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Supporting information for

Determination of the full deformation tensor by multi-Bragg fast scanning nano X-ray diffraction

Authors

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We provide supporting figures in the following sections.

- 1. Supporting Figures
- 2. Rotate Coordinates (jupyter notebook)
- 3. Deformation Tensor (jupyter notebook)
- 4. Count Projections (jupyter notebook)

1. Supporting Figures

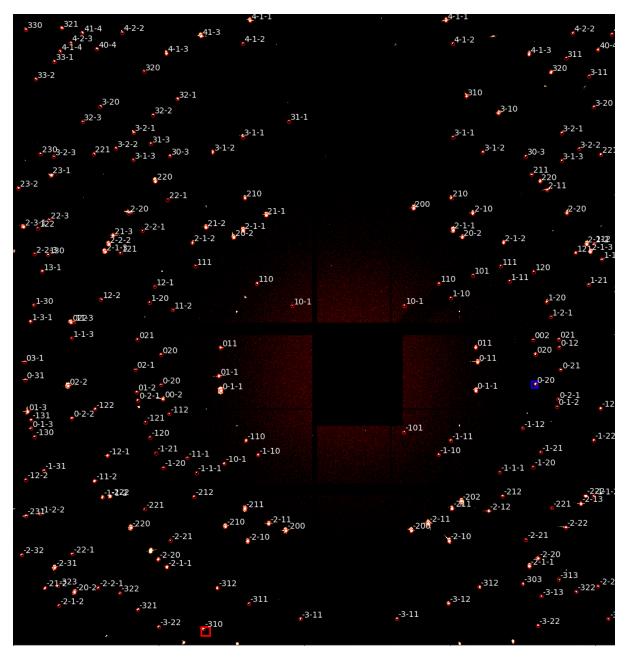


Figure S1 This figure shows the same data as presented in Figure 3 of the main manuscript with all indexed reflections being noted. The blue and the red squares show the regions of interest integrated for the calculation of the deformation tensor.

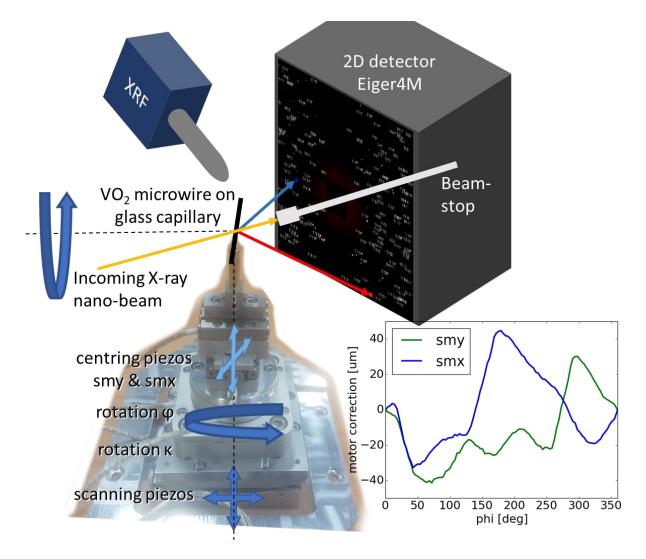
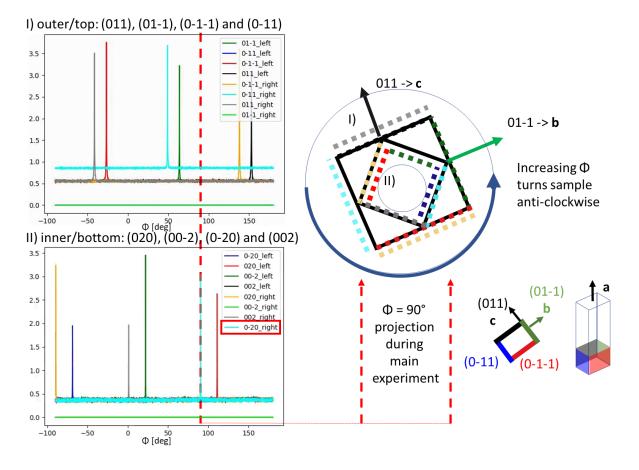


Figure S2 Sketch showing the measurement setup including a photo of the home assembled goniometer stage, the XRF detector and the 2D detector imaging two Bragg reflections. The goniometer is a stack starting with a xyz scanning piezo (PI-MARS) at the base; a cradle (SGO60.5) rotating the sample by κ against the vertical axis; a rotational stage (SR-2013) rotating around the vertical axis (when $\kappa = 0$); and ending with a set of crossed linear piezo motor stages (SLC-1720). The topmost piezos (smy and smx) are used to greatly reduce the sphere of confusion by following the trajectory plotted in the inset as a function of the rotation, which was obtained in an ex-situ microscopy setup (not shown).



The top left plot I) shows the integrated counts for the Bragg reflexes associated to the microwire facets as a function of the sample rotation angle φ . A schematic cross-section of the micro wire is shown to the right with the outer border accompanied by dashed lines according to the color used to plot the integrated counts for this respective reflex. Some reflexes appear twice as the sample is rotated, because the scattering geometry is mirror symmetric and the reflection can be imaged to the right or to the left on the detector as seen when following the incoming X-rays. The plot to the bottom left II) and the inner square in the cross-section to the right show the same plots for the reflexes corresponding to (020), (00-2), (0-20), (002). The scattering vector of these planes is equal to the sum of two facet scattering vectors. On the bottom right, a sketch shows a cross-section and the projection of the microwire volume with the facets colored accordingly. The dashed red arrows show projected view for the angle φ at which the full raster scan was made.

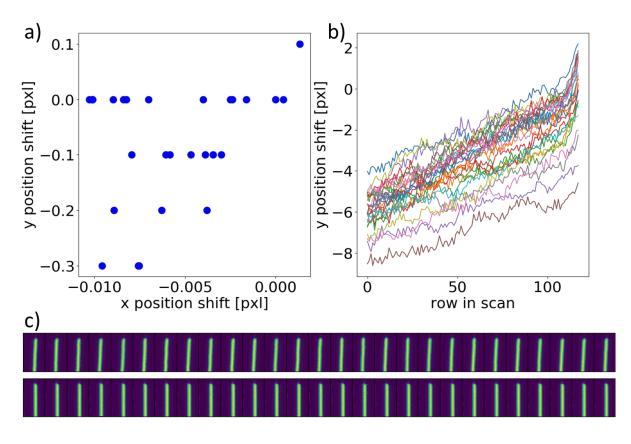


Figure S3 Plot a) is a scatter plot of the shift to the raster scan data, as applied to the whole set of raster scans. Plot b) shows the shift in y as applied to each individual row in the raster scan. The color plots in c) show the XRF intensity for all raster scans. The top row shows the original data as measured, while the bottom row represents the shifted data. All XRD data in the main text were shifted in this way.

