



# 1 **The Importance of Size Ranges in Aerosol Instrument Intercomparisons:** 2 **A Case Study for the ATom Mission**

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12 **Abstract.** Aerosol intercomparisons are inherently complex, as they convolve instrument-dependent  
13 detection efficiencies vs. size (which often change with pressure, temperature, or humidity) and variations  
14 on the sampled aerosol population, in addition to differences in chemical detection principles (e.g.,  
15 including inorganic-only nitrate vs. inorganic plus organic nitrate for two instruments). The NASA  
16 Atmospheric Tomography Mission (ATom) spanned four separate aircraft deployments, which sampled  
17 the remote marine troposphere from 86°S to 82°N over different seasons with a wide range of aerosol  
18 concentrations and compositions. Aerosols were quantified with a set of carefully characterized and  
19 calibrated instruments, some based on particle sizing and some on composition measurements. This study  
20 aims to provide a critical evaluation of the size-related factors impacting aerosol intercomparisons, and  
21 of aerosol quantification during ATom, with a focus on the Aerosol Mass Spectrometer (AMS). The  
22 volume determined from physical sizing instruments is compared in detail with that derived from the  
23 chemical measurements of the AMS and the Single Particle Soot Photometer (SP2). Special attention was  
24 paid to characterize the upper end of the AMS size-dependent transmission with in-field calibrations,  
25 which we show to be critical for accurate comparisons across instruments with inevitably different size  
26 cuts. Observed differences between campaigns emphasize the importance of characterizing AMS  
27 transmission for each instrument and field study for meaningful interpretation of instrument comparisons.  
28 Good agreement was found between the composition-based volume (including AMS-quantified sea salt)  
29 and that derived from the size spectrometers. The very clean conditions during most of ATom resulted in  
30 substantial statistical noise (i.e., precision error), which we show to be substantially reduced by averaging  
31 at several-minute time intervals. The AMS captured, on average,  $95 \pm 15\%$  of the standard  $PM_{10}$  volume.  
32 These results support the absence of significant unknown biases and the appropriateness of the accuracy  
33 estimates for AMS total mass/volume for the mostly aged air masses encountered in ATom. The particle  
34 size ranges that contribute chemical composition information to the AMS and complementary  
35 composition instruments are investigated, to inform their use in future studies.



## 36 **1 Introduction**

37 Aerosols are ubiquitous in the atmosphere and have a lifetime of about a week, and thus can travel  
38 long distances (Tsigaridis et al., 2014), and have important effects on climate forcing, through both direct  
39 (Pilinis et al., 1995; Haywood and Boucher, 2000) and indirect effects (Lohmann and Feichter, 2005;  
40 IPCC, 2013). Remote regions account for much of the Earth's surface and are infrequently sampled, and  
41 thus have especially uncertain aerosol distributions and radiative impacts (IPCC, 2013; Hodzic et al.,  
42 2020). The NASA Atmospheric Tomography Mission (ATom) sampled the remote marine troposphere  
43 from 86°S to 82°N over four different seasons with a comprehensive suite of high-quality and carefully  
44 calibrated and operated physical and chemical aerosol instruments. It provides a unique dataset to improve  
45 our understanding of the remote atmospheric aerosols and thus refine global model predictions. A  
46 prerequisite for that purpose is to evaluate the accuracy and consistency of the ATom aerosol instruments.

47 The ATom physical sizing instruments have been recently described and evaluated in Williamson  
48 et al. (2018), Kupc et al. (2018), and Brock et al. (2019), while the Particle Analysis by Laser Mass  
49 Spectrometer (PALMS) chemical instrument during ATom has been described in Froyd et al. (2019). In  
50 this paper, we focus on the Aerodyne Aerosol Mass Spectrometer (AMS). AMS (Canagaratna et al., 2007)  
51 and Aerosol Chemical Speciation Monitor (ACSM, smaller, lower cost, and simpler to operate versions)  
52 (Ng et al., 2011), have been deployed extensively worldwide for ground aerosol monitoring (Jimenez et  
53 al., 2009; Crenn et al., 2015; Hu et al., 2015; Kiendler-Scharr et al., 2016; Zhang et al., 2018; ACTRiS,  
54 2019). AMS has been deployed in most advanced atmospheric chemistry aircraft experiments worldwide  
55 (Dunlea et al., 2009; Middlebrook et al., 2012; Barth et al., 2015; Schroder et al., 2018; Garofalo et al.,  
56 2019; Hodzic et al., 2020; Mei et al., 2020; Morgan et al., 2020). The overall AMS concentration  
57 uncertainty ( $2\sigma$ ) is normally reported as  $\pm 38\%$  for organic aerosol (OA) and  $\pm 34\%$  for inorganics, while  
58 the precision is typically much better, except at concentrations near the detection limit (Bahreini et al.,  
59 2009; Jimenez et al., 2016). A detailed evaluation of those uncertainties requires both very careful AMS  
60 characterization and calibration, as well as high-quality collocated measurements, as was the case in  
61 ATom.

62 This work uses the extensive ATom field dataset for remote aerosols to evaluate (1) the  
63 consistency of the different submicron aerosol volume measurements, (2) the quantification ability of the



64 AMS for remote aerosols, and (3) the size ranges contributing chemical composition information to  
65 different instruments for ATom, and their variation with altitude. Volume comparisons probe the ability  
66 of the AMS to quantify total mass and predict aerosol density based on fractional composition accurately,  
67 and hence is the most germane comparison for total quantification. We examine in detail the accurate  
68 quantification and application of the AMS transmission efficiency ( $E_L$ ) to the particle volume  
69 intercomparisons in this study. This study also serves as the basis for a future study on individual chemical  
70 species intercomparisons.

## 71 **2 Methods**

### 72 **2.1 ATom overview**

73 The Atmospheric Tomography Mission (ATom) consisted of four series of flights onboard the  
74 NASA DC-8 over the middle of the Pacific and Atlantic oceans, spanning from 82° N to 86° S latitude,  
75 to characterize the composition and chemistry of the global background troposphere. Over two years, the  
76 DC-8 aircraft was deployed once a season: July-August 2016 (ATom-1), January-February 2017 (ATom-  
77 2), September-October 2017 (ATom-3), and April-May 2018 (ATom-4). During these flights, the DC-8  
78 repeatedly ascended and descended between ~0.18 and ~13 km altitudes at regular intervals, typically  
79 every hour (with a single vertical profile lasting ~25 min), leading to executing ~140 vertical profiles of  
80 the troposphere per deployment. The unique spatio-temporal coverage and high-quality measurements of  
81 this campaign ensure that its data will be used very widely, such as to evaluate and constrain global  
82 modeling. Therefore it is of high interest to document the consistency of the multiple aerosol  
83 measurements. This analysis is also useful to re-evaluate the quantification uncertainties of the AMS for  
84 a wide range of particle concentrations and composition (e.g., Fig. S1 in the supplementary info, SI). Due  
85 to the similarities in the geographic coverage of ATom studies, we focus on the intercomparisons for the  
86 first two ATom campaigns in the following analysis.

### 87 **2.2 Definitions of particle diameters**

88 Conversions between different particle diameter definitions are required for meaningful  
89 instrument comparisons. For example, particle size spectrometers report estimated geometric diameter



90 ( $d_p$ ), which is derived from multiple condensation particle counters using an inversion method, or from  
91 light scattering signals by using an assumed constant refractive index for aerosols. AMS transmission  
92 operates in vacuum aerodynamic diameter ( $d_{va}$ ) since its aerodynamic lens and supersonic expansion  
93 operate in the free molecular regime (DeCarlo et al., 2004). Impactors (Marple et al., 1991, 2014) and  
94 cyclones (typically sourced from URG Corp., Chapel Hill, NC, USA) are often installed upstream of  
95 aerosol instruments to preselect desired aerosol ranges for ground or aircraft measurements. The cutoff  
96 sizes of both devices follow the transition-regime aerodynamic diameter ( $d_{ta}$ ; as the size range of interest  
97 to this study is in the transition regime, requiring a “slip correction”). A detailed discussion of particle  
98 diameters definitions can be found in DeCarlo et al. (2004).  $d_{va}$  is related to the volume-equivalent  
99 diameter ( $d_{ve}$ , the diameter that would result if the particle was melted to form a sphere of the same density  
100 as the particle and without any internal voids) as:

$$d_{va} = \frac{\rho_p d_{ve}}{\rho_0 \chi_v} \quad (1)$$

101 where  $\rho_p$  is the particle density,  $\rho_0$  is the standard density ( $1 \text{ g cm}^{-3}$ ), and  $\chi_v$  is the vacuum (i.e., free-  
102 molecular regime) dynamic shape factor (=1 for spheres and  $>1$  for non-spherical particles). Since the  
103 aerosols sampled during ATom were remote and aged, we assume  $\chi_v \sim 1$  and  $d_{ve} \sim d_p$ . The transition-  
104 regime aerodynamic diameter can be calculated as:

$$d_{ta} = d_{ve} \sqrt{\frac{1 \rho_p C_c(d_{ve})}{\chi_t \rho_0 C_c(d_{ta})}} \quad (2)$$

105 where  $\chi_t$  is the transition-regime dynamic shape factor, and  $C_c$  is the Cunningham slip correction factor.  
106 In this study,  $\chi_t$  is assumed as 1 and  $C_c$  is calculated based on air pressure. Although a given particle  
107 always has the same dry  $d_p$  and  $d_{va}$ , the dry  $d_{ta}$  changes with pressure. To distinguish the  $d_{ta}$  calculated at  
108 different altitudes, we use  $d_{ta,sea}$  to denote that calculated at sea level ( $P = 1013 \text{ mbar}$ ) and  $d_{ta,air}$  for  
109 sampling aloft with an aircraft (or at an elevated ground site). In addition, all diameters change under  
110 humid/dry conditions due to water uptake or evaporation (DeCarlo et al., 2004).



## 111 2.3 AMS description and quantification

112 The highly customized University of Colorado (CU) high-resolution time-of-flight aerosol mass  
113 spectrometer (HR-ToF-AMS, hereafter referred to as AMS; Aerodyne Research Inc., Billerica, MA)  
114 (DeCarlo et al., 2006) measured non-refractory, bulk submicron particles composition at 1 Hz resolution.  
115 The AMS uses an aerodynamic lens to sample particles into a high vacuum, where they impact and  
116 vaporize on a hot porous tungsten vaporizer (600 °C). The evaporated constituents undergo electron  
117 ionization (EI), with the resulting ions being detected by a mass spectrometer (Jayne et al., 2000; Jimenez  
118 et al., 2003; Drewnick et al., 2005; DeCarlo et al., 2006; Canagaratna et al., 2007). The mass concentration  
119 of a species,  $s$ , within a multi-component aerosol particle can be calculated from the measured ion signal  
120 with the following equation (Alfarra et al., 2004; Canagaratna et al., 2007; Jimenez et al., 2016):

$$C_s = \frac{10^{12}}{CE_s} \frac{MW_{NO_3}}{RIE_s IE_{NO_3} Q N_A} \sum_{all\ i} I_{s,i} \quad (3)$$

121 where  $C_s$  is the mass concentration of species  $s$ ,  $MW_{NO_3}$  is the molecular weight of nitrate,  $CE_s$  is the  
122 collection efficiency of species  $s$ ,  $RIE_s$  is the relative ionization efficiency of species  $s$  (to nitrate),  $IE_{NO_3}$   
123 is the ionization efficiency of nitrate,  $Q$  is the volume flow rate into the AMS,  $N_A$  is Avogadro's number,  
124  $I_{s,i}$  is the ion signal from ion  $i$  produced from species  $s$ , and the  $10^{12}$  factor accounts for unit conversions.

125  $CE$  is typically defined as the efficiency with which particles entering the AMS inlet are detected.  
126 It has been formally defined as a product of aerodynamic lens transmission efficiency for spherical  
127 particles ( $E_L$ ), transmission efficiency correction for non-spherical particles ( $E_s$ ) due to additional particle  
128 beam broadening, and detection efficiency at the vaporizer ( $E_b$ ), which can be reduced due to particle  
129 bounce. It is thus expressed as

$$CE = E_L \times E_s \times E_b \quad (4)$$

130 (Huffman et al., 2005; Canagaratna et al., 2007; Middlebrook et al., 2012). Previous studies have shown  
131 that  $E_s \sim 1$  for ambient particles (Huffman et al., 2005; Salcedo et al., 2007), and thus  $CE$  is determined by  
132  $E_L$  and  $E_b$ . When the mass size distribution being sampled is mostly within the region where  $E_L \sim 1$ , then  
133  $CE \sim E_b$ . Most literature papers make that implicit approximation, although it is not clear that the  
134 approximation is always justified, since  $E_L$  changes in time and between instruments and is infrequently



135 quantified as it is experimentally challenging to do so.  $E_b$  depends on particle viscosity and thus phase  
136 (Matthew et al., 2008; Middlebrook et al., 2012; Pajunoja et al., 2016). With the “standard vaporizer”  
137 used in this study (Hu et al., 2020), ambient aerosols in continental regions typically have  $E_b \sim 0.5$ , but a  
138 range between 0.5 to 1 can be observed (Middlebrook et al., 2012; Hu et al., 2017, 2020).  $E_b$  increases  
139 for certain compositions that lead to less viscous particles, such as high ammonium nitrate mass fraction  
140 or high acidity conditions, which can be estimated with a parameterization based on aerosol composition  
141 (Middlebrook et al., 2012; Hu et al., 2017, 2020; Nault et al., 2018). Such parametrizations assume  
142 internally mixed aerosols, which is typically the case for submicron ambient aerosol away from sources  
143 due to condensation and coagulation (Petters et al., 2006; Wang et al., 2010; Mei et al., 2013).  $CE$  is  
144 estimated to contribute substantially to the overall uncertainty of AMS concentration measurements  
145 (Bahreini et al., 2009).

