Simulations of Si and SiO₂ Etching in SF₆+O₂ Plasma

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The plasma chemical etching of Si and SiO₂ in SF₆+O₂ plasma is considered. The concentrations of plasma components are calculated by fitting the experimental data. The derived concentrations of plasma components are used for the calculation of Si and SiO₂ etching rates. It is found that the reaction probabilities of F atoms with Si atoms and SiO₂ molecules are equal to $\varepsilon = (8.75 \pm 0.41) \times 10^{-3}$ and $\varepsilon = (7.18 \pm 0.45) \times 10^{-5}$, respectively. The influence of O₂ addition to SF₆ plasma on the etching rate of Si is determined.

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1. Introduction

Trenches with smooth surfaces and round corners are required for device isolation, formation of vertical capacitors in integrated circuits and waveguides in optoelectronics. It prevents voids during trench refilling and avoids breakdown of dielectric film covering the trench sidewalls. Round corners reduce the build-up of mechanical stress onto a silicon surface. SF₆+O₂ plasma is commonly used in the high aspect ratio structure applications because of the high etching rate of silicon [1-4]. High etching anisotropy is achieved using sidewall passivation with SiO_2 molecules. In experiment [5], it was shown that the number of fluorine atoms per silicon in the SiO_xF_y layer passivating the sidewalls decreases from 2.0 for pure SF_6 to 1.0 at 50% O_2 content in the feed, and the number of oxygen atoms per silicon increases from 0 for pure SF_6 to 1.7 at 50% O_2 . The thickness of the SiO_xF_y layer monotonically increases with the increase of O_2 content in the feed. At the trench bottom the SiO_xF_y layer is very thin due to intensive ion bombardment.

Numerical simulations of plasma processing are useful in many ways [6]. An improved understanding of a plasma processing system is achieved by comparing predictions from numerical simulations with experimental observations. The optimisation of existing processes follows such an understanding. Simulations based on reliable physical/chemical modelling of a plasma processing system significantly reduce the number of associated experiments that otherwise would have to be performed. Additionally, such simulations are used in the computer-aided design to optimise manufacturing processes.

In this work, the main reactions occurring in a $\rm SF_6+O_2$ plasma are considered. The concentrations of plasma components are calculated by fitting the experimental data. Using the derived concentrations of plasma components, plasma chemical etching (PCE) of Si and $\rm SiO_2$ is investigated. The values of reaction rate constants and desorption frequencies, obtained from analysis of PCE of

Si and SiO_2 , are used for the calculation of the reaction probabilities.

2. Model

2.1. Plasma composition

The composition of SF_6+O_2 plasma is considered. Specific reactions in SF_6+O_2 plasma are oxidation reactions of SF_x ($x \le 5$) radicals. SOF_4 molecules are an initial oxidation product found in the exhaust by mass spectrometry [7]. In Table I, the homogeneous reactions, taking place in SF_6+O_2 plasma, in a general case are presented. In order to make the simulation computationally tractable, only the main reactions are included in the model:

$$SF_6 + e \rightarrow SF_5 + F + e$$
, (1.1)

$$O_2 + e \to 2O + e, \qquad (1.2)$$

$$SF_5 + O \rightarrow SOF_4 + F,$$
 (1.3)

$$SF_5 + F + M \rightarrow SF_6 + M,$$
 (1.4)

$$2F + M \rightarrow F_2 + M, \qquad (1.5)$$

$$2O + M \rightarrow O_2 + M$$
. (1.6)

These processes are characterized by reaction frequencies for dissociation of *i*-th component of gas mixture G_i and reaction for *i*-th with *j*-th type species R_{ij} :

$$G_1 = g_1[e]/N,$$
 (2.1)

$$G_6 = g_6[e]/N,$$
 (2.2)

$$R_{27} = k_{27}, (2.3)$$

$$R_{25} = k_{25}[M]/N,$$
 (2.4)

$$R_{55} = k_{55}[M]/N,$$
 (2.5)

$$R_{77} = k_{77}[M]/N,$$
 (2.6)

where g_i is the dissociation rate constant of *i*-th component of gas mixture, N is the total neutral particle concentration in the plasma, k_{ij} is the reaction rate constant for *i*-th with *j*-th type species, and M is a third particle or the reactor wall. The ionisation degree of radio frequency plasma does not exceed 2%. All neutral

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components of the plasma are pumped out from the reactor with the same exhaust frequency E at a fixed O_2 content in the feed.

