zirconia ceramic

In this section, micro-fabrication grinding with ELID centerless grinding is proposed. In an experiment, we conducted efficient, high-precision grinding of zirconia ceramics using the ELID centerless grinder shown in Fig. 1. Cast-iron-bonded diamond wheels of mesh size #170 for coarse grinding, #1200 for intermediate grinding, and #4000 or #8000 for finish grinding were used. The average grit size of the #4000 and #8000 wheels were approximately 4 microns and 2

microns, respectively. A chemical-solution-type grinding fluid was used as the medium for ELID. A specific pulse generator for ELID was used.

Fig. 1. ELID centerless grinder
1. ábra ELID csúcs nélküli csiszológép

2.2 Results of ELID centerless grinding of zirconia ceramics

Fig. 2. shows the relationship between in-feed speed and surface roughness in R_z using #2000 and #4000 wheels for the in-feed grinding of Si_3N_4 and ZrO_2 ceramics. Better results for ZrO_2 were obtained with the #4000 wheel at a lower in-feed speed of $0.04 mm/min$. Since higher in-feed speeds result in higher productivity, efficient centerless grinding was achieved with ELID.

Fig. 3. shows the relationship between total depth of cut and surface roughness in the grinding of Si_3N_4 and ZrO_2 ceramics. The surface roughness remains approximately constant during

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(1973) Doctor Engineering (University of Ibaraki). He's senior scientist at RIKEN (The Institute of Physical and Chemical Research) since 2007, visiting scholar at UC Berkeley since 2009, and visiting scientist at Keio University since 2001. The author proposes a method for actively and efficiently implementing surface modifications (functionalization) to workpieces during precision fabrication (grinding/cutting). According to this method, a mechanical-chemical reaction phenomenon, yet to be sufficiently clarified, between tool material and workpiece material can be controlled. Research of this method is considered to be of very high technological value. And using this method, the author has succeeded in developing a micro-tool having a diameter of less than $1 \mu m$. The author has published the highly valued results, and was a recipient of the 2008 F.W. Taylor Medal of CIRP. Since then, this method has been attracting considerable attention in production technology areas such as ultra-precision molds and bio-implant components.

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the ELID grinding of both ceramics after a total grinding depth of 40 microns. A better surface finish was obtained using the #4000 wheel for the grinding of the Si_3N_4 ceramic workpieces.

Fig. 4. shows an example of surface profile obtained using the #4000 wheel for ZrO_2 ferrules. A very smooth surface can be achieved. Table 1. shows the surface roughness (R_z) and straightness (R_q) obtained for different wheel grits. A significant improvement in surface roughness and straightness was achieved by fine-grit wheels using the ELID technique. The ELID process enabled the maintenance of protruding fine grains, which realized stable and efficient grinding performance with high quality and accuracy, with regard to roundness and straightness, for example.

Fig. 2. Relation between surface roughness and in-feed speed
2. ábra Összefüggés a felületi érdesség és az előtolási sebesség között

Fig. 3. Relationship between surface roughness and total depth of cut
3. ábra Összefüggés a felületi érdesség és a teljes vágási mélység között

Fig. 4. Example of surface profile produced by #4000 wheel and ELID technique
4. ábra Példa a No. 4000 csiszolókoronggal és ELID technológiával kapott felület profilra

	#800	#2000	#4000	#8000
R_z	0.90	0.26	0.066	0.072
R_q	0.40	0.26	0.032	0.054

Table 1. ELID centerless grinding results (μm) by through-feed for ZrO_2 ferrules
1. táblázat ZrO_2 szorítógyűrűk ELID csúcs nélküli csiszolásával elért eredmények (μm)

3. ELID grinding characteristics for the machining of optical surface quality for ceramic spherical lens molds

3.1 Mechanism of ELID CG-grinding

We have performed efficient, high-precision grinding of SiC and Si_3N_4 ceramic spherical lens molds with cup wheels by the ELID process (hereafter called ELID CG-grinding) and have investigated the ground surface characteristics in detail.

Similar to the conventional ELID grinding system, ELID CG-grinding is essentially composed of the following elements:

- a cup metal-bonded diamond wheel,
- an ELID DC-pulse power source,
- a specific grinding fluid (which served as an electrolytic agent),
- a fixed copper electrode. Fig. 5. shows a schematic diagram of the ELID CG-grinding process.