146 The main submicron inorganic ambient aerosol species are ammonium ( $\text{NH}_4$ ), sulfate ( $\text{SO}_4$ ),  
147 nitrate ( $\text{pNO}_3$ ), and chloride (Chl), and in marine areas, sea salt. The charges are omitted for the AMS-  
148 measured nominally inorganic species, as the AMS may also detect some  $\text{SO}_4$  or  $\text{NO}_3$  signals from  
149 organosulfates or organonitrates (Farmer et al., 2010). To avoid the confusion between the  $\text{NO}_3$  radical  
150 and particle  $\text{NO}_3$ ,  $\text{pNO}_3$  is used to denote total particle  $\text{NO}_3$  explicitly (Nault et al., 2018).  $RIEs$  for the  
151 inorganic species can be calibrated regularly (including in the field). However, similar explicit  
152 calibrations cannot be readily performed for the thousands of individual organic aerosol (OA) molecules  
153 in ambient particles. Thus, laboratory-based calibrations with a limited set of OA species have been used  
154 to estimate  $RIE_{\text{OA}}$  (Slowik et al., 2004; Dzepina et al., 2007; Jimenez et al., 2016; Robinson et al., 2017;  
155 Xu et al., 2018), and this approach has been verified using laboratory and field intercomparisons with  
156 other instruments (Takegawa et al., 2005; Dzepina et al., 2007; DeCarlo et al., 2008; Bahreini et al., 2009;  
157 Dunlea et al., 2009; Timonen et al., 2010; Docherty et al., 2011; Middlebrook et al., 2012; Crenn et al.,  
158 2015). Bahreini et al. (2009) estimated the uncertainty in  $RIE_{\text{NH}_4}$  (which is always calibrated in the field)  
159 to be  $\sim 10\%$  vs.  $15\%$  for the other inorganics (sulfate, chloride; since most AMS users do not perform in-  
160 field calibrations for those or do so less frequently). Compared to the inorganics, the uncertainty in  $RIE_{\text{OA}}$   
161 was estimated to be higher at  $20\%$ , to account for the diversity of species (Bahreini et al., 2009). An  
162 average  $RIE_{\text{OA}} \sim 1.4$  was determined from laboratory calibrations. However, there are conflicting reports



163 for  $RIE_{OA}$  of chemically-reduced species such as hydrocarbons, with some values around 1.4 and others  
164 higher (Slowik et al., 2004; Dzepina et al., 2007; Docherty et al., 2011; Jimenez et al., 2016; Reyes-  
165 Villegas et al., 2018; Xu et al., 2018). However, such species were insignificant during ATom. For more  
166 oxidized species, relevant to most biomass burning OA and secondary organic aerosol (SOA), average  
167 laboratory  $RIE_{OA}$  overlaps within uncertainties of 1.4 (Jimenez et al., 2016; Xu et al., 2018). Reviews on  
168 this topic (Jimenez et al., 2016; Murphy, 2016a, 2016b) have emphasized the need for additional  
169 investigation of AMS quantification in the field. For the AMS reported mass concentration, uncertainties  
170 (i.e., accuracies) in  $CE$  (30%),  $RIEs$  (20% for OA, 15% for  $SO_4/Chl$ , and 10% for  $NH_4$ ), and  $IE_{NO_3}$  (10%)  
171 dominate the total reported uncertainties in most situations, although precision (statistical) error becomes  
172 important at low concentrations and short averaging times.

## 173 2.4 AMS operation during ATom

174 The aircraft operation of the CU AMS has been discussed previously (DeCarlo et al., 2006, 2008,  
175 2010; Dunlea et al., 2009; Cubison et al., 2011; Kimmel et al., 2011; Schroder et al., 2018). The specific  
176 operational procedures used during ATom have been discussed in Nault et al. (2018) and Hodzic et al.  
177 (2020). Important operation details of AMS that are relevant to this study are described below. Per aircraft  
178 conventions, mass concentrations are reported at  $\mu\text{g sm}^{-3}$  (microgram per cubic meter air volume at  
179 standard conditions of  $T = 273.15\text{ K}$  and  $P = 1013\text{ mbar}$ , hereafter referred to as STP. Note that many  
180 definitions of STP are in use, especially in other fields).

181 Ambient aerosols were sampled through an NCAR High-Performance Instrumented Airborne  
182 Platform for Environmental Research (HIAPER) Modular Inlet (HIMIL) (Stith et al., 2009) mounted on  
183 a 4" raised platform on the window plate to ensure that sampling occurred consistently outside the DC-8  
184 boundary layer (Vay et al., 2003). Aerosols were introduced at a constant standard flow rate of  $9\text{ sL min}^{-1}$   
185 (up to  $\sim 9\text{ km}$ ,  $15\text{ L min}^{-1}$  above that; "s" refers to standard conditions, and no "s" indicates a volumetric  
186 flow at in-situ  $T$  and  $P$ ), with  $1\text{ L min}^{-1}$  being continuously subsampled into a pressure controlled inlet  
187 (PCI) operated at 250 mbar (187 Torr) (Bahreini et al., 2008). A fraction of that flow,  $94\text{ scm}^3\text{ min}^{-1}$ , was  
188 then sampled into the high vacuum region of the mass spectrometer through an aerodynamic focusing  
189 lens operated at 2.00 mbar (1.50 Torr). Due to the much lower ambient air pressure at high altitudes, the



190 PCI pressure cannot be maintained at 250 mbar above  $\sim 9$  km, resulting in a drop in lens pressure (down  
191 to 1.00 Torr) and flow (down to  $55 \text{ scm}^3 \text{ min}^{-1}$ ) at the max altitude (12.5 km). Residence times from the  
192 tip of the HIMIL to the aerosol vaporizer varied from  $\sim 0.4$  s in the boundary layer and  $\sim 0.9$  s at 12 km  
193 during ATom (Fig. S2 in SI; note that, a detailed characterization of HIMIL and PCI performance is  
194 included in SI as Sect. 4 with Figs. S2-S9). The relative humidity (RH) in the line was not actively  
195 controlled but was very low, on average  $10 \pm 21$  % in ATom-1 and -2 with a median of 0.4%, due to the  
196 thermal gradients between the plane cabin and ambient ( $T_{\text{rack}} - T_{\text{ambient}} = 27 \pm 13$  K) (8% of the data  
197 was  $>40\%$  RH, including 3%  $>80\%$  RH, which could increase *CE*). Composition-dependent *CE* was  
198 estimated based on the Middlebrook et al. (2012) parameterization and was on average  $0.87 \pm 0.15$  and  
199  $0.90 \pm 0.13$  for ATom-1 and -2, respectively, mainly due to high acidity (Fig. S10). After every research  
200 flight,  $IE_{NO_3}$  was calibrated by atomizing pure  $NH_4NO_3$  solutions and selecting 400 nm (mobility  
201 diameter,  $d_m$ ; equivalent to  $d_{va} = 550$  nm) (DeCarlo et al., 2004) particles with a differential mobility  
202 analyzer (DMA, TSI model 3081, St. Paul, MN, USA) into AMS. *RIEs* for sulfate, ammonium, and  
203 chloride were determined by multiple in-field calibrations.

204 A summary plot of the in-field calibrations of these parameters is shown as Fig. S11. Assuming a  
205 constant instrument response over the course of each deployment, the variability of the calibrations can  
206 be taken as an estimate of the random component of *RIE* uncertainty. Uncertainties ( $2\sigma$ ) for  $RIE_{NH_4}$ ,  
207  $RIE_{SO_4}$ , and  $RIE_{chl}$  are hence 4% (6%), 4% (2%), and 5% (8%), respectively for ATom-1 (ATom-2), all  
208 smaller than the reported values from Bahreini et al. (2009). The  $2\sigma$  variability of  $IE_{NO_3}$  (normalized as  
209 its ratio to the air beam signal,  $IE_{NO_3}/AB$ ) is 6% for ATom-1 and 15% for ATom-2. The propagated AMS  
210 uncertainties using these values, 31% for inorganics and 37% for organics, are similar to those from  
211 Bahreini et al. (2009), due to the dominant uncertainty contribution from *CE* (30%).

212  $IE_{NO_3}$  calibrations, performed in event trigger mode with 400 nm ammonium nitrate aerosol  
213 (Schroder et al., 2018), also provided multiple AMS transmission measurements throughout the  
214 campaign, by a direct comparison of the single-particle AMS counts with a Condensation Particle Counter  
215 (CPC) (Nault et al., 2018). Besides this single-size (at the edge of the  $E_L \sim 1$  range) post-flight calibrations,  
216 the upper end of the AMS transmission curve was characterized on the aircraft during ATom-2 by  
217 measuring multiple sizes of monodisperse ammonium nitrate ( $d_m$  range 350-850 nm). The resulting



218 transmission accounts for all the losses in the PCI and aerodynamic lens. A calculation of the inlet line  
219 losses is presented in the SI, and based on these calculations additional losses are very small and can be  
220 ignored. These calculations do not include the transmission of the actual HIMIL aircraft inlet (Stith et al.,  
221 2009), nor the secondary diffuser inside the HIMIL. To confirm the aircraft probe related size-dependent  
222 losses or enhancements did not impact the overall transmission, the AMS sampled several times at  
223 different altitudes off the University of Hawaii (UH)/NASA Langley Aerosol Research Group (LARGE)  
224 inlet used by the NOAA instruments over the course of the four ATom deployments, which transmits  
225 particles to  $\sim 3\text{-}5\ \mu\text{m}$   $d_{ta,air}$  with 50% passing efficiency (McNaughton et al., 2007; Brock et al., 2019). No  
226 difference in volume comparison (discussed in Sect. 3.2) was found under those conditions, nor in  
227 previous missions with on average larger accumulation mode peaks (Fig. S4) hence we conclude that this  
228 is a valid assumption.

229 Another concern for airborne sampling with an AMS is the misalignment of the aerodynamic lens  
230 due to mechanical stress during flight. Such a misalignment will not necessarily be caught by the  
231 previously described calibrations, since they do not probe the full surface of the vaporizer, and since lens  
232 focusing can have some size-dependence. Hence for ATom 2-4, a particle beam width probe (Huffman  
233 et al., 2005) was flown and profiles of both the air and particle signal were taken at most airports during  
234 the mission, as shown in Fig. S9, directly confirming the lack of change in lens alignment.

235 During ATom, the AMS was operated in the fast mass spectrum mode (Kimmel et al., 2011),  
236 allowing for high-time-resolution measurements at 1 Hz. For every minute, AMS started with fast mass  
237 spectrum mode with the particle beam blocked (instrumental background measurement; 6 s) and then  
238 with the beam open (background plus ambient air and particles; 46 s) and ended with efficient particle  
239 time-of-flight (ePToF) mode (nominally 8 s), which measured speciated size distributions. The  
240 interpolated average of two consecutive background signals (beam closed) was subtracted from 1 s  
241 ambient signals (beam open). Also, fast blanks (20 s) were scheduled every 18 minutes by directing  
242 ambient air through a high-efficiency particulate air (HEPA) filter, serving to characterize the AMS zero  
243 (field background) and as a leak check downstream of the HEPA filter (Nault et al., 2018). It also serves  
244 as a frequent confirmation for the real-time continuous detection limits estimated using the method  
245 proposed in Drewnick et al. (2009). AMS data were reported at 1 s and 1 min time resolutions. For the 1



246 min product, the raw mass spectra were averaged prior to data reduction and analysis, which reduces  
247 nonlinear spectral fitting noise for the least-squares error minimization method. This is observed because  
248 a fit to the 1 min average spectrum has less fitting noise than the average of the fits to the 1 s spectra. In  
249 the following analysis, the 1 min data product is used due to their improved signal-to-noise ratio (SNR).  
250 Since the aerosol loadings were typically low and changed slowly in the global remote regions, longer  
251 averaging times were used for some analyses. Continuous time-dependent detection limits (DLs) were  
252 estimated using the method of Drewnick et al. (2009) and corrected by comparison with the periodic filter  
253 blanks. The average DLs for the 1 min data were 76, 10, 6, 1, 7, 30 ng sm<sup>-3</sup> during ATom-1 and 133, 18,  
254 9, 2, 10, 40 ng sm<sup>-3</sup> during ATom-2 for OA, SO<sub>4</sub>, pNO<sub>3</sub>, NH<sub>4</sub>, Chl, and sea salt, respectively. Sea salt is  
255 an important submicron aerosol component when sampling the marine boundary layer in ATom.  
256 Although sea salt is not a standard AMS data product, in this study, we report AMS sea salt mass  
257 concentrations with the method from Ovadnevaite et al., (2012) with a laboratory-calibrated response  
258 factor, 9.8×10<sup>-3</sup>, for the AMS sea salt marker Na<sup>35</sup>Cl. Additional species were reported for ATom, with  
259 DLs for MSA (methanesulfonic acid) and ChlO<sub>x</sub> (perchlorate) of 2 and 1 ng sm<sup>-3</sup> during ATom-1, 3 and  
260 2 ng sm<sup>-3</sup> during ATom-2. Iodine and bromine were also quantified with DLs of 0.4 and 1.5 ng sm<sup>-3</sup>  
261 during ATom-1, 0.5 and 2 ng sm<sup>-3</sup> during ATom-2, as reported by Koenig et al. (2020). The variation in  
262 AMS detection limits across species is mostly controlled by differences in background signals for  
263 different ions. Many of these detection limits are lower than for typical AMS aircraft operation, especially  
264 during the first several hours of each flight, due to the use of a cryopump in the CU AMS (Jayne, 2004;  
265 Campuzano-Jost, 2012).

266 For the purpose of instrument comparisons, we estimate the aerosol volume based on the chemical  
267 instruments ( $V_{chem}$ ). The contribution of the AMS to  $V_{chem}$  is determined from the AMS mass  
268 concentrations by assuming volume additivity, with an average particle density estimated as (DeCarlo et  
269 al., 2004; Salcedo et al., 2006):

$$\rho_m = \frac{OA + SO_4 + pNO_3 + NH_4 + Chl}{\frac{OA}{\rho_{OA}} + \frac{SO_4 + pNO_3 + NH_4}{1.75} + \frac{Chl}{1.52}} \quad (5)$$

270 The OA density ( $\rho_{OA}$ ) is estimated with the AMS measured O/C and H/C atomic ratios of OA  
271 using the parameterization of Kuwata et al. (2012) (when OA is under the detection limit and hence no



272 elemental ratios can be calculated, we assumed a default  $\rho_{OA}$  of  $1.7 \text{ g cm}^{-3}$  based on typical OA elemental  
273 ratios found for concentrations close to the DL). The “improved-ambient” method was used for OA  
274 elemental analysis (Canagaratna et al., 2015; Hu et al., 2018). The combined density of  $\text{SO}_4$ ,  $\text{NH}_4$ , and  
275  $\text{pNO}_3$  is assumed as  $1.75 \text{ g cm}^{-3}$ , an approximation from ammonium sulfate, ammonium bisulfate, and  
276 ammonium nitrate (Sloane et al., 1991; Stein et al., 1994; Salcedo et al., 2006). The non-refractory  
277 chloride density is assumed as  $1.52 \text{ g cm}^{-3}$  based on ammonium chloride (Salcedo et al., 2006). The  
278 frequency distributions of  $\rho_m$  and  $\rho_{OA}$  are summarized in Fig. S12. The mass-weighted average  $\rho_m$  is  
279  $1.60 \pm 0.14 \text{ g cm}^{-3}$  and  $1.70 \pm 0.10 \text{ g cm}^{-3}$ , and  $\rho_{OA}$  (averaged from above the concentrations above OA  
280 DL) is  $1.51 \pm 0.19 \text{ g cm}^{-3}$  and  $1.59 \pm 0.24 \text{ g cm}^{-3}$  for ATom-1 and ATom-2, respectively. Negative AMS  
281 mass concentrations exist at low concentrations since the AMS uses a difference measurement (signal  
282 minus background). These negative AMS mass concentrations are kept as they are in deriving  $V_{chem}$ ,  
283 otherwise, a positive statistical bias would be introduced if a zero or a positive value was artificially  
284 assigned to those data points.

