Multispectral Image Compression for various band images with High Resolution Improved DWT SPIHT

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Abstract

Satellite imageries which comprises of various multispectral spectral bands pertaining to spectral and spatial information of the images acquired by latest multispectral sensor technology are rapidly increasing day by day in the recent years for onboard satellite remote sensing applications. A lossy multispectral image compression is desired by the exploitation of the redundancies present in the spatial and spectral information while preserving the vital and crucial information of the image objects to a certain extent. In this paper a novel approach is proposed for lossy multispectral image compression which is an extension to the earlier existing algorithms. In this proposed method the multispectral images are first enhanced with interpolation based super resolution technique to estimate a hi-resolution (HR) image from a low-resolution (LR) input image. Secondly the decorrelated spectral bands transformed by discrete wavelet transform (DWT), which contain maximum entropy, are selected and these representative spectral bands are quantized and encoded using Improved SPIHT (ISPIHT) algorithm. The algorithm has been designed for the optimization of maximum coding efficiency and for high compression ratio of bits per pixel per band when compared with the well known compression techniques.

Keywords: Multispectral Images, DWT, ISPIHT, LIBT, LIST

1. Introduction

Satellite borne spectrometers which cover large tracts of earth's surface contain large amount of information over visible bands contained in the multiple bands of multispectral images in the Electromagnetic (EM) spectrum when compared to RGB color images. Multispectral cameras are more expensive due to their high resolution and acquisition capabilities when compared to their RGB counterparts. Multispectral cameras which contain separate sensor arrays for each band of the EM spectrum requires large number of optical and mechanical parts for image acquisition thereby increasing the cost and size of the cameras. In remote sensing applications storage and transmission of multispectral images introduces difficulties due to large amount of data present in these images. In order to mitigate these problems there is a need to reduce the data size by means of multispectral image compression. This is achieved by a high performance and a low complexity compression codec like ISPIHT. The traditional methods of multispectral image compression are mainly based on dimensionally reduction through principal component analysis (PCA) [1] [2] [3] or through direct vector quantization and differential pulse code modulation (DPCM). Energy compaction of the decorellated spectral bands is the main advantage of the PCA method whereas the data dependency for the calculation of the covariance matrix among decorellated frequency bands is the main disadvantage. The correlation between multispectral bands is expressed through, Karhunen-Loeve transform (KLT) and Vector quantization (VQ) which is often used in

ISSN: 2005-4254 IJSIP Copyright © 2016 SERSC compression [4]. KLT is normally used for a few number of spectral bands but computing KLT for 224 bands is usually not practical for AVIRIS multispectral images. Embedded block coding with optimized truncation (EBCOT) scheme is implemented for JPEG 2000 coder and decoder with wavelet based compression method. Discrete cosine transforms (DCT) is not so impressive due its poor image quality in spite of many advantages like approximating the signal to large extent. Recently, an adaptation of the context based adaptive lossless image codec (CALIC) to on board multispectral data compression has been presented in [5]. Mean-normalized vector quantization (M-NVQ) is proposed by Ryan and Arnold for lossless AVIRIS compression [6]. So looking back to these problems a novel approach has been proposed for lossy compression of high resolution multispectral images using DWT and ISPIHT. We investigate various lossy compression algorithms for performance evaluation of multispectral images and compare them with the proposed method. The compared algorithms include DCT KLT [7], DWT SPIHT [2] algorithms respectively.

The need for multispectral image compression is explained in section 2. A high resolution image obtained from a low resolution image using interpolation based super resolution technique and encoding the maximum entropy decorrelated spectral bands using DWT IPIHT is discussed in section 3. The performance evaluation is discussed in section 4. The results obtained from various bands of multispectral images are compared to the performance of DCT KLT, DWT SPIHT and proposed DWT Improved SPIHT in terms of PSNR, CR, BER, MSE and SSIM in section 5. In section 6 we conclude and present perspectives for future applications.