Fig. 5. Schematic of ELID CG-grinding
5. ábra Az ELID CG-csiszolás vázlata

The generating mechanism of the spherical surface ground with cup wheels was first introduced in 1920 by W. Taylor, an English scholar. As shown in Fig. 5., the workpiece is mounted on a work spindle, and the inclination angle α between the axis of rotation of the workpiece and that of the wheel spindle is properly adjusted. Theoretically, the radius of curvature of the lenses that are produced can be calculated using the following equations:

$$R = D_{si} / (2 * \sin \alpha) \quad (\text{for convex lens}) \quad (1)$$

$$R = D_{so} / (2 * \sin \alpha) \quad (\text{for concave lens}) \quad (2)$$

where R is the radius of curvature of the lenses, D_{si} is the internal diameter of the grinding wheel, D_{so} is the external diameter of the grinding wheel, and α is the inclination angle of the axes of rotation between the workpiece and the wheel.

From a geometrical point of view, the parameters of dimensional error and shape accuracy in ELID CG-grinding consist mainly of the following:

- inclination deviation of the workpiece axis from the wheel axis,
- position deviation of the wheel-workpiece contact point from the workpiece rotation center in the α plane,
- position deviation of the wheel axis from the α plane.

Among these, first and second affect the dimensional error of the lenses, and third influences the shape accuracy of the lenses. In contrast, wheel wear has no impact on the shape accuracy of the lenses that are produced.

3.2 Experimental setup for ELID CG-grinding

Experiments were conducted on a specific LOH machine. Two cup cast-iron-bonded diamond wheels were used. The mesh size of the wheels was #325 for coarse grinding and #4000 for finish grinding. NEXSYS was used as an ELID coolant. A DC-pulse generator was used as the ELID power supply, with adjustable output voltages, currents, and duty factors. An open voltage of 90 V with a current of 20 A was set for these experiments. SiC and Si₃N₄ ceramics were tested. Fig. 6. shows an overview of the experimental setup.

3.3 ELID CG-grinding of ceramic spherical lens molds

Fig. 7. shows the surface roughness and topography of the SiC spherical lens mold produced using ELID. Experimental conditions were a wheel speed of 5000 rpm, a workpiece rotation rate of 500 rpm, a feed rate of 30–50 µm/min for #325 and 4–6 µm/min for #4000, and a spark-out time of 60 s. Although some initial defects appear on the surface, the ELID process achieves an improved surface finish, with a surface roughness similar to that obtained by loose abrasive processes such as polishing or lapping. In this case, the finished roughness was approximately Ry = 73 nm and Ra = 3 nm, which demonstrates that ELID with extremely fine abrasives can produce highly smooth surfaces. This technique is also characterized by high precision and efficiency, attributable to the metal bonding of the abrasive. Finished examples are shown in Fig. 8. A mirror-like mold could be finished in approximately 3–5 minutes.

Fig. 6. Overview of experimental setup
6. ábra A kísérleti berendezés összeállítása

Fig. 7. Surface roughness and topography
7. ábra A felületi érdesség és a domborzat

Fig. 8. ELID ground lens mold
8. ábra ELID technológiával csiszolt lencse-öntőforma

4. ELID grinding characteristics of aluminium nitride (AlN) ceramics

4.1 Experimental set up for ELID grinding of AlN ceramics

Aluminum nitride (AlN) ceramics have excellent electrical insulation and dielectric properties, a high thermal conductivity that is approximately ten times that of alumina, as well as a thermal expansion coefficient that is close to that of Si. As such, AlN ceramics are anticipated to be used in semiconductor mounting boards, heat-dispersing substrates for power modules, and other heat-sink materials in a variety of high-value-added applications. In this section, we report the efficient, high-precision grinding of sintered AlN ceramics using the ELID process and describe the ground surface modifying characteristics in detail.

Table 2. lists the experimental conditions for ELID grinding. A precision rotational grinder was used for external grinding. The wheels used in this experiment were #325, #600 and #1200 for rough grinding, #2000 and #4000 for intermediate grinding, and #8000 and #30000 for finishing. The #30000 wheel had average grit size of approximately 0.3 µm. In addition, surface evaluation and testing were carried out on AlN, in which ELID grinding was performed in order to examine the surface properties and surface modifying effect.