## 285 **2.5 Other aerosol measurements used in this study**

286 The following instruments all sampled through the LARGE inlet, except Soluble Acidic Gases  
287 and Aerosol (SAGA). The transmission efficiency for this inlet has been characterized as a function of  
288 particle size by flying the NASA DC-8 in a previous campaign (McNaughton et al., 2007), demonstrating  
289 a unity efficiency up to supermicron size ranges and reaching 50% at  $d_{ta,air}$  of  $\sim 5 \mu\text{m}$  at the surface and  
290  $3.2 \mu\text{m}$  at 12 km. Hereafter, we refer to the 50% transmission diameter as  $d_{50}$ .

291 **Particle size spectrometers:** Dry particle size distributions for  $d_p$  from 2.7 nm to  $4.8 \mu\text{m}$  were  
292 reported at 1 Hz using three optical particle spectrometers, including a Nucleation-Mode Aerosol Size  
293 Spectrometer (NMASS; custom-built;  $0.003\text{-}0.06 \mu\text{m}$ ) (Williamson et al., 2018), an Ultra-High  
294 Sensitivity Aerosol Spectrometer (UHSAS; Droplet Measurement Technologies, Longmont, CO, USA;  
295  $0.06\text{-}1 \mu\text{m}$ ) (Kupc et al., 2018), and a Laser Aerosol Spectrometer (LAS; LAS 3340, TSI, St. Paul, MN,  
296 USA;  $0.12\text{-}4.8 \mu\text{m}$ ), all operated by NOAA Earth System Research Laboratory (ESRL). Two NMASS,  
297 two UHSAS (during ATom-2 and -3, a  $300 \text{ }^\circ\text{C}$  thermodenuder was installed upstream of the detector of  
298 the second UHSAS to volatilize refractory components), and one LAS comprise the package of Aerosol



299 Microphysical Properties (AMP). Brock et al. (2019) discussed extensively the data inversion method to  
300 merge the three non-thermally denuded size distributions into one. Hereafter, we refer to the non-  
301 thermally denuded integrated volume (2.7 nm-4.8  $\mu\text{m}$ ) as the physical sizing-based volume ( $V_{phys}$ ). AMP  
302 performed well during ATom. Most relevant to the AMS size range, the UHSAS reported volume was  
303 estimated to have an asymmetric uncertainty of +12.4%/-27.5% due to the differences in refractive index  
304 ( $n$ ) between ambient particles and assumed ammonium sulfate particles ( $n = 1.527$ , which is similar to  
305 the refractive index found for aged ambient OA (Aldhaif et al., 2018)). This uncertainty range is estimated  
306 to be between  $1\sigma$  and  $2\sigma$  depending on the conditions. Here we assume that it represents  $1.5\sigma$  when using  
307 it for uncertainty analyses.

308 **SP2:** Refractory Black Carbon (rBC, as defined in Petzold et al. (2013)) mass concentrations in  
309 the accumulation mode size range were measured by the NOAA Single Particle Soot Photometer (SP2;  
310 Droplet Measurement Technologies, Longmont, CO, USA) (Schwarz et al., 2010b; Katich et al., 2018).  
311 The ATom SP2 detection system was operated as in Schwarz et al. (2010a) with a size range for rBC  
312 mass of  $d_{ve} \sim 90\text{-}550$  nm (Schwarz et al., 2010b). This size range typically contains  $\sim 90\%$  of the total rBC  
313 mass in the ambient accumulation mode (Schwarz et al., 2008; Shiraiwa et al., 2008).

314 **PALMS:** The Particle Analysis by Laser Mass Spectrometry (PALMS) is a single-particle laser-  
315 ablation/ionization mass spectrometer instrument that measures size-resolved ( $d_p \sim 0.1\text{-}5$   $\mu\text{m}$ ) particle  
316 chemical composition with fast response (Thomson et al., 2000; Murphy et al., 2006). Particle mass  
317 concentrations can be derived as a function of size when mapping the PALMS chemical composition to  
318 the size distributions reported from the UHSAS and LAS, which is referred to as the PALMS-AMP  
319 products (Froyd et al., 2019). In this study, we focus on the different particle size ranges observed by  
320 PALMS and AMS, to illustrate the strengths and applications of the two aerosol composition instruments  
321 onboard the DC-8.

322 PALMS is the most complex of the chemical composition instruments used in ATom. It is a single-  
323 particle based instrument with both a very steep detection efficiency vs. particle size in the smaller particle  
324 range and the ability to measure much larger particles than the AMS. While the total reported mass (with  
325 some density uncertainty) of the PALMS-AMP products will always match the physical volume  
326 measurement over the range that PALMS reports (100-5000 nm  $d_p$ ), the uneven sampling data coverage



327 of particles across each size bin, as well as the broadness of the bins chosen for PALMS-AMP analysis,  
328 can lead to a chemical bias if composition gradients exist within a bin (Fig. S13). Therefore, care must be  
329 taken to balance statistical representativeness against the need for unvarying particle composition across  
330 the size range over which those statistics are obtained (Froyd et al., 2019).

331 For intercomparisons we characterize the specific size range over which the PALMS can obtain  
332 sufficient chemical information over a given time period under the ATom conditions, which is mainly  
333 limited by particle statistics. If zero or a very low number of particles is sampled for a given AMP size  
334 bin and time period, there is no real information being captured for characterizing the composition of the  
335 particles in that bin. That is true even if the AMP volume in that bin is assigned a composition by  
336 extrapolating the composition of larger or smaller particles. Therefore, we derived the PALMS detected  
337 particle numbers based on the raw AMP size resolution (20 bins/decade, 34 bins in total above 100 nm  $d_p$   
338 for the size range that PALMS-AMP reports) to avoid the assumption of homogeneous chemical  
339 composition within four broader bins in Froyd et al. (2019). This provides an alternative illustration of  
340 PALMS size coverage and introduces a method that is applicable to other single-particle mass  
341 spectrometers or other particle-counting based chemical instruments. A sensitivity test was carried out at  
342 various size resolutions, shown as Fig. S14, with more detected particles per bin for larger bins, as  
343 expected. Importantly, the 20 bins/decade size resolution of AMP is preferable as it makes the results  
344 directly comparable to the other aerosol instruments. The probability of detecting on average one valid  
345 particle per AMP size bin in the PALMS is very low below ~160 nm and above 1000 nm over a typical  
346 3 min analysis period (Fig. S14). As altitude increases in the free troposphere, the size distribution often  
347 shifts to smaller diameters (Williamson et al., 2019), thus we expand the 1D profile in Fig. S14 to include  
348 the altitude dependence (Fig. S15). The results are shown at 3 min, 60 min intervals, as well as campaign-  
349 wide, since the 3 min timescale is most relevant for high time resolution airborne analyses while the  
350 longer ones are relevant to averages by altitude in a latitude band and similar analyses that group data  
351 together from different time periods.

352 Based on Froyd et al. (2019), we assume that if PALMS detects  $N = 1$  particle in a given AMP  
353 size bin, the composition of the bin is fully characterized. This particle number corresponds to  $N = 5$   
354 particles for 4 bins/decade, which is a reasonable number for the particle composition to be reasonably



355 represented by the particles captured, with a resolution over which composition changes may happen in  
356 the real atmosphere (Zhang et al., 2004). For simplicity, we scale the fraction of the particles contributing  
357 information content linearly for conditions with  $N < 1$ .

358 **SAGA:** gas-phase  $\text{HNO}_3$  plus particulate inorganic nitrate, and sulfate were measured online with  
359 the University of New Hampshire (UNH) SAGA mist chamber (MC) ion chromatography (IC) at a time  
360 resolution of  $\sim 80$  s. Water-soluble chemical species were also measured offline by collecting particles  
361 with Zefluor filters (9 cm diameter, 1 mm thick, and  $1 \mu\text{m}$  pore size, from MilliporeSigma Corp.,  
362 Burlington, MA, USA) with subsequent procedures as described by Dibb et al. (1999, 2000) and Heim et  
363 al. (2020). In brief, filter samples were collected during level portions of each flight, stored over dry ice,  
364 extracted with ultrapure water, and sent back to the lab in UNH for IC analysis to quantify more species  
365 than the MC (Dibb, 2019).

366 SAGA filters were sampled from the UNH inlet with an estimated cutoff size of  $4.1 \mu\text{m}$  ( $d_{ta,sea,50}$ )  
367 at the surface and  $2.6 \mu\text{m}$  ( $d_{ta,air,50}$ ) at 12 km (McNaughton et al., 2007). The SAGA MC sampled from a  
368 glass-coated (vapor deposited) manifold (8 cm inner diameter) with high airflow (on the order of  $2000 \text{ sL}$   
369  $\text{m}^{-3}$  at low altitude) (as shown in Fig. S16). The diffuser type configuration at the manifold entrance boosts  
370 airflow and the surrounding piece at the pipe tip excludes cloud droplets and giant sea salt particles (Talbot  
371 et al., 2003). The in-cabin part of the pipe till MC was heated to  $50 \text{ }^\circ\text{C}$  to minimize  $\text{HNO}_3$  wall deposition,  
372 although sampled air  $T$  is assumed to be the same as ambient due to the high airflow and short residence  
373 time ( $\sim 0.2$  s). A small glass tube from MC, which is sealed at the bottom and opens a small hole on the  
374 downstream side, sticks down into the manifold. This configuration provides a particle cutoff size of  
375  $\sim 1 \mu\text{m}$  ( $d_{ta,sea,50}$ ) at the surface and lower at higher altitudes (van Donkelaar et al., 2008).

376 To be compared with other ATom aerosol measurements, the pressure-dependent SAGA MC and  
377 filter inlet transmissions are calculated based on the ATom conditions and summarized in the SI as Fig.  
378 S17 and S18, respectively.

379 **Use of data from other instruments for  $V_{chem}$ :**  $V_{phys}$  includes refractory species, such as rBC, sea  
380 salt, and dust, and thus their volumes need to be added to the AMS non-refractory volume before  
381 comparison. rBC volume is estimated from SP2 mass measurements (Katich et al., 2018) with a density  
382 of  $1.77 \text{ g cm}^{-3}$  (Park et al., 2004). The sea salt volume is estimated from its AMS mass concentration with



383 a density of  $1.45 \text{ g cm}^{-3}$ , assuming particles had not fully effloresced prior to detection (Froyd et al.,  
384 2019). Sea salt is typically externally mixed with sulfate-organic-nitrate particles (Froyd et al., 2019),  
385 therefore, it is not routinely considered in the AMS aerosol density estimation (i.e., in Eq. 5). The  
386 exclusion of dust in the volume closure is reasonable in general based on the results in Sect. 3.2 due to  
387 the limited impacts from dust for ATom, on average  $1.1 \pm 4.3 \%$  (median = 0.0 %) of the AMS observed  
388 volume, but it can contribute as high as 95% for occasional short plumes encountered in ATom-2 (Fig.  
389 S19) (Froyd et al., 2019). Besides, we exclude the last ATom-1 research flight (a transit flight in the  
390 continental U.S. from Minneapolis, MN to Palmdale, CA, different from the remote marine atmosphere  
391 of the other ATom flights) and <10 min of sampling impacted by volcanic ash near Hawaii in ATom-2  
392 (Research Flight 203, Jan 30, 2017). As discussed above, we use 1-min AMS data for intercomparison,  
393 and 1 s  $V_{phys}$  is averaged to the same time scale. There may be a minor bias introduced from this approach  
394 since AMS periodic blank measurements exclude some 1-sec data points from the AMS but not from  
395  $V_{phys}$  (~3% of the total 1-sec  $V_{phys}$  points), and similarly, some data are removed from the sizing  
396 measurements due to cloud masking but not for the AMS (13%, discussed below in Sect. 3.2). In this  
397 study, the particle volume is reported in units of  $\mu\text{m}^3 \text{ scm}^{-3}$ , where  $\text{scm}^{-3}$  are cubic centimeters of air under  
398 STP.

## 399 **2.6 Summary of the ATom aerosol size distribution and instrument size ranges**

400 Fig. 1 summarizes the ATom-2 campaign averaged number and volume size distributions from  
401 AMP and compares it to the subranges observed from several ATom aerosol instruments, to provide  
402 context for this study and future instrument comparisons based on the ATom dataset. The upper cut sizes  
403 for LAS, SAGA MC, and filter, determined from their inlets, move towards smaller particles at higher  
404 altitudes, thus the size ranges plotted in Fig. 1 for these instruments are the best-case scenario (in the  
405 planetary boundary layer). In contrast, the AMS transmission stays the same up to ~9 km. Based on Fig.  
406 1, the AMS size range is more closely comparable to SAGA MC, and comparison to all the other  
407 instruments requires considering the different size ranges. Therefore, accurately characterizing AMS  
408 transmission is a prerequisite for quantitative instrumental intercomparisons. While the focus of this work  
409 is on instrument comparisons, we want to emphasize that a properly characterized size cut is also



410 important for model comparisons and that the size bins used in most global models, typically reported as  
411  $d_p$ , vary widely (Hodzic et al., 2020).

## 412 **3 Results and Discussion**

### 413 **3.1 AMS transmission**

414 AMP gives nearly unity detection efficiency of the particles (not lost in the inlet) from ~5 nm to  
415 ~4  $\mu\text{m}$  ( $d_p$ ) at sea level, and 50% transmission at 2.7 nm and 4.8  $\mu\text{m}$  (inlet-limited), of which AMS, SAGA  
416 MC, PALMS, and SP2 observe a subrange, as shown in Fig. 1 (McNaughton et al., 2007; Brock et al.,  
417 2019). Therefore, the volume derived from the AMP size distributions ( $V_{phys}$ ) can be used as the basis for  
418 intercomparisons. Characterizing AMS transmission ( $E_L$ ) is critical for a meaningful comparison of  $V_{phys}$   
419 vs.  $V_{chem}$ .