TABLE I List of the standard state reaction enthalpies [8].

Reaction	$\Delta_{ m f} H_{ m gas}^0 \; [{ m kJ/mol}]$	
$SF_6 + e \leftrightarrow SF_5 + F + e$	391.41	
$SF_5 + e \leftrightarrow SF_4 + F + e$	224.68	
$SF_4 + e \leftrightarrow SF_3 + F + e$	339.52	
$SF_3 + e \leftrightarrow SF_2 + F + e$	285.77	
$2SF_5 + M \leftrightarrow S_2F_{10} + M$	-247.49	
$SF_5 + O_2 \rightarrow SO_2F_2 + 3F$	388.06	
$SF_5 + O_2 \rightarrow SO_2F_2 + F_2 + F$	229.28	
$SF_4 + O_2 \rightarrow SO_2F_2 + 2F$	163.38	
$SF_4 + O_2 \rightarrow SO_2F_2 + F_2$	4.60	
$SF_3 + O_2 \rightarrow SO_2F_2 + F$	-176.14	
$SF_2 + O_2 + M \rightarrow SO_2F_2 + M$	-461.91	
$SF_5 + O \rightarrow SOF_2 + 3F$	353.52	
$SF_5 + O \rightarrow SOF_2 + F_2 + F$	194.74	
$SF_4 + O \rightarrow SOF_2 + 2F$	128.84	
$\mathrm{SF_4} + \mathrm{O} \rightarrow \mathrm{SOF_2} + \mathrm{F_2}$	-29.94	
$SF_3 + O \rightarrow SOF_2 + F$	-210.68	
$SF_2 + O + M \rightarrow SOF_2 + M$	-496.45	
$SOF_2 + O + M \rightarrow SO_2F_2 + M$	-463.82	
$2F+M \leftrightarrow F_2+M$	-158.78	
$O_2 + e \leftrightarrow 2O + e$	498.36	
$O + F + M \rightarrow OF + M$	-219.79	
$\mathrm{OF} + \mathrm{O} \rightarrow \mathrm{O}_2 + \mathrm{F}$	-278.57	
$2OF \rightarrow O_2 + 2F$	-58.78	

Seven neutral chemical species exist in the plasma: SF₆, SF₅, SOF₄, F₂, F, O₂, and O with mole fractions $n_1 = [SF_6]/N$, $n_2 = [SF_5]/N$, $n_3 = [SOF_4]/N$, $n_4 = [F_2]/N$, $n_5 = [F]/N$, $n_6 = [O_2]/N$, and $n_7 =$ [O]/N, respectively. The following system of equations includes rate expressions of processes mentioned earlier and describes the kinetics of component concentrations in SF_6+O_2 plasma:

$$\begin{cases}
\frac{dn_1}{dt} = I_1 - G_1 n_1 + R_{25} n_2 n_5 - E n_1, \\
\frac{dn_2}{dt} = G_1 n_1 - R_{25} n_2 n_5 - R_{27} n_2 n_7 - E n_2, \\
\frac{dn_3}{dt} = R_{27} n_2 n_7 - E n_3, \\
\frac{dn_4}{dt} = R_{55} n_5^2 - E n_4, \\
\frac{dn_5}{dt} = G_1 n_1 - R_{25} n_2 n_5 + R_{27} n_2 n_7 \\
-2R_{55} n_5^2 - E n_5, \\
\frac{dn_6}{dt} = I_6 - G_6 n_6 + R_{77} n_7^2 - E n_6, \\
\frac{dn_7}{dt} = 2G_6 n_6 - R_{27} n_2 n_7 - 2R_{77} n_7^2 - E n_7,
\end{cases}$$
(3)

where $I_i = \Phi_i/(V_0N)$ is the injection rate of i-th component of gas mixture, Φ_i is the flow rate of the *i*-th component, and V_0 is the reactor volume.

2.2.
$$SiO_2$$
 etching

The PCE of a quartz substrate in a SF_6+O_2 plasma is considered. During PCE of SiO₂ in SF₆+O₂ plasma, fluorination reactions take place [7]. F atoms from the plasma react with SiO₂ molecules:

$$SiO_2 + 4F \rightarrow SiF_4 + O_2. \tag{4}$$

This process is characterized by reaction rate constant k_3 . SiF_4 molecules present in the adsorbed layer desorb. The desorption frequency is equal to

$$\omega = \nu_0 \exp\left(-E_{\rm d}/kT\right),\tag{5}$$

where ν_0 is the frequency of oscillation of atoms in the solid, $E_{\rm d}$ is the desorption activation energy, k is the Boltzmann constant, and T is the temperature. Let us assume that O_2 molecules formed instantly desorb.