Fig. 9. shows the results of surface roughness measurements for AlN subjected to ELID grinding. The obtained results indicate that the finer the grain size of the grinding wheel, the greater the improvement in the surface roughness. A dramatic improvement in the roughness of the ground surface was confirmed between the #600 and #2000 wheels. This is attributed to changes in the material removal mechanism between the two grains. By final-finish machining using #30000 abrasive, a satisfactory surface roughness of 0.008 µm Ra was obtained. Significant improvement in surface roughness and form accuracy was successfully achieved by fine-grit wheels using the ELID technique.

4.2 Observation of the ELID ground surface

Fig. 10. shows the results of SEM observations of the ground surfaces obtained with #325 to #30000 grinding wheels. The

surfaces ground with the #325 wheels demonstrate a rough processed state with the material removed by the breakdown of the grain boundary. On the surface ground with the #1200 wheel, a small number of areas in which the material was removed by means other than the breakdown of the grain boundary were confirmed. However, in the same way as the surface ground with the #325 wheel, most of the areas were removed in the brittle failure mode, in which the breakdown of the grain boundary is the principle mechanism. Conversely, the surfaces ground with the #2000 and #30000 wheels were processed to a smooth surface, with almost no breakdown of the grain boundary observed. SEM observations of machined surfaces confirmed that between rough machining with #1200 abrasive and intermediate finishing with #2000 abrasive, there exists a brittle-ductile transition point for aluminum nitride. In order to create a high-quality machined surface, the use of fine abrasive particles of at least #2000 is essential.

Workpiece	Aluminumnitride (AlN) ceramics
Grinding machine	Precision rotational grinder
Grinding wheel	Cast iron bonded diamond wheel (#325, #600, #1200, #2000, #4000, #8000, #30000)
Grinding conditions	Wheel rotation: 2000 min ⁻¹ , Work rotation: 300 min ⁻¹ , Depth of cut: 2 μm/min, 1 μm/min
Grinding fluid	Chemical solution type grinding fluid (5% dilution to water), Density: 1.07 g/cm ³ , pH(x50): 10.0, Conductivity (x50): 1230 μs/cm
Electrical conditions	Open voltage: 90 V, Peak current: 10 A, Pulse timing (on/off): 2/2 μs, Pulse wave: square

Table 2. ELID grinding conditions
2. táblázat Az ELID technológia paramétereit

Fig. 9. Relation between mesh size and surface roughness
9. ábra A szitaméret és a felületi érdesség összefüggése

(a) #325

(b) #1200

(c) #2000

(g) #30000

Fig. 10. SEM images of ground surface by ELID

10. ábra ELID technológiával csiszolt felület pásztázó elektronmikroszkopos felvételei

5. Summary

The following conclusions are obtained; (1) Good ground surface roughness and accuracy are achieved using the #4000 metal-bonded grinding wheel in through-feed centerless grinding for ZrO₂ optical fiber ferrules. (2) Efficient and precise grinding of spherical lens molds with cup wheels using the ELID process was proposed and tested in the present study. Our investigations revealed that ELID CG-grinding can be used to successfully achieve better surface roughness. (3) The ELID grinding method can be used to fabricate machined surfaces exhibiting desirable characteristics for hard AlN ceramics. The final finishing using a #30000 wheel produced an extremely smooth ground surface roughness of 0.008 μm Ra.

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ELID (Electrolytic In-process Dressing) – a progresszív kerámiák nanométer nagyságrendű felületfiniselő technológiája

Ez a cikk ismerteti és megvitatja az igen hatékony és pontos ELID finiselési technológiát, és bemutatja többféle kerámiái anyag csiszolási jellemzőit. A levont következtetések: (1) A No. 4000 fémkötésű csiszolókorong használatával jó felületi érdességet és pontosságot értünk el a ZrO₂ optikai szál szorítógyűrűk áteresztéses, csúcs nélküli csiszolása során. (2) A jelen kutatások során fazékkorongos csiszolást javasoltunk és próbáltunk ki gömbölyű lencsék öntőformáinak megmunkálásához az ELID folyamat alkalmazásával. A kutatási eredmények arról tanúskodnak, hogy a jobb felületi érdesség eléréséhez sikeresen használható az ELID CG-csiszolás. (3) Az ELID technológia alkalmazható a megkívánt jellemzőkkel rendelkező felületű, kemény AlN kerámiák gépi csiszolásához is. A No. 3000 csiszolókoronggal végzett finiselés rendkívül finom felületi érdességet (0,008 μm Ra) eredményezett.