2. Need for Compression

The demand for image compression for image storage and transmission bandwidth is rapidly increasing in spite of mass storage devices, high processor speed devices for digital communication systems. A simple uncompressed geographic information system (GIS) multispectral image like world map (3X2 ½ feet in size, for example) requires 1 GB storage. To retrieve this data remotely over internet with an internet transmission speed of 1 Mbps (bits per second), requires more than 2 hours. Thus there is a need to compress these images by exploiting their redundancy nature. Multispectral image compression is done by translating the image data to a more compact form for storage and transmission purpose in remote sensing applications, thereby decreasing the cost and the complexity of the coding and decoding circuit. There are two types of redundancy present in multispectral images: spatial and spectral redundancy. Spatial redundancy refers to the correlation among the neighboring pixels due to the patterning, or self similarity within an image. The redundancy occurred due to the correlation between different color planes or spectral bands is called spectral redundancy. The objective of the compression technique is to reduce these spatial and spectral redundancies without any loss of image information to a maximum extent. To reduce these redundancies there are two types of compression techniques called as lossless image compression and lossy image compression. The reconstructed image from the compressed image is identical to the original image in case of lossless image compression which keeps the redundant information intact. Wherein a high compression ratio can be achieved with lossy image compression for which the reconstructed image is not identical to the original image.

3. Proposed Compression Technique

3.1. Image Super-Resolution

A hi-resolution (HR) image is obtained from a low-resolution (LR) input using Super-resolution algorithm. There are mainly three methodologies for this approach: interpolation based methods, reconstruction based methods, and learning based methods.

The simplest methods are interpolation methods but they tend to blur the high frequency details. Interpolation is the process of defining a function which estimates the values of a continuous function from discrete samples on specified values at specified points. Interpolation is used in Image processing applications like image magnification or reduction, by sub pixel image registration, to correct spatial distortions. By performing uniform sampling at or above the Nyquist rate a perfect reconstruction of image can be done using interpolation. The super resolution process is characterized using high frequency content of the images for interpolation technique. Properties of DWT make it interpolable [8]. Symlet wavelet functions are used to calculate DWT of the image. DWT gives four sub bands; three of these four sub bands contain high frequency contents of an image. High frequency sub band images (LH, HL and HH) are enlarged by a factor of 2 using bicubic interpolation. LL sub band of wavelet domain is low pass filtered part of high frequency sub band. This means original image is rich in information compared to that of LL component. Instead of using LL component for getting inverse discrete wavelet transform we have used original image. This gives more detail information as compared to that of IDWT with LL component. Detailed flow chart of the image resolution enhancement with proposed method is shown in figure 1. As shown in figure 1 high frequency sub bands of DWT are interpolated to get sub bands of same size as that of image. Input image and three components are used as input to IDWT which gives super resolution image.

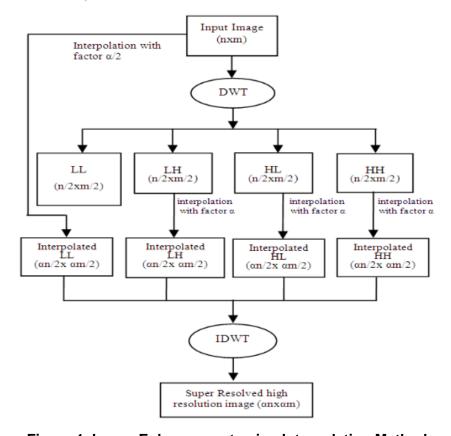


Figure 1. Image Enhancement using Interpolation Method

3.2. Multi Resolution 2-D wavelet Decomposition

In the proposed method, the maximum entropy and de-correlated bands are selected for the exploitation of spectral correlation that exists between the adjacent bands which contain maximum information. Two dimensional Discrete Wavelet Transform is applied on these representative bands which are then quantized and encoded using Improved

SPIHT [9] algorithm. The spectral correlation which exists in wavelet coefficients of multispectral image are assumed for encoding process. This proposed scheme exploits the biorthogonal subclasses of the spatial and spectral correlation of the multispectral images. The proposed methodology consists of mainly two steps. In the first step, all bands of multispectral image are analyzed independently using two-dimensional symlet 8 DWT. In the second step the wavelet coefficients of maximum entropy and de-correlated bands are quantized and encoded using Improved SPHIT algorithm. ISPIHT is the wavelet based image compression method which provides the highest image quality [10].