2. Calculation of the transformation matrix from sample to laboratory coordinates

This script determines the transformation matrix $R = R_{xyzabc}$ to transform (rotate) the sample coordinates (abc) to laboratory (xyz) coordinates. I.e. the components of the vector expressed in laboratory coordinates (xyz) can be calculated from the components of the same vector expressed in sample (abc) coordinates:

$$a_i^{xyz} = (R_{xyzabc}a^{abc})_i$$

For tensors, this relation is expressed by:

$$\epsilon_{ij}^{xyz} = (R_{xyzabc}^T \epsilon^{abc} R_{xyzabc})_{ij}$$

```
In [1]: # library imports
import numpy as np
from numpy import linalg as LA
import matplotlib.pyplot as plt
import h5py
import mpl_toolkits.mplot3d as m3d
import sys,os
import sympy
```

The following helper function determines all the vectors in reciprocal space that correspond to a given change in hkl. Using this, one gets more data points which correspond to a certain difference in hkl to get a better overall alignment. i.e. (321) - (211) -> 110 The vector from the peak measured at (321) to the one at (211) can be used to also define the direction 110.

```
In [1]: def get all hkl differences (hkl, hkl dict, include multiples=False, verbose=False):
            returns list of differences between q1 and q2 if (h1, k1, l1) - (h2, k2, l2)
        =(h,k,l)
             ...
            return list = []
            first = True
            running sum=np.zeros(3,dtype=np.float32)
            hkl = np.asarray(hkl, dtype = np.float32)
            sacrificial dict = dict(hkl dict)
            for troiname in hkl dict.keys():
                this peak = sacrificial dict.pop(troiname)
                for troiname, other peak in sacrificial dict.items():
                    hkl diff = np.asarray(other peak['hkl lr'][:3]-this peak['hkl lr'][:3],
        dtype=np.float32)
                    qxyz diff = np.asarray(other peak['qxyz'][:3]-this peak['qxyz'][:3])
                     # need to discard 0 indexes first:
                     zero index list = np.arange(3)[np.where(hkl==0)]
                     zero check passed = True
                     for zindex in zero index list:
                         if hkl diff[zindex] != 0:
                             zero check passed = False
                     if zero_check_passed:
                         # check all indexes
                         if include multiples:
                             factor list=range(-100,0)+range(1,100)
                         else:
                             factor_list=[-1,1]
                         checked_factor = [[qxyz_diff/factor, factor] for factor in factor l
        ist if list(hkl diff/factor)==list(hkl)]
                         if len(checked factor)==1:
                             # catch outliers:
                             if not first:
                                 if LA.norm(qxyz diff-running avg)<0.2:</pre>
                                     result = checked factor[0][0]
                                     factor = checked factor[0][1]
                                     return list.append(result)
                                     running sum += result
                                     running avg = running sum/len(return list)
                             else:
                                 first = False
                                 result = checked factor[0][0]
                                factor = checked factor[0][1]
                                 return list.append(result)
                                 running sum += result
                                 running avg = running sum/len(return list)
                             if verbose:
                                 print('found q diff {} at hkl: {} which is {} times {}'.for
        mat(
                                     '{:1.2f} {:1.2f} {:1.2f}'.format(*list(result)),
                                     '{}{}'.format(*[int(x) for x in hkl_diff]),
                                     factor,
                                     '{}{}'.format(*[int(x) for x in hkl])))
                         else:
                            pass
                     else:
                        pass
            return np.asarray(return list)
```

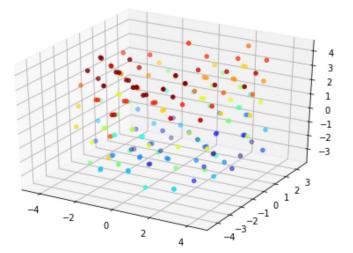
The input data hkl_dict was extracted from a rotation scan exposing the sample every 0.1 deg. We performed peak finding, indexing and transforming into the laboratory q_xyz coordinates. The data contains

- the hkl
- · which side of the detector the peak was on
- q_xyz coordinates for all the detected peaks.

Next we plot all peaks in laboratory coordinates, scaled in inv. nm.

```
In [3]: dataset todo = 'alignment'
        fname = '/data/id13/inhouse11/THEDATA I11 1/d 2018-11-13 inh ihma67 pre/PROCESS/hkl
        /fit {} hkl.h5'.format(dataset todo)
        with h5py.File(fname, 'r') as source h5:
            hkl g = source h5['hkl fit']
            peaks_dict={ }
            qxyz_points = []
            hkl points = []
            for troiname, troi g in hkl g.items():
                data g = troi g['data']
                fit_g = troi_g['fit']
                qxyz_point = np.asarray(fit_g['qxyz_data/fit3d_result'][:3])
                hkl point = np.asarray(data g['hkl lr'])
                peaks dict.update({troiname:{'troiname':troiname,
                                              'hkl lr':hkl point,
                                              'qxyz':qxyz point}})
                qxyz points.append(qxyz point)
                hkl points.append(hkl point)
        hkl_points = np.asarray(hkl_points)
        qxyz_points = np.asarray(qxyz points)
        ax = m3d.Axes3D(plt.figure())
        ax.scatter3D(*qxyz_points.T,c=2*hkl_points[:,0]-hkl_points[:,2], cmap='jet',vmax=8,
        vmin=-8)
```

