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**Supporting information for article:** 

Improved charge-transfer multiplet method to simulate *M*- and *L*edge X-ray absorption spectra of metal-centered excited states

Kaili Zhang, Gregory S. Girolami and Josh Vura-Weis

## S1. Instructions for performing multiplet state assignment

## S1.1. Software dependencies

The following software are necessary for performing multiplet state assignments on a Windows 7 computer.

- CTM4XAS ver 5.5 with the attached helper batch scripts
- Python (2.7.11+) with numpy (1.10+)
- Python scripts basis2.py, group.py, parse\_complete2.py, Parse\_org2.py and coupl\_coef2.py.
- Supporting data files output\_d00d5.txt, output\_d4c4.txt, output\_od4.txt, output\_so3d00.txt and output\_so3o.txt. These files should be placed in the same folder as the Python scripts.

## S1.2. Generation of output files for assignment

Assignment of CTM theory eigenstates requires output files that contain more detailed information than usually provided by CTM4XAS. These special output files are most conveniently obtained by modifying the input files generated by the CTM4XAS GUI program and rerunning the modified inputs using the helper batch scripts.

For example, below are contents of the .rcg and .rac inputs generated by CTM4XAS as part of a calculation on an octahedral  $Fe^{II}$  system with 10Dq = 0.5 eV and spin-orbit coupling set to zero.

Fe2_10Dc	0d5_1	noSO.r	cg								
10	1	0	14	2 4	1	1 1	SHELL036	0000	0 SPINO	300000	90
INTER8											
0					809	98080		806	5.47800	6	000000
1	2	1 12	1 10		00	9	00000000	0 80	65.4790	.00	1
P 6 D 6	5										
P 5 D 7	7										
Fe2+ 3P	96 3D	006	4	0.0000	)	10.9661	6.815	51	0.0002		
0.0000H	19999	9999									
Fe2+ 3P6	95 3D	007	8	0.0000	9	11.0691	6.882	21	0.0002		
0.0002HI	19999	9999									
7.69	983	9.5	694	5.7834	1						
Fe2+ 3P6	96 3D	006	Fe	2+ 3P05	3D6	97	1.2459	96(3	P//R1//	3D)-0	9.992HR
-90 -96											
			-9	9999999	•						
-1											

```
Fe2_10Dq0d5_noSO.rac
   % vertical 1 1
 butler 03
to
      0h
      D4h
to
      C4h
to
endchain
 actor
        0+ HAMILTONIAN ground PRINTEIG
 OPER HAMILTONIAN
    BRANCH 0+ > 0 0+ > 0+
                            > 0+
                                   1.0
 OPER SHELL2
   BRANCH 4+ > 0 0+ > 0+
                            > 0+
                                   1.643
    BRANCH 4+ > 0 2+ > 0+
                                   0.000
                            > 0+
    BRANCH 2+ > 0 2+ > 0+
                                   0.000
                            > 0+
        0+ HAMILTONIAN excite PRINTEIG
 actor
 OPER HAMILTONIAN
    BRANCH 0+ > 0 0+ > 0+
                            > 0+
                                   1.0
 OPER SHELL2
    BRANCH 4+ > 0 0+ > 0+
                            > 0+
                                   1.643
    BRANCH 4+ > 0 2+ > 0+
                            > 0+
                                   0.000
   BRANCH 2+ > 0 2+ > 0+
                            > 0+
                                   0.000
       1- left
                      transi PRINTTRANS
 actor
  oper MULTIPOLE
    branch 1- > 0 1- > 1- > 1- 1.000
actor -1- right
                       transi PRINTTRANS
   oper MULTIPOLE
    branch 1- > 0 1- > 1- > -1-
actor 0- parallel transi PRINTTRANS
   oper MULTIPOLE
    branch 1- > 0 1- > ^0- > 0- 1.000
```

To turn on the additional outputs required for multiplet state assignment, the files should be modified to the following, where changes have been highlighted in yellow:

Fe2_10Dq	0d5_n	oSO.r	cg											
10	1	0	14	2	4	1 1	L SHEL	L036	900	000 S	PIN03	30006	900	
INTER8														
0					8099	98080			8	065.4	7800		00000	100
1	2	1 12	1 10		00	<mark>6</mark>	00000	9000	0	8065.	4790	.00		1
P 6 D 6														
P 5 D 7														
Fe2+ 3P0	6 3D	06	4	0.000	0 1	L0.9661	L 6	815	51	0.	0002			
0.0000HR	9999	9999												
Fe2+ 3P0	5 3D	07	8	0.000	0 1	L1.0691	L 6	5.882	21	0.	0002			
0.0002HR	9999	9999												
7.69	83	9.5	694	5.783	4									
Fe2+ 3P0	6 3D	06	Fe	2+ 3P05	3D07	7	1.	2459	96(	3P//	R1//	3D)-	-0.992	:HR
-90 -96														
			-9	9999999										
-1														

```
Fe2_10Dq0d5_noSO.rac
Υ
    % vertical 1 1
 butler 03
 to
       0h
       D4h
 to
       C4h
 to
 endchain
 actor
         0+ HAMILTONIAN ground PRINTEIG 2
  OPER HAMILTONIAN
    BRANCH 0+ > 0 0+ > 0+
                                    1.0
                             > 0+
  OPER SHELL2
    BRANCH 4+ > 0 0+ > 0+
                             > 0+
                                    1.643
    BRANCH 4+ > 0 2+ > 0+
                                    0.000
                             > 0+
                                    0.000
    BRANCH 2+ > 0 2+ > 0+
                             > 0+
         0+ HAMILTONIAN excite PRINTEIG 2
 actor
  OPER HAMILTONIAN
    BRANCH 0+ > 0 0+ > 0+
                             > 0+
                                    1.0
  OPER SHELL2
    BRANCH 4+ > 0 0+ > 0+
                             > 0+
                                    1.643
    BRANCH 4+ > 0 2+ > 0+
                             > 0+
                                    0.000
    BRANCH 2+ > 0 2+ > 0+
                             > 0+
                                    0.000
       1- left
 actor
                      transi PRINTTRANS
   oper MULTIPOLE
     branch 1 - > 0 \ 1 - > 1 - > 1 - 1.000
 actor -1- right
                       transi PRINTTRANS
   oper MULTIPOLE
     branch 1- > 0 1- > 1- > -1-
 actor 0- parallel transi PRINTTRANS
   oper MULTIPOLE
     branch 1 - > 0 \ 1 - > ^0 - > 0 - 1.000
RUN
```

Running the input files using the following commands will generate the output files

Fe2\_10Dq0d5\_noSO.org and Fe2\_10Dq0d5\_noSO.ora necessary for assignment.

```
rcg2.bat Fe2_10Dq0d5_noS0
rac2.bat Fe2_10Dq0d5_noS0
```

Input files for point groups not supported by CTM4XAS GUI (e.g.  $D_{5d}$ ) have to be constructed by hand according to the documented structure of the input files.

### S1.3. Assignment of multiplet theory eigenstates

Continuing with the example of an octahedral Fe<sup>II</sup> system, to perform multiplet state assignment on the special output files Fe2\_10Dq0d5\_noSO.org and Fe2\_10Dq0d5\_noSO.ora, one creates a Python script that begins with the following lines.

```
import coupl_coef2
import parse_complete2
groups=["03","0h","D4h","C4h"]
coupl_coef2.read_racah_outputs(groups)
```

These lines gathers the necessary data to support calculations in the  $O_3 \supset O_h \supset D_{4h} \supset C_{4h}$  chain of groups used in the output files.

One then uses the function parse\_complete2.parse\_complete to read in the information contained in the output files.

parse_complete2	.parse_complete(filename_prefix,j_basis,ex_j_basis,parity,groups)
Parameter	Meaning
filename_prefix	Prefix of the filenames of the output files to be assigned. (i.e.
	"Fe2_10Dq0d5_noSO" for Fe2_10Dq0d5_noSO.org and
	Fe2_10Dq0d5_noSO.ora)
j_basis,	A Python dict containing free-ion Russel-Saunders terms of the ground and the
ex_j_basis	core-hole configurations, respectively, indexed by total angular momentum J. If
	not supplied, the program will automatically read the .org to extract these.
parity	A string being either "+" or "-" specifying the parity of the eigenstates that need
	to be read in from the output files. If not supplied, both parities will be read.
groups	A list of strings containing the names of the groups in the current group chain i.e.
	["O3","Oh","D4h","C4h"] for the present example.
Return value	Meaning
basis_c4h,	A Python dict containing all basis functions in the ground and the core-hole
ex_basis_c4h	configurations, respectively, indexed by irreducible representation in the lowest
	point group ("C4h" in the present example).
irrep_eig	A Python dict containing lists of eigenvalues grouped by irreducible
	representation in the lowest point group.
irrep_matrix	A Python dict containing CTM theory eigenvectors in spin-orbit coupled basis
	indexed by irreducible representation in the lowest point group.

The four objects returned by parse\_complete2.parse\_complete can be interpreted by the following functions:

coupl\_coef.decompose\_triads transforms the eigenvectors into the decoupled basis, and organizes them into more structured representations. The analysis carried out in this function is valid regardless of the strength of spin-orbit coupling. This function forms the basis of all other interpretation functions

decompose_triads(basis_c4h, irrep_eig, irrep_matrix, groups, point_group, triads, threshold)						
Parameter	Parameter Meaning					
basis_c4h	A Python dict containing all basis functions indexed by irreducible					
	representation in the lowest point group.					

irrep_eig	A Python dict containing lists of eigenvalues grouped by irreducible
	representation in the lowest point group.
irrep_matrix	A Python dict containing CTM theory eigenvectors in spin-orbit coupled basis
	indexed by irreducible representation in the lowest point group.
groups	A list of strings containing the names of the groups in the current group chain.
triads	A list of strings containing the names of the irreducible representations of the
	eigenvectors for which the basis transform should be carried out, defaulting to
	all irreducible representations if not specified.
threshold	A floating point number giving the minimum absolute value of a coefficient
	required for a basis function to be considered to contribution to an
	eigenvector, defaulting to zero if not specified.
Return value	Meaning
results	A list containing a list of transformed eigenvectors in internal representation
	for each irreducible representation requested through the triads parameter.

