

# Conservative Treatment for Locally Advanced Carcinoma of the Larynx Using Alpha-Crystalline B as a Prognostic Molecular Marker

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## BACKGROUND/AIMS

This study was performed to understand the prognostic role of alpha-crystalline B (aBC) in locally advanced laryngeal cancer.

## MATERIAL and METHODS

Forty-five patients were enrolled; they were treated with induction chemotherapy followed by definitive radiotherapy. Alpha-crystalline B (aBC) was evaluated using quantitative real time-polymerase chain reaction.

## RESULTS

Complete response to induction chemotherapy was observed in 16 patients, and partial response was achieved in 27 patients. After radiation therapy, 32 patients showed complete response. The aBC level was significantly higher in the tumor tissues than in the normal adjacent tissues. This level was significantly correlated with the patient's age, clinical stage, and treatment response. High aBC levels were significantly associated with short overall and disease-free survival.

## CONCLUSION

Induction chemotherapy can efficiently preserve the larynx in a high proportion of patients. aBC can be used as a molecular marker for predicting the treatment response and survival in patients undergoing induction chemotherapy.

**Keywords:** Locally advanced cancer larynx, induction chemotherapy, aB-crystalline, qRT-PCR, prognostic factors

## INTRODUCTION

Total laryngectomy with cervical lymph nodes dissection accompanied by radiotherapy has been recognized as a suitable treatment for locally advanced laryngeal cancer (1). Patients treated with total laryngectomy experience the following postoperative complications: loss of speaking ability and impaired swallowing, with their impact on the quality of living leading to many social issues (2). The problems arising from total laryngectomy raise the need for the establishment of a novel treatment strategy that has similar treatment efficacy and survival rate, with acceptable complications (3). The concept of preserving the larynx has been approached by various modalities, including chemotherapy to downstage the tumor so that the patient can be treated with less radical surgery or by using radiotherapy. Induction chemotherapy in locally advanced head and neck cancer has been studied during the previous three decades. However, there is no consensus for the optimal use of induction chemotherapy in head and neck malignancies except in locally advanced laryngeal cancer (4).

The chain of alpha-crystalline B (aBC) is a protein encoded by the CRYAB gene in humans (5). It is part of the family of heat shock proteins and acts as a molecular chaperone that mainly binds misfolded proteins to prevent protein aggregation, inhibiting apoptosis and contributing to the intracellular architecture (5). Defects in this gene/protein have been associated with cancer and neurodegenerative diseases, such as Alzheimer's disease and Parkinson's disease. Alpha-B chain crystalline (aBC) can be triggered by heat shock, ischemia, and oxidation and belongs to the family of heat shock proteins. They behave as molecular chaperones although they do not re-naturalize proteins and release them as a real chaperone; instead, they bind improperly folded proteins to prevent their aggregation (6). In addition, by inhibiting the processing of the pro-apoptotic protein caspase-3, aBC can confer stress resistance to cells. Two unique features of alpha crystallines are autokinase function and intracellular architecture integration (7). Alpha-A and alpha-B gene products are expressed independently; alpha-A is preferentially limited to the lens and retina, while alpha-B is commonly expressed in several tissues and organs (8).

Although significantly expressed in eye lenses and muscle tissues, aBC may also be observed in several cancers, including squamous cell carcinoma in the head and neck (HNSCC) and breast carcinomas (9,10). aBC expression is associated with the metastases in HNSCC and breast carcinomas and other types of cancer; further, its expression is commonly associated with bad prognosis (9-11).

This study aimed to study the expression level of aB-crystalline in locally advanced squamous cell carcinoma of the larynx with normal nearby tissues adjacent to the tumor. We also studied the relationship between its expression and the clinicopathological features.

## MATERIAL and METHODS

From August 2013 to January 2020, 54 patients with locally advanced cancer of the larynx were referred to the Clinical Oncology Department and Head and neck surgical Oncology Unit, Zagazig University Hospitals, Zagazig, Egypt and East Jeddah Hospital, Jeddah, SA were evaluated for this study. The study was performed with the understanding and written consent of each patient and was approved by the Ethics Committee of the Faculty of Medicine, Zagazig University (257/2013).