Out[3]: <mpl toolkits.mplot3d.art3d.Path3DCollection at 0x7f4746f3b290>

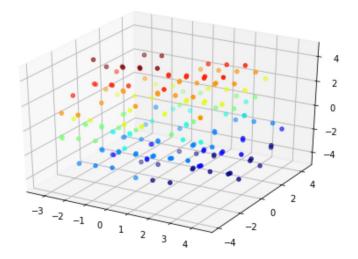


The function "get_rotation_matrix" is needed to calculate the rotation matrix that rotates a given vector **a** onto **b** in 3D.

```
In [4]: def get_skew_symmetric(v):
            vx = np.zeros((3,3),dtype=np.float32)
            vx[1,0] = v[2]
            vx[0,1] = -v[2]
            vx[0,2] = v[1]
            vx[2,0] = -v[1]
            vx[1,2] = -v[0]
            vx[2,1] = v[0]
            return vx
        def get rotation matrix(a,b):
            ....
            returns M so that Ma parallel to b
            https://math.stackexchange.com/questions/180418/calculate-rotation-matrix-to-al
        ign-vector-a-to-vector-b-in-3d
            ....
            a = np.asarray(a)
            b = np.asarray(b)
            b norm = b / LA.norm(b)
            a norm = a / LA.norm(a)
            v = np.cross(a_norm,b_norm)
            c = np.dot(a norm, b norm)
            # cant have same or opposite directions
            assert(LA.norm(v)>0.000001)
            vx = get_skew_symmetric(v)
            M = np.zeros((3,3),dtype=np.float32)
            M[0,0] = M[1,1] = M[2,2] = 1
            M += vx + np.dot(vx,vx)/(1+c)
            assert (LA.norm(np.dot(M,a_norm)-b_norm)<0.000001)</pre>
            return M
```

```
In [5]: # find all vectors that are in line with 01-1 or 011
        # use the mean to get a better R
        b = [0, 1, 0]
        c = [0, 0, 1]
        qxyz b = [0, 1, -1]
        qxyz c = [0, 1, 1]
        qxyz = [2,0,-1] # approx, not used!
        qxyz diff c = get all hkl differences(qxyz c,peaks dict, include multiples=True, ve
        rbose=False)
        qxyz diff b = get all hkl differences (qxyz b, peaks dict, include multiples=True, ve
        rbose=False)
        q01m1 m = qxyz diff b.mean(axis=0)
        q011 m = qxyz diff c.mean(axis=0)
        # orthogonalize:
        q011 m = q011 m - np.dot(q011 m,q01m1 m)/(LA.norm(q01m1 m)**2)*q01m1 m
        # Mo rotates q01m1 m onto b
        M0 = get rotation matrix (g01m1 m, b)
        # M1 rotates np.dot(M0,q011 m) onto c.
        # By construction (b orthogonal to c) and (q01m1 m orthogonal to q011 m)
        # this rotation doesn't change np.dot(M0,q01m1 m) (now parallel to b)
        M1 = get rotation matrix(np.dot(M0, g011 m), c)
        # summarize the two rotations as R
        R = np.dot(M1, M0)
        print('check result:')
        print('a = R (dot) (q01m1 m x q011 m) : [{:2.4f}, {:2.4f}, {:2.4f}]'.format(*np.cro
        ss(np.dot(R,q01m1_m), np.dot(R,q011_m))))
        print('b = R (dot) q01m1 m:
                                                 [{:2.4f}, {:2.4f}, {:2.4f}]'.format(*np.dot
        (R,q01m1 m)))
        print('c = R (dot) q011 m:
                                                 [{:2.4f}, {:2.4f}, {:2.4f}]'.format(*np.dot
        (R,q011 m)))
        # check the resulting vectors are indeed orthogonal:
        assert(LA.norm(np.dot(np.dot(R,q01m1 m), np.dot(R,q011 m)))<0.00001)
        hkl points = np.asarray(hkl points)
        abc points = np.asarray([np.dot(R,qxyz point) for qxyz point in qxyz points])
        ax = m3d.Axes3D(plt.figure())
        ax.scatter3D(*abc points.T,c=hkl points[:,1]+hkl points[:,2], cmap='jet',vmax=4, vm
        in=-4)
        print('rotation matrix R aligns facets (abc) to laboritory coordinates (xyz):')
        print(R)
```

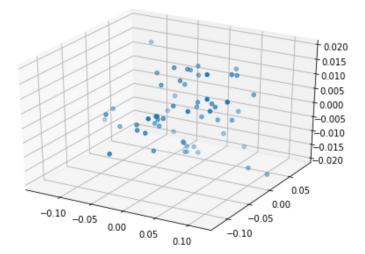
```
check result:
a = R (dot) (q01m1_m x q011_m) : [3.8748, -0.0000, -0.0000]
b = R (dot) q01m1_m: [0.0000, 1.9761, -0.0000]
c = R (dot) q011_m: [0.0000, -0.0000, 1.9609]
rotation matrix R aligns facets (abc) to laboritory coordinates (xyz):
[[-0.04893713 -0.16695799  0.9847488 ]
[ 0.83148366 -0.55306786 -0.05244857]
[ 0.55338955  0.81623584  0.16588841]]
```



Next, we plot the spread of the coordinates used to calculate the c_{mean} vector in xyz coordinates. This shows the accuracy of the diffractometer over the whole covered angular range. The axes are scaled in inv. nm.

```
In [6]: ax = m3d.Axes3D(plt.figure())
    mean_vec = qxyz_diff_c.mean(axis=0)
    ax.scatter3D(*(qxyz_diff_c-mean_vec).T)
    # ax.set_xlim(-0.02,0.02)
```

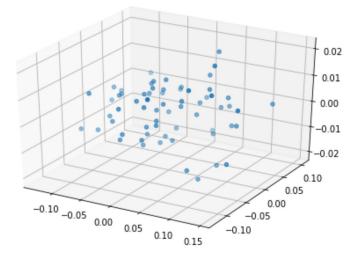
Out[6]: <mpl_toolkits.mplot3d.art3d.Path3DCollection at 0x7f4744d3d850>



We also plot the spread of the coordinates used to calculate the b_{mean} vector in xyz coordinates.

```
In [7]: ax = m3d.Axes3D(plt.figure())
    mean_vec = qxyz_diff_b.mean(axis=0)
    ax.scatter3D(*(qxyz_diff_b-mean_vec).T)
```

Out[7]: <mpl_toolkits.mplot3d.art3d.Path3DCollection at 0x7f47443a6190>



3. Calculate the full deformation tensor from multiple Bragg reflections

This script determines a set of equations for the 6 unknown components of the deformation tensor. The starting point is analyzed data from scanned nano-diffraction data of at least two Bragg reflections measured simultaneously, i.e. in the same projection of the sample. An additional constraint is required when exactly two reflexes are measured. For this, we choose material incompressibility. Finally, the equations are solved generally and the solution applied iteratively to all measured data points.

```
In [1]: import numpy as np
        import sympy as sympy
        sympy.init printing(use unicode=True) # pretty printing sympy
        import h5py # to read h5 data files
```

3.1 read data from processed .h5 files:

The required data was acquired by calculating the center of mass of the scattered diffraction vector in the laboratory reference frame. In spherical coordinates:

'qio' denotes the scattering vector ${f q}=(d,q_{\phi},q_{ heta})$ in the spherical coordinates of

d = lattice spacing along scattering vector;

ia = ϕ , in-xy-plane angle;

ia, oa = θ , out-of-plane angle.

Or cartesian coordinates:

'qxyz' is the scattering vector $\mathbf{q} = (q_x, q_y, q_z)$ in the lab coordinates x, y, z

'_r' for 'red' troi of the Bragg peak (3-10)

'_b' for 'blue' troi of the Bragg peak (020)

```
In [2]: rot fname = '/data/id13/inhouse11/THEDATA I11 1/d 2018-11-13 inh ihma67 pre/PROCESS
        /previews/alignment/rot fit bin3 q23 qxyz kmap rocking merged.h5'
        with h5py.File(rot_fname,'r') as source h5:
            ori g = source h5['results/original data']
            data dict = {}
            for troiname, troi g in ori g.items():
                qxyz = np.asarray(troi g['analytical/qxyz mean'])
                dio = np.asarray(source h5['results/strain/{}/dio mean'.format(troiname)])
                data dict.update({troiname: {'qxyz':qxyz,
                                               'dio':dio}})
        ia b = data dict['blue']['dio'][1]
        oa b = data dict['blue']['dio'][2]
        ia r = data dict['red']['dio'][1]
        oa_r = data_dict['red']['dio'][2]
        print('ia b = {:2.1f} deg'.format(ia b*180/np.pi))
        print('ia r = {:2.1f} deg'.format(ia r*180/np.pi))
        print('oa_b = {:2.1f} deg'.format(oa_b*180/np.pi))
        print('oa_r = {:2.1f} deg'.format(oa_r*180/np.pi))
        ia b = -100.5 deg
        ia r = 118.9 deg
        oa b = 5.4 \deg
```

```
oa r = 56.7 \deg
```