coupl\_coef.analyze\_per\_triad analyzes the composition of eigenfunctions in terms of
irreducible representations of a given point group. The analysis carried out in this function is
valid regardless of the strength of spin-orbit coupling. In fact, this function is particularly
useful for uncovering the mixing of pure-spin terms by spin-orbit coupling.

analyze_per_tr	iad(basis_c4h, irrep_eig, irrep_matrix, groups, point_group, triads)
Parameter	Meaning
basis_c4h	Same as in coupl_coef.decompose_triads.
irrep_eig	
irrep_matrix	
groups	
point_group	A string giving the name of the point group for which the analysis should be
	carried out, defaulting to the lowest group in the chain if not specified.
triads	Same as in coupl_coef.decompose_triads.

Return value	Meaning
purity_lists	A list containg a summary list for each irreducible representation requested in triads. Each summary list contain a list of tuples (term, purity) for each
	eigenfunction under the irreducible representation where term is a pure-spin
	Russell-Saunders term symbol and purity the corresponding purity within the
	eigenfunction. A textual representation of purity_lists is also printed to the
	console as the function runs. All purities are specified as a floating point number between zero and one (inclusive).

• coupl\_coef.perform\_assignment collects eigenfunctions with identical eigenvalues into eigenstates and assigns a pure-spin Russell-Saunders term symbol to each eigenstate. The analysis carried out in this function is only meaningful with spin-orbit coupling set to zero.

perform_assign	perform_assignment(basis_c4h, irrep_eig, irrep_matrix, groups, point_group)					
Parameter	Meaning					
basis_c4h	Same as in coupl_coef.decompose_triads.					
irrep_eig						
irrep_matrix						
groups						
point_group	Same as in coupl_coef.analyze_per_triad					
triads	Same as in coupl_coef.decompose_triads.					
Return value	Meaning					
gr	A list of eigenstates in internal representation. This table is also printed to the					
	console as the function runs.					

• coupl\_coef2.simulation\_candidates selects eigenfunctions as candidates for simulation based on their energies and their purities in user-specified pure-spin terms.

simulation_candidates(basis_c4h, irrep_eig, irrep_matrix, groups, targets, point_group, triads,						
purity_threshold, max_candidates, energy_cutoff)						
Parameter	Meaning					
basis_c4h	Same as in coupl_coef.decompose_triads.					
irrep_eig						
irrep_matrix						
groups						

targets	A list of tuples (S, L) each specifying a pure-spin term symbol to search for
	where Sis a string giving the spin quantum number in Butler notation and L
	is a string giving the orbital irreducible representation.
point_group	Same as in coupl_coef.analyze_per_triad
triads	Same as in coupl_coef.decompose_triads.
purity_threshold	A floating point number giving the minimum purity required for an
	eigenfunction to be proposed as a candidate for a pure-spin term, defaulting
	to 0.05 if not specified.
max_candidates	An integer giving the maximum number of candidates to suggest for each
	target term, defaulting to five if not specified.
energy_cutoff	A floating point number giving the maximum energy above the least
	energetic candidate for which additional candidates should be suggested,
	defaulting to 1.0 eV if not specified.
Return value	Meaning
(N/A)	A list of candidates and their corresponding energies and purities are
	printed to the console as the function runs. The candidates functions are
	printed in a form compatible with the state_spec parameter of the
	simulation function params_to_plot (Section 2). All purities are specified as
	a floating point number between zero and one (inclusive).

Returning to the example of Fe2\_10Dq0d5\_noSO.org and Fe2\_10Dq0d5\_noSO.ora, with spin-orbit coupling set to zero, perform\_assignment can be invoked to carry out an assignment of octahedral terms.

```
coupl_coef2.perform_assignment(basis_c4h,irrep_eig,irrep_matrix,groups,"Oh")
The full Python script for carrying out an assignment on Fe2_10Dq0d5_noSO.org and
Fe2_10Dq0d5_noSO.ora is reproduced below.
```

When executed by Python, the script should produce output resembling the following.

```
03 Oh
Found 3jm response(s)
Oh D4h
Found 3jm response(s)
D4h C4h
Found 3jm response(s)
Processing triad 0+
Processing triad 1+
Processing triad -1+
Processing triad 2+
^1+ 2+ -3.58684
^1+ 2+ -3.586838
2+ 2+ -3.08689
2+ 2+ -3.086889
2+ 2+ -3.086888
1+ 1+ -1.682914
1+ 1+ -1.68291
```

The highlighted line indicates that the ground state has spin quantum number 2 and has orbital irreducible representation of  $^1$ + which is  $T_{2g}$  in Butler notation. In other words, with spin-orbit coupling set to zero the ground state is  $^5T_{2g}$ .