420 AMS transmission (always specified vs.  $d_{va}$ ) can be quite variable between instruments, and can  
421 also change for a specific AMS in time, so it is critical to characterize the transmission in the field for  
422 meaningful instrumental intercomparisons (Liu et al., 2007; Knote et al., 2011; Hu et al., 2017; Nault et  
423 al., 2018). During ATom, the large particle region (~500-1200 nm,  $d_{va}$ ) of the CU AMS transmission was  
424 calibrated in the field (Fig. 2), as discussed in Sect. 2.4. A fit to the multi-size field calibrations indicates  
425 a 100% transmission at  $d_{va}$  of ~483 nm ( $1\sigma$  range: 445-525 nm) and a 0% transmission at ~1175 nm  
426 (1112-1241 nm), with 50% transmission at 754 nm. This transmission was stable throughout the ATom-  
427 1 and -2 deployments. Other than new particle formation and growth events, the small particle end of the  
428 transmission curve is less critical in determining submicron aerosol volume since volume is normally  
429 dominated by the accumulation mode (which normally refers to the range 100-1000 nm  $d_{ta}$ ) (Seinfeld and  
430 Pandis, 2016) instead of the Aitken mode (10-100 nm  $d_{ta}$ ). Brock et al. (2019) found the accumulation  
431 mode during ATom to be 60-500 nm  $d_p$ , equivalent to 93-674 nm in  $d_{ta,sea}$ , as remote particles were far  
432 away from sources of precursor gases that could sustain growth to larger sizes. Results from previous  
433 measurements (Zhang et al., 2004; Knote et al., 2011) were used to estimate the small particle  
434 transmission, with 0% at 35 nm and 100% at 100 nm. Sensitivity tests on the small particle transmission  
435 points (Sect. 3.3 below) confirm a lack of impact on the volume comparison for ATom conditions. AMS



436 transmission curves for all ATom campaigns are shown in Fig. 3. Importantly, AMS transmission  
437 improved noticeably for ATom-4 compared to the prior ATom legs, possibly due to small changes in the  
438 inlet during reassembly. This shows the importance of characterizing  $E_L$  for each campaign for  
439 quantitative intercomparisons. Similar changes have been observed in the past for other aircraft and  
440 ground campaigns.

### 441 3.2 Comparison of AMS vs. standard PM<sub>1</sub> size cuts

442 AMS is often described as an approximate “PM<sub>1</sub>” or “submicron” instrument. Since the standard  
443 definition of PM<sub>1</sub> is based on devices that impose an aerodynamic diameter ( $d_{ta}$ ) cut under ground-level  
444 pressure, temperature (e.g., defined at  $T = 293.15$  K and  $P = 1013$  mbar (Marple et al., 1991)), and  
445 humidity, the equivalent AMS transmission in  $d_{ta}$  depends on particle density and composition, as well  
446 as the  $E_L$  of the specific AMS for a given study. The careful transmission calibrations and extensive  
447 sampling of ATom allow more precise characterization of this cutoff size for the CU aircraft AMS and  
448 remote aerosols.

449 For aircraft sampling where a submicron cut is desired (not including the AMS), the single 1  $\mu\text{m}$   
450 stage from a micro-orifice uniform deposit impactor (MOUDI) (Marple et al., 1991, 2014) is often used  
451 (e.g., (Peltier et al., 2008; Brock et al., 2011; Guo et al., 2016)) to preselect submicron particles  
452 (transmission shown in Fig. S20). Here, we choose MOUDI instead of SAGA MC, also known as a  
453 submicron cut instrument deployed for aircraft studies, due to the lack of a published transmission curve  
454 for SAGA MC. Due to the higher temperature in cabin vs. ambient air (Guo et al., 2016), the MOUDI  
455 impactor (operating at cabin  $T$  and ambient  $P$ ) is expected to size-select dry particles, similar to the AMS.  
456 The impactor provides a nominal PM<sub>1</sub> cut at  $T = 293.15$  K and  $P = 1013$  mbar but the  $d_{ta,50}$  for a given  
457 particle is pressure- and temperature-dependent, and thus varies with altitude. For instance, at an aerosol  
458 density of  $1.7 \text{ g cm}^{-3}$  (the ATom-2 campaign average),  $d_{ta,air,50}$  drops from 1  $\mu\text{m}$  to 912 nm at 6 km, and  
459 to 686 nm at 12 km height, based on the U.S. standard atmosphere (NOAA, NASA, U. S. Air Force,  
460 1976), as shown in Fig. 3. Even lower cut sizes, 752 nm at 6 km and 400 nm at 12 km, are expected if the  
461 impactor was operated under ambient  $T$  (not typically done, and best avoided for an optimal particle cut;  
462 summarized in Table S1). Hence, the deviation from the nominal 1  $\mu\text{m}$  cut size can be very significant at



463 high altitude (although it could in principle be modulated by changing the flow rate vs. altitude). The  
464 pressure-dependent diffusion loss of small particles for MOUDI is estimated using the inlet system  
465 onboard NCAR/NSF C-130 from Guo et al. (2016), a ~2.5 m tubing with an inner diameter of ~1.1 cm.  
466 Given a flow rate of  $30 \text{ L m}^{-3}$ , Reynolds number is 3858 at sea level and increases with altitude, indicating  
467 a turbulent flow in the inlet.

468 If we compare the AMS transmission to ground-level based dry  $d_{ta}$  (using a dry particle density  
469 of  $1.7 \text{ g cm}^{-3}$  to calculate  $d_{ta}$  from  $d_{va}$ ), the ATom-2 / 3 / 4  $d_{ta,sea,50}$  are 599 nm, 615 nm, and 758 nm,  
470 respectively (the  $d_{ta,air,50}$  are higher and listed in Table S1; for example,  $d_{ta,air,50}$  is 782 nm and 837 nm at  
471 6 km and 12km, respectively for ATom-4). Thus the cutoff size of the AMS in ATom is more stringent  
472 than a MOUDI nominal  $\text{PM}_{10}$  cut at the surface and 6 km, and less stringent at the higher altitudes in  
473 ATom-4. Importantly, the AMS transmission stays constant up to ~ 9 km in altitude for the implemented  
474 PCI. No in-field characterization of the AMS transmission at higher altitudes (when inlet pressure slips)  
475 was performed, but laboratory calibration shows no change in transmission at 710 nm  $d_{va}$  at the max  
476 altitude inlet pressure (1.05 Torr).

477 For ground studies, URG  $\text{PM}_{10}$  standard cut (model: URG-2000-30EHB) and sharp cut (model:  
478 SCC 2.229) cyclones are widely used for non-AMS instruments. The estimated diffusion loss of small  
479 particles in the URG cyclones was negligible (e.g., 5% loss at  $d_{ta,sea} = 5 \text{ nm}$  and less loss expected at  
480 larger sizes), calculated with a nominal flow rate of  $16.7 \text{ L m}^{-3}$  and assumed cyclone internal dimensions,  
481 0.50 inch (1.27 cm) in diameter and 50 cm in length (Reynolds number = 2100, indicating a likely  
482 turbulent flow). The two cyclones offer cutoff sizes at  $1 \mu\text{m}$  at  $T = 293.15 \text{ K}$  and  $P = 1013 \text{ mbar}$  (Fig.  
483 S20), and smaller cuts when such cyclones are deployed at lower ambient pressure and the nominal  
484 volumetric flow, e.g., at a mountain site.

485 One additional complexity arises since the standard  $\text{PM}_{10}$  cut made with URG cyclones are under  
486 ambient humidity conditions (i.e., particles are not dried prior to sampling). Thus, the equivalent dry  
487 particle cut size is below  $1 \mu\text{m}$  at sea level and depends on the amount of liquid water associated with the  
488 particles. For the ATom conditions, particle size shrinks on average ~20% (assuming a complete loss of  
489 the predicted particle liquid water content from the higher ambient RH, mean/median( $\pm\text{SD}$ ) =  
490  $40/36(\pm 29)\%$  to the lower inlet RH,  $10/0.4(\pm 21)\%$ ; Fig. S1c-d) and the frequency distribution plots are



491 shown as Fig. S21 (SD stands for standard deviation). While AMS transmission is characterized with dry  
492 particles, a smaller difference between the AMS transmissions and the cyclone transmissions is expected,  
493 compared to Fig. 3. Taking the estimated ~20% shrinkage in particle size from drying in the sample line  
494 (for the ATom-1 and -2 conditions), the AMS transmission would be equivalent to a standard PM<sub>0.75</sub> and  
495 a PM<sub>0.95</sub> cut during ATom-2 and -4 respectively in terms of ambient aerosol size.

496 Since aerosol density affects the conversion between  $d_{va}$  and  $d_{ta}$  (Eqs. 1-2), a higher AMS  $d_{ta,50}$  is  
497 expected if sampling aerosols with lower densities than the ATom-2 campaign average of 1.70 g cm<sup>-3</sup>. To  
498 illustrate this point further, results based on an assumed 0.9 g cm<sup>-3</sup> aerosol density, typical of hydrocarbon-  
499 like OA from lubricating oil or oleic acid as cooking aerosol surrogate (Kuwata et al., 2012; Herring et  
500 al., 2015), are shown in SI as Fig. S22b. In this case, the ATom-2 and ATom-4 AMS  $d_{ta,sea,50}$  increase to  
501 789 nm and 1006 nm, respectively, making the ATom-4 AMS a dry PM<sub>1</sub> cut when performing  
502 experiments with those aerosols.

503 It is also useful to compare the sharpness of the different transmission curves. The sharpness of  
504 transmission is commonly defined as  $(d_{ta,16}/d_{ta,84})^{0.5}$ , where  $d_{ta,16}$  and  $d_{ta,84}$  are particle aerodynamic  
505 diameters at 84% and 16% transmissions (Peters et al., 2001). The sharpness of the AMS transmission  
506 profiles are similar to that of a URG PM<sub>1</sub> standard cut cyclone; 1.34 in ATom-2 and 1.49 in ATom-4  
507 compared to 1.35 and 1.17 of the URG standard cut and sharp cut cyclones (a lower number indicates a  
508 sharper cut). The MOUDI 1 μm stage impactor provides the sharpest cut at 1.12 at sea level but the  
509 sharpness decreases at higher altitudes, 1.15 at 6 km and 1.22 at 12 km.

510 Including all effects, the CU aircraft AMS was approximately equivalent to a standard ground-  
511 level PM<sub>0.75</sub> instrument during ATom-2 and a PM<sub>0.95</sub> instrument during ATom-4. For laboratory or field  
512 experiments with oily particles with an aerosol density of 0.9 g cm<sup>-3</sup>, the same AMS would be a PM<sub>0.79</sub>  
513 or PM<sub>1.0</sub> instrument in terms of dry aerosol size.

### 514 3.3 Volume closure

515 AMS observes a fraction of  $V_{phys}$  (Figs. 1 & 3). AMS transmission vs.  $d_p$  was calculated based on  
516 the calibrated transmission vs.  $d_{va}$  (Eq. 1) and the AMS estimated time-dependent  $\rho_m$  (Eq. 5), and applied  
517 to the AMP size distributions used to derive  $V_{phys}$ . This volume is referred to as  $V_{phys,TC}$  (the AMS-



518 transmission-corrected  $V_{phys}$ ). The comparisons between  $V_{phys,TC}$  and  $V_{chem}$  for ATom-1 and -2 are shown  
519 in Fig. 4. Good agreement is observed, with the data points distributed around the 1:1 line over a three  
520 order-of-magnitude range of concentrations. For ATom-1 the regression slope is 0.96 and  $r^2$  is 0.95. The  
521 larger volume concentrations were generally detected in the boundary layer. Time averaging reduces  
522 random noise (more dominant at smaller volumes), as evidenced when comparing this analysis for 1, 5,  
523 and 10 min averages (Fig. S23). The slightly worse fitting slope of 1.09 and  $r^2$  of 0.93 in ATom-2 may be  
524 due to the larger contribution of sea salt in ATom-2 in the boundary layer (Hodzic et al., 2020) and hence  
525 the larger uncertainty in applying the AMS size cut. To illustrate the impacts of sea salt, we replotted the  
526 comparisons (Fig. 4a-b) colored by sea salt shown as Fig. S24a-b, which suggests that some outliers in  
527 ATom-2 are observed at high sea salt concentrations. We also investigate the potential differences in the  
528 data products due to the differences in raw data processing criteria for cloud artifacts between AMS and  
529 NOAA size spectrometers and find no clear evidence (Fig. S24c-d). Furthermore, we confirm that  
530 excluding submicron dust volume is reasonable; only a few outliers have noticeably higher contributions  
531 from dust (Fig. S24e-f).

532 Species density is used to convert the AMS mass to volume concentrations and thus affects the  
533 volume comparison. As discussed above (Fig. S12),  $\rho_{OA}$  in this study is estimated with the  
534 parameterization method of Kuwata et al. (2012). The  $\rho_{OA}$  parameterization method from Kuwata et al.,  
535 (2012) was validated up to  $1.9 \text{ g cm}^{-3}$  (i.e., oxalic acid) and the lab generated SOA in that study had up to  
536  $1.46 \text{ g cm}^{-3}$   $\rho_{OA}$  with an O/C of 0.72. The estimated ATom-1 and -2  $\rho_{OA}$  is close to that of succinic acid,  
537  $1.57 \text{ g cm}^{-3}$ , that has a similar O/C ratio (ATom-1 and -2 vs. succinic acid:  $1.05 \pm 0.44$  vs. 1.0), and falls  
538 into the observed  $\rho_{OA}$  density range,  $1.5\text{-}1.7 \text{ g cm}^{-3}$ , for low mass concentrations of SOA ( $< 3 \mu\text{g m}^{-3}$ , as  
539 the most cases in ATom), made from  $\alpha$ -pinene and ozone from a chamber study (Shilling et al., 2009).  
540 However,  $\rho_{OA}$  estimated from PALMS,  $1.35\text{-}1.45 \text{ g cm}^{-3}$  (Froyd et al., 2019), is  $\sim 0.2 \text{ g cm}^{-3}$  lower than  
541 that estimated from AMS, for reasons that are not yet understood. As a sensitivity check, we recalculate  
542  $V_{chem}$  by subtracting  $0.2 \text{ g cm}^{-3}$  from the AMS estimated  $\rho_{OA}$  (Fig. S25). Compared to the base cases (Fig.  
543 4a-b), the  $r^2$  values barely change and the slopes increase by 5% or 8% due to the higher estimated OA  
544 volume in  $V_{chem}$ . Therefore, this uncertainty is below 10% and does not undermine the agreement within  
545 the uncertainties between  $V_{chem}$  and  $V_{phys,TC}$ .