We used an internally referenced deformation tensor, so that:

$$\epsilon_{dd} = (d_{ij} - d_{mean})/d_{mean}, \ \epsilon_{\phi\phi} = i a_{ij} - i a_{mean}, \ \epsilon_{\kappa\kappa} = o a_{ij} - o a_{mean},$$

where i,j indicate the indexes of the raster scanned map and ϵ is expressed in spherical coordinates. For small deformations, these values correspond to the respective cartesian components appropriately aligned with the Bragg reflection. We (arbitrarily) choose to align **y**' along the direction of the Bragg reflection, **x**' along **ia** (ϕ) and **z**' along **oa** (κ). Then:

$$egin{aligned} \epsilon_{y'y'} &= \epsilon_{dd}, \ \epsilon_{x'y'} &= \epsilon_{\phi\phi}, \ \epsilon_{y'z'} &= \epsilon_{\kappa\kappa}. \end{aligned}$$

Remembering the construction for two linearly independent Bragg reflections, we can solve for the other 3 components $(e_{x'x'}, e_{z'z'}, e_{x'z'})$ by combing the two partially known deformation tensors if we first express them in a mutual coordinate system. We choose (again arbitrarily) the lab coordinates x, y, z. To arrive at these coordinates it is sensible to first rotate around the z-axis by ϕ (rotz) and then around the x-axis by κ (rotx). By construction, this rotation will ensure that respective angular components (in-plane and out-of-plane) are in fact aligned correctly.

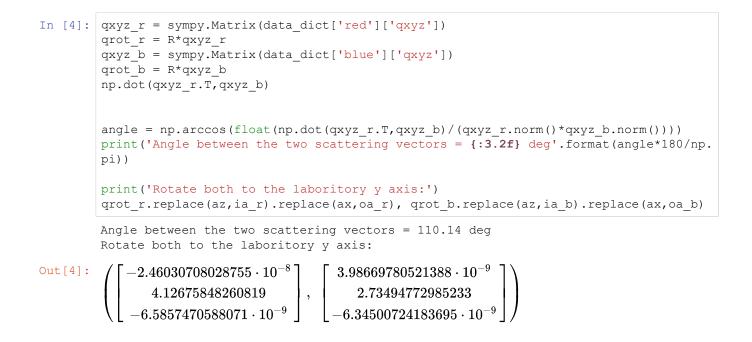
As a reminder from the data-analysis code:

in_plane = np.arctan2(qx,qy); out_plane = np.arcsin(qz/q_3d)

3.2 calculate Rotation matrix R

Generally, if the components of vectors transform as $\mathbf{q}' = R\mathbf{q}$, tensor components transform as $\epsilon' = R^T \epsilon R$ when changing the coordinate base. As noted above, we can calculate the rotation matrix R directly for each Bragg vector:

We can double-check this result by applying it to the original scattering vector expressed in the laboratory coordinates. We find that the rotated vector is indeed aligned with the laboratory y-axis for both Bragg-peaks ('red' and 'blue').



3.3 Tensor coordinate transformation

Now one can setup the coordinates of the 3 ϵ tensors in their respective cartesian representation. The variable convention in sympy was chosen so that edr and edb read as ϵ'_{red} and ϵ'_{blue} , respectively.

```
In [5]: e_xx, e_yy, e_zz, e_xy, e_xz, e_yz = sympy.symbols('e_xx e_yy e zz e xy e xz e yz')
            edr_xx, edr_yy, edr_zz, edr_xy, edr_xz, edr_yz = sympy.symbols('edr_xx edr_yy edr_z
            z edr xy edr xz edr yz')
            edb_xx, edb_yy, edb_zz, edb_xy, edb_xz, edb_yz = sympy.symbols('edb_xx edb_yy edb_z
            z edb xy edb xz edb yz')
            eps = sympy.Matrix([[e_xx, e_xy, e_xz],
                                        [e xy, e yy, e yz],
                                         [e xz, e yz, e zz]])
            edr = sympy.Matrix([[edr xx, edr xy, edr xz],
                                         [edr xy, edr yy, edr yz],
                                         [edr_xz, edr_yz, edr_zz]])
            edb = sympy.Matrix([[edb_xx, edb_xy, edb_xz],
                                        [edb_xy, edb_yy, edb_yz],
                                        [edb xz, edb yz, edb zz]])
            eps, edr, edb
             egin{pmatrix} e_{xx} & e_{xy} & e_{xz} \ e_{xy} & e_{yy} & e_{yz} \ e_{xz} & e_{yz} & e_{zz} \end{bmatrix}, egin{pmatrix} edr_{xx} & edr_{xy} & edr_{xz} \ edr_{xy} & edr_{yz} \ edr_{xz} & edr_{yz} & edr_{zz} \end{bmatrix}, egin{pmatrix} edb_{xx} & edb_{xy} & edb_{xz} \ edb_{yz} & edb_{yz} \ edb_{xz} & edb_{yz} \ edb_{zz} & edb_{zz} \end{bmatrix},
Out[5]:
```

Now perform the coordinate transforms of the Tensor components and equate:

$$\epsilon_{ij} = (R_{red}^T \cdot \epsilon'_{red} \cdot R_{red})_{ij} = (R_{blue}^T \cdot \epsilon'_{blue} \cdot R_{blue})_{ij}$$

The next three boxes perform this operation. We arrive at the equation zero_eq in [8].

In [6]: eps_r = sympy.simplify(sympy.MatMul(R.T,(sympy.MatMul(edr,R))).doit())
eps_b = sympy.simplify(sympy.MatMul(R.T,(sympy.MatMul(edb,R))).doit())

We can verify that these are symmetric:

In [7]: eps r.is symmetric(), eps b.is symmetric() Out[7]: (True, True)

Inserting the concrete values for the rotation angles:

In [8]: eps br = eps b.replace(az,ia b).replace(ax,oa b) eps rr = eps r.replace(az,ia r).replace(ax,oa r) zero eq = sympy.simplify(eps br-eps rr)

3.4 calculating the full deformation tensor

The components of these two tensors in laboratory coordinates have to be equal (zeroeq): \$\$(\epsilon{blue} - \epsilon{red}){ij} = 0\$\$ Note: non-dashed = expressed in the mutual laboratory coordinates. All deformation tensors are symmetric, so for the case of two peaks, we have 6 known components and 6 unknown components.

The known components are:

 $\epsilon_{b,yy}^{\prime},\epsilon_{b,xy}^{\prime},\epsilon_{b,yz}^{\prime},\epsilon_{r,yy}^{\prime},\epsilon_{r,xy}^{\prime},\epsilon_{r,yz}^{\prime}$ $=\epsilon_{b,qq}^{\prime},\epsilon_{b,\phi\phi}^{\prime},\epsilon_{b, heta heta}^{\prime},\epsilon_{r,qq}^{\prime},\epsilon_{r,\phi\phi}^{\prime},\epsilon_{r, heta heta}^{\prime}$ $\epsilon_{b.xx}', \epsilon_{b.zz}', \epsilon_{b.xz}', \epsilon_{r,xx}', \epsilon_{r,zz}', \epsilon_{r,xz}'$

whereas the unknown components are:

However, one can show that apart from numerical errors, we only have five linearly independent equations. This can be understood by referring to the dashed coordinate systems. We lack information on the normal deformation in the direction perpendicular to the plane in which the two scattering vectors lie, while the four measured shear components reduce to three. This becomes most apparent in the case where the scattering vectors are perpendicular and two measured shear components are identical. Please see Figure 2 in the main text, which shows a sketch illustrating this point.