SiF₄ molecules, produced during reaction on the surface, are included in the adsorbed layer of one-monolayer thickness. The mole fraction of SiF₄ molecules is equal to $c = [SiF_4]/C$, where C is the concentration of surface molecules $(C = 8.90 \times 10^{18} \text{ m}^{-2})$. The following equation includes rate expressions of processes mentioned earlier and describes the kinetics of concentration of SiF₄ molecules in the adsorbed layer:

$$\frac{\mathrm{d}c}{\mathrm{d}t} = \beta k_3 n_5^4 - \omega c \,, \tag{6}$$

where $\beta = 1 - \Theta$ is the fraction of the surface not covered with adsorbate, $\Theta = c$ is the surface coverage. Although the fourth power of n_5 in Eq. (6), and similar equations below, do not correspond to an elementary reaction step [9–12], the fitting procedure using this expression described well the experimentally observed dependence of Si etching rate on O_2 content in the feed. It is due to the presence of the SiO_xF_y layer during Si and SiO_2 etching in SF₆+O₂ plasma [5, 13]. The steady-state concentration of SiF₄ molecules is equal to

$$c_{\rm st} = \frac{R_3}{R_2 + \omega},\tag{7}$$

where $R_3 = k_3 n_5^4$ is the reaction frequency. The etching rate is proportional to the desorption rate of formed ${\rm SiF_4}$ molecules. The steady-state etching rate is equal to

$$V_{\rm st} = h_0 \omega c_{\rm st} = \frac{h_0 R_3 \omega}{R_3 + \omega},$$
 where $h_0 = 3.35$ Å is the thickness of a monolayer. (8)

During PCE of Si in SF₆+O₂ plasma, competition between F and O atoms for active Si surface sites takes place [4, 5, 7]. The PCE of a Si(111) substrate in a plasma is considered. The PCE does not proceed by continuous removal of the outermost Si layer, but by the formation of a fairly thick, disordered intermediate phase containing SiF, SiF₂, and SiF₃ species. Under certain conditions, the trapped SiF₄ molecules were also observed [14]. During PCE of Si in $SF_6 + O_2$ plasma, competition between

F atoms and O atoms for active Si surface sites takes place [4, 5, 7]. The reactions included in the model are the following:

$$Si + 4F \rightarrow SiF_4$$
, (9.1)

$$Si + 2O \rightarrow SiO_2$$
, (9.2)

$$SiO_2 + 4F \rightarrow SiF_4 + O_2. \tag{9.3}$$

These processes are characterized by reaction rate constants k_1 , k_2 , and k_3 , respectively. SiF₄ molecules present in the adsorbed layer desorb. The desorption frequency is described by Eq. (5). Let us assume that O_2 molecules formed instantly desorb.

SiF₄ molecules, produced during reactions on the surface, are included in the adsorbed layer of one-monolayer thickness. The mole fraction of SiF₄ molecules is equal to $c_1 = [\mathrm{SiF_4}]/C$, where C is the concentration of surface atoms $(C = 1.36 \times 10^{19} \ \mathrm{m^{-2}})$. Si atoms and SiO₂ molecules exist on the surface with mole fractions $c_2 = [\mathrm{Si}]/C$ and $c_3 = [\mathrm{SiO_2}]/C$. The mole fractions of surface components must fulfill the condition $c_2 + c_3 = 1$. The following system of equations includes rate expressions of processes mentioned earlier and describes the kinetics of component concentrations in the adsorbed layer and on the surface:

$$\begin{cases} \frac{\mathrm{d}c_1}{\mathrm{d}t} = k_1 \beta c_2 n_5^4 + k_3 \beta c_3 n_5^4 - \omega c_1 ,\\ \frac{\mathrm{d}c_2}{\mathrm{d}t} = -k_2 \beta c_2 n_7^2 + k_3 \beta c_3 n_5^4 . \end{cases}$$
(10)