546 To illustrate that applying the AMS transmission to  $V_{phys}$  is a prerequisite for a meaningful  
547 comparison, Fig. 4c illustrates the volume closure for a research flight in ATom-2 (RF208, Feb 15 2017,  
548 from Ascension to the Azores), in which the contribution of supermicron particles to total volume is  
549 significant. Although  $V_{phys}$  was, in general, several times larger than  $V_{chem}$  when the DC-8 flew at lower  
550 altitudes (below  $\sim 3$  km),  $V_{phys,TC}$  agrees very well with  $V_{chem}$ , with a regression slope of 1.04 and an  $r^2$  of  
551 0.97. To examine if applying the AMS transmission introduces a systematic bias, Fig. 4a-b was replotted,  
552 colored by the removed fraction of  $V_{phys}$ , in SI as Fig. S26. The binned data points at 20% intervals show  
553 little difference, suggesting that no significant bias is arising for this reason for both ATom-1 and -2. An  
554 exception is the 80-100% bin for ATom-2 due to some outliers with high sea salt as shown in Fig. S26b  
555 and possibly the increased statistical noise, with only 25% of the data points in this bin compared to  
556 ATom-1.

557 Box plots, regressions, and correlations were carried out for the separate datasets in each bin of  
558 removed  $V_{phys}$ , as shown in Fig. 5a-c. For the combined ATom-1 and -2 data (Fig. 5a), the majority of the  
559 volume ratios are distributed around the 1:1 line and within the combined systematic uncertainty range  
560 (combined  $2\sigma$  of AMS and UHSAS, the size spectrometer that overlaps most with the AMS, see Fig. 1).  
561 If using the UHSAS data product alone and applying the AMS transmission, the resulting volume is on  
562 average  $93 \pm 9$  % in ATom-1 and  $87 \pm 14$  % in ATom-2 compared to  $V_{phys,TC}$ . Therefore, the UHSAS  
563 uncertainty is representative of that of  $V_{phys,TC}$ . The  $V_{phys}$  uncertainty depends on particle size range or  
564 mode (see Table 1 in Brock et al., (2019)) and the random uncertainty in  $V_{phys}$  is expected to be smoothed  
565 out with longer averaging time scales. All five bins show high correlations with  $r^2$  of 0.79-0.96, with a  
566 lower correlation at the 80-100%  $V_{phys}$  removal bin. The smallest slope of 0.84 is also seen at this bin,  
567 where the largest discrepancy is expected due to the combined sharpness of the decreasing AMS  
568 transmission for larger particles and the rising tail of coarse mode particles into the submicron size range  
569 (e.g., the AMS transmission excludes on average 89% of the total sea salt volume sampled during ATom-  
570 2). When investigating ATom-1 and ATom-2 independently, ATom-1 averages are slightly below unity  
571 but consistent throughout the five bins (Fig. 5b), and ATom-2 shows an increasing bias above 60%  $V_{phys}$   
572 removal (again likely due to the much higher sea salt fractional contribution for this campaign). Only the  
573 80-100% bin in ATom-2 has substantial data outside the  $2\sigma$  uncertainty range. Overall, the above results



574 suggest the in-field characterized AMS transmission is robust for the various conditions encountered in  
575 the ATom-1 and -2 studies.

576 While binning the data is useful for exploring possible systematic biases, looking at the overall  
577 deviations of the individual measurements allows us to explore to what extent the reported instrument  
578 uncertainties are consistent with the ATom dataset. Fig. 5d-i shows the frequency distributions of the  
579 volume ratio,  $V_{chem} / V_{phys,TC}$ , together with the combined  $2\sigma$  accuracy of AMS and UHSAS. The ATom-  
580 2 data distribution is slightly broader than ATom-1 partly owing to the larger precision error (e.g., when  
581 mass concentration is within three times of DLs) associated with the lower submicron mass  
582 concentrations, 0.38 vs. 0.50  $\mu\text{g m}^{-3}$ . Longer averaging time can deemphasize the precision errors,  
583 especially for a dataset like ATom with few sharp plumes. Thus we plot the volume ratio at three time  
584 scales, 1 min, 5 min, and 10 min. It shows a clear improvement in the spread of the ratio as the averaging  
585 time scale increases, with the 10 min data being consistent with the reported accuracies. This supports  
586 the good quality and consistency of the ATom aerosol dataset, and it also supports the reported AMS  
587 accuracies.

### 588 3.4 Sensitivity tests to AMS transmission

589 The above discussion demonstrates the critical role of well-characterized AMS transmission for  
590 meaningful volume intercomparison. In this section, we aim to quantify the impact of the AMS  
591 transmission on the volume comparison by artificially adjusting the transmission with a series of  
592 sensitivity tests. As shown in Fig. 6a, the AMS transmission can be characterized by four “anchoring”  
593 particle sizes, representing 0% and 100% transmissions at both ends. During ATom-1 and -2, these  
594 anchoring sizes (in  $d_{va}$ ) were estimated as (i) 35 nm, (ii) 100 nm, (iii) 482 nm, and (iv) 1175 nm,  
595 respectively, as discussed above (Fig. 2). Uncertainty ranges are estimated for the latter two sizes from  
596 the ATom calibrations and shown in Fig. 6d-e. We alter one anchoring size at a time, recalculate  $V_{phys,TC}$ ,  
597 and re-compare to  $V_{chem}$ , which is kept unchanged. The resulting slopes and  $r^2$  are summarized in Fig. 6.  
598 The adjustments at the two lower anchoring sizes, up to  $\pm 25$  nm at 35 nm and  $\pm 50$  nm at 100 nm, have  
599 a negligible impact on the volume comparison due to the small volume/mass concentrations at these sizes  
600 during ATom (e.g., Fig. 3), except for the unrealistic 50 nm decrease at 100 nm (the second anchoring



601 point). In contrast, a dependency of the fitting results on the details of the AMS transmission curve for  
602 large particles is observed. For the third anchoring point, corresponding to the largest particles with 100%  
603 transmission (Fig. 6d), a smaller  $d_{va}$  excludes more  $V_{phys}$  and results in a higher slope. For example, at the  
604 lower one SD limit  $d_{va}$  of 445 nm, the fitting slopes increase from 0.97 to 1.01 for ATom-1 and 1.09 to  
605 1.12 for ATom-2. These small changes in slope are the largest among the four anchoring points, and they  
606 are statistically significant because the changes are one magnitude higher than the fitting  $1\sigma$  uncertainties  
607 of the slopes ( $\sim 0.03$  vs.  $\sim 0.004$ ). In all the cases investigated,  $r^2$  barely changes.

608 It is also of interest to compare the results if we had assumed that AMS literature transmission  
609 curves applied to this study. Here we test the commonly used transmission curves of Liu et al., (2007)  
610 and Hu et al., (2017). The four anchoring sizes, all in nm, in Liu et al., (2007) (Hu et al., (2017) in  
611 parentheses) are (i) 50 (40), (ii) 150 (100), (iii) 300 (500), and (iv) 1400 (1500, estimated by fitting). The  
612 regression slopes with the Liu curve (the Hu curve) are 1.18 and 1.23 (0.94 and 0.96) in ATom-1 and -2,  
613 respectively, compared to 0.96 and 1.09 derived from applying the ATom-1 and -2 transmission (Fig. 4).  
614 In summary, the above results suggest: (1) The volume closure is relatively insensitive to the uncertainties  
615 of the AMS transmission curve characterized in this study; (2) Use of transmission curves from the  
616 literature for uncharacterized instruments can result in substantial deviations (which may then be  
617 incorrectly attributed to changes in  $CE$  or  $RIE$ ); (3) The large particle region of the AMS transmission  
618 curve is more important than the small particle region for ATom-1 and -2; and (4) The point (iii) with  
619 100% transmission size for large particles (482 nm in this case) is the most important calibration, due to  
620 the dominance of the accumulation mode mass for the submicron size range.

### 621 **3.5 Characterization of the AMS observable particle fraction during ATom vs. the standard** 622 **ground-based and aircraft-based PM<sub>1</sub> definition**

623 It is of interest to compare the fraction of the volume detected by the AMS for ATom vs. what a  
624 standard ground-level PM<sub>1</sub> (the most common definition of “submicron”) instrument would detect. In this  
625 study, we use the standard cut URG cyclone operating at the surface ambient humidity as the reference,  
626 simulating its operation at ground sites at different altitudes (e.g., sea level and mountain sites). As  
627 discussed above, both the AMS and the AMP size distributions measure dry particles while the “standard”  
628 PM<sub>1</sub> is defined with practical size-selection under ambient humidity. To account for the difference, the



629 URG transmission is applied to the estimated ambient particle size before losing liquid water content (the  
630 effect of water on  $\rho_p$  is also considered) (DeCarlo et al., 2004). We assume no size dependence for  $\rho_p$  or  
631 the volume fraction of liquid water content for the submicron aerosols. Ambient  $P$  and  $T$  from ATom are  
632 applied to the URG transmission to account for the shift at non-STP conditions, which is relevant when  
633 operating such a cyclone at higher altitudes e.g., a mountain site. The results of applying the AMS and  
634 URG PM<sub>1</sub> standard cut cyclone transmissions to  $V_{phys}$  are shown in Fig. 7. AMS observed on average  $96$   
635  $\pm 16\%$  (median 96%) and  $94 \pm 12\%$  (median 94%) of the volumes that would transmit through a ground-  
636 level URG PM<sub>1</sub> cyclone in ATom-1 and -2, respectively. Although we previously concluded that the  
637 AMS was approximately an equivalent ground-level PM<sub>0.75</sub> instrument in ATom-1 and -2, the difference  
638 in collected volume is only  $\sim 5\%$ . This is because the submicron volume size distribution peaked around  
639 300 nm ( $d_{ita}$ ; see Fig. 3 for example), where AMS transmission is  $\sim 100\%$ , and also due to the effect of  
640 liquid water on particle size.

641 Next, we compared the submicron volumes observed from the CU AMS and a MOUDI 1  $\mu\text{m}$   
642 stage impactor during aircraft studies, using the ATom conditions (Fig. 7c & 7d). The two inlets size-  
643 select dry particles due to sample line heating. AMS observed 87% and 83% by means, 90% and 85% by  
644 medians, in ATom-1 and -2 of that from an airborne MOUDI impactor, lower than the ratios when  
645 comparing to the URG PM<sub>1</sub> cyclones for two reasons: the smaller cutoff size of URG vs. MOUDI due to  
646 particle water and lower operating  $T$  for URG (which relates to air viscosity). We also compared the  
647  $V_{phys,TC}$  to the (total)  $V_{phys}$  (Fig. 7a & 7b). AMS collected 68% by means (the same for ATom-1 and -2,  
648 and 78% in ATom-1 and 71% in ATom-2 by medians) of  $V_{phys}$ ; in other words, 32% of  $V_{phys}$  was excluded  
649 by applying the AMS transmission. For both ATom-1 and -2, there was considerable variability on the  
650 fraction of  $V_{phys}$  removed to obtain  $V_{phys,TC}$ , which spanned the range from 0% to 100% removal, thus  
651 providing a good scenario of testing the AMS transmission. Nevertheless, this data shows that on average  
652 the AMS captured the submicron range well, as shown in Fig. 4, and that the comparisons presented here  
653 are meaningful for a wide range of scenarios.



### 654 3.6 Characterization of the observable particle populations for different chemical instruments

655 The different parts of the aerosol population included in different measurements and models make  
656 comparisons of aerosol species inherently more complex than for gas-phase species. In this section, we  
657 characterize the size ranges that contribute information to each composition measurement. Importantly,  
658 only the particle ranges are illustrated, irrespective of the properties of each chemical detector (e.g.,  
659 species measured, detection limits, etc.). Speciated particle mass concentrations can be derived by  
660 sampling the bulk aerosol using a size cut. For example, MOUDI 1  $\mu\text{m}$  stage impactor and SAGA MC  
661 are suitable for size-selecting submicron range (Fig. S17). With a wider coverage expanding to  
662 supermicron sizes, SAGA filters measure up to  $d_{ta,sea}$  of 4.1  $\mu\text{m}$  and their estimated altitude-dependent  
663 transmissions for the ATom conditions are shown in Fig. S18. Speciated mass concentrations can also be  
664 derived as a function of size by mapping the PALMS single-particle chemical composition onto an  
665 independent physical size distribution measurement (in case of ATom the AMP size distribution products  
666 described in Brock et al. (2019)) (Froyd et al., 2019), and PALMS-AMP derived sulfate and organic mass  
667 concentrations have recently been reported to the NASA ATom archive (Wofsy et al., 2018).

668 Fig. 8 summarizes the approximate fractions of the volume and number distributions that each  
669 ATom instrument observed for ATom-2 (Fig. S28 shows ATom-1). A MOUDI 1  $\mu\text{m}$  stage impactor is  
670 also included for comparison. SAGA filters collect nearly the entire total volume. The vertical profiles of  
671 volume size distributions collected by AMS and MOUDI are similar and converge at higher altitudes due  
672 to the shift in the MOUDI cutoff size. Both AMS and PALMS capture the accumulation mode, which  
673 often dominates particle mass, and thus agreement of the reported submicron concentrations should be  
674 expected under such conditions. The AMS samples contain chemical information about smaller particles  
675 that are typically absent from the PALMS data (Williamson et al., 2019). Conversely, the PALMS  
676 samples a significant fraction of the supermicron mode beyond the transmission range of the AMS. The  
677 PALMS-AMP at the reported AMP size resolution and 3 min time resolution is shown in Fig. 8 (and Fig  
678 S28), and similar plots for other size and time resolutions are shown in Fig. S29 and S30. 3 min  
679 corresponds to  $\sim 36$  km horizontal distances and  $\sim 1.5$  km vertical distances during ATom profiles and thus  
680 is a reasonable basis for comparison.