side note: number of equations

Here we will test the system of equations to show that it is under-determined.

```
In [9]: bx,bz = sympy.symbols('bx bz')
        eps 1 = eps b.replace(az, bz).replace(ax,bx)
        eps 2 = eps r
        T = eps 1 - eps 2
        eq list = [T[0,0],T[1,0],T[2,0],T[1,1],T[2,1],T[2,2]]
        known list = [edb yy, edb xy, edb yz, edr yy, edr xy, edr yz]
        unknown list = [edb xx, edb zz, edb xz, edr xx, edr xz, edr zz]
        eq A, eq b = sympy.linear eq to matrix(eq list, unknown list)
```

We have 6 equations for 6 unknown variables. The determinant of the Matrix corresponding to the system of equations, eq. A, is too cumbersome to show explicitly that it is zero for all angles. However, inserting a set of random values for the angles reveals it is zero for all tested combinations. Equivalent to this, solving the first 5 equations and solving manually for the 6th also returns a zero coefficient for the last unknown (bar rounding):

```
In [10]: NO ITERATIONS = 3
         for i in range(NO ITERATIONS):
             angle list = [ax, az, bx, bz]
             angleval array = 0.01 + np.random.random((NO ITERATIONS,4))*(0.98*np.pi)
             eq replace = list(eq list)
             print('angles: {:2.2f}, {:2.2f}, {:2.2f}, {:2.2f}'.format(*angleval array[i]))
             for angle, angleval in zip(angle list, angleval array[i]):
                 eq replace = [eq.replace(angle,angleval) for eq in eq replace]
             result = sympy.solve(eq replace[:5], unknown list[:])
             eq A replace, = sympy.linear eq to matrix (eq replace, unknown list)
             eq6 = eq replace[5]
             for key, val in result.items():
                 eq6 = eq6.replace(key, val)
             # eq6.subs([[x,y] for x,y in zip(known list,[1]*6)])
             print(' -> det(eq A replace) = {}'.format(eq A replace.det()))
             print(' -> coefficient for {}: {}'.format(unknown_list[-1],eq6.coeff(unknown_li
         st[-1])))
         angles: 1.41, 1.41, 2.95, 0.17
          -> det(eq A replace) = 7.82139544480764E-18
```

```
-> det(eq_A_replace) = 7.82139544480764E-18
-> coefficient for edr_zz: -2.91433543964104E-16
angles: 3.06, 2.48, 1.08, 1.94
-> det(eq_A_replace) = -1.19837096338108E-16
-> coefficient for edr_zz: 2.70894418008538E-14
angles: 2.36, 0.96, 2.94, 1.89
-> det(eq_A_replace) = -6.23535066454246E-17
-> coefficient for edr zz: 0
```

As stated, this means we have only 5 linearly independent equations and will require additional constraints which can be imposed assuming incompressibility of the material. We can express this constraint in the 'red' and 'blue' components respectively:

 $\epsilon_{r,xx}+\epsilon_{r,yy}+\epsilon_{r,zz}=0 \ \epsilon_{b,xx}+\epsilon_{b,yy}+\epsilon_{b,zz}=0$

Note, that these two equations are also not linearly independent, because incompressibility is obviously preserved under the rotation of coordinates. We could include only one of the two constraints. However, including both is a good additional constraint for the following fit.

We return to the equations with the experimental values for the angles and setup the system of equations to be solved:

For the case where more than two Bragg reflections were measured, the incompressibility constraint may be dropped. However, it often presents a valid approximation and it is advised to include it to further constrain the following fitting solution. If more than two reflections are available, the components of the respective deformation tensors can be equated pair-wise. Again, each Bragg reflection adds three knowns, three unkowns and three linearly independent equations. But, comparing the six components of each deformation tensor pair-wise quickly inflates the redundant number of equations.

3.5 Solving for unknown variables

We have for 6 unknows and 8 equations (more if more Bragg peaks were measured). This overdetermined system is incompatible, because it contains measurement errors. However, we can find the best solution by linear least squares using the QR decomposition [Trefethen, Lloyd; Bau, III, David (1997). Numerical Linear Algebra. ISBN 978-0898713619]:

$$egin{aligned} Ax &= b, \ QRx &= b, \ x &= R^{-1}Q^Tb. \end{aligned}$$

As we can trace the origin of each of the sets of 3 'unknowns' to 3 lineary independent equations of 3 measured terms (3 per Bragg peak), the colum space of A is equal to the number of unknown terms and a unique solution for this problem exists.

In [12]: eq_x = eq_A.QRsolve(eq_b)

This solution is used to express the 'unknown' terms in 'known' terms:

```
In [13]: eq list2 = list(eq x[i]-unknown list[i] for i in range(len(unknown list)))
         eq result = sympy.solve(eq list2, unknown list)
         for key, val in eq result.items():
             print('\n{} = '.format(key))
             print(val)
         edb xx =
         -0.214815141087155*edb xy - 0.940148908280475*edb yy + 0.143640184979592*edb yz
         + 0.22210890510513*edr xy - 0.646846915334418*edr_yy + 0.847273581140151*edr_yz
         edr xz =
         0.161781035685503*edb xy - 1.06342841477801*edb yy + 0.128722210513361*edb yz -
         0.396310468065141*edr xy - 0.429614052466772*edr yy + 0.383965540462569*edr yz
         edb zz =
         0.24256846940889*edb xy - 0.032413105279403*edb yy - 0.0741800351889031*edb yz -
         0.171826191672083*edr xy + 0.619408928894296*edr yy - 0.902650547215308*edr yz
         edr xx =
         -1.02093060206441*edb xy - 0.145130469977867*edb yy + 0.302290033867263*edb yz +
         0.156926633983404*edr xy - 0.499175423885163*edr yy + 0.370318090575313*edr yz
         edb xz =
         -0.278067513858006*edb xy + 0.406409163503369*edb yy + 0.0204914743239191*edb yz
         + 0.854738716957513*edr xy + 0.627244588699843*edr yy + 0.20689775418145*edr yz
         edr zz =
         0.993177273742675*edb xy + 0.117692483537746*edb yy - 0.371750183657952*edb yz -
         0.20720934741645*edr xy - 0.473386589674715*edr yy - 0.314941124500156*edr yz
```

We substitute all the unkowns by knows in the deformation tensor ϵ :

```
In [14]: eps eq = sympy.Eq(eps,eps rr,evaluate=False)
         eps_eq_dict = sympy.solve(eps_eq)
         eps dict = {}
         eps replace = eps
         for eps symbol, eps comp in eps eq dict.items():
             eps comp subs = eps comp.subs(eq result)
             eps dict.update({eps symbol: eps comp subs})
             print('\n{} = '.format(eps_symbol))
             print(eps comp subs)
         е_уу =
         -0.506787778872053*edb_xy - 0.843591616808998*edb_yy + 0.26222099637709*edb_yz +
         0.271286800603049*edr xy - 0.69298643782485*edr yy + 0.718157204868533*edr yz
         e yz =
         -0.298050471717625*edb xy + 0.485587164644313*edb yy + 0.0204882228156621*edb yz
         + 0.96823746923152*edr xy + 0.533393817518826*edr yy + 0.0763823015440065*edr yz
         e zz =
         0.299881028528728*edb xy + 0.035536196756093*edb yy - 0.112246655635794*edb_yz -
         0.0625650162028488*edr xy + 0.555124047223651*edr yy - 1.01329335740492*edr yz
         e xx =
         0.17915342202159*edb xy + 0.780617433612784*edb yy - 0.219434490531986*edb yz -
         0.259004497833247*edr xy + 0.165300377041321*edr yy + 0.350513118611545*edr yz
         e xy =
         -0.797047649967784*edb xy + 0.377917865631124*edb yy + 0.180198285378315*edb yz
         + 0.0110230032240591*edr xy - 0.00753469290138578*edr yy - 0.309879908172543*edr
         _yz
         e xz =
         0.356335576105857*edb xy + 0.329520508132902*edb yy - 0.18360724611488*edb yz +
         0.425370902934432*edr xy - 0.478308915584187*edr yy - 0.575365188507923*edr yz
```