The steady-state concentrations of components are equal to

$$c_{1,\text{st}} = \frac{R_3 (R_1 + R_2)}{R_3 (R_1 + R_2) + \omega_1 (R_2 + R_3)}, \qquad (11.1)$$

$$c_{2,\text{st}} = \frac{R_3}{R_2 + R_3} \,, \tag{11.2}$$

$$c_{3,\text{st}} = \frac{R_2}{R_2 + R_3} \,, \tag{11.3}$$

where $R_1 = k_1 n_5^4$, $R_2 = k_2 n_7^2$, and $R_3 = k_3 n_5^4$ are the reaction frequencies. The etching rate is proportional to the desorption rate of formed SiF₄ molecules. The steady-state etching rate is equal to

$$V_{\rm st} = h_0 \omega c_{1,\rm st} = \frac{h_0 R_3 \omega (R_1 + R_2)}{R_3 (R_1 + R_2) + \omega_1 (R_2 + R_3)}, \quad (12)$$

where $h_0 = 2.72 \text{ Å}$ is the thickness of a monolayer.

3. Results and discussion

3.1. Plasma composition

Determination of the composition of plasma is important in plasma science and engineering since the composition determines the nature of the physical and chemical processes that can occur. In addition, analysis of the products of the reaction is important to determine the effectiveness of a particular process. The fitting of experimental results is used for the calculation of composition of a SF_6+O_2 plasma. The conditions during experiment [7] were the following: the reactor volume 1.0×10^{-4} m³, the flow rate 27 sccm, the pressure 133 Pa. Assuming a

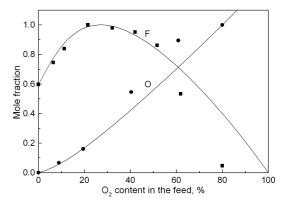


Fig. 1. Experimental [7] (points) and theoretical (curves) dependences of the mole fractions of F and O atoms on O₂ content in the feed.

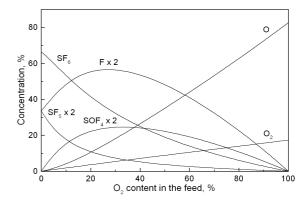


Fig. 2. The dependences of the concentrations of SF_6+O_2 plasma components on O_2 content in the feed.

plasma temperature of 500 K, the total neutral particle concentration $N=1.93\times 10^{22}~{\rm m}^{-3}$ and the total gas injection rate $I=4.92~{\rm s}^{-1}$ are estimated. The total gas injection rate is estimated using expression $I=\Phi/(V_0N)$, where the flow rate is measured in molecules ${\rm s}^{-1}$. Experimental [7] and theoretical dependences of the mole fractions of F and O atoms in the plasma on ${\rm O}_2$ content in the feed at the steady-state regime are shown in Fig. 1. The following values of reaction frequencies are found by fitting: $G_1=10~{\rm s}^{-1},~G_6=20~{\rm s}^{-1},~R_{25}=200~{\rm s}^{-1},~R_{27}=130~{\rm s}^{-1},~R_{55}=R_{77}=0~{\rm s}^{-1}$. It is observed that the values of reaction frequencies R_{55} and R_{77} are very small and can be taken equal to zero. This confirms an assumption that in an alumina reactor, the reaction defined by Eq. (1.5) does not take place [7]. Taking this into account, one may assert that in an alumina reactor, the reaction defined by Eq. (1.6) does not take place as well.

The chemical composition of SF_6+O_2 plasma as a function of O_2 content in the feed, calculated using Eq. (3), at the steady-state regime is shown in Fig. 2. As O_2 content in the feed increases, the concentration of F atoms initially increases due to the reaction of O atoms

with SF_5 radicals (Eq. (1.3)). At 27% O_2 , the concentration of F atoms approaches the maximum value. At this point, almost all SF_5 radicals in $SF_6 + O_2$ plasma have reacted. Subsequently, the concentration starts to decrease in proportion to the amount of injected SF_6 molecules.

The conversion of the i-th component of the gas mixture indicates a part of molecules converted to reaction products and is equal to

$$\eta_i = 1 - E n_i / I_i \,. \tag{13}$$

The dependences of the conversion of SF_6 and O_2 molecules on O_2 content in the feed at different flow rates are shown in Fig. 3. The part of converted molecules increases with the decrease of the flow rate. The increase of the conversion of SF_6 molecules is related to the reaction of O atoms with SF_5 radicals.