681 It is also of interest to quantify what fraction of the particle number is represented by each  
682 instrument's data. For instance, the composition relevant to calculations of cloud condensation nuclei  
683 (CCN) number concentrations would be dominated by small particles. The number fractions have  
684 somewhat different meanings for the instruments. PALMS, when merged with size distribution  
685 measurements, can quantify the number of particles of various types as a function of size. For the other  
686 (bulk) instruments, the number fraction merely represents the number of particles in the size range where  
687 mass is measured. Unlike the volume case, where the size distribution is dominated by the accumulation  
688 and coarse modes, the number size distribution in ATom was dominated by the nucleation and Aitken  
689 mode particles. In ATom-1 and -2, the SAGA filters, MOUDI, AMS, and PALMS-AMP (based on AMP  
690 size resolution and 3 min time resolution) characterize the chemical composition on average of 96%  
691 (median 99.9%), 78% (87%), 68% (74%), and 54% (55%) of  $V_{phys}$  (total AMP particle volume), and 98%  
692 (99%), 89% (93%), 41% (41%), and 5% (1%) of the total AMP particle number, respectively. The size  
693 range above  $d_p = 100$  nm, for which PALMS-AMP (Froyd et al., 2019) reports chemical products  
694 (partially by extrapolating composition measurements of others sizes, especially at higher time resolutions  
695 and lower concentrations), covers 76% (83%) and 11% (5%) of the AMP volume and number,  
696 respectively.

697 To complete the illustration of the coverage of the previously discussed instruments, the vertical  
698 profiles of observed volume fractions, in both the submicron range and the full AMP size range, are  
699 summarized in Fig. 9 (and the statistics summarized in Table S2 in SI). For the submicron measurements,  
700 AMS is highly comparable to the URG  $PM_{10}$  standard cut cyclone, MOUDI 1  $\mu m$  stage impactor, and  
701 SAGA MC. More particle volume is observed by AMS as altitude increases, due to the relatively constant  
702 AMS lens transmission (that always operates in the free molecular regime) and the smaller aerodynamic  
703 cutoff sizes for the other three inlets (that operate at ambient  $P$ ). For the AMP size range, similar  
704 increasing fractions of  $V_{phys}$  as a function of altitude are observed in all the panels, except for PALMS-  
705 AMP, due to the larger fraction of the aerosol population at smaller diameters aloft than at the surface  
706 (Fig. 8) (Williamson et al. 2019). PALMS excels in the lower 2 km of the atmosphere where it  
707 characterizes most of the volume, while the submicron instruments only capture ~40-50 %. This clearly  
708 shows the heterogeneity and complementarity between PALMS-AMP and the other submicron bulk



709 measurements as a function of altitude. The differences between the 3 min characterization and the  
710 PALMS-AMP products are greatly reduced by averaging to 60 min.

711 In summary, outside dust or biomass burning plumes, the particle volume sampled by AMS is  
712 within  $97 \pm 14\%$  compared to SAGA MC, for which the difference disappears for the higher altitude legs,  
713 and  $85 \pm 10\%$  of an airborne dry  $PM_{10}$  measurement, a MOUDI impactor often used in aircraft. AMS and  
714 PALMS particle compositional data overlap for a large part of the volume distribution in ATom, and they  
715 complement each other at the ends of the distribution (the statistics of the overlap are listed in Table S2).  
716 Last but not the least, SAGA filters characterize the particle bulk chemical components representative of  
717 the combined size range from the NOAA particle spectrometers.

#### 718 4 Conclusion

719 The large range of conditions sampled by the high-quality aerosol instrument payload onboard the  
720 NASA DC-8 during the ATom missions provides unique opportunity to quantitatively investigate the  
721 comparability of submicron volume (and hence mass quantification) derived from physical sizing vs. bulk  
722 chemical instruments, as well as to evaluate whether currently reported AMS measurement uncertainties  
723 are realistic. Characterizing the upper end of the AMS transmission curve during field deployments is  
724 critical for meaningful intercomparisons. Calibrating the AMS transmission curve avoids improperly  
725 attributing the differences in transmission to errors in *CE* or *RIE* if a discrepancy is found. In-field  
726 calibration of AMS transmission is suggested since lens alignment or possible impacts during transport  
727 have been observed to cause a change in transmission. AMS variability in transmission can be significant,  
728 e.g., this study vs. Hu et al. (2017) and Liu et al. (2007), leading to differences of up to 25% in transmitted  
729 concentrations for ATom conditions, which could be larger in the presence of a larger accumulation mode.  
730 After applying the AMS transmission curve to the size spectrometer data, good agreement was found  
731 between the physically and chemically derived volumes over three orders-of-magnitude (slope = 0.96 and  
732 1.09,  $r^2 = 0.95$  and 0.93, for ATom-1 and -2, respectively). Significant deviations would have been  
733 observed if some literature transmission curves had been used. No evidence of biases in AMS detection  
734 of remote aerosols was found. The combined stated uncertainties are consistent for the overall statistics  
735 of the instrument comparison for the remote aerosols sampled during ATom.



736 The CU AMS inlet was equivalent to a  $PM_{0.75}$  cyclone operating on ambient particles (i.e., not  
737 dried prior to sampling) during ATom-1 to -3 and to a  $PM_{0.95}$  cyclone during ATom-4. For an aerosol  
738 density of  $0.9 \text{ g cm}^{-3}$ , such as pure hydrocarbon-like OA or cooking aerosol dominated by fatty acids, the  
739 same AMS is equivalent to a  $PM_{0.79}$  (ATom-1, -2, -3) and  $PM_{1.0}$  (ATom-4) cyclone for dry particles.  
740 Despite being equivalent to a  $PM_{0.75}$  cyclone in ATom-1 and -2,  $95 \pm 15\%$  of the theoretically calculated  
741 URG  $PM_1$  cyclone sampled mass/volume was detected by the AMS, as the effect of ambient pressure and  
742 humidity on the URG cyclone transmission bridges the gap. Furthermore, the AMS quantified particle  
743 mass and properties represent 68% (mean) of the integrated AMP volume and 41% of the integrated AMP  
744 number from 2.7 nm to 4.8  $\mu\text{m}$  geometric diameter ( $d_p$ ) size range. PALMS-AMP at a 3-min time  
745 resolution (or the PALMS-AMP products, which assumes a full coverage of  $>100 \text{ nm } d_p$  AMP)  
746 characterizes 54% (76%) of the integrated volume and 5% (11%) of the integrated number, while MOUDI  
747 1  $\mu\text{m}$  stage impactor would collect 78% of the volume and 89% of the number. SAGA filters collect  
748 nearly all the aerosol, 96% of the volume and 98% of the number. The more pressure-dependent cutoff  
749 size of MOUDI or similar inlet that operates at ambient  $P$  for airborne sampling may impact comparisons  
750 with data from other instruments as a function of altitude. That effect could be compensated by lowering  
751 the volumetric flow rate vs. altitude to keep the size cut (i.e.,  $d_{50}$ ) the same at the cost of a less sharp  
752 transmission. The CU AMS inlet provides a more constant transmission vs. altitude. This work serves as  
753 a case study of the importance of size ranges when intercomparing different instruments, and contributes  
754 to document the performance of the ATom aerosol payload, confirms the realism of the stated  
755 uncertainties, and serves as a framework for a subsequent intercomparison focusing on individual  
756 chemical species.

757

758 **Acknowledgments.** The authors gratefully acknowledge the support by NASA grants NNX15AH33A and  
759 80NSSC19K0124. J.E.D. was supported by NASA grant NNX15AG62A. We thank the ATom leadership  
760 team, science team, and the NASA DC-8 crew for their contributions to the success of the ATom mission.  
761 We thank the AMS users community for many useful discussions, Bruce Anderson and Luke Ziemba for  
762 collecting and sharing the LARGE particle extinction data during SEAC<sup>4</sup>RS, and Xiaoliang Wang and  
763 Peter H. McMurry for inlet discussions and calculations. We thank Charles Brock, Christina Williamson,



764 and Agnieszka Kupc for the use of the AMP data, Joshua Schwarz and Joseph Katich for use of the SP2  
765 data, and Karl Froyd and Daniel Murphy for useful discussions about PALMS.

766  
767 **Data availability.** The ATom data is published at <https://doi.org/10.3334/ORNLDAAAC/1581>.

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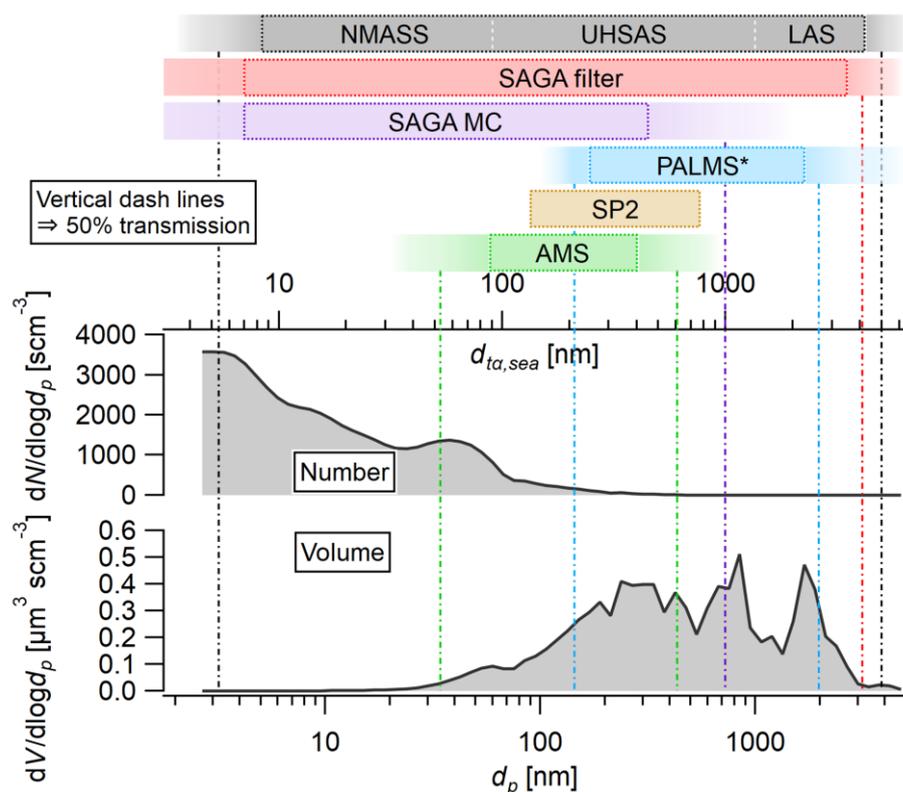
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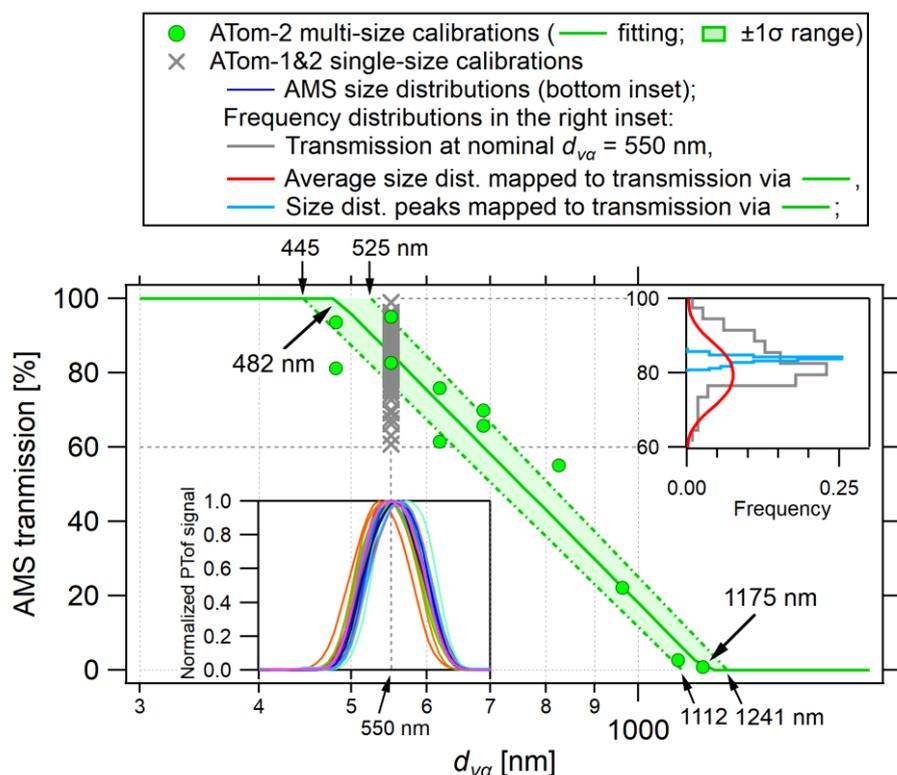
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 1181 **Figure 1:** Approximate particle size ranges quantified by AMS, SP2, PALMS, SAGA MC, SAGA filters,  
 1182 and AMP (NMASS, UHSAS, and LAS), plotted with the campaign averaged AMP number and volume  
 1183 size distributions during ATom-2. For each instrument (except PALMS), the box indicates 100% inlet  
 1184 transmission and the transition shade on both sides indicates a decrease from 100% to 0%, with 50%  
 1185 denoted by the vertical dashed line. The PALMS bar represents the approximate size range contributing  
 1186 chemical information at a 60 min averaging time scale (at AMP size resolution) for composition data only  
 1187 (see Sect. 2.5). The top horizontal axis shows aerodynamic diameter ( $d_{ta,sea}$ ) and the bottom geometric  
 1188 diameter ( $d_p$ ); the conversion between the two diameters is based on ATom-2 campaign average aerosol  
 1189 density of  $1.70 \text{ g cm}^{-3}$  and sea level  $P$  of 1013 mbar using Eq. 2.