Now we one can iterate over all the positions in the raster scan and calculate the full deformation tensor in laboratory coordinates at each point. Finally, we want to rotate the coordinate system in which we express the deformation tensor to align with the crystal facets (abc) by applying

 $\mathbf{\hat{\epsilon}}_{ij}^{abc} = (R_{abcxyz}^T \cdot \mathbf{\hat{\epsilon}}^{xyz} \cdot R_{abcxyz})_{ij}.$

The superscript denotes the respective coordinate system the components are expressed in. In chapter 2 of this supplementary information one can find the calculation of R_{xyzabc} . We are looking to rotate the other way around xyz -> abc, which is done by $R_{abcxyz} = R_{xyzabc}$. T:

```
In [15]:  \begin{array}{l} R_xyzabc = sympy.Matrix([[-0.04893713, -0.16695799, 0.9847488], \\ [ 0.83148366, -0.55306786, -0.05244857], \\ [ 0.55338955, 0.81623584, 0.16588841]]) \end{array} \\ R_abcxyz = R_xyzabc.T \\ R_abcxyz \end{array}
```

The expressions for ϵ_{ij}^{abc} are then:

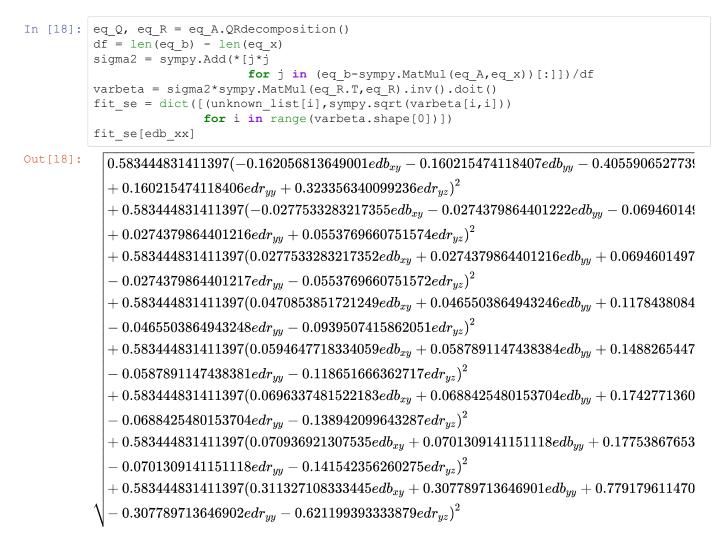
```
In [16]: eps_abc = sympy.MatMul(R_abcxyz.T,sympy.MatMul(eps, R_abcxyz)).doit()
eps_abc[0]
```

3.6 error estimation

The experimental accuracy for the 'known' components was estimated to be 1e-4, as described in the main text of the manuscript.

In [17]: measurement_se = dict([(known, 1e-4) for known in known list])

For the 'unknown' components, we can directly calculate the standard error for the fit [S. Sheather A Modern Approach to Regression with R (Springer Texts in Statistics) ISBN-10: 0387096078]:



"fit_se" is a dictionary for all 'unknown' variables and the corresponding (lengthy) terms of the standard error of the fit for each, as a function of the known components. This estimation ignores the fact that the known components are also error-laden, however, as these values are all equally accurate we find it instructive to keep these two contributions separate.

One can separately add up the contribution of each error source in terms in the final expression for ϵ :

```
In [19]: eps eq = sympy.Eq(eps, eps rr, evaluate=False)
         eps_eq_dict = sympy.solve(eps_eq)
         eps_error_dict = {'fit':{}, 'measurement':{}}
         for eps symbol, eps comp in eps eq dict.items():
             # the fit error
             # the contibution of 'unknown', a function of known list:
             error_terms = [sympy.Abs(sympy.diff(eps_comp, unknown)*fit_se[unknown])
                           for unknown in unknown list]
             eps fit error = sympy.Add(*error terms)
             #in case there are unknown terms left:
             eps fit error.subs(eq result)
             eps error dict['fit'].update({eps symbol: eps fit error})
             # measurement error
             # eliminate the 'unknown' variables:
             eps comp replace = eps comp.subs(eq result)
             error terms = [sympy.Abs(sympy.diff(eps comp replace, known)*measurement se[kno
         wn])
                            for known in known list]
             eps_measurement_error = sympy.Add(*error_terms)
             eps error dict['measurement'].update({eps symbol: eps measurement error})
         #for eps_symbol, eps_comp in eps_error_dict['fit'].items():
         # print(eps_symbol, eps_comp)
         #for eps symbol, eps comp in eps error dict['measurement'].items():
         #
            print(eps symbol, eps comp)
```

As with the deformation tensor results, we iterate over all the points in a measured map, inserting the measured values into these equations. The results are plotted in the main publication.

4 Count number of projections for multi-Bragg XRD

In the following, we confirm that for a given crystal lattice there are many pairs of (hkl) planes that can be simultaneously brought into diffraction conditions.

Initializations

```
In [1]: import numpy as np
import matplotlib.pyplot as plt
import mpl_toolkits.mplot3d as m3d
import matplotlib.patches as mpatches
import numpy.linalg as LA
import sympy.utilities.iterables as sympy_iterables
```