### Eligibility criteria:

1. No previous treatment
2. Biopsy-proved squamous cell carcinoma of the larynx
3. Locally advanced disease (T3 or T4 with No, N1 or N2) as per the American Joint Committee for cancer staging (12)
4. Performance status of  $\geq 2$  on the ECOG scale (13)

### Main Points:

- Induction chemotherapy can be used effectively in locally advanced laryngeal cancer followed by radiotherapy and can be efficient in conserving the larynx in a certain proportion of patients without compromising survival.
- High level of aBC may be correlated with aggressive behavior of SCC of the larynx and unfavorable outcome.

5. Adequate hepato-renal, cardiac, and bone marrow functions
6. Adequate nutritional and auditory status
7. Written informed consent for study participation
8. Age <70 y

The initial evaluation included a history and physical examination, complete blood cell count, routine serum chemistries, creatinine clearance test, chest radiography, computed tomography (CT) scan or magnetic resonance imaging (MRI) of the head and neck, and bone scan. Local tumor extent and regional metastases were further assessed using triple endoscopy, and a biopsy was obtained from the tumor tissues and adjacent normal tissues.

### Induction Chemotherapy:

The induction chemotherapy comprised the following three cycles of docetaxel 75 mg/m<sup>2</sup> (day 1), cisplatin 75 mg/m<sup>2</sup> (day 1), and a continuous fluorouracil infusion at 500 mg/m<sup>2</sup> per day (days 1-5) every 4 wk.

Response to induction chemotherapy was evaluated clinically via endoscopic examination before each cycle and radiologically using CT scan or MRI that was performed after the second cycle. Assessment of palpable lymph node(s) was done also by clinical examination and palpation. Responding patients (Complete response (CR) or PR) received a maximum of three courses of chemotherapy before to definitive radiation. After the third cycle, patients with CR or PR were treated with irradiation while patients with any evidence of disease progression underwent surgical resection and postoperative radiation therapy. Tumor responses were defined as per the Response Evaluation Criteria in Solid Tumors criteria (RECIST) (14).

### Radiation Therapy:

All patients received radiotherapy, either immediately after chemotherapy in CR or PR patients or postoperatively in patients who failed to respond to chemotherapy. After chemotherapy, comprehensive radiation therapy was delivered with 5000 cGy supplemented by a boost of 2000 cGy to the primary tumor site and persistent lymph nodes, when present. A dose of 5000cGy was administered after the surgery and a booster dose of 14 Gy was administered to sites with positive margins and/or extracapsular spread and/or three or more involved lymph nodes, if any. The response was re-evaluated 12 wk after radiation therapy. Patients with persistent laryngeal disease underwent salvage laryngectomy, while those with persistent neck disease and those whose primary tumor was controlled underwent dissection of the neck alone.

### Surgery:

The extent of surgical resection was determined as per the original assessment of the extent of the tumor before chemotherapy. Classic wide-field total laryngectomy was performed for all primary tumors. Regional neck dissections were performed in all surgical patients except those with T3N0 or those with midline supraglottic T4N0 tumors for whom it could not be determined which side of the neck was chiefly at risk of occult metastases. Salvage surgery was performed after the confirmation of residual tumor on biopsy. All the patients were followed up and examined on a 3-month basis for the first year after treatment and every 6 mon thereafter.