Consider now the same Fe<sup>II</sup> system with spin-orbit set to Hartree-Fock values. This system can be modelled in CTM4XAS using the following .rcg input file (named Fe2\_10Dq0d5\_hasSO.rcg). The .rac input is unchanged from before, but will be renamed Fe2\_10Dq0d5\_hasSO.rac to distinguish it from the previous example.

Fe2_10Dq0	0d5_h	asSO.1	cg								
10	1	0	14	2 4	4 1	1	SHELL030	00000	SPIN03	300000	0
INTER8											
0					80998	080		8065	.47800	0	000000
1	2	1 12	1 10		00	<mark>6</mark>	00000000	0 806	5.4790	.00	1
P 6 D 6											
P 5 D 7											
Fe2+ 3P0	6 3D	06	4	0.0000	10	.9661	6.815	1	<mark>0.0522</mark>		
0.0000HR	9999	9999									
Fe2+ 3P0	5 3D	07	8	0.0000	<b>11</b>	.0691	6.882	1 (	0.0002		
0.0002HR	9999	9999									
7.69	83	9.5	694	5.7834	4						
Fe2+ 3P0	6 3D	06	Fe	2+ 3P05	3D07		1.2459	6( 3P	//R1//	3D)-0	.992HR
-90 -96											
			-9	9999999	•						
-1											

The output files generated by running Fe2\_10Dq0d5\_hasSO.rcg and Fe2\_10Dq0d5\_hasSO.rac through the relevant helper scripts can also be parsed and analyzed by the assignment program albeit with a different set of functions. For example, the Python script below invokes the analyze\_per\_triad function to analyze the composition of the eigenfunctions of  $1+(T_{1g})$  symmetry in terms of pure-spin  $O_h$  Russell-Saunders terms.

```
03 Oh
Found 3jm response(s)
Oh D4h
Found 3jm response(s)
D4h C4h
Found 3jm response(s)
Processing triad 1+
-3.630365 + ('1+', 0)
('2+', '^1+') 0.997
('2+', '2+') 0.002
('1+', '^0+') 0.001
('1+', '^1+') 0.000
-3.605155 + ('1+', 1)
('2+', '^1+') 0.994
('2+', '2+') 0.005
('1+', '^1+') 0.001
('1+', '1+') 0.000
-3.568758 + ('1+', 2)
('2+', '^1+') 0.991
('2+', '2+') 0.007
('1+', '1+') 0.001
('1+', '2+') 0.000
```

The highlighted lines indicate that the eigenfunction with eigenvalue -3.6304 eV is 99.7% of  ${}^5T_{2g}$  character.

The CTM4XAS input files and Python analysis scripts used in producing the current article has been attached as examples. The files are organized into folders:

Folder	Content
Fe2_Oh_simulation_candidates	Files for selecting excited state eigenfunctions for
	simulation in Case study 2
Ferrocenium_Tanabe-Sugano	Files for following the changes in the energies of
	ferrocenium excited states as a function of Nephelauxetic
	parameter (Figure 6 of main text)
Ferrocenium_simulation_candidates	Files for selecting excited state eigenfunctions for
	simulation in Case study 1

## S1.4. Assignment of ferrocenium excited states with different 3d nephelauxetic scalings.

**Table S1** Assignment of excited states of ferrocenium cation with 100% Slater-Condon scaling.

Energy	$\Gamma^{J}$ , order within $\Gamma^{J**}$	Γ <sup>J</sup> , order within Γ <sup>J</sup> ** Purity	
-6.22*	$\frac{1}{2}$ , 1	99.5%	
-6.22	$\frac{3}{2}$ , 1	99.1%	$^6\mathrm{A}_{1\mathrm{g}}$
-6.24	$\frac{5}{2}$ , 1	51.8%	
-5.37*	$\frac{1}{2}$ , 2	99.4%	
-5.34	$\frac{1}{2}$ , 3	99.3%	4r.
-5.39	$\frac{3}{2}$ , 3	98.8%	$^4\mathrm{E}_{1\mathrm{g}}$
-5.41	$\frac{5}{2}$ , 3	97.6%	
-5.14*	$\frac{1}{2}$ , 4	100%	
-5.12	$\frac{3}{2}$ , 4	99.8%	$^4\mathrm{E}_{2\mathrm{g}}$
-5.08	$\frac{3}{2}$ , 5	99.5%	E2g
-5.10	$\frac{5}{2}$ , 4	99.7%	
-4.81*	$\frac{1}{2}$ , 5	98.7%	$^2$ A <sub>1g</sub>
-6.10*	$\frac{3}{2}$ , 2	98.9%	$^2\mathrm{E}_{2\mathrm{g}}$
-6.22	$\frac{5}{2}$ , 2	52.9%	L2g

<sup>\*</sup> Chosen as representative for simulation.

<sup>\*\*</sup> Irreducible representations  $\Gamma^J$  are given in Butler notation. (Butler, 1981) The entries for  $\Gamma^J = -\frac{5}{2}$  have been omitted, because those are identical to entries for  $\Gamma^J = \frac{5}{2}$  of the corresponding terms.