3.2.1. Sub band Coding: The multispectral image is decomposed into band limited components, called sub bands, to reconstruct the original image without error using reassembled sub band coding. Since the bandwidth of the resulting sub bands y_0 (n) or y_1 (n) is smaller than the original signal x (n), the sub bands can be down sampled without any degradation of information. The reconstruction of the original signal is obtained by up sampling, filtering, and summing the individual sub bands. In sub band coding, an image Figure 2 shows the components of two-band sub band coding and decoding system.

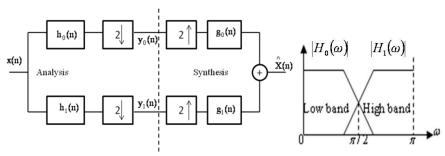


Figure 2. Two-Band Filter Bank for One-Dimension Sub Band Coding and Decoding

3.2.2. Multi Resolution Analysis: A scaling function is used in multi resolution analysis to create a series of approximations of a signal. A wavelet function is used to obtain the difference in information using encoding the data between adjacent approximations. A signal f(x) can be represented as a linear combination of expansion functions

$$f(x) = \sum_{k} \alpha_k \phi_k(x) \tag{1}$$

Where the α_k is real-valued expansion coefficients and the \mathcal{O}_k (x) are real-valued expansion functions and if this expansion is unique, the $\mathcal{O}_k(x)^{\phi_k(x)}$ are called basis functions. The function space of the expansion set $(\mathcal{O}_k(x))$ is

$$V = span_{k} \{ \phi_{k}(x) \}$$
 (2)

And $f(x) \in V$ means that f(x) is in the span of $(\emptyset_k(x))$ and can be written in the form of Eq. (1). The coefficients α_k are computed by taking the integral inner products of the dual $\tilde{\phi}_k(x)$'s and function f(x). That is

$$\alpha_k = \left\langle \tilde{\phi}_k(x), f(x) \right\rangle = \int \tilde{\phi}_k^*(x) f(x) dx \tag{3}$$

If $(\emptyset_{j,k}(x))$ is an orthonormal basis for V, then $\phi_k(x) = \widetilde{\phi}_k(x)$. If $(\emptyset_k(x))$ are not orthonormal but is an orthogonal basis function for V, and the basis functions and their residual duals are called biorthogonal. That is

$$\left\langle \phi_{j}(x), \tilde{\phi}_{k}(x) \right\rangle = \delta_{jk} = \begin{cases} 0, & j \neq k \\ 1, & j = k \end{cases}$$

$$\tag{4}$$

Now consider the set of expansion functions $(\emptyset_{j,k}(x))$ composed of integer translations and its binary scales for the real, square-integral function $\emptyset(x)$ where

$$\phi_{i,k}(x) = 2^{j/2}\phi(2^{j}x - k) \tag{5}$$

for $k \in Z$ and $\emptyset(x) \in L^2(R)$. Because the shape of $\emptyset_{j,k}(x)$ changes with j, $\emptyset(x)$ is called a scaling function. The subspace spanned over k is denoted for any j as

$$V_{j} = span_{k} \left\{ \phi_{j,k}(x) \right\} \tag{6}$$

The scaling wavelet function has four prominent requirements of multi resolution analysis:

- 1. The integer translates are orthogonal for its scaling functions.
- 2. The subspaces which are spanned at low scales are nested within those spanned at higher scales by the scaling function. That is

$$V_{-\infty} \subset \cdots \subset V_{-1} \subset V_0 \subset V_1 \subset V_2 \subset \cdots \subset V_{\infty}. \tag{7}$$

The only function that is common to all V_i is f(x) = 0.

That is
$$V_{-\infty} = \{0\}$$
. (8)

Any function can be approximated with arbitrary precision.

That is,
$$V_{\infty} = \left\{ L^2(\mathbf{R}) \right\}$$
 (9)

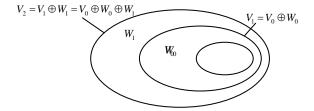


Figure 3. The Relationship between Scaling and Wavelet Function Spaces

$$V_{i+1} = V_i \oplus W_i \tag{10}$$

$$L^{2}(\mathbf{R}) = V_{0} \oplus W_{0} \oplus W_{1} \oplus W_{2} \oplus \cdots \tag{11}$$

Or Even
$$L^2(\mathbf{R}) = \cdots \oplus W_{-2} \oplus W_{-1} \oplus W_0 \oplus W_1 \oplus W_2 \oplus \cdots$$
 (12)

3.2.3. Discrete Wavelet Transform: The wavelet series expansion function is denoted by the function $f(x) \in L^2(R)$ relative to wavelet $\psi(x)$ and scaling function $\emptyset(x)$. We can write

$$f(x) = \sum_{k} c_{j_0}(k) \phi_{j_0,k}(x) + \sum_{i=j_0}^{\infty} \sum_{k} d_j(k) \psi_{j,k}(x)$$
(13)

Where j_0 is an arbitrary starting scale and the c_{jo} (k) are normally called the approximation or scaling coefficients, the d_j (k) are called the detail of information or wavelet coefficients. The expansion coefficients are calculated as

$$c_{j_0}(k) = \left\langle f(x), \tilde{\phi}_{j_0,k}(x) \right\rangle = \int f(x) \tilde{\phi}_{j_0,k}(x) dx \tag{14}$$

$$d_{j}(k) = \langle f(x), \tilde{\psi}_{j,k}(x) \rangle = \int f(x)\tilde{\psi}_{j,k}(x)dx$$

$$\tag{15}$$

Sequences of numbers are used to expand the function, like samples of a continuous function f(x). Discrete wavelet transform (DWT) of f(x) is obtained by the resulting coefficients. Then the series expansion defined in Eqs. (14) and (15) becomes the DWT transform pair

$$W_{\phi}(j_0, k) = \frac{1}{\sqrt{M}} \sum_{x=0}^{M-1} f(x) \tilde{\phi}_{j_0, k}(x)$$
 (16)

$$W_{\psi}(j,k) = \frac{1}{\sqrt{M}} \sum_{x=0}^{M-1} f(x) \tilde{\psi}_{j,k}(x)$$
 (17)

for
$$j \ge j_0$$
 and

$$f(x) = \frac{1}{\sqrt{M}} \sum_{k} W_{\phi}(j_{0}, k) \phi_{j0,k}(x) + \frac{1}{\sqrt{M}} \sum_{j=j_{0}}^{\infty} \sum_{k} W_{\psi}(j, k) \psi_{j,k}(x)$$
(18)

Where f(x), $\phi_{j_0,k}(x)$, and $\psi_{j,k}(x)$ are functions of discrete variable x=0,1,2,..., M-1.

3.2.4. Wavelet Transforms in Two Dimensions

Two-dimensional scaling function, and three two-dimensional wavelet functions are required in a two dimensional wavelet transform,. Each is the product of a one-dimensional scaling function ϕ and corresponding wavelet ψ .

$$\phi(x, y) = \phi(x)\phi(y) \tag{19}$$

$$\psi^{H}(x,y) = \psi(x)\phi(y) \tag{20}$$

$$\psi^{V}(x,y) = \phi(y)\psi(x) \tag{21}$$

$$\psi^{D}(x, y) = \psi(x)\psi(y) \tag{22}$$

The variations along columns (like horizontal edges) are measured with ψ^H , the variations along rows (like vertical edges) are measured with ψv , and the variations along diagonals are measured with ψD . The two-dimensional DWT can be implemented from one-dimensional discrete wavelet transform, using digital filters and down samplers. With the available separable two-dimensional scaling and wavelet functions, the one-dimensional FWT of the rows of f (x, y) is realized, followed by the one-dimensional FWT of the resulting columns.

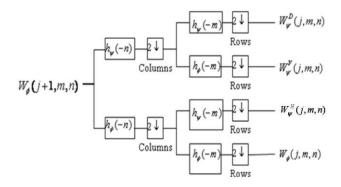


Figure 4. The Two-Dimensional FWT Analysis Filter Bank

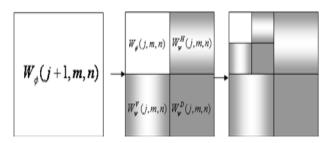


Figure 5. Two-scale of Two-Dimensional Decomposition

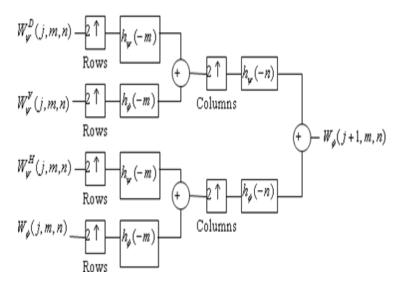


Figure 6. The Two-Dimensional DWT Synthesis Filter Bank

The single-scale filter bank of Figure 5 can be "iterated" by tying the approximation output to the input of another filter bank available to generate an arbitrary scaling transform. Like in the one-dimensional case, image f(x, y) is used as the first scale input, and output four quarter-size sub images — W_{\emptyset} , $W\psi^H$, $W\psi^V$, and $W\psi^D$. These sub images are shown in the middle of Figure 5. The available two iterations of the filtering process are required to produce the two-scale decomposition at the right of Fig 4. The synthesis filter bank which reverses the above process is described above in Figure 6.

3.3. Improved Set Partitioning of Hierarchical Trees

The Improved SPIHT algorithm is more efficient in terms of implementation when compared to the existing EZW (Embedded Zero Wavelet) [11] [12] algorithm which was

presented by Shapiro. Wavelet transform image coding using traditional SPIHT has the advantages of embedded bit coding stream structure, with high compression rate, low complexity and easy to implement [13]. After applying wavelet transform to a multispectral image, the ISPIHT algorithm partions the decomposed wavelet into more significant and least insignificant partitions based on the following function:

$$Sig_n(T) = \{1, \max_{(i,j) \in T} | C_{i,j} | \ge 2^n \}$$
 (23)

Here $Sig_n(T)$ is the significance obtained from a set of coordinates T, and $c_{i,j}$ is the coefficient value at coordinate (i, j). The maximum number of encoded bits required to represent the largest coefficient in the spatial orientation tree structure is achieved and represented by

$$n_{\text{max}} = \left[\log_2 \left(\max_{(i,j)} \left| C_{i,j} \right| \right) \right] \tag{24}$$

There are mainly two passes in the algorithm- the sorting pass tree structure and the refinement pass tree structure. The ISPIHT encoding process utilizes three lists *LIBT* (List of Insignificant Block Test) – It contains individual coefficients as a block that have magnitudes smaller than the thresholds *LIST* (List of Insignificant Sets Test) – It contains set of wavelet coefficients that are described by the encoding tree structures and are found to have magnitudes lesser than the threshold. *LSP* (List of Significant Pixels) – It is a list of pixels found to have magnitudes greater than the threshold (significant) and *VT* (vector Tree) corresponding to *LIST*. The sorting pass is performed on the above three lists.

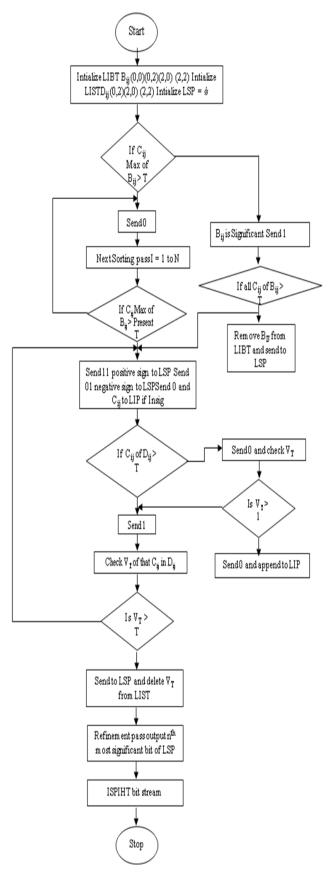


Figure 7. Flow Chart of Improved SPIHT Algorithm

4. Performance Evaluation

Lossy compression performance traditionally is determined by simultaneously measuring the number of data operations which are required to perform both the encoding and decoding processes in terms of MSE, PSNR, CR, BER and SSIM.