**Quantitative real time-polymerase chain reaction:**

Total RNA was obtained using a commercial reagent (Trizol, Gibco Inc., Grand Island, NY, USA) from tumor tissues and nearby normal tissues. Using oligo-dT primers and M-MLV reverse transcriptase, total RNA (2 µg) was reverse transcribed. RT-PCR products comprising αB-crystalline and β-actin were amplified using gene specific primer (αB-crystalline: F- 5'-GGAATTGATCGC-CATCCACCAC-3', R- 5'-CCGCTCGAGCTATTTCTTGGGGGCT-GCGG-3', β-actin: F- 5'-GCA CCA CAC CTT CTA CAA TG-3', R- 5'-CTA GAA GCA TTT GCG GTG GAC GAT GGA GGG-3'). A mixture (40 µL) of 3 µL cDNA, 10 µM primers, 2.5 µg/mL Go Tag Flexi DNA polymerase (Promega), 50 mM KCl, 10 mM Tris-HCl (pH, 9.0), 3.0 mM MgCl<sub>2</sub>, and 0.2 mM dNTPs, was prepared to perform PCR. In a 9700 Thermocycler (Perkin-Elmer), PCR was performed, and the general thermocycling conditions were as follows: 1 cycle of initial denaturation at 95°C for 2 min, supplemented by 30 cycles at 95°C for 30 s, annealing at 55°C for 40 s and reaction at 72°C for 100 s, followed by supplementation by a final extension for about 5 min at 72°C. The relative value of αB-Crystalline mRNA was evaluated using the relative cycle threshold (Ct) means (15).

**Statistical Analysis**

Statistical analyses were performed using the Statistical Package for Social Sciences software version 12.0 (SPSS Inc.; Chicago, IL, USA). Student's t-test and one-way analysis of variance (ANOVA) test were used to compare the mean±standard deviation (SD) values of the αB-crystalline expression levels of the different groups. Kaplan Meier survival curves were used to compare the overall and disease-free survival of the groups.

**RESULTS**

The study involved 54 patients, including 45 men and 9 women. The median patient age was 51 y (range 40–62 y). After 2 cycles

of induction chemotherapy, complete clinical response was noted in 16 patients. Partial response was achieved by 27 patients. After 3 cycles of chemotherapy, 43 patients received radiation therapy. In 32 patients (32/43), CR was noted (Table 1). Salvage surgery was performed after chemotherapy in 11 patients and 11 patients with residual disease after chemotherapy and radiotherapy (9 PR and 2 SD) (Table 2).

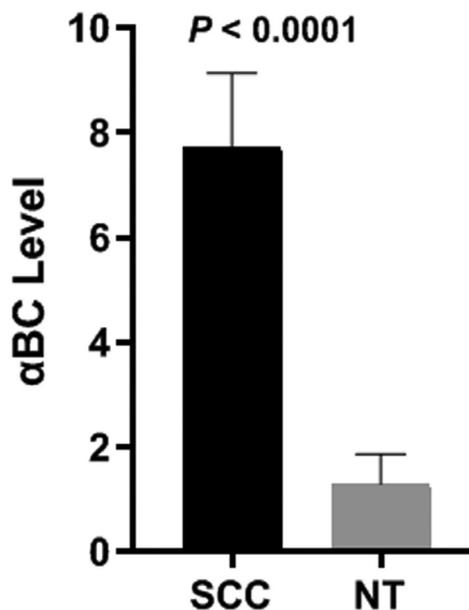
**TABLE 1.** Clinical response of patients after induction chemotherapy and 12 wk after radiotherapy

	Response after chemotherapy	Response after Radiotherapy
Number of patients	54	43
Complete response (%)	16 (29.6)	32
Partial response (%)	27 (50)	9
Stable disease (%)	11 (20.4)	2