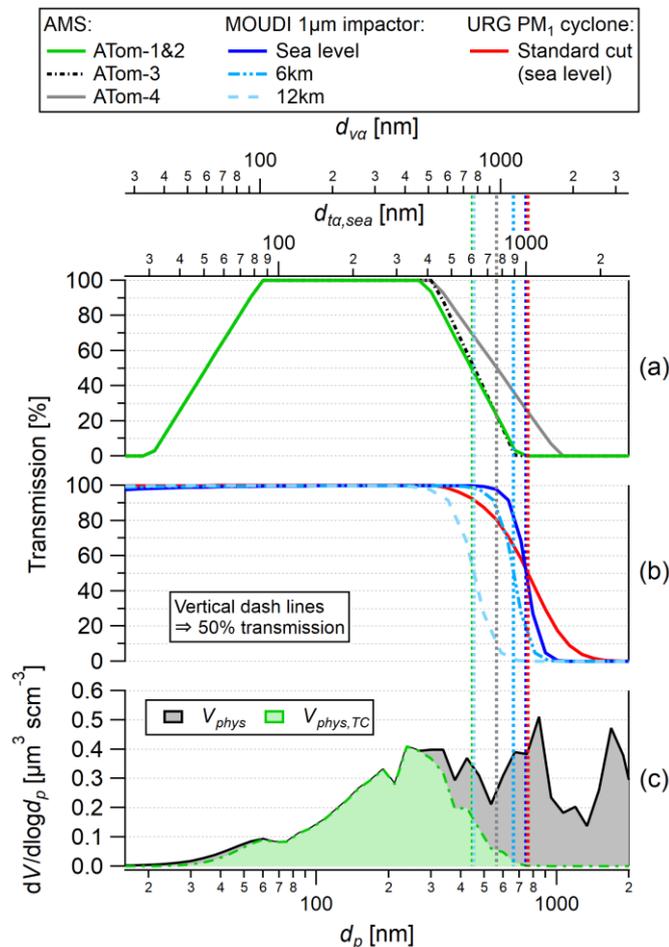


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1191 **Figure 2:** Results of in-field AMS large particle transmission calibrations vs. vacuum aerodynamic  
 1192 diameter ( $d_{va}$ ) with  $\text{NH}_4\text{NO}_3$  particles for ATom-1 and -2. The green markers are multi-size field  
 1193 calibrations, and the grey cross markers are single-size (at  $d_m = 400$  nm, equivalent to  $550$  nm  $d_{va}$ ) field  
 1194 calibrations after every research flight. The insets show the frequency distributions of measured  
 1195 transmissions (right, top) and observed, normalized size distributions (left, bottom) of these single-size  
 1196 calibrations. A fit shows 100% transmission at  $483$  nm ( $1\sigma$  uncertainty of the fit:  $445$ - $525$  nm) and 0%  
 1197 transmission at  $1175$  nm ( $1\sigma$ :  $1112$ - $1241$  nm). When forcing 0% transmission at  $1175$  nm (confirmed by  
 1198  $(\text{NH}_4)_2\text{SO}_4$  calibrations), the fit to all data gives 100% transmission at  $482$  nm ( $1\sigma$ :  $479$ - $485$  nm, not  
 1199 shown), consistent with the  $483$  nm inferred based only on the ATom-2 multi-size field calibrations.



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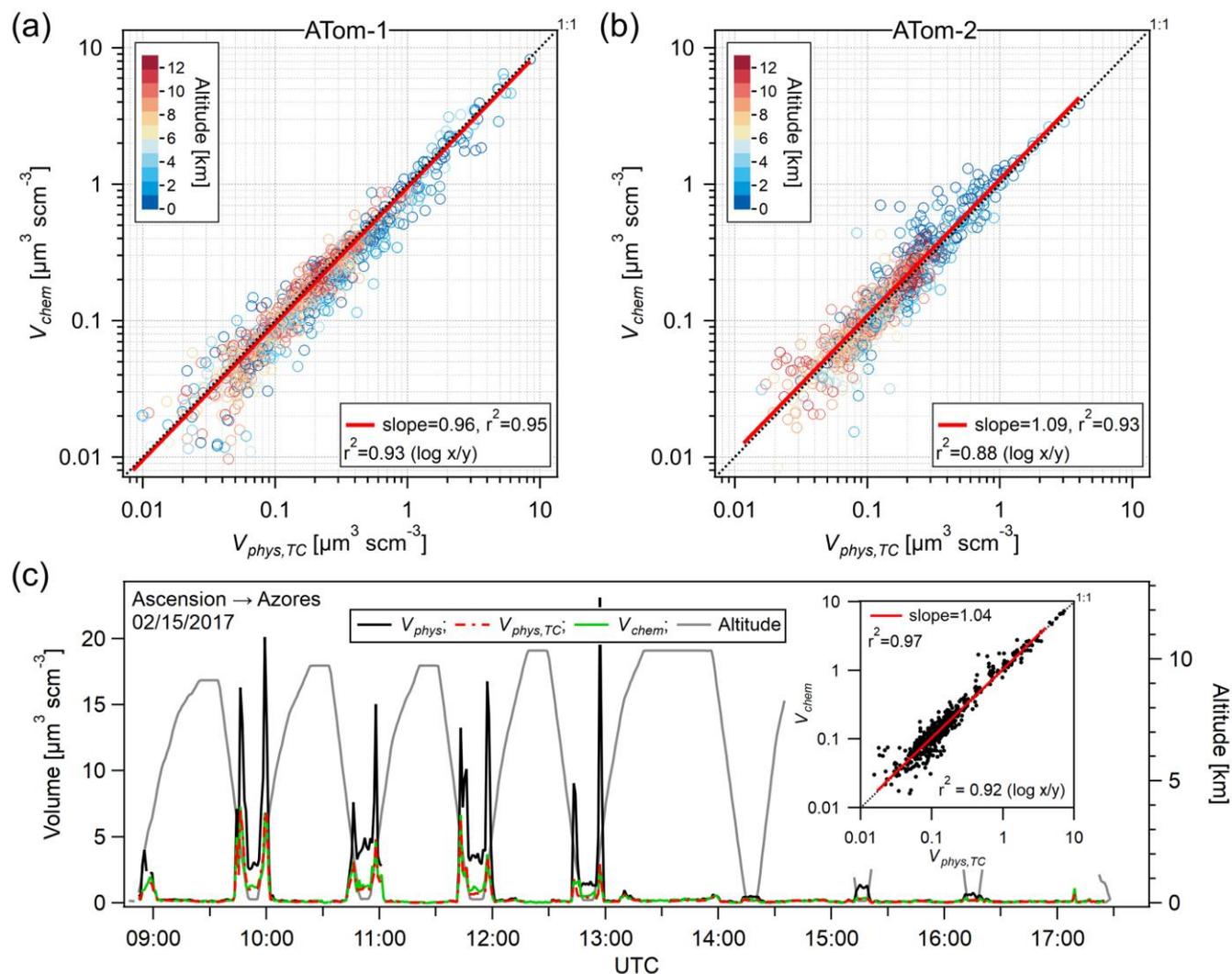
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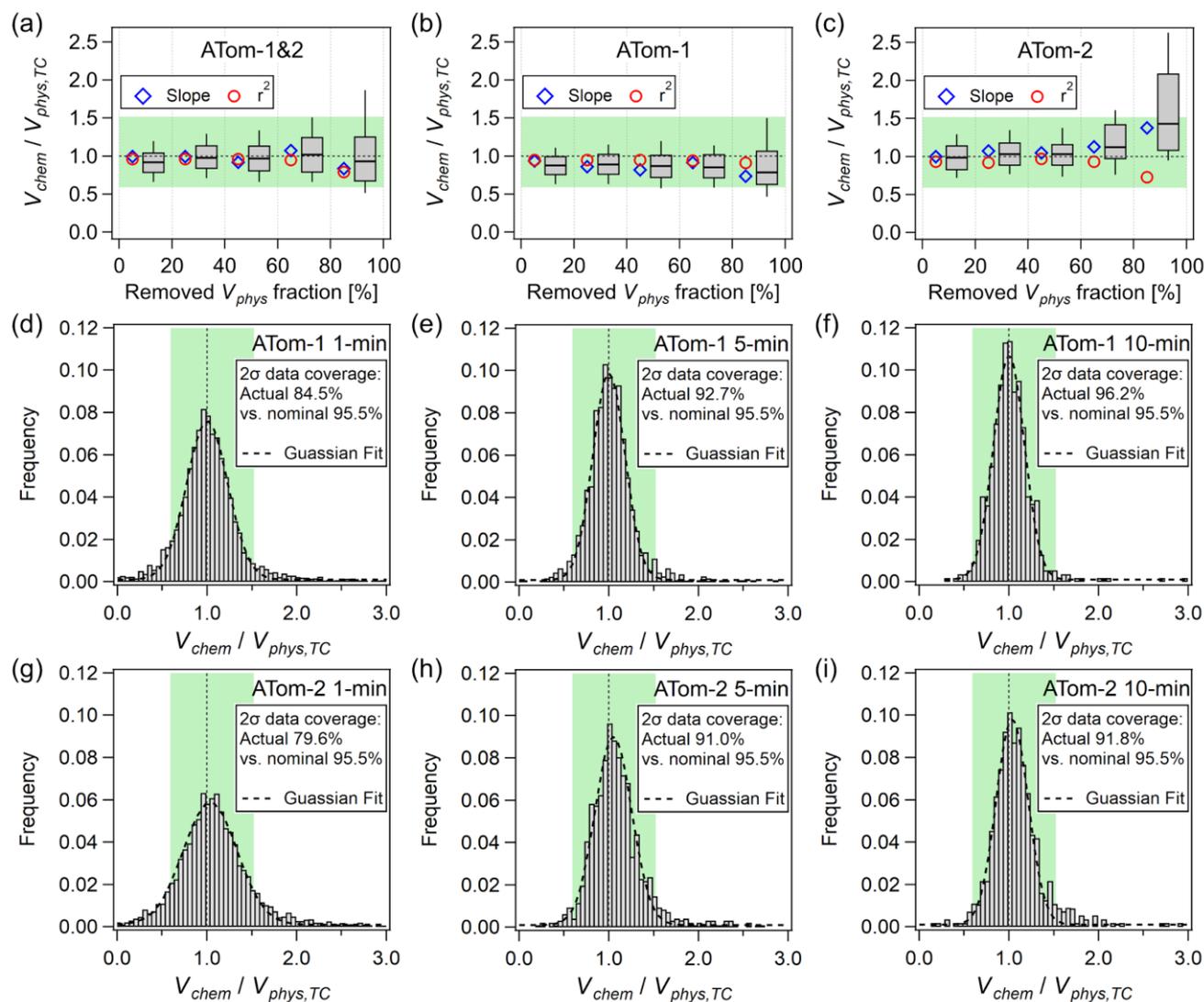
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**Figure 3:** Transmission curves (a) for AMS during ATom-1 (same for ATom-2), -3 and -4 deployments, and (b) for MOUDI 1  $\mu\text{m}$  stage impactor operated at sea level, 6 km, and 12 km (at  $T = 293$  K as typical cabin temperature and  $P$  based on the U.S. standard atmosphere) (NOAA, NASA, U. S. Air Force, 1976), and for URG PM<sub>1</sub> cyclone (sea level). (c) Average NOAA volume distribution ( $V_{phys}$ ) and the fraction observed by AMS ( $V_{phys,TC}$ ) for ATom-2. Particle size in geometric diameter ( $d_p$ ; reported by NOAA), vacuum aerodynamic diameter ( $d_{va}$ ; AMS), and aerodynamic diameter ( $d_{ta}$ ; for the MOUDI impactor and URG cyclone; note that the MOUDI transmissions at 6 and 12 km are accurate on the  $d_p$  and  $d_{va}$  axes, but slightly off on the sea level  $d_{ta}$  axis due to the change in slip correction) are shown as the three horizontal axes, all for dry particles. The 50% large particle cutoff sizes for AMS, MOUDI, and URG are listed in Table S1 based on  $d_{ta}$ ,  $d_{va}$ , and  $d_p$ . Because URG cyclone is normally used to size-select ambient particles for ground studies, the equivalent dry cut would be smaller than 1  $\mu\text{m}$ , approximately 0.8  $\mu\text{m}$  based on the aerosols sampled in this study (Sect. 3.5).



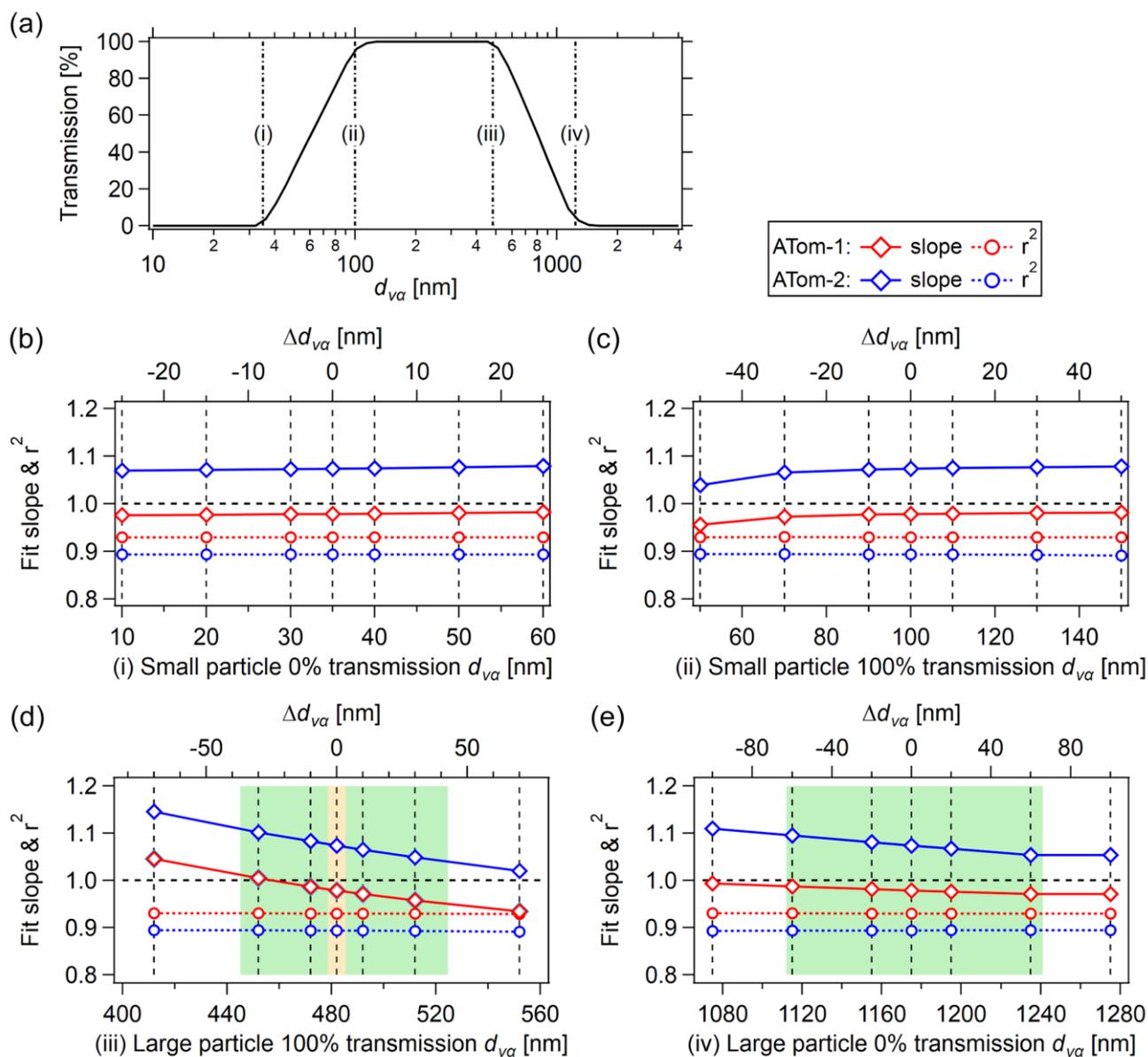
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1215 **Figure 4:** Comparison between  $V_{chem}$  and  $V_{phys,TC}$  for (a) ATom-1 and (b) ATom-2, data points colored  
 1216 by altitude, and averaged to 5 min resolution. (c) A time series of the above two volumes as well as  $V_{phys}$   
 1217 for a research flight in ATom-2, with an inset showing the scatter plot only for this flight (at 1 min time  
 1218 scale, as well as for the time series). Note that  $V_{chem}$  includes the AMS quantified sea salt. Two correlations  
 1219 coefficients ( $r^2$ ) are listed: one at linear scale (commonly used) and the other at logarithmic scale, which  
 1220 emphasizes the scatter at low concentrations.