Function definitions

The following function is used to construct a reciprocal lattice, saved in dictionary form.

```
In [2]: def get_reciprocal_lattice(a_qvector, b_qvector, c_qvector,q_max=10, lattice=None):
            calculate 3D q space coordinates for Bragg reflexes from given unit-cell vector
        s
            up to |(qx, qy, qz) = qmax [in inverse nm]
            returns dict((h,k,l):(qx,qy,qz))
            follows selection rules: lattice can be None, 'fcc', 'bcc', 'diamond'
            111
            # quickly find some lower bound for max(h,k,l)
            # for very skew lattices this may fail to get all reflexes!
            a = np.asarray(a qvector, dtype=np.float32)
            b = np.asarray(b qvector, dtype=np.float32)
            c = np.asarray(c qvector, dtype=np.float32)
            factors = np.array([-1,0,1])
            permutations = sympy_iterables.permutations(factors)
            min_length = 0.5*min(*[LA.norm(a*p1+b*p2+c*p3)/(abs(p1)+abs(p2)+abs(p3))
                                    for [p1,p2,p3] in permutations])
            max hkl = int(np.ceil(q max/min length))
            if lattice=='fcc':
                print('Applied {} selection rules'.format(lattice))
                def check lattice(h,k,l):
                    if h%2 + k%2 + 1%2 == 0: # all even
                        return True
                     elif h%2 + k%2 + 1%2 == 3: # all odd
                        return True
                     return False
            elif lattice=='bcc':
                print('Applied {} selection rules'.format(lattice))
                def check lattice(h,k,l):
                     if (h + k + 1) %2 == 0: # sum even
                         return True
                     return False
            elif lattice=='diamond':
                print('Applied {} selection rules'.format(lattice))
                def check lattice(h,k,l):
                    if h%2 + k%2 + 1%2 == 3: # all odd
                        return True
                     elif h%2 + k%2 + 1%2 == 0: # all even
                        if (h + k + 1) %4 == 0: # diamond special case
                            return True
                     return False
            else:
                # further selection rules can be inserted here
                print('No selection rules')
                def check lattice(h, k, l):
                    return True
            hkl dict = \{\}
            for h in range(-max hkl, max hkl):
                for k in range(-max_hkl,max_hkl):
                    for l in range(-max hkl, max hkl):
                         q vector = h*a + k*b + 1*c
                         if LA.norm(q vector)<q max:</pre>
                             if check_lattice(h,k,l):
                                hkl dict.update({(h,k,l):q vector})
            # we don't include the origin
            hkl dict.pop((0,0,0))
            print('found {} reflections'.format(len(hkl dict.keys())))
            return hkl dict
```

Function to get rotation matrix, needed later:

```
In [3]: def get skew symmetric(v):
            vx = np.zeros((3,3), dtype=np.float32)
            vx[1, 0] = v[2]
            vx[0,1] = -v[2]
            vx[0,2] = v[1]
            vx[2,0] = -v[1]
            vx[1,2] = -v[0]
            vx[2,1] = v[0]
            return vx
        def get rotation matrix(a,b):
             111
            returns M so that Ma parallel to b
            https://math.stackexchange.com/questions/
            180418/calculate-rotation-matrix-to-align-vector-a-to-vector-b-in-3d
             ...
            a = np.asarray(a)
            b = np.asarray(b)
            b norm = b / LA.norm(b)
            a norm = a / LA.norm(a)
            v = np.cross(a norm, b norm)
            c = np.dot(a norm, b norm)
             # cant have same or opposite directions
            if LA.norm(v)<0.000001:
                M = np.zeros((3,3),dtype=np.float32)
                M[0,0] = M[1,1] = M[2,2] = 1
                return M * np.sign(c)
            vx = get_skew_symmetric(v)
            M = np.zeros((3,3), dtype=np.float32)
            M[0,0] = M[1,1] = M[2,2] = 1
            M += vx + np.dot(vx, vx)/(1+c)
            assert (LA.norm(np.dot(M, a norm)-b norm)<0.00001)</pre>
            return M
```

4.1 Defining the experiment

A restriction on which reflections can be imaged is given in the laboratory geometry and layout. Let us assume that we can image all reflections in the experimental setup up to $q_{max} = 2\pi sin(\Theta_{max})/\lambda$. This restriction is defined by the maximal scattering angle $2\Theta_{max} < 180^{\circ}$ to which the detector can be moved. A relatively harsh restriction is $2\Theta_{max} < 15^{\circ}$.

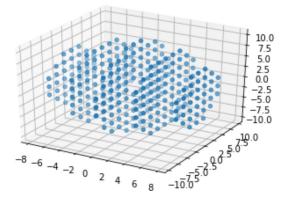
The number of Bragg reflections that will appear within this detectable cone is critically dependent on the X-ray energy. We choose the experimental value $\lambda = 0.082 nm$ (15 keV).

```
In [4]: THETA_MAX = 0.5*15./180*np.pi
LAMBDA = 8.2e-2
Q_IN = 2.0*np.pi/LAMBDA
Q_MAX = 2.0*np.pi*np.sin(THETA_MAX)/LAMBDA
```

We will continue with the cubic approximation of the VO_2 lattice. Note again that the lattice symmetry, spacing, wavelength and maximum scattering angle all contribute very strongly to the number of reflections that can be imaged. Here we present a conservative estimation which represents the experimental setup, as described in the main text of the manuscript.

No selection rules found 300 reflections

Out[5]: <mpl_toolkits.mplot3d.art3d.Path3DCollection at 0x1d7705e2978>



4.2 Definition of check criteria

The check for simultaneous scattering works as an Ewald sphere construction with a sphere radius = $q_{in} = 2\pi/\lambda$. This radius is strictly larger than q_{max} from before so that one can image all peaks in hkl_dict that was already defined.

For a given Bragg reflection\, the scattering vector $q_{\Delta} = q_{in} - q_{out}$ can be used to restrict the position of the center of the Ewald's sphere in reciprocal space. We have one axis of rotational freedom, because sample rotations around the scattering vector preserve the diffraction condition. Experimentally, this rotation corresponds to rotating the sample in such a way that the reflection remains visible at all times. During the rotation the scattered beam will trace a cone around the direct beam, while the center of the Ewald's sphere traces a circle in 3D reciprocal space.

To check whether a partner reflection can be simultaneously imaged, we need to verify that it will also lie on the Ewald's sphere at some point along the rotation. The partner peak needs to cross the surface of the Ewald's sphere to be on it at any time. This is equivalent to checking whether the partner peak enters and leaves the Ewald's sphere as the Ewald's sphere is rotated around the scattering vector. In other words, the partner peak must be in the union volume of all Ewald's spheres and NOT in their conjunction.

- check whether partner peak is in the volume created by rotating the Ewald's sphere around the scattering vector (union) .
- check that partner peak is NOT in the inner volume (conjunction). Here it never leaves the Ewald's sphere as the sphere rotates.

We will perform the calculation by rotating the coordinates in hkl_dict, so that the scattering vector (identified by the popped hkl) points along the x-axis. Next, the remaining partner peaks are in turn rotated around the x-axis into the xy-plane. This reduces the 3D problem to 2D. Now, the partner peak is valid:

• union

• If the rotated coordinates $(q'_x, q'_y, q'_z = 0)$ are in the Ewald's sphere (now circle).

- conjunction
 - It is not in the rotated volume of the negative circular segment (where y<0) (->never leaves Ewald's sphere).
 - This corresponds to qy' not being in the Ewald's sphere mirrored along the x-axis (rotated by 180°).