**Table S2** Assignment of excited states of ferrocenium cation with 71% Slater-Condon scaling.

Energy	$\Gamma^{J}$ , order within $\Gamma^{J**}$ Purity		Assignment
-4.41*	$\frac{1}{2}$ , 5	97.5%	
-4.41	$\frac{3}{2}$ , 5	97.2%	$^6\mathrm{A}_{1\mathrm{g}}$
-4.40	$\frac{5}{2}$ , 2	96.3%	
-4.78*	$\frac{1}{2}$ , 2	97.9%	
-4.73	$\frac{1}{2}$ , 3	98.8%	40
-4.82	$\frac{3}{2}$ , 2	97.2%	$^4\mathrm{E}_{1\mathrm{g}}$
-4.86	$\frac{5}{2}$ , 2	96.2%	
-4.66*	$\frac{1}{2}$ , 4	99.9%	
-4.65	$\frac{3}{2}$ , 3	99.7%	$^4\mathrm{E}_{2\mathrm{g}}$
-4.60	$\frac{3}{2}$ , 4	99.8%	L2g
-4.63	$\frac{5}{2}$ , 3	99.6%	
-5.39*	$\frac{1}{2}$ , 1	99.1%	$^2$ A <sub>1g</sub>
-6.11	$\frac{3}{2}$ , 1	99.6%	$^2\mathrm{E}_{2\mathrm{g}}$
-6.23*	$\frac{5}{2}$ , 1	99.5%	₽∠g

<sup>\*</sup> Chosen as representative for simulation.

<sup>\*\*</sup> Irreducible representations  $\Gamma^J$  are given in Butler notation. (Butler, 1981) The entries for  $\Gamma^J = -\frac{5}{2}$  have been omitted, because those are identical to entries for  $\Gamma^J = \frac{5}{2}$  of the corresponding terms.

**Table S3** Assignment of excited states of ferrocenium cation with 56.5% Slater-Condon scaling.

Energy	$\Gamma^{J}$ , order within $\Gamma^{J**}$ Puri		Assignment
-3.51	$\frac{1}{2}$ , 7	95.9%	
-3.58*	$\frac{3}{2}$ , 7	99.7%	$^6\mathrm{A}_{1\mathrm{g}}$
-3.49	$\frac{5}{2}$ , 5	88.3%	
-4.49	$\frac{1}{2}$ , 2	99.5%	
-4.44	$\frac{1}{2}$ , 3	99.7%	4E
-4.53	$\frac{3}{2}$ , 2	99.3%	${}^4\mathrm{E}_{1\mathrm{g}}$
-4.58*	$\frac{5}{2}$ , 2	99.1%	
-4.44*	$\frac{1}{2}$ , 4	99.9%	
-4.42	$\frac{3}{2}$ , 3	99.5%	4 <b>c</b>
-4.38	$\frac{3}{2}$ , 4	99.8%	$^4\mathrm{E}_{2\mathrm{g}}$
-4.40	$\frac{5}{2}$ , 3	99.4%	
-5.71*	$\frac{1}{2}$ , 1	99.7%	$^2$ A <sub>1g</sub>
-6.12	$\frac{3}{2}$ , 1	99.7%	
-6.24*	$\frac{5}{2}$ , 1	99.7%	$^{2}\mathrm{E}_{2\mathrm{g}}$

<sup>\*</sup> Chosen as representative for simulation.

<sup>\*\*</sup> Irreducible representations  $\Gamma^J$  are given in Butler notation. (Butler, 1981) The entries for  $\Gamma^J = -\frac{5}{2}$  have been omitted, because those are identical to entries for  $\Gamma^J = \frac{5}{2}$  of the corresponding terms.

**Table S4** Assignment of excited states of ferrocenium cation with 42% Slater-Condon scaling.

Energy	$\Gamma^{J}$ , order within $\Gamma^{J**}$	Purity	Assignment
-2.61*	$\frac{1}{2}$ , 12	99.4%	
-2.61	$\frac{3}{2}$ , 11	99.4%	$^6\mathrm{A}_{1\mathrm{g}}$
-2.61	$\frac{5}{2}$ , 7	99.4%	
-4.23	$\frac{1}{2}$ , 2	99.6%	
-4.17	$\frac{1}{2}$ , 4	99.8%	4 <b>.</b> C.
-4.28	$\frac{3}{2}$ , 2	99.5%	$^4\mathrm{E}_{1\mathrm{g}}$
-4.33*	$\frac{5}{2}$ , 2	99.5%	
-4.22*	$\frac{1}{2}$ , 3	99.9%	
-4.21	$\frac{3}{2}$ , 3	99.1%	$^4\mathrm{E}_{2\mathrm{g}}$
-4.16	$\frac{3}{2}$ , 4	99.8%	L2g
-4.19	$\frac{5}{2}$ , 3	99.0%	
-6.06*	$\frac{1}{2}$ , 1	99.8%	$^2$ A <sub>1g</sub>
-6.15	$\frac{3}{2}$ , 1	99.8%	$^2\mathrm{E}_{2\mathrm{g}}$
-6.27*	$\frac{5}{2}$ , 1	99.8%	L/g

<sup>\*</sup> Chosen as representative for simulation.