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**Figure 5:** Box plots of  $V_{chem}/V_{phys,TC}$ , and the linear regression fitting slopes and correlations of the two volumes for (a) the combined ATom-1 and -2 data sets, (b) ATom-1, (c) ATom-2, binned by removed  $V_{phys}$  fraction when applying AMS transmission (at 20% interval). 10<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 90<sup>th</sup> percentiles are plotted with the box and whiskers. The binned scatter plots can be found in SI as Fig. S20. (d-i) are the normalized frequency distributions of the volume ratio for ATom-1 and -2, respectively, at three averaging time scales: (left) 1 min, (middle) 5 min, and (right) 10 min. The green-tinted backgrounds indicate the combined 2σ accuracy from AMS (38%; 2σ) (Bahreini et al., 2009) and UHSAS (+12.4/-27.5%; treated as 1.5σ in this study) (Kupc et al., 2018).

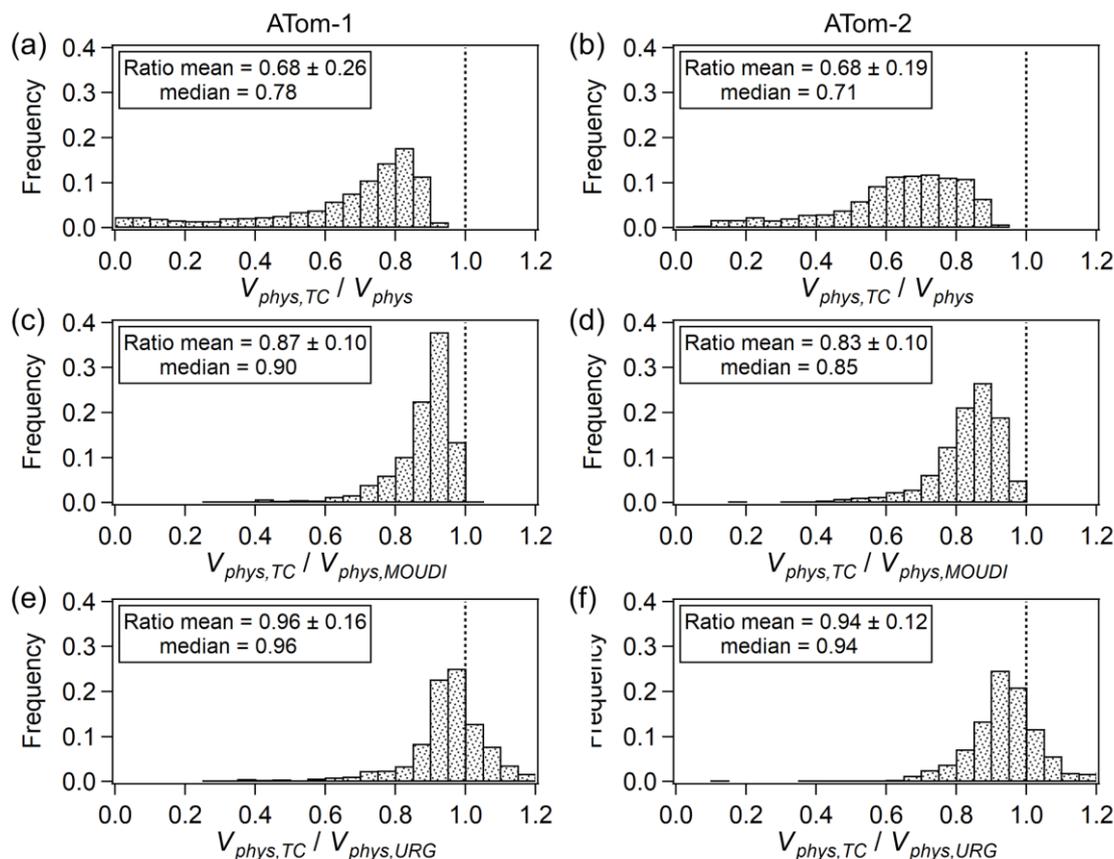


**Figure 6:** Sensitivity test of AMS transmission: the regression slopes and correlations between  $V_{chem}$  (y-axis) and  $V_{phys,TC}$  (x-axis) by artificially changing the AMS transmission. The four subpanels labeled with (b), (c), (d), and (e) are for the four anchoring points, (i) 35 nm, (ii) 100 nm, (iii) 482 nm, and (iv) 1175 nm (all in  $d_{va}$ ), as shown in the top AMS transmission figure. In (d) and (e), the green-tinted background indicates the one standard deviation range from in-field calibrations, and the orange-tinted background in (d) is the narrower standard deviation range estimated from multiple calibrations (Fig. 2).

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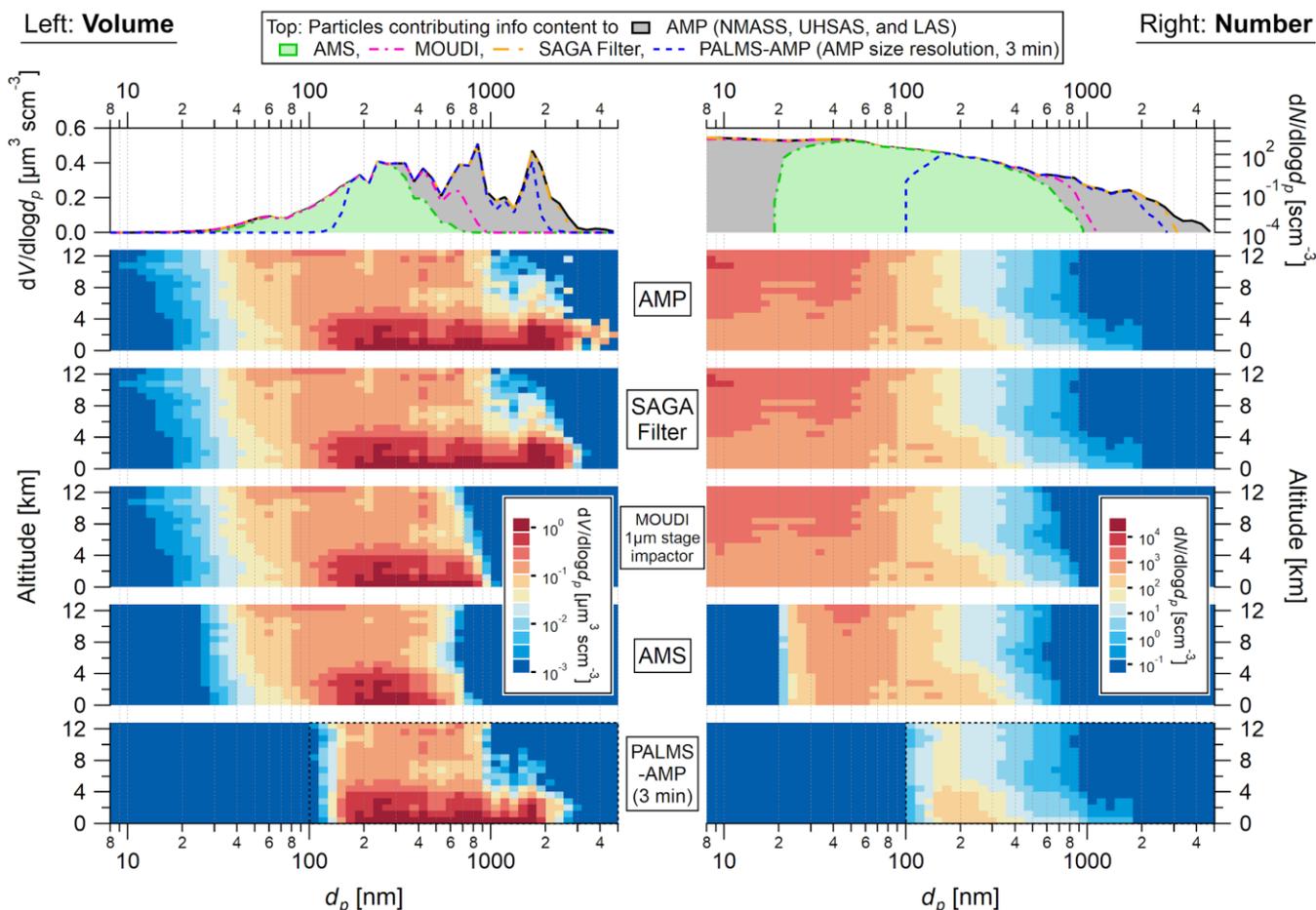
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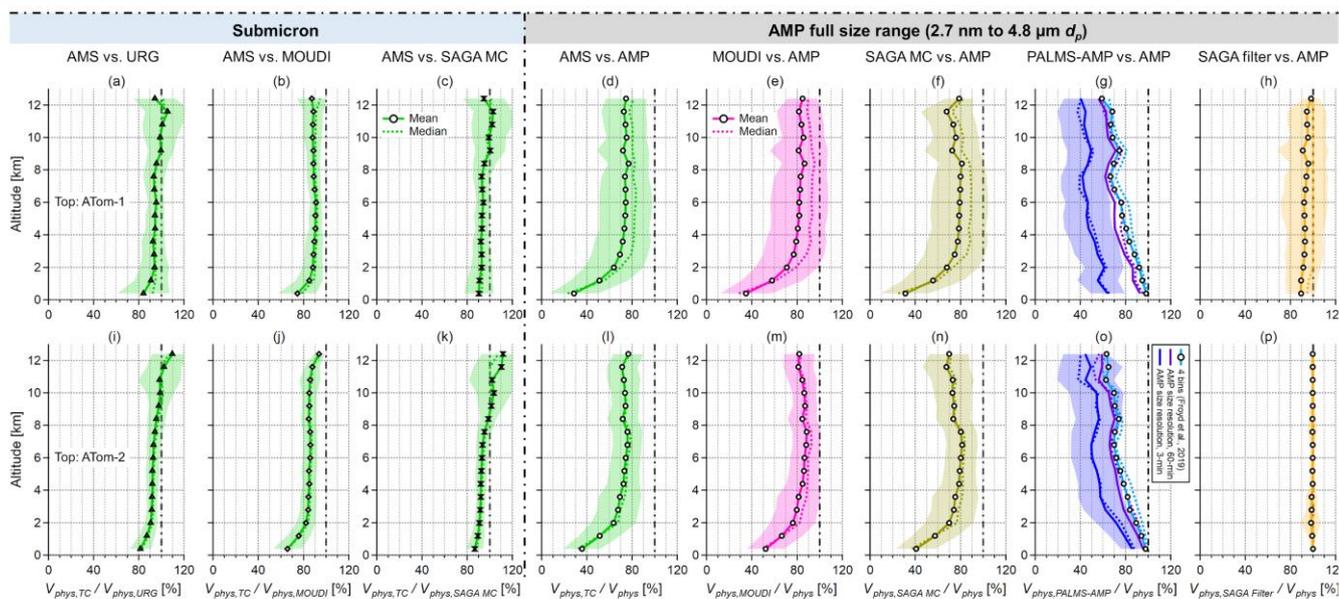
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**Figure 7:** (a-b) Frequency distributions of the AMS-transmission-corrected  $V_{phys}$  ( $V_{phys,TC}$ ) vs. the (total)  $V_{phys}$ . (c-d) Same for  $V_{phys,TC}$  vs. the dry condition altitude-dependent MOUDI-1 $\mu$ m-stage-impactor-transmission-corrected  $V_{phys}$  ( $V_{phys,MOUDI}$ ). (e-f) Same for  $V_{phys,TC}$  vs. the ground level ambient condition URG-PM<sub>1</sub>-corrected (standard PM<sub>1</sub> cut)  $V_{phys}$  ( $V_{phys,URG}$ ). ATom-1 is shown on the left and ATom-2 on the right.



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**Figure 8:** Campaign-averaged volume (left) and number (right) size distributions observed by AMP in ATom-2 (NMASS measured down to 3 nm and here we only show the subrange starting from 8 nm), together with the approximate particle size ranges contributing chemical composition information (without consideration of the details of the chemical detection) to the AMS, PALMS, and SAGA filter, and size-selected by a MOUDI 1 µm stage impactor. The top panel is one dimensional with the campaign average result of each instrument (the transmissions of MOUDI and SAGA filter are altitude dependent and plotted in Fig. 3 and Fig. S18, respectively; PALMS effective detection range depends on counting statistics, and the detected particles given a sampling period are discussed in Fig. S14-15). Note that the top panel shows the fraction of the average, while Fig. 7 shows the average fractions (a summary at Table S2). The right plots represent the size ranges of the number size distribution contributing chemical information to each instrument. The following panels show the vertical profiles of the same quantities for AMP, SAGA filter, MOUDI impactor, AMS, and PALMS-AMP, respectively. The PALMS-AMP product (Froyd et al., 2019) reports composition above 100 nm, the size range indicated by the dashed square in the bottom panels. The plotted altitude bins are 800 m each.



**Figure 9:** Comparison of the fraction of the particle volume that is observable (i.e., those contributing chemical composition information, but independent of the properties of the chemical detector) between instruments or inlets as a function of altitude, for the conditions in (top) ATom-1 and (bottom) ATom-2. On the left, the widely used approximate submicron cuts are compared. On the right, the ATom aerosol payload is compared, including a MOUDI 1  $\mu\text{m}$  impactor that has been flown in other studies. The color-shaded area indicates the SD of volume ratios.