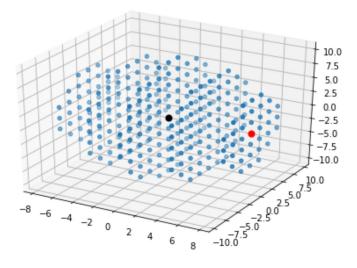
4.3 Example for one pair:

The following selects a reference and partner peak at random and plots the selection process:

- rotate all reflexes so that the reference is parallel to the x-axis
- rotate partner reflex into xy-plane
- · check which area the partner peak is in

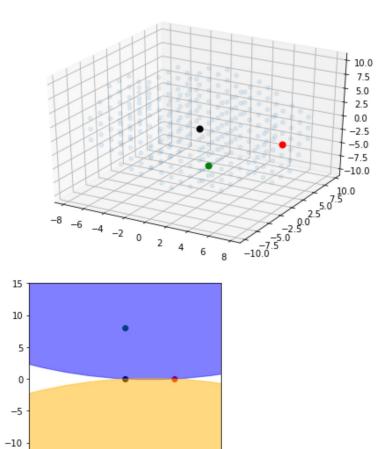
```
In [6]: # choose random example reference peak and rotate coordinates to x-axis
        # example that fails if partner hkl = (1,0,0) is reference hkl = (2,0,0)
        pairs = []
        hkl dict = dict(HKL DICT)
        i = np.random.randint(len(hkl dict.keys()))
        reference_hkl = list(hkl_dict.keys())[i]
        reference_hkl = (2, 0, 0)
        reference = hkl dict.pop(reference hkl)
        R = get rotation matrix(reference, [1,0,0])
        reference = np.dot(R, reference)
        # plot all peaks in the rotated coordinates
        qxyz points = np.asarray(list(hkl dict.values()))
        abc points = np.asarray([np.dot(R,qxyz point)
                                  for qxyz_point in qxyz_points])
        ax = m3d.Axes3D(plt.figure())
        ax.scatter3D(*abc points.T)
        ax.scatter3D(*reference, c='r', s=50)
        ax.scatter3D(0,0,0,c='black',s=50)
```

Out[6]: <mpl_toolkits.mplot3d.art3d.Path3DCollection at 0x1d7706bad68>



We rotate around the x-axis and plot in the xy-plane.

```
In [7]: # select random partner example
        # example that fails if reference hkl = (2,0,0) is partner hkl = (1,0,0)
        i = np.random.randint(len(hkl dict.keys()))
        partner hkl = list(hkl dict.keys())[i]
        # rotate partner coordinates to x-axis
        partner = np.dot(R,hkl dict[partner hkl])
        # plot all reflexes in reference coordinates
        ax = m3d.Axes3D(plt.figure())
        ax.scatter3D(*abc points.T,alpha=0.1)
        ax.scatter3D(*reference, c='r', s=50)
        ax.scatter3D(0,0,0,c='black',s=50)
        ax.scatter3D(*partner, c='green', s=50)
        # rotated partner to xy-plane
        phi = np.arctan2(partner[2], partner[1])
        R2 = [[1,0,0],[0,np.cos(phi),np.sin(phi)],[0,-np.sin(phi),np.cos(phi)]]
        partner = np.dot(R2,partner)
        # plot reflexes in xy-plane
        fig, ax = plt.subplots(1)
        ax.set aspect('equal')
        ax.scatter(0,0,color='black',marker='o')
        ax.scatter(*reference[:2],color='red',marker='o')
        ax.scatter(*partner[:2], color='green', marker='o')
        # calculates Ewald's spheres parameters
        ewald x = 0.5 * reference[0]
        ewald y = np.cos(ewald x/Q IN)*Q IN
        ewald_coord = [ewald_x, ewald_y]
        ewald_mirror = [ewald_x, -ewald y]
        # add Ewald's spheres to plot
        circle in = mpatches.Circle(ewald coord, Q IN, color = [0,0,1,0.5])
        ax.add patch(circle in)
        circle out = mpatches.Circle(ewald mirror, Q IN, color = [1,0.7,0,0.5])
        ax.add patch(circle out)
        ax.set xlim((-15,15))
        ax.set_ylim((-15,15))
        # define function to check whether partner peak is in the valid area of the plot
        def cuts ewald(reference, q in, partner):
            ewald x = 0.5 * reference[0]
            ewald y = np.cos(0.5*reference[0]/q in)*q in
            ewald coord = [ewald x, ewald y]
            ewald mirror = [ewald_x, -ewald_y]
            check = False
            if LA.norm(partner-ewald coord)<q in:</pre>
                check=True
            if LA.norm(partner-ewald mirror) <q in:</pre>
                check=False
            return check
        # result for this pair
        cuts_ewald(reference[:2],Q_IN, partner[:2])
```



The origin, reference and partner points are plotted above in black, red and green. In the second plot above the initial slice of the Ewald's sphere is shown in blue. The Ewald's sphere mirrored along the x-axis is shown in orange. Thus the the conjunction of all Ewald's spheres is where these two circles overlap.

The pair of reflexes can be brought into reflection condition at the same time if the green dot is in the blue area and not in the orange area.

4.4 All pairs

-15

-10

-5

Ò

5

10

15

We iterate over all pairs of reflections and call the cuts_ewald function to keep the ones which can be simultaneously excited:

```
In [8]: hkl dict = dict(HKL DICT)
        pairs list = []
        not valid = []
        hkl list = list(hkl dict.keys())
        N PAIRS = (len(HKL DICT.keys())*(len(HKL DICT.keys())+1))/2
         i=0
        for reference hkl in hkl list:
            reference = hkl dict.pop(reference hkl)
            R = \text{get rotation matrix}(\text{reference}, [1, 0, 0])
             # rotate the reference to x-axis
            reference = np.dot(R, reference)
            for partner hkl in hkl dict.keys():
                 i+=1
                 # rotate partner with reference
                partner = np.copy(np.dot(R,hkl dict[partner hkl]))
                 # rotate partner around reference into xy-plane
                phi = np.arctan2(partner[2],partner[1])
                 R2 = [[1,0,0]],
                       [0, np.cos(phi), np.sin(phi)],
                       [0,-np.sin(phi),np.cos(phi)]]
                 partner = np.dot(R2, partner)
                 # find ewald sphere coordinates
                 ewald x = 0.5 * reference[0]
                 ewald y = np.cos(ewald x/Q IN) *Q IN
                 ewald coord = [ewald x, ewald y]
                 ewald_mirror = [ewald_x, -ewald_y]
                 # perfornm check and save original coordinates
                 if cuts ewald(reference[:2], Q IN, partner[:2]):
                     pairs list.append(((reference hkl, HKL DICT[reference hkl]),
                                        (partner hkl, HKL DICT[partner hkl])))
                     not valid.append(((reference hkl, HKL DICT[reference hkl]),
                                        (partner_hkl, HKL_DICT[partner_hkl])))
        print('Iterated over {} pairs.'.format(i))
        print('Found {} simulatneously reflecting pairs = {:2.1f}% of all pairs.'.format(le
        n(pairs_list),
                                                                                            10
        0.0*len(pairs list)/i))
        Iterated over 44850 pairs.
```

```
Found 44520 simulatneously reflecting pairs = 99.3% of all pairs.
```

4.5 Conclusion

For large X-ray energies, the Ewald's sphere is so large that most reflexes can be combined. The curvature of the sphere so low, so that almost all the reflections are accessible. Only those pairs pointed along a mutual axis are excluded. In practice not all combinations will be achievable, because it may be impossible to rotate the sample into position and the intersection of the partner reflection with the Ewald's sphere may be too shallow.

However, each reflection crosses the Ewald's sphere twice, doubling the number of suitable projections. For small samples and suitable goniometers, it is possible to arrive at many sample orientations which show more than one Bragg reflection simultaneously. Therefore, it is possible to measure sufficient projections to allow the full 3D reconstruction of the deformation tensor in the sample.