<sup>\*\*</sup> Irreducible representations  $\Gamma^J$  are given in Butler notation. (Butler, 1981) The entries for  $\Gamma^J = -\frac{5}{2}$  have been omitted, because those are identical to entries for  $\Gamma^J = \frac{5}{2}$  of the corresponding terms.

### S2. Instructions for performing excited state simulations

## S2.1. Software dependencies

The following software are necessary for performing excited state CTM simulations on a Windows 7 computer.

- CTM4XAS ver 5.5 with the attached helper batch scripts
- Python (2.7.11+) with numpy (1.10+), scipy (0.13.2+), matplotlib (1.3.1+)
- Modified bander program (source code attached) with helper batch script
- Python scripts gen\_input\_raw.py, FitAndPlotFuns\_KZ.py and plot\_lifetime.py

The helper batch scripts should be added to the PATH variable so that the scripts are accessible from the command line.

### S2.2. Excited state simulation

To perform an excited state CTM simulation, one creates a Python script that imports gen\_input\_raw.py as a library module. Spectrum computation and plotting is carried out by invoking the function gen\_input\_raw.params\_to\_plot with the desired parameters.

Parameter name	Meaning
ion	A string identifying the ion, defaulting to the empty string "" if not specified.
dnum	An integer giving the number of 3d-electrons, defaulting to zero if not
	specified.
nuc_charge	An integer giving the nuclear charge in units of elementary charges,
	defaulting to zero if not specified.
Ek	A floating point number giving the kinetic energy of the Auger electron in
	Rydbergs, defaulting to zero if not specified.
group	A string identifying the point group of the system. Currently only supports
	"Oh" for octahedral, "D4h" for D <sub>4h</sub> and "D5d" for D <sub>5d</sub> .
lf_params	Interpretation of this parameter various depending on the parameter group.
	If group is "Oh", lf_params should be a floating point number giving the
	octahedral field strength 10Dq.
	If group is "D4h", lf_params should be a tuple of three floating point
	numbers giving the tetragonal parameters $10Dq$ , $D\sigma$ and $D\tau$ in order.
	If group is "D5d", lf_params should be a tuple of two floating point numbers
	giving the five-fold parameters $\Delta_1$ and $\Delta_2$ in order.(Gray <i>et al.</i> , 1971)

fdd_scaling,	Floating point numbers giving the factors by which the Coulombic, exchange
fpd_scaling,	and spin-orbit coupling radial integrals, respectively, should be rescaled from
gpd_scaling,	Hartree-Fock values beyond a default 80% rescale. For example,
zeta3d_scaling,	fdd_scaling=0.6 will cause the Hartree-Fock F <sup>2</sup> <sub>dd</sub> and F <sup>4</sup> <sub>dd</sub> to be multiplied by
zeta3p_scaling	0.6*0.8=0.48 before being used.
state_spec	A tuple (a,b) specifying the state for which plotting is to be done. a is string
	containing the irreducible representation of the state in Butler notation
	and b an integer specifying the index of the desired state in that irreducible
	representation with states indexed in ascending order by energy beginning
	with 0 for the lowest energy state. If state_spec is not specified, the global
	ground state will be plotted. If only a is specified, the ground state within
	irreducible representation a will be plotted.
energy_min,	Floating point numbers giving the minimum and the maximum of the energy
energy_max	window in which the spectrum is to be simulated.
dG	Floating point number giving the $\sigma$ for Gaussian broadening.
q	Floating point number giving the Fano asymmetry parameter.
shift	Floating point number giving the horizontal shift to be applied to the
	simulated spectrum, defaulting to zero if not specified.
gamma_floor	Floating point number giving the enforced minimum Lorentzian linewidths
	of simulated peaks, defaulting to zero if not specified.
plot_only	Boolean value specifying whether eigenfunctions and transition matrix
	elements should be computed (false) before generating the simulated spectra.
xy_filename	String giving the file name for storing the raw simulated spectrum.

A number of optional parameters can be supplied to request additional outputs.

Parameter name	Meaning
sticks_filename	A string giving the file name for storing a list of stick transitions.
intensity_threshold	A floating point number giving the minimum intensity for which a stick transition should be included in a sticks_filename output.
linewidths_filename	A string giving the file name for storing a list of computed Auger-limited linewidths.
framed_filename	A string giving the file name for storing the simulation with intensity rescaled to between zero and one.
const_widths_filename	A string giving the file name for storing a simulated spectrum with a constant Lorentzian linewidth.
const_widths	A floating point number giving the constant linewidth to be used in a const_widths_filename output.

zero_bounded_filename	A string giving the file name for storing the simulation vertically shifted
	such that the minimum intensity becomes zero.