**TABLE 2.** Surgical salvage after induction chemotherapy and radiation therapy

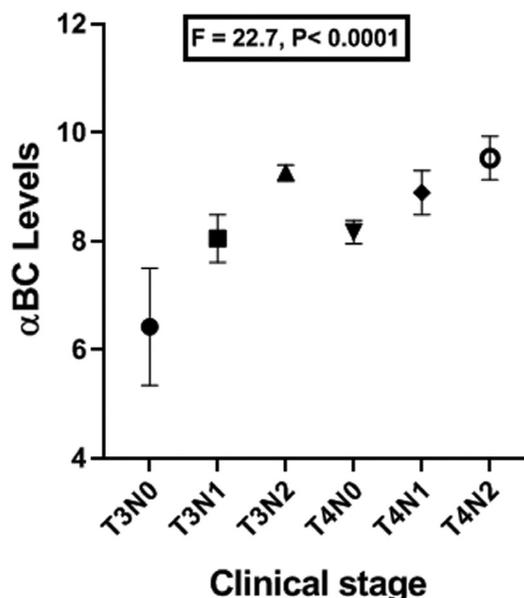
	Surgical salvage after neoadjuvant chemotherapy (n=11)	
	Unilateral regional neck dissection	Bilateral regional neck dissection
Total laryngectomy with partial pharyngectomy	2	
Total laryngectomy	5	2
Hemi laryngectomy	4	-
<b>Surgical salvage after chemotherapy and radiation therapy (n=11)</b>		
Total laryngectomy	-	-
Hemi laryngectomy	4	3
Neck dissection only	7	2

**αBC levels in SCC of the larynx and their nearby normal tissues**



**FIGURE 1.** αBC levels in laryngeal cancer tissues (SCC) and their nearby normal tissues (NAT)

**αBC levels and tumor stage**



**FIGURE 2.** Levels of αBC as per the tumor stage

After chemotherapy and radiotherapy, all the patients with PR had CR in the primary site without CR in cervical lymph nodes; five patients underwent regional neck dissection, and two patients underwent modified radical neck dissection.

The aB-crystalline expression level was assessed in squamous cell carcinoma (SCC) of the larynx relative to the nearby normal tissues adjacent to the tumor. In SCC, the aBC level ranged from

4.01 to 9.92. The mean±SD level was 7.73±1.41 SD; meanwhile, its level ranged from 0.14 to 2.41 with a mean±SD level was 1.29±0.57 in the nearby normal tissues. The difference was statistically significant  $p < 0.001$ . (Figure 1)

The level of aBC in SCC was correlated with the characteristics of all the patients; data are presented in Table 3. There was a significant correlation with the age of patients ( $p = 0.003$ ). The sex of the patients was not related to the aBC level. Significant correlations were observed with the size and extent of the primary tumor (T), ( $p < 0.0001$ ), regional lymph node involvement (N),  $p = 0.0198$ , and tumor stage ( $p < 0.0001$ ). We observed that the aBC level increases with the tumor stage; detailed analyses of stage and the aBC levels are shown in Figure 2. Patients with T3N0 tumors had a mean level of aBC of 6.43, while those with T4N2 had a mean level of 9.53, the difference was significant ( $p < 0.0001$ ).

The level of aBC was significantly related to the tumor stage; low stage tumors had a low level of aBC level and vice versa, one-way analysis of variance,  $F = 22.7$ ,  $p < 0.0001$ .

The treatment response was assessed with the level of aBC; the mean level of aBC in patients with CR was 6.12, while that in those with partial or no response was 7.35 with a significant difference ( $p < 0.0001$ ), Table 3.

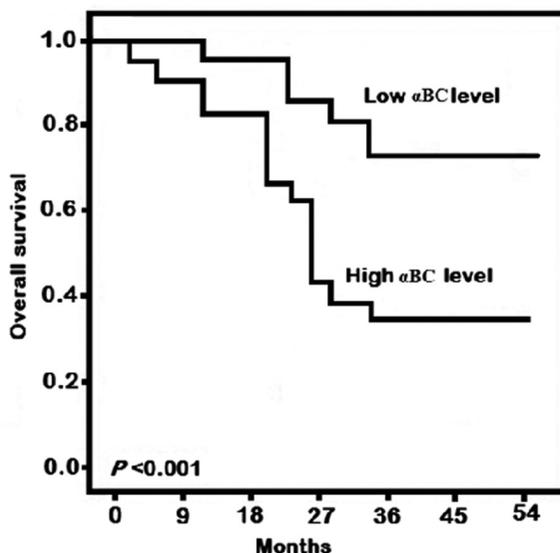
At 36 mon, the patterns of failure (locoregional, or distant) in 22 patients with no response to treatment who underwent surgical resection with or without postoperative radiotherapy were as follows: 10 patients died; 7 had locoregional recurrence; 3 had distant metastases; and 2 had both, locoregional and distant relapse. In contrast, of the 32 patients who achieved CR after chemotherapy-radiotherapy, 2 died without evidence of disease because of other causes; 10 had locoregional recurrence of disease; 2 had distant metastasis; and 1 had both, local and distant relapse, leaving 17 patients living with laryngeal preservation.

