

Volume 75 (2019)

Supporting information for article:

A new crystalline form of $\alpha\beta$ -d-lactose prepared by oven drying a concentrated aqueous solution of d-lactose

Daniel Nicholls, Carole Elleman, Norman Shankland and Kenneth Shankland

	a (Å)	<i>b</i> (Å)	<i>c</i> (Å)	α (°)	β (°)	γ (°)	V (Å ³)
$\alpha\beta$ -L _M	5.0044(3)	38.6364(14)	7.6007(4)	90	106.200(5)	90	1411.26(13)
$\alpha\beta$ - L_T	5.030(3)	7.593(5)	19.374(12)	81.026(10)	85.044(9)	74.247(9)	702.7(8)

Table S1 Lattice parameters for the monoclinic form of $\alpha\beta$ -D-lactose reported in this work ($\alpha\beta$ -L_M, *P*2₁, *T* = 100 K) and the previously reported triclinic form ($\alpha\beta$ -L_T, *P*1, *T* = 120 K).

S1. Pawley refinement of low-T single-crystal lattice parameters against room-temperature PXRD data

A frequently encountered scenario in powder diffraction is one where PXRD data have been collected at room temperature and the experimentalist wishes to fit that data using either a Pawley or Rietveld refinement of the lattice parameters / atomic coordinates of a known single-crystal structure. If the single-crystal structure was determined at room temperature, then this is usually a straightforward process. If however, as is more usually the case, the single-crystal structure was obtained at low temperature (typically T < 150 K) then the refinement of the lattice parameters can often get trapped in a local minimum; the thermal expansion of the lattice means that observed PXRD peaks can occur at some distance from the 2 θ positions predicted from the single-crystal lattice constants. Further complications can arise, as was the case in this work, where the PXRD data are not phase pure.

We have found that an effective approach to overcoming the "local minimum" problem is to employ multiple random start points for the Pawley refinement, where those random start points are clustered around the single-crystal lattice parameters. This can easily be achieved in the *TOPAS* software package by utilising the continue_after_convergence command in combination with the val_on_continue command. This is most easily illustrated by comparing the commands needed for lattice parameter refinement in a conventional Pawley fit, with those for the random start point approach.

Conventional Pawley refinement

This input instructs TOPAS to perform a least-squares refinement of the lattice parameters, allowing reflection intensities to vary as is normal in a Pawley refinement. The refinement converges to the nearest minimum in parameter space. If the fit to the data is poor, it is possible that the lattice parameter refinement is trapped in a local minimum, due to the magnitude of the differences between the low-T and room temperature lattice constants.

Random start point approach

```
continue_after_convergence
hkl_Is
    phase_name "Lactose monoclinic"
    a @ 5.0044    val_on_continue = Rand(4.8, 6.2);
    b @ 38.6364    val_on_continue = Rand(38.4, 38.8);
    c @ 7.6007    val_on_continue = Rand(7.4, 7.8);
    al 90.000
    be @ 106.200    val_on_continue = Rand(105, 107);
    ga 90.000
```

This input instructs *TOPAS* to perform a least-squares refinement of the lattice parameters, allowing reflection intensities to vary as is normal in a Pawley refinement. The refinement converges to the nearest minimum in parameter space, but then (a) as a consequence of the inclusion of the continue_after_convergence command, it restarts using a new set of values for *a*, *b*, *c* and β specified by (b) the val_on_continue command, with the exact values generated at random from within the ranges specified by the Rand command.

This next refinement then proceeds to the nearest minimum in parameter space, and the process repeats until interrupted by the user. *TOPAS* keeps track of the lowest R_{wp} value obtained in this series of Pawley refinements and when interrupted, returns the corresponding best set of lattice parameters and the associated plot of the fit to the data. It is then a simple matter to ascertain if the fit is sufficiently good to conclude that the lattice parameters have refined to their correct room temperature values. Some experimentation may be required in terms of the width of the ranges that are sampled, in order to achieve a satisfactory fit to the data.