Python scripts for producing the simulated spectra used in the present article have been attached as examples. They are organized into folders:

Folder	Content
Ferrocene_M_simulation	Script for simulating the ground state M <sub>2,3</sub> -edge
	absorption spectrum of neutral ferrocene.
Ferrocenium_M_simulations	Sub-folders each contain a script for simulating
	the ground and excited state M <sub>2,3</sub> -edge
	absorption spectra of ferrocenium cation with a
	specific set of parameters.
Fe2_L_simulations	Sub-folders each contain a script for simulating
	the ground and excited state L <sub>2,3</sub> -edge
	absorption spectra of Fe <sup>II</sup> cation with a different
	10Dq.

## S2.3. Parameters for CTM theory simulations in the main text

**Table S5** Parameters for simulating the  $M_{2,3}$ -edge spectra of ferrocene and ferrocenium.

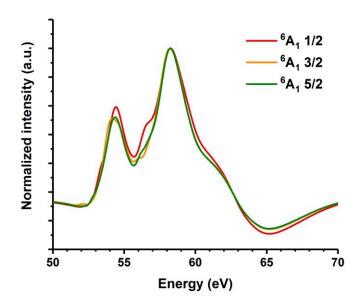
Species	Number of	Scaling of Slater-		Scaling of	$\Delta_1$ (eV) *	$\Delta_2$ (eV) *
	3d-	Condon parameters		spin-orbit		
	electrons	2121	221	coupling		
		3d-3d	3p-3d			
Ferrocene	6	0.41	0.625	1.0	-0.8804	2.728
Ferrocenium	5	0.855	0.625	1.0	-0.8804	2.728

**Table S6** Parameters for simulating the  $L_{2,3}$ -edge spectra of  $Fe^{II}$  polypyridyl complexes.

Species	Number of	Scaling of Slater-		Scaling of spin-	10 Dq (eV)
	3d-electrons	Condon parameters		orbit coupling	
		3d-3d	2p-3d		
Fe <sup>II</sup>	6	1.0	1.0	1.0	Variable, see main text.

## S3. Dependence of simulated difference spectrum of <sup>6</sup>A<sub>1</sub> state on the choice of spin-orbit component.

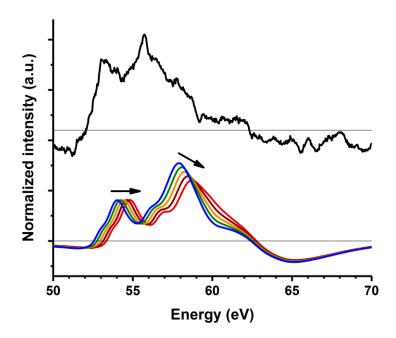
Figure S1 shows that the spectra of difference spin-orbit split components of  ${}^6A_1$  states are not significantly different.



**Figure S1** Simulated difference spectra of the spin-orbit split components of  ${}^{6}A_{1}$ :  $\frac{1}{2}$ ,  $\frac{3}{2}$  and  $\frac{5}{2}$ .

## S4. Dependence of simulated difference spectrum of <sup>6</sup>A<sub>1</sub> state on the magnitude of horizontal shift applied to the computed ferrocenium spectrum.

A simulated difference spectrum of ferrocenium contains two horizontal shift parameters. One for computed spectrum of ground state ferrocene and another for that of ferrocenium. As detailed in the main text, the horizontal shift for the spectrum of ground state ferrocene is fixed at 3.7 eV. The shift for the spectrum of ferrocenium is adjusted for better agreement between experiment and theory. Figure S2 shows that within a reasonable range, increasing the shift applied to the computed ferrocenium spectrum leads to an overall shift to higher energies of both peaks in the computed difference spectrum and a broadening of the peak at 58-59 eV.

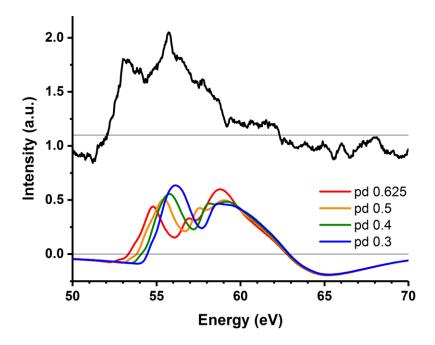


**Figure S2** Simulated difference spectra of the  ${}^6A_1$  state with the computed spectrum of ferrocenium shifted by 2.0, 1.8, 1.6, 1.4 and 1.2 eV, respectively. The arrows indicate the direction of increasing magnitude of the shift applied.

## S5. Dependence of simulated difference spectrum of <sup>6</sup>A<sub>1</sub> state on the magnitude of p-d interaction parameters.

Unless otherwise stated, the simulated  $M_{2,3}$ -edge spectra in this work were all computed with 3p-3d Slater-Condon parameters scaled to 62.5% of free-ion values following literature precedents (Berlasso *et al.*, 2006; Vura-Weis *et al.*, 2013; Zhang *et al.*, 2016; Ryland *et al.*, 2018).