We further explored the effect of aBC level on a patient's survival in laryngeal cancer. Our evaluation revealed that both, over-

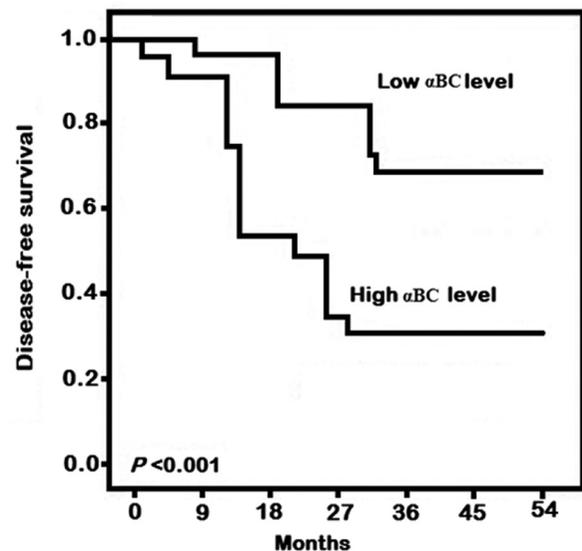
**TABLE 3. Relationship between age and aB-crystalline level in SCC**

Patients characteristics	aB-crystalline			t-test	P
	No	Mean	SD		
<b>Age</b>					
<50 years	19	5.2	1		
≥50 years	35	6.6	1.7	3.16	0.003
<b>Sex</b>					
Male	45	6.89	0.99		
Female	9	6.61	1.24	0.73	0.47
<b>T</b>					
T2	8	5.64	1.37		
T3	33	7.80	1.08		
T4	13	8.82	0.61	23.47**	<0.0001
<b>N</b>					
N0	12	7.01	1.22		
N1	27	8.24	0.56		
N2	15	7.39	2.16	4.24**	0.0198
<b>Stage</b>					
III	33	6.26	0.59		
IVA	21	7.76	0.89	7.44	<0.0001
<b>Response to treatment as per the pretreatment aBC levels</b>					
Complete response (CR)	32	6.12	0.45		
Partial response or stable disease (PR/SD)	11	7.35	0.32	8.42	<0.0001

\*\*Analysis of variance (ANOVA) test



**FIGURE 3.** Kaplan Meier overall survival curve for patients with locally advanced laryngeal cancer as per the aBC levels



**FIGURE 4.** Kaplan Meier disease-free survival curve for patients with locally advanced laryngeal cancer as per the aBC levels

all survival and disease-free survival in patients with high aBC levels were all shorter than those in patients with low aBC level. ( $p < 0.001$ , Figure 3, 4).

The overall survival in patients with low levels of aBC was 74% versus 35% in patients with high levels of aBC ( $p < 0.001$ ).

The disease-free survival in patients with low levels of aBC was 72% versus 32% in patients with high levels of aBC ( $p < 0.001$ ).

## DISCUSSION

In the treatment of head and neck cancer, two issues are most importance. The first is survival and the second is the preservation of organ function (quality of life) (3, 16, 17). Although survival differs by the cancer site within the head and neck, it is generally poor in stages III and IV. Since the end of the 1970s, several studies have been published on induction or neoadjuvant chemotherapy in patients with head and neck cancer. Most investigators have shown that induction chemotherapy provided poor results because there was no improvement in survival or disease control. However, several studies suggest that induction chemotherapy may play an important role in preserving laryngeal function (phonatory speech) (18, 19). Recent data from a large number of published studies indicate that induction platinum and fluorouracil chemotherapy followed by radiotherapy can achieve laryngeal preservation in 30%–50% of patients, even with long-term follow-up (20–22). Furthermore, no compromise in survival was associated with the delay in surgery and radiotherapy in cases where chemotherapy failed.