4.1. Mean Square Error (MSE)

The most often used measurement is the mean square error (MSE). Mathematically, the mean squared error (MSE) is defined as.

$$MSE = \frac{1}{N_1 N_2} \sum_{x_1 x_2} (c[x_1, x_2] - c'[x_1, x_2])^2$$
 (25)

4.2. Peak Signal-to-Noise Ratio(PSNR)

$$PSNR = 10\log_{10}\left(\frac{2^{nsb} - 1}{MSE}\right)$$
 (26)

Where nsb- maximum bit depth. MSE- means squared error between the original multispectral image and reconstructed multispectral image.

4.3. Compression Ratio

Compression ratio can then be determined as the ratio of the original dataset to the compressed data set.

$$CR = \frac{n_1}{n_2} = \frac{\text{Bits to store Original Image}}{\text{Bits to store Reconstructed Image}}$$
 (27)

4.4. Structural Similarity Index Matrix

The motivation behind the structural similarity approach is to measure the image quality with respect to human visual system (HVS) which is not designed for detecting imperfections or errors. Given any two images (of image patches) x and y which are to be compared, *luminance* is estimated as the mean of each image.

$$\mu_{x} = \frac{1}{N} \sum_{n=1}^{N} x_{n}$$

Contrast is estimated using standard deviation as

$$\sigma_{x} = \sqrt{\frac{1}{N-1} \sum_{n=1}^{N} (x_{n} - \mu_{x})^{2}}$$
 (28)

and *structure* is estimated from the image vector x by removing the mean and normalizing by the standard deviation.

$$SSIM(x,y) = \left(\frac{2\mu_x \mu_y + C_1}{\mu_x^2 + \mu_y^2 + C_1}\right) \left(\frac{2\sigma_x \sigma_y + C_2}{\sigma_x^2 + \sigma_y^2 + C_2}\right) \left(\frac{\sigma_{xy} + C_3}{\sigma_x \sigma_y + C_3}\right)$$
(29)

5. Results and Discussions

The Proposed algorithm was performed using MATLAB 2009b on an INTEL Pentium Core 2 duo (1.8 GHz, 2G RAM). We tested our algorithm on various multispectral image datasets like various bands of feathers (512X512) of cave multispectral image database which consists of 32 scenes, divided into 5 sections. Each scene also contains a single representative color image, which is displayed by using sRGB values rendered under a neutral daylight illuminant (D65). The input multispectral testing images of various bands of feathers of cave multispectral images are shown in Figure 8, and Figure 9. The image capture information is shown in Table 1. These multispectral images are used to represent the reflectances of the materials in the scene. The reflectance spectrum obtained at each pixel was computed from the measured multispectrum image using calibrated measured estimates of the illumination spectrum and camera spectral response. The matlab results for 0.8 bpp for test band 5 of feathers is shown in Figure 13. The graphs for various testing band images of feathers for DCT KLT, DWT SPIHT and DWT ISPIHT compression methods for 0.8 bpp are shown in the Figure 10, Figure 11 and Figure 12 respectively. The result analysis of various testing band images of feathers for DCT KLT, DWT SPIHT, and DWT ISPIHT for 0.8 bpp are shown in Table 2, Table 3 and Table 4 respectively.



Figure 8. 512X512 Band 1, Band 5 and Band 11 Input Test Images of Multispectral Feathers Dataset

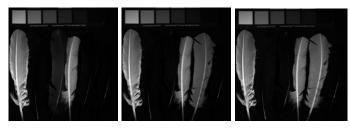


Figure 9. 512X512 Band 17, Band 23 and Band 27 Input Test Images of Multispectral Feathers Dataset

Camera Cooled CCD camera (Apogee Alta U260)

Resolution 512X512 pixel

Filter Vri Spec liquid crystal tunable filter

Illuminant CIE standard illuminator D65

400nm - 700nm

Table 1. Image Capture Information

Range of wavelength

Steps	10nm
Number of band	31 band
Focal length	f/1.4
Focus	Fixed (focused using 550nm image)
Image Format	PNG (16 bit)

Table 2. Result Analysis of DCT KLT Compression Method for 0.8bpp

Multispectral Image Bands	PSNR	MSE	CR	BER	SSIM
Band 1	21.681	441.538	0.170	1	-0.011
Band 5	20.364	597.852	0.176	1	-0.006
Band 11	20.290	608.216	0.187	1	-0.007
Band 17	20.152	627.826	0.184	1	-0.008
Band 23	20.122	632.145	0.177	1	-0.006
Band 27	20.122	632.101	0.181	1	-0.006
Band 31	20.716	551.365	0.184	1	-0.011

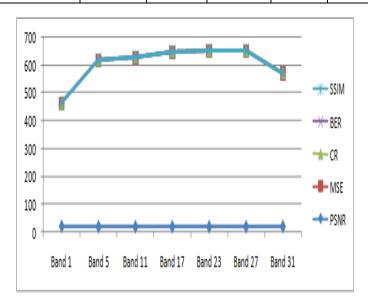


Figure 10. Graph for 0.8 bpp for DCT KLT Compression

Table 3. Result Analysis of DWT SPIHT Compression Method for 0.8bpp

Multispectral Image Bands	PSNR	MSE	CR	BER	SSIM
Band 1	44.139	2.506	0.957	0.776	0.999
Band 5	43.271	3.061	0.983	0.789	0.971
Band 11	43.650	2.805	0.954	0.789	0.921
Band 17	43.484	2.915	0.976	0.791	0.891
Band 23	42.606	3.568	1.078	0.798	0.888
Band 27	42.274	3.851	1.133	0.799	0.920
Band 31	40.840	5.357	1.282	0.821	0.978

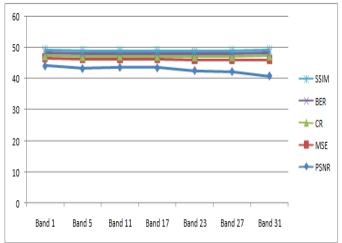


Figure 11. Graph for 0.8 bpp for DWT SPIHT Compression

Table 4. Result Analysis of DWT ISPIHT Compression Method for 0.8bpp

Multispectral Image Bands	PSNR	MSE	CR	BER	SSIM
Band 1	44.894	0.666	2.395	0.666	0.999
Band 5	53.832	0.269	1.999	0.269	0.971
Band 11	54.142	0.250	1.940	0.250	0.921
Band 17	53.731	0.275	2.175	0.275	0.891
Band 23	52.351	0.378	2.331	0.378	0.888
Band 27	52.240	0.388	2.5	0.388	0.920
Band 31	51.149	0.499	2.67	0.499	0.978

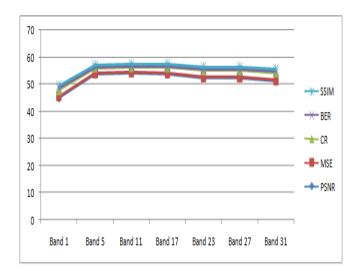


Figure 12. Graph for 0.8 bpp for DWT ISPIHT Compression



Figure 13. Matlab Results for Band 5 of Feathers Multispectral Image for 0.8 bpp

6. Conclusion

The proposed work consists of a new multi resolution encoding algorithm developed for multispectral image compression for various input multispectral image datasets. An interpolation super resolution image technique with 2D -Discrete wavelet transforms is performed on the input images. Quantization and encoding of the discrete wavelet coefficients using Improved SPIHT algorithm is performed for optimal bit-allocation, by assuming that there exists spectral correlation in the biorthogonal subclasses. DWT responds differently to bands of multispectral data and the bands which are similar in wavelet domain are encoded extremely well, which is confirmed by the results obtained by the proposed method when compared to the existing standard image compression techniques like DCT KLT, DWT SPIHT. Future work aims at extending this frame work for hyper spectral images and compressive multispectral imaging.

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