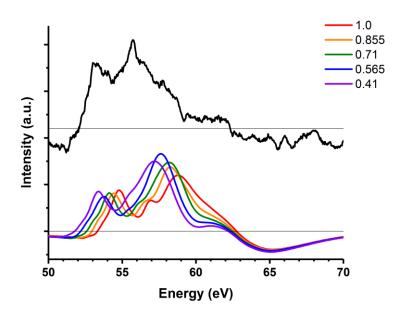
The interpeak spacing in the simulated difference spectrum decreases as 3p-3d Slater-Condon parameters are down scaled from free-ion values (Figure S3).



**Figure S3** Top: Experimental difference spectrum of photogenerated ferrocenium (Chatterley *et al.*, 2016). Bottom: Simulated difference spectra of  $^6A_1$  state of ferrocenium with  $F_{pd}$  and  $G_{pd}$  parameters scaled to 62.5%, 50%, 40% and 30% of free ion values.

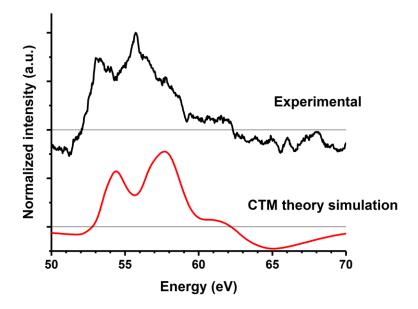
# S6. Dependence of simulated difference spectrum of <sup>6</sup>A<sub>1</sub> state on the magnitude of d-d interaction parameters.

The shape of the difference features is not significantly sensitive to the magnitude of 3d-3d Slater-Condon parameters. An increase in 3d-3d Slater-Condon parameters is accompanied by a slight blueshift of difference features (Figure S4).



**Figure S4** Top: Experimental difference spectrum of photogenerated ferrocenium (Chatterley *et al.*, 2016). Bottom: Simulated difference spectra of  ${}^{6}A_{1}$  state of ferrocenium with  $F_{dd}$  parameter scaled to 100%, 85.5%, 71%, 56.5% and 42% of free ion values.

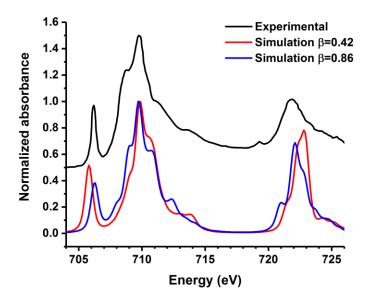
The observations of sections S3 and S6 suggest that downscaling both 3d-3d and 3p-3d Slater-Condon parameters can lead to a simulated difference spectrum in better agreement with experiment both in peak positions and in interpeak spacing (Figure S5).



**Figure S5** Top: Experimental difference spectrum of photogenerated ferrocenium (Chatterley *et al.*, 2016). Bottom: Simulated difference spectra of  ${}^{6}A_{1}$  state of ferrocenium with  $F_{dd}$  parameter scaled to 42% of freeion values and  $F_{pd}$  and  $G_{pd}$  parameters scaled to 40% of free ion values.

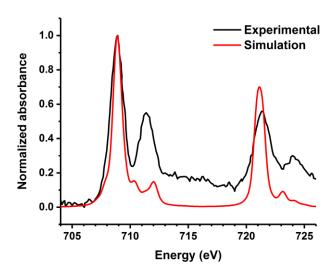
## S7. CTM theory simulation of $L_{2,3}$ -edge spectra of ferrocene and ferrocenium hexafluorophosphate.

Simulated L<sub>2,3</sub>-edge spectra of ferrocenium cation were computed using the same crystal field parameters as in the main text (Gray *et al.*, 1971) and nephelauxetic parameter being 0.86 or 0.42. Comparison with the experimental spectrum shows that a nephelauxetic parameter of 0.86 better reproduces the shape of the experimental absorption features (Figure S6) (Otero *et al.*, 2009). In particular, the simulation with nephelauxetic parameter being 0.86 reproduces the shoulder at 709 eV absent in the simulation with nephelauxetic parameter being 0.42.



**Figure S6** Top: experimental  $L_{2,3}$ -edge absorption spectrum of ferrocenium hexafluorophosphate (Otero *et al.*, 2009). Bottom: Simulated  $L_{2,3}$ -edge absorption spectra of ferrocenium cation with the nephelauxetic parameter being 0.42 (red) or 0.86 (blue).

The simulated L<sub>2,3</sub>-edge absorption spectrum of ferrocene computed using the same parameters underestimates the intensities of the peaks at 711.4 eV and 724 eV observed in the experimental spectrum (Figure S7) (Otero *et al.*, 2009). The peak at 711.4 eV has been given two assignments: a simultaneous 2p-3d and 3d-3d double excitation (Otero *et al.*, 2009) or to a 2p-Cp  $\pi^*$  transition (Godehusen *et al.*, 2017). The simulation of this feature using CTM theory is currently under investigation.



**Figure S7** Black: experimental  $L_{2,3}$ -edge absorption spectrum of ferrocene (Otero *et al.*, 2009). Bottom: Simulated  $L_{2,3}$ -edge absorption spectra of ferrocene.

### **S8.** References

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