Our results of a 31.5% laryngeal-preservation rate in laryngeal cancer are consistent with other studies and support further investigation of laryngeal-preservation strategies in patients with locally advanced laryngeal cancer (23–25). In order to maintain the larynx, comprehensive radiation therapy was used in selected cases, with laryngectomy intended for patients with tumor recurrence following radiation; however, the overall cure rates have been decreased (26–28). The largest studies found 3-year disease-free survival rates of 20%–50% for patients with advanced-stage III or IV cancers, with larynx preservation in less than half of the cured patients (29, 30). Geretschlager reported 3-year locoregional control (LRC), distant metastasis-free survival, and overall survival (OS) rates of 77%, 96%, and 63%, respectively (29). Our patients had more advanced disease than those reported by Geretschlager. Those patients were not eligible for radical radiation therapy with salvage surgery as this approach was associated with a low cure rates. The encouraging results achieved in these patients with advanced cancers suggest that initial chemotherapy improved the effectiveness of definitive radiation therapy (26). We believe that a shift in the clinical strategy is necessary to reduce the laryngeal cancer-related mortality. In an attempt to support this view, we studied Alpha-B-crystalline (aBC) as a possible molecular marker for predicting response to induction chemotherapy and survival in locally advanced SCC of the larynx. We examined the levels of aBC in SCC of the larynx and their nearby normal tissues. A significant difference was observed in its levels in SCC of the larynx and their nearby normal laryngeal tissues. We also analyzed the relation between its levels and different clinical factors. We observed that an advanced tumor stage was associated with a high aBC level. Moreover, a significant increase in its level was

observed in older patients. The patient's sex was not related to the aBC level. Another significant observation was its relation to the response to induction chemotherapy and radiation therapy. Patients with CR had lower aBC levels than those with partial or no response.

We further evaluated the effect of aBC level on patient survival; the OS and disease-free survival were shorter in patients with high aBC levels than in those with low aBC levels ( $p < 0.001$ ).

Our results support the observations of Mao et al. (31) who found that the aBC level was higher in laryngeal SCC than in their adjacent normal tissues and tumor stage. Contrary to our findings, their results indicated no significant relationship to patient age. Our observations also support those of Yilmaz et al. (32) who studied the aBC expression in metastatic, non-metastatic laryngeal SCC, and normal tissue samples and found a significant correlation between the level of aBC and laryngeal SCC, but an insignificant correlation with the tumor stage and lymph node metastases.

To the best of our knowledge, this is the first to evaluate the aBC levels in patients with SCC of the larynx and the response to induction chemotherapy. aBC is expressed in several types of malignant tumors. In ovarian cancer, Tan et al. (11) found a high aBC expression in the ovarian cancer tissues and its level was significantly correlated with the tumor size ( $p = 0.028$ ), lymph node metastasis ( $p = 0.000$ ), distant metastasis ( $p = 0.005$ ), tumor node metastasis stage ( $p = 0.002$ ), and survival ( $p = 0.000$ ). Shi et al. (33) revealed that the aBC levels were considerably elevated in colorectal cancer tissues as compared to that in the corresponding non-cancerous tissues ( $p < 0.05$  and  $p = 0.014$ , respectively). They also stated that this elevation was significantly related to distant metastasis ( $p = 0.040$ ) and OS ( $p = 0.003$ ). Chen et al. (34) found that in gastric cancer tissues, the aBC expression is up-regulated relative to matched ordinary tissues and is closely correlated with cancer metastasis and shorter survival time.

We conclude that while more comprehensive trials with a larger sample and longer follow-up are required to confirm these findings, induction chemotherapy can be used effectively in locally advanced laryngeal cancer followed by radiotherapy or efficient conservation of the larynx in a certain proportion of patients without compromising survival. A high aBC level may be correlated with the aggressive behavior of SCC of the larynx and unfavorable outcomes.

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**Informed Consent:** N/A

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