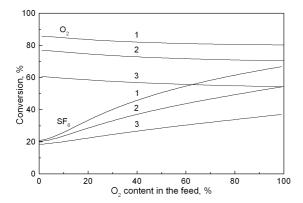


Fig. 3. The dependences of the conversion of SF₆ and O_2 molecules on O_2 content in the feed at different flow rates Φ (in sccm): 1 — 15, 2 — 27, and 3 — 60.

3.2. SiO_2 etching

Six primary processes occur during a plasma etching: (1) generation of reactive species, (2) diffusion to surface, (3) adsorption, (4) reaction, both chemical and physical (such as sputtering), (5) desorption, and (6) diffusion into bulk gas. If any of them does not occur, the entire processing stops. The mole fractions of plasma components calculated from the previous model of plasma composition are used for the calculation of SiO₂ etching rate. Experimental [7] and theoretical dependences of SiO₂ etching rate on O_2 content in the feed are shown in Fig. 4. The following values of reaction rate constant and desorption frequency are found by fitting: $k_3 = 7.0 \times 10^4 \text{ s}^{-1}$, $\omega = 28 \text{ s}^{-1}$. The SiO₂ etching rate at high O₂ content is suppressed due to the decreased generation of F atoms. Let us investigate the etching of a SiO₂ substrate in the ambience of F atoms at room temperature. According to de l'Hôpital's rule, the maximum etching rate is expressed as

$$V_{\text{max}} = h_0 \omega \,. \tag{14}$$

It is observed that maximum etching rate depends only on the desorption frequency. The ratio $V/V_{\rm max}$ is equal

$$\frac{V}{V_{\text{max}}} = \frac{1}{1 + \omega/R_3} \,. \tag{15}$$

The dependence of SiO₂ etching rate on the flux of F atoms is shown in Fig. 5. In the range of $(0.47-2.05) \times 10^{24} \,\mathrm{m}^{-2} \,\mathrm{s}^{-1}$, the etching rate almost linearly depends on the flux of F atoms. The dependence of etching rate on the flux of F atoms is fitted line and the reaction probability $\varepsilon = \Phi_2/4\Phi_1$, where Φ_1 is the flux of F atoms to the surface and Φ_2 is the flux of SiF₄ molecules from the surface, is calculated. The obtained value of reaction probability is equal to $\varepsilon = (7.18 \pm 0.45) \times 10^{-5}$. Similar value of reaction probability is measured experimentally [9]. In this experiment it was found that the reaction probability at room temperature is equal to $(3.0 \pm 0.3) \times 10^{-5}$. The reaction probability depends on the substrate temperature [9] and the extent of surface contamination [15].

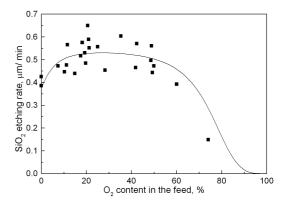


Fig. 4. Experimental [7] (points) and theoretical (curve) dependences of SiO₂ etching rate on O₂ content in the feed.

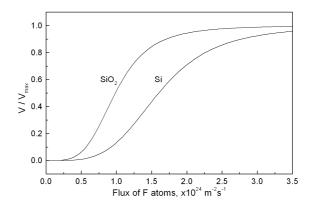


Fig. 5. The dependences of etching rates of Si ($V_{\rm max}=57~\mu{\rm m/min}$) and SiO₂ ($V_{\rm max}=0.56~\mu{\rm m/min}$) on the flux of F atoms.

3.3. Si etching

The mole fractions of plasma components calculated from the previous model of plasma composition are used

for the calculation of Si etching rate. Experimental [7] and theoretical dependences of Si etching rate on O₂ content in the feed are shown in Fig. 6. The following values of reaction rate constants and desorption frequency are found by fitting: $k_1 = 1.25 \times 10^6 \text{ s}^{-1}$, $k_2 = 100 \text{ s}^{-1}$, $k_3 = 1.00 \times 10^4 \text{ s}^{-1}, \ \omega = 3500 \text{ s}^{-1}.$ It is observed that the desorption frequency of SiF₄ molecules has different values for Si and SiO₂ etching. During PCE of SiO₂ the desorption frequency of SiF₄ molecules is markedly lower than that during PCE of Si in SF₆+O₂ plasma. As the frequency of oscillation of atoms in the solid ν_0 weakly depends on temperature, it follows that the temperature during PCE of Si substrate is higher than the one of SiO₂ substrate. According to work [16], the difference between the surface temperatures of Si and SiO₂ substrates is equal to 70 K. The wafer was not in good thermal contact with the substrate holder. The heating of the surface and near-surface layer by the exothermal reactions during PCE of Si in SF₆ plasma at low temperatures results in the same etched groove profiles as at room temperature [17].

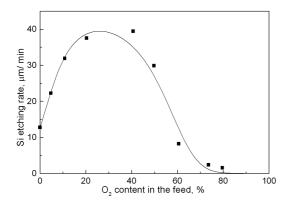


Fig. 6. Experimental [7] (points) and theoretical (curve) dependences of Si etching rate on O_2 content in the feed.

The dependences of the concentrations of the adsorbed layer and surface components on O_2 content in the feed, calculated using Eqs. (11.1)–(11.3), are shown in Fig. 7. It is observed that concentration of SiO₂ molecules on the surface is low when the maximum etching rate of Si in SF₆+O₂ plasma is achieved. Therefore, the maximum etching rate of Si is achieved at the same O₂ content as the maximum concentration of F atoms in SF_6+O_2 plasma. The dependence of Si etching rate on the concentration of F atoms in the plasma is shown in Fig. 8. It is observed that a fixed concentration of F atoms in the plasma corresponds to two different values of Si etching rates, which depend on O₂ content. It is due to the formation of high amount of SiO₂ molecules on the surface. As F atoms react with SiO₂ molecules more slowly than with Si atoms, the etching rate is suppressed at high O₂ content in the feed.

Let us investigate the etching of a Si(111) substrate in the ambience of F atoms at room temperature. The

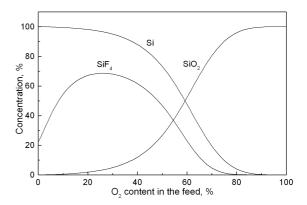


Fig. 7. The dependences of the concentrations of the adsorbed layer and surface components on O_2 content in the feed during PCE of Si in SF_6+O_2 plasma.

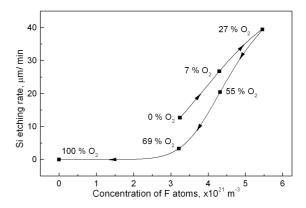


Fig. 8. The dependence of Si etching rate on the concentration of F atoms in SF_6+O_2 plasma. Arrows indicate the direction of increasing O_2 content in the feed.

dependence of Si etching rate on the flux of F atoms is shown in Fig. 5. In the range of $(0.76\text{--}3.35) \times 10^{24} \text{ m}^{-2} \text{ s}^{-1}$, the dependence of etching rate on the flux of F atoms is fitted line and the reaction probability ε is calculated. The obtained value of reaction probability is equal to $\varepsilon = (8.75 \pm 0.41) \times 10^{-3}$. Similar values of reaction probability are measured experimentally (Table II). The reaction probability depends on the substrate tem-

 $\label{eq:table_table} \text{TABLE II}$ The values of reaction probability ε at room temperature.

Flux $[m^{-2} s^{-1}]$	Substrate	ε	Ref.
$2.3 \times 10^{23} - 1.1 \times 10^{26}$	Si(100)	0.0017	[10]
$(2.5-5) \times 10^{18}$	Si(100)	0.083	[18]
2.0×10^{22}	poly-Si	0.04	[19]
$1.6 \times 10^{20} - 5.2 \times 10^{22}$	p-Si(100)	0.1	[20]
4.3×10^{19}	poly-Si	0.06	[21]
$6.0 \times 10^{20} 4.0 \times 10^{21}$	p-Si(100)	0.02 – 0.1	[22]

perature [10], doping [23], and the extent of surface contamination [24].

4. Conclusions

- 1. As O_2 content in the feed increases, the concentration of F atoms initially increases due to the reaction of O atoms with SF_5 radicals. At the maximum concentration of F atoms, almost all SF_5 radicals in SF_6+O_2 plasma have reacted. Subsequently, the concentration starts to decrease in proportion to the amount of injected SF_6 molecules.
- 2. The reaction probability for $SiO_2 + 4F \rightarrow SiF_4 + O_2$ is equal to $\varepsilon = (7.18 \pm 0.45) \times 10^{-5}$.
- 3. The reaction probability for Si+4F \rightarrow SiF₄ is equal to $\varepsilon = (8.75 \pm 0.41) \times 10^{